

1 Christin Cho (Cal. Bar No. 238173)
christin@dovel.com
2 Jonas B. Jacobson (Cal. Bar No. 269912)
jonas@dovel.com
3 Simon Franzini (Cal. Bar No. 287631)
simon@dovel.com
4 DOVEL & LUNER, LLP
5 201 Santa Monica Blvd., Suite 600
Santa Monica, California 90401
6 Telephone: (310) 656-7066
7 Facsimile: (310) 656-7069

8
9 **UNITED STATES DISTRICT COURT**
WESTERN DISTRICT OF WASHINGTON

10 Wilbert Napoleon, individually and on behalf
11 of all others similarly situated,

12 *Plaintiff,*

13 vs.

14 Amazon.com, Inc.,

15 *Defendant.*
16
17
18
19
20
21
22
23
24
25
26
27
28

Case No.

CLASS ACTION COMPLAINT

DEMAND FOR JURY TRIAL

Table of Contents

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

I. Introduction..... 1

II. Parties..... 1

III. Jurisdiction and Venue..... 1

IV. Facts..... 2

 A. Amazon’s changes to Prime Video..... 2

 B. Amazon’s actions harm consumers..... 3

 C. Amazon violates state consumer protection laws..... 3

 D. Plaintiff was misled by Amazon’s misrepresentations..... 4

 E. Amazon breached its contract and warranties to Plaintiff..... 4

 F. No adequate remedy at law..... 5

V. Class Action Allegations..... 5

VI. Claims..... 7

 Count I: Breach of Contract..... 7

 Count II: Breach of Express Warranty..... 7

 Count III: Quasi-Contract..... 8

 Count IV: Violation of the Washington Consumer Protection Act..... 9

 Count V: Violation of California’s False Advertising Law..... 11

 Count VI: Violation of California’s Consumer Legal Remedies Act..... 12

 Count VII: Violation of California’s Unfair Competition Law..... 13

VII. Jury Trial Demand..... 15

VIII. Relief..... 15

1 **I. Introduction.**

2 1. Amazon.com Inc. sells a subscription service called Prime. For over a decade, it
3 included ad-free streaming of movies and tv shows. For years, people purchased and renewed their
4 Amazon Prime subscriptions believing that they would include ad-free streaming.

5 2. But last month, Amazon changed the deal. To stream movies and tv shows without
6 ads, Amazon customers must now pay an additional \$2.99 per month. This is true even for people
7 who purchased the yearly, ad-free subscription, and who are now mid-way through their subscription.
8 This is not fair, because these subscribers already paid for the ad-free version; these subscribers
9 should not have to pay an additional \$2.99/month for something that they already paid for.

10 3. For years, Amazon advertised that its Prime subscription included ad-free streaming of
11 movies and tv shows. Like other consumers, Plaintiff purchased the Prime subscription, believing
12 that it would include ad-free streaming of movies and tv shows. But it does not. Plaintiff brings this
13 case for himself and for other Amazon Prime customers.

14 **II. Parties.**

15 4. Plaintiff Wilbert Napoleon is domiciled in Eastvale, California.

16 5. The proposed class includes citizens of every state.

17 6. Defendant Amazon.com, Inc. is a Delaware corporation with its principal place of
18 business in Seattle, Washington.

19 **III. Jurisdiction and Venue.**

20 7. This Court has subject matter jurisdiction under 28 U.S.C. § 1332(d)(2). The amount
21 in controversy exceeds \$5,000,000, exclusive of interest and costs, and the matter is a class action in
22 which one or more members of the proposed class are citizens of a state different from Defendant.

23 8. The Court has personal jurisdiction over Defendant because (among other reasons) its
24 principal place of business is in Washington.

25 9. Venue is proper under 28 U.S.C. § 1391(b)(1) because Amazon resides in this District,
26 at its Seattle headquarters.

1 **IV. Facts.**

2 **A. Amazon’s changes to Prime Video.**

3 10. Amazon.com Inc. (“Amazon”) sells a subscription called Prime. The subscription is
4 very popular—over one hundred million Americans are subscribers. Subscribers can choose an
5 annual or monthly term, and many subscribers choose the annual term, which is offered at a discount
6 as compared to the monthly term.

7 11. A subscription to Prime includes access Amazon’s video streaming service, Prime
8 Video. Prime Video was launched in 2011. According to Amazon, a Prime subscription now included
9 “unlimited, commercial-free, instant streaming” of movies and tv shows on the platform, at no
10 additional cost:



20 12. Between 2011 and 2023, Amazon continued to offer “commercial-free” access to
21 Prime Video. And, consumers continued to subscribe to Amazon Prime, expecting to receive
22 commercial-free access to movies and tv shows.

23 13. But in 2024, Amazon changed its terms. Amazon introduced ads to Prime Video. To
24 turn off the ads, subscribers must now pay an additional \$2.99 a month. This is true even for people
25 who have yearly subscriptions to Prime—they must pay an additional \$2.99/month to get the ad-free
26 Prime Video that they already paid for.

1 **B. Amazon’s actions harm consumers.**

2 14. Based on Amazon’s advertisements, reasonable consumers who subscribed to Amazon
3 Prime before the change reasonably expected that their Amazon Prime subscription would include
4 ad-free streaming of movies and tv shows for the duration of the subscription.

5 15. Reasonable consumers expect that, if you purchase a subscription with ad-free
6 streaming of movies and tv shows, that the ad-free streaming for movies and tv shows is available for
7 the duration of the purchased subscription.

8 16. As explained above, however, Plaintiff and class members’ reasonable expectations
9 were not met. Instead of receiving a subscription that included ad-free streaming of tv shows and
10 movies, they received something worth less. They cannot enjoy ad-free streaming unless they pay an
11 extra \$2.99/month. Thus, Amazon’s false advertisements harm consumers by depriving them of the
12 reasonable expectations to which they are entitled.

13 **C. Amazon violates state consumer protection laws.**

14 17. Washington’s Consumer Protection Law prohibits “[u]nfair methods of competition
15 and unfair or deceptive acts or practices in the conduct of any trade or commerce.” Wash. Rev. Code
16 Ann. § 19.86.020.

17 18. Similarly, California’s Unfair Competition Law bans “unlawful, unfair or fraudulent”
18 business acts and practices. *See* Cal. Bus. & Prof. Code § 17200.

19 19. Amazon’s change of terms is deceptive. As described above, reasonable consumers
20 subscribed to Amazon Prime believing that they would receive ad-free Prime Video. But, mid-way
21 through the subscription, Amazon changed the terms. Subscribers must now pay extra to get
22 something that they already paid for.

23 20. Amazon’s actions are also unfair. As discussed above, Amazon advertised
24 “commercial-free” Prime Video for years, to induce consumers to purchase its Prime subscription.
25 Reasonable consumers, who rely on Amazon to provide accurate and truthful information about its
26
27

1 services, cannot reasonably avoid this injury. And Amazon’s actions offer no countervailing
2 benefits—misrepresenting its service harms both consumers and honest competition.

3 21. Amazon’s actions are also unlawful. As explained in further detail below, they
4 constitute a breach of contract.

5 **D. Plaintiff was misled by Amazon’s misrepresentations.**

6 22. In June 2023, Mr. Napoleon renewed his annual Prime subscription while living in
7 Eastvale, California.

8 23. Based on Amazon’s actions, Plaintiff believed that he was purchasing a yearly
9 subscription to Amazon Prime. He further believed that the Amazon Prime would include free
10 streaming of movies and tv shows for the duration of his subscription. But, it did not. Instead, to have
11 ad-free streaming, Plaintiff must pay an additional \$2.99 a month.

12 **E. Amazon breached its contract and warranties to Plaintiff.**

13 24. When Mr. Napoleon purchased the Amazon Prime subscription as described above, he
14 accepted the offer that Amazon made, and thus, a contract was formed at the time of purchase. The
15 offer was to provide an Amazon Prime subscription that included ad-free streaming of tv shows and
16 movies, for the price of the Prime annual subscription.

17 25. The offer to provide ad-free streaming of tv shows and movies was a specific and
18 material term of the contract. It was also an affirmation of fact about the services, and a promise
19 relating to the service.

20 26. Mr. Napoleon performed his obligations under the contract by paying for the annual
21 subscription.

22 27. Amazon breached its contract by failing to provide Mr. Napoleon access to ad-free
23 streaming of movies and tv shows for the duration of the subscription. Defendant also breached
24 warranties for the same reasons.

1 ***Numerosity & Ascertainability***

2 31. The proposed class contains members so numerous that separate joinder of each
3 member of the class is impractical. There are 160 million Amazon Prime subscribers in the United
4 States. Thus, there are likely millions or tens of millions of class members.

5 32. Class members can be identified through Defendant's sales records and public notice.

6 ***Predominance of Common Questions***

7 33. There are questions of law and fact common to the proposed class. Common questions
8 of law and fact include, without limitation:

- 9 (1) whether Amazon breached its contracts;
10 (2) the terms of the contracts;
11 (3) whether Amazon made false or misleading statements of facts in their
12 advertisements;
13 (4) whether Amazon violated consumer protection statutes;
14 (5) damages needed to reasonably compensate Plaintiff and the proposed class.

15 ***Typicality & Adequacy***

16 34. Plaintiff's claims are typical of the proposed class. Like the proposed class, Plaintiff
17 purchased the subscription advertised within the specified time period. There are no conflicts of
18 interest between Plaintiff and the class.

19 ***Superiority***

20 35. A class action is superior to all other available methods for the fair and efficient
21 adjudication of this litigation because individual litigation of each claim is impractical. It would be
22 unduly burdensome to have individual litigation of millions of individual claims in separate lawsuits,
23 every one of which would present the issues presented in this lawsuit.

1 **VI. Claims.**

2 **Count I: Breach of Contract**

3 **(By Plaintiff and the Nationwide Class)**

4 36. Plaintiff incorporates each and every factual allegation set forth above.

5 37. Plaintiff brings this cause of action on behalf of himself and the Nationwide Class. In
6 the alternative, Plaintiff brings this cause of action on behalf of himself and the California Subclass.

7 38. Plaintiff and class members entered into contracts with Amazon when they placed
8 orders to purchase or renew Amazon Prime on Amazon's website.

9 39. The contracts provided that Plaintiff and class members would pay Amazon for the
10 subscription ordered.

11 40. The contracts further required that Amazon provide Plaintiff and class members with
12 an Amazon Prime subscription that included ad-free access to movies and tv shows. This was a
13 specific and material term of the contracts.

14 41. Plaintiff and class members paid Amazon for the subscription ordered, and satisfied all
15 other conditions of their contracts.

16 42. Amazon breached the contracts with Plaintiff and class members by failing to provide
17 ad-free streaming of tv shows and movies as promised.

18 43. As a direct and proximate result of Defendant's breaches, Plaintiff and class members
19 were deprived of the benefit of their bargained-for exchange, and have suffered damages in an
20 amount to be established at trial.

21 **Count II: Breach of Express Warranty**

22 **(By Plaintiff and the Nationwide Class)**

23 44. Plaintiff incorporates each and every factual allegation set forth above.

24 45. Plaintiff brings this cause of action on behalf of himself and the Nationwide Class. In
25 the alternative, Plaintiff brings this cause of action on behalf of himself and the California Subclass.

1 46. Amazon, as the supplier and seller of the Amazon Prime subscription, issued material,
2 written warranties by advertising that the subscription included access to ad-free streaming of tv
3 shows and movies. This was an affirmation of fact about the service (i.e., a representation about what
4 the subscription included) and a promise relating to the service.

5 47. These warranties were part of the basis of the bargain and Plaintiff and members of the
6 class relied on this warranty.

7 48. In fact, the subscriptions did not include ad-free streaming of tv shows and movies.
8 Thus, the warranties were breached.

9 49. Plaintiff provided Defendant with notice of this breach of warranty, by mailing a
10 notice letter to Defendant's registered agent and Defendant's headquarters on February 7, 2024.

11 50. Plaintiff and the class were injured as a direct and proximate result of Defendant's
12 breach, and this breach was a substantial factor in causing harm, because they (a) overpaid for the
13 service because the service was sold at a price premium due to the warranty, and/or (b) did not
14 receive the service as warranted that they were promised.

15 **Count III: Quasi-Contract**

16 **(By Plaintiff and the Nationwide Class)**

17 51. Plaintiff incorporates each and every factual allegation set forth above.

18 52. Plaintiff brings this cause of action on behalf of himself and the Nationwide Class. In
19 the alternative, Plaintiff brings this cause of action on behalf of himself and the California Subclass.

20 53. As alleged in detail above, Defendant's false and misleading advertising caused
21 Plaintiff and the class to purchase the service and to pay a price premium for the service.

22 54. In this way, Defendant received a direct and unjust benefit, at Plaintiff's expense.

23 55. (In the alternative only), due to Defendant's misrepresentations, its contracts with
24 Plaintiff are void or voidable.

25 56. Plaintiff and the class seek restitution.

1 65. This caused Plaintiff and the class to pay more for their subscription, and deprived
2 them of their expectancy interest in receiving the subscription as advertised.

3 66. The harm to Plaintiff and the class greatly outweighs the public utility of Defendant’s
4 conduct. There is no public utility to misrepresenting the features of a service. Plaintiff and the
5 class’s injury was not outweighed by any countervailing benefits to consumers or competition.
6 Misleading consumers about services only injures healthy competition and harms consumers.

7 ***Deceptive Acts or Practices***

8 67. As alleged in detail above, Defendant’s representations that their services included ad-
9 free access to tv shows and movies on Prime Video were false and misleading.

10 68. Defendant’s representations were likely to deceive, and did deceive, Plaintiff and other
11 reasonable consumers. Defendant knew, or should have known through the exercise of reasonable
12 care, that these statements were inaccurate and misleading.

13 * * *

14 69. Defendant’s misrepresentations were intended to induce reliance. Defendant’s
15 misrepresentations were a factor in Plaintiff’s purchase decision.

16 70. Subclass-wide reliance can be inferred because Defendant’s misrepresentations were
17 material, i.e., a reasonable consumer would consider them important in deciding whether to buy the
18 services.

19 71. Defendant’s misrepresentations were a substantial factor and proximate cause in
20 causing damages and losses to Plaintiff and the class.

21 72. Plaintiff and the class were injured as a direct and proximate result of Defendant’s
22 conduct because (a) they overpaid for the services because the services were sold at a price premium
23 due to the misrepresentation, and/or (b) they did not receive the services they were promised, and
24 received services with market values lower than the promised market values.

Count V: Violation of California's False Advertising Law

Bus. & Prof. Code §§ 17500 et. seq.

(By Plaintiff and the California Subclass)

73. Plaintiff incorporates each and every factual allegation set forth above.

74. Plaintiff brings this cause of action on behalf of himself and members of the California Subclass.

75. Defendant has violated Section 17500 of the Business and Professions Code.

76. As alleged more fully above, Defendant made and disseminated untrue and misleading statements of facts in their advertisements to class members.

77. Defendant did this by advertising that Amazon Prime included ad-free access to movies and tv shows on Amazon Prime.

78. Defendant's representations were likely to deceive, and did deceive, Plaintiff and reasonable consumers. Defendant knew, or should have known through the exercise of reasonable care, that these statements were inaccurate and misleading.

79. Defendant's misrepresentations were intended to induce reliance, and Plaintiff saw, read, and reasonably relied on the statements when purchasing the service. Defendant's misrepresentations were a substantial factor in Plaintiff's purchase decision.

80. In addition, class-wide reliance can be inferred because Defendant's misrepresentations were material, i.e., a reasonable consumer would consider them important in deciding whether to buy the service.

81. Defendant's misrepresentations were a substantial factor and proximate cause in causing damages and losses to Plaintiff and the subclass.

82. Plaintiff and the subclass were injured as a direct and proximate result of Defendant's conduct because (a) they overpaid for the services because the services were sold at a price premium due to the misrepresentation, and/or (b) they did not receive the services they were promised, and received services with market values lower than the promised market values.

Count VI: Violation of California’s Consumer Legal Remedies Act

(By Plaintiff and the California Subclass)

83. Plaintiff incorporates each and every factual allegation set forth above.

84. Plaintiff brings this cause of action on behalf of himself and members of the California subclass.

85. Plaintiff and the subclass are “consumers,” as the term is defined by California Civil Code § 1761(d).

86. Plaintiff and the subclass have engaged in “transactions” with Defendant as that term is defined by California Civil Code § 1761(e).

87. The conduct alleged in this Complaint constitutes unfair methods of competition and unfair and deceptive acts and practices for the purpose of the CLRA, and the conduct was undertaken by Defendant in transactions intended to result in, and which did result in, the sale of services to consumers.

88. As alleged more fully above, Defendant made and disseminated untrue and misleading statements of facts in their advertisements to class members.

89. Defendant violated Section 1770(a)(5) of the California Civil Code by representing that services offered for sale on their websites have characteristics or benefits that they do not have. Defendant represented that a Prime subscription includes access to ad-free movies and tv shows on Prime Video, when it did not.

90. Defendant violated Section 1770(a)(9) of the California Civil Code. Defendant violated this by representing that a Prime subscription includes access to ad-free movies and tv shows on Prime Video, when it did not.

91. Defendant’s representations were likely to deceive, and did deceive, Plaintiff and reasonable consumers. Defendant knew, or should have known through the exercise of reasonable care, that these statements were inaccurate and misleading.

1 92. Defendant’s misrepresentations were intended to induce reliance, and Plaintiff saw,
2 read, and reasonably relied on them when purchasing the service. Defendant’s misrepresentations
3 were a substantial factor in Plaintiff’s purchase decision.

4 93. In addition, class-wide reliance can be inferred because Defendant’s
5 misrepresentations were material, i.e., a reasonable consumer would consider them important in
6 deciding whether to buy the service.

7 94. Defendant’s misrepresentations were a substantial factor and proximate cause in
8 causing damages and losses to Plaintiff and the subclass.

9 95. Plaintiff and the subclass were injured as a direct and proximate result of Defendant’s
10 conduct because (a) they overpaid for the services because the services were sold at a price premium
11 due to the misrepresentation, and/or (b) they did not receive the services they were promised, and
12 received services with market values lower than the promised market values.

13 96. Accordingly, pursuant to California Civil Code § 1780(a)(2), Plaintiff, on behalf of
14 himself and all other members of the subclass, seeks injunctive relief.

15 97. CLRA § 1782 NOTICE. On February 9, 2024, Plaintiff mailed a notice letter to
16 Defendant at its Seattle, Washington headquarters. This letter provided notice of Defendant’s
17 violation of the CLRA and demanded that Defendant correct the unlawful, unfair, false and/or
18 deceptive practices alleged here. If Defendant does not fully correct the problem for Plaintiff and for
19 each member of the class within 30 days of receipt, Plaintiff and the class will seek all relief allowed
20 under the CLRA.

21 98. A CLRA venue declaration is attached.

22 **Count VII: Violation of California’s Unfair Competition Law**

23 **(By Plaintiff and the California Subclass)**

24 99. Plaintiff incorporates each and every factual allegation set forth above.

25 100. Plaintiff brings this cause of action on behalf of himself and members of the California
26 subclass.

1 101. Defendant has violated California’s Unfair Competition Law (UCL) by engaging in
2 unlawful, fraudulent, and unfair conduct (i.e., violating each of the three prongs of the UCL).

3 ***The Unlawful Prong***

4 102. Defendant engaged in unlawful conduct by violating the CLRA and FAL, as alleged
5 above and incorporated here.

6 ***The Deceptive Prong***

7 103. As alleged in detail above, Defendant’s representations that the service includes ad-
8 free streaming of movies and tv shows on Amazon Prime were false and misleading.

9 104. Defendant’s representations were misleading to Plaintiff and other reasonable
10 consumers.

11 105. Plaintiff relied upon Defendant’s misleading representations and omissions, as
12 detailed above.

13 ***The Unfair Prong***

14 106. As alleged in detail above, Defendant committed “unfair” acts by falsely representing
15 that the Prime subscription includes ad-free streaming of movies and tv shows on Amazon Prime
16 Video.

17 107. Defendant violated established public policy by violating the CLRA and FAL, as
18 alleged above and incorporated here. The unfairness of this practice is tethered to a legislatively
19 declared policy (that of the CLRA and FAL).

20 108. The harm to Plaintiff and the class greatly outweighs the public utility of Defendant’s
21 conduct. There is no public utility to misrepresenting the features of a service. This injury was not
22 outweighed by any countervailing benefits to consumers or competition. Misleading services only
23 injure healthy competition and harm consumers.

24 109. Plaintiff and the class could not have reasonably avoided this injury. As alleged above,
25 Defendant’s representations were deceptive to reasonable consumers like Plaintiff.

1 110. Defendant's conduct, as alleged above, was immoral, unethical, oppressive,
2 unscrupulous, and substantially injurious to consumers.

3 * * *

4 111. For all prongs, Defendant's representations were intended to induce reliance, and
5 Plaintiff saw, read, and reasonably relied on them when purchasing the service. Defendant's
6 representations were a substantial factor in Plaintiff's purchase decision.

7 112. In addition, class-wide reliance can be inferred because Defendant's representations
8 were material, i.e., a reasonable consumer would consider them important in deciding whether to buy
9 the service.

10 113. Defendant's representations were a substantial factor and proximate cause in causing
11 damages and losses to Plaintiff and the class members.

12 114. Plaintiff and the class were injured as a direct and proximate result of Defendant's
13 conduct because (a) they overpaid for the services because the services were sold at a price premium
14 due to the misrepresentation, and/or (b) they did not receive the services they were promised, and
15 received services with market values lower than the promised market values.

16 **VII. Jury Trial Demand.**

17 115. Plaintiff demands the right to a jury trial on all claims so triable.

18 **VIII. Relief.**

19 116. Plaintiff seeks the following relief for himself and the class:

- 20 • An order certifying the asserted claims, or issues raised, as a class action;
- 21 • A judgment in favor of Plaintiff and the proposed class;
- 22 • Damages, treble damages, and punitive damages where applicable;
- 23 • Restitution;
- 24 • Rescission;
- 25 • Disgorgement, and other just equitable relief;
- 26 • Pre- and post-judgment interest;

- An injunction prohibiting Defendant’s deceptive conduct, as allowed by law;
- Reasonable attorneys’ fees and costs, as allowed by law;
- Any additional relief that the Court deems reasonable and just.

Dated: February 9, 2024

Respectfully submitted,

Carson & Noel, PLLC

By: /s/ Wright A. Noel

Wright A. Noel, WSBA No. 25264
20 Sixth Ave. NE
Issaquah WA 98027 Tel: 425-395-7786
Fax: 425-837-5396
Email: wright@carsonnoel.com

Christin Cho (Cal. Bar No. 238173)*
christin@dovel.com
Jonas B. Jacobson (Cal. Bar No. 269912)*
jonas@dovel.com
Simon Franzini (Cal. Bar No. 287631)*
simon@dovel.com
DOVEL & LUNER, LLP
201 Santa Monica Blvd., Suite 600
Santa Monica, California 90401
Telephone: (310) 656-7066
Facsimile: (310) 656-7069

Counsel for Plaintiff

**Pro Hac Vice Forthcoming*

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS
(b) County of Residence of First Listed Plaintiff
(c) Attorneys (Firm Name, Address, and Telephone Number)

DEFENDANTS
County of Residence of First Listed Defendant
NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.
Attorneys (If Known)

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)
1 U.S. Government Plaintiff
2 U.S. Government Defendant
3 Federal Question (U.S. Government Not a Party)
4 Diversity (Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)
PTF DEF
Citizen of This State
Citizen of Another State
Citizen or Subject of a Foreign Country
Incorporated or Principal Place of Business In This State
Incorporated and Principal Place of Business In Another State
Foreign Nation

IV. NATURE OF SUIT (Place an "X" in One Box Only) Click here for: Nature of Suit Code Descriptions.

Table with 5 columns: CONTRACT, REAL PROPERTY, TORTS, CIVIL RIGHTS, PRISONER PETITIONS, FORFEITURE/PENALTY, LABOR, IMMIGRATION, BANKRUPTCY, INTELLECTUAL PROPERTY RIGHTS, SOCIAL SECURITY, FEDERAL TAX SUITS, OTHER STATUTES. Includes codes like 110 Insurance, 310 Airplane, 365 Personal Injury, etc.

V. ORIGIN (Place an "X" in One Box Only)
1 Original Proceeding
2 Removed from State Court
3 Remanded from Appellate Court
4 Reinstated or Reopened
5 Transferred from Another District (specify)
6 Multidistrict Litigation - Transfer
8 Multidistrict Litigation - Direct File

VI. CAUSE OF ACTION
Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):
Brief description of cause:

VII. REQUESTED IN COMPLAINT:
CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P. DEMAND \$ CHECK YES only if demanded in complaint: JURY DEMAND: Yes No

VIII. RELATED CASE(S) IF ANY (See instructions): JUDGE DOCKET NUMBER

DATE SIGNATURE OF ATTORNEY OF RECORD

FOR OFFICE USE ONLY
RECEIPT # AMOUNT APPLYING IFP JUDGE MAG. JUDGE

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- I.(a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence.** For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys.** Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- II. Jurisdiction.** The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.
 United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here. United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.
 Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.
 Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.**)
- III. Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit.** Place an "X" in the appropriate box. If there are multiple nature of suit codes associated with the case, pick the nature of suit code that is most applicable. Click here for: [Nature of Suit Code Descriptions](#).
- V. Origin.** Place an "X" in one of the seven boxes.
 Original Proceedings. (1) Cases which originate in the United States district courts.
 Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441.
 Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.
 Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.
 Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.
 Multidistrict Litigation – Transfer. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407.
 Multidistrict Litigation – Direct File. (8) Check this box when a multidistrict case is filed in the same district as the Master MDL docket.
PLEASE NOTE THAT THERE IS NOT AN ORIGIN CODE 7. Origin Code 7 was used for historical records and is no longer relevant due to changes in statute.
- VI. Cause of Action.** Report the civil statute directly related to the cause of action and give a brief description of the cause. **Do not cite jurisdictional statutes unless diversity.** Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service.
- VII. Requested in Complaint.** Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.
 Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction.
 Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases.** This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

_____ District of _____

_____)
Plaintiff(s))
v.) Civil Action No.
_____)
Defendant(s))

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address)

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: _____

Signature of Clerk or Deputy Clerk

Civil Action No. _____

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

This summons for *(name of individual and title, if any)* _____
was received by me on *(date)* _____ .

I personally served the summons on the individual at *(place)* _____
_____ on *(date)* _____ ; or

I left the summons at the individual's residence or usual place of abode with *(name)* _____
_____, a person of suitable age and discretion who resides there,
on *(date)* _____ , and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* _____ , who is
designated by law to accept service of process on behalf of *(name of organization)* _____
_____ on *(date)* _____ ; or

I returned the summons unexecuted because _____ ; or

Other *(specify)*: _____ .

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ _____ .

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc: