



1 Plaintiff Kamila Harkavy, individually and on behalf of all others similarly situated, alleges  
2 the following against Defendant Lindt & Sprüngli (North America) Inc., (“Lindt” or “Defendant”)  
3 on information and belief, except that Plaintiff’s allegations as to their own actions are based on  
4 personal knowledge.

5 **NATURE OF THE ACTION**

6 1. This action seeks to recover damages and injunctive relief for Defendant’s  
7 continuing failure to disclose to consumers that certain Lindt dark chocolate products (collectively,  
8 the “Products”), contain unsafe levels of lead and cadmium (collectively “Heavy Metals”).

9 2. The Lindt Dark Chocolate Products in question are the Lindt “Excellence Dark  
10 Chocolate 70% Cocoa” bar and the Lindt “Excellence Dark Chocolate 85% Cocoa” bar.

11 3. Dark chocolate is often touted as being a healthier alternative to milk chocolate,  
12 however, a December 2022 report by Consumer Reports revealed that certain dark chocolate bars,  
13 including the Products, had high enough levels of lead and cadmium that “eating just an ounce a  
14 day would put an adult over a level that public health authorities and [Consumer Reports’] experts  
15 say may be harmful.”<sup>1</sup>

16 4. Heavy Metals in foods pose a significant safety risk to consumers because they can  
17 cause cancer and often irreversible damage to brain development as well as other serious health  
18 problems.

19 5. As described more fully below, consumers who purchase the Products are injured  
20 by Defendant’s acts and omissions concerning the presence (or risk) of Heavy Metals. No  
21 reasonable consumer would know, or have reason to know, that the Products contain (or risk  
22 containing) Heavy Metals. Worse, as companies across the industry have adopted methods to limit  
23 heavy metals in their dark chocolates, Defendant has stood idly by with a reckless disregard for its  
24 consumers’ health and well-being. As such, Plaintiff seeks relief in this action individually and as  
25 a class action on behalf of all purchasers of the Products.

26 \_\_\_\_\_  
27 <sup>1</sup> Kevin Loria, *Lead and Cadmium Could be in Your Dark Chocolate*, CONSUMER REPORTS  
28 (December 15, 2022), <https://www.consumerreports.org/health/food-safety/lead-and-cadmium-in-dark-chocolate-a8480295550/>.

**PARTIES**

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2           6.       Plaintiff Kamila Harkavy is a resident of California, and resides in the city of  
3 Sausalito, California. Plaintiff Harkavy has purchased both of Defendant’s Products numerous  
4 times during the class period. Typically, Plaintiff Harkavy purchases the Products from Grocery  
5 Outlet in Sausalito, California. Plaintiff Harkavy believed she was purchasing quality and safe  
6 dark chocolate that did not contain (or risk containing) Heavy Metals. Had Defendant disclosed on  
7 the label that the Products contained (or risked containing) unsafe toxic Heavy Metals, Ms.  
8 Harkavy would have been aware of that fact and would not have purchased the Products or would  
9 have paid less for them.

10           7.       Ms. Harkavy continues to desire to purchase the Products from Defendant.  
11 However, Ms. Harkavy is unable to determine if the Products are actually safe. Ms. Harkavy  
12 understands that the composition of the Products may change over time. But as long as Defendant  
13 continues to market its Products as safe, she will be unable to make informed decisions about  
14 whether to purchase Defendant’s Products and will be unable to evaluate the different prices  
15 between Defendant’s Products and competitor’s Products. Ms. Harkavy is further likely to be  
16 repeatedly misled by Defendant’s conduct, unless and until Defendant is compelled to ensure that  
17 the Products marketed, labeled, packaged and sold as safe dark chocolate is, in fact, safe to  
18 consume.

19           8.       Defendant Lindt & Sprüngli (North America), Inc, is a Delaware corporation with  
20 its headquarters in Kansas City, Missouri. Defendant manufactures, markets, and sells dark  
21 chocolate, including the Products, throughout California and the United States. During the relevant  
22 period, Defendant controlled the manufacture, design, testing, packaging, labeling, marketing,  
23 advertising, promotion, distribution, and sales of its Products. Defendant therefore had complete  
24 control over how to label its Products as to their contents.

**JURISDICTION AND VENUE**

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26           9.       This Court has subject matter jurisdiction over this action pursuant to the Class  
27 Action Fairness Act of 2005, Pub. L. No. 109-2 Stat. 4 (“CAFA”), which, *inter alia*, amends 28  
28

1 U.S.C. § 1332, at new subsection (d), conferring federal jurisdiction over class actions where, as  
 2 here: (a) there are 100 or more members in the proposed classes; (b) some members of the  
 3 proposed classes have a different citizenship from Defendant; and (c) the claims of the proposed  
 4 class members exceed the sum or value of five million dollars (\$5,000,000) in aggregate. *See* 28  
 5 U.S.C. § 1332(d)(2) and (6).

6 10. This Court has specific jurisdiction over Defendant because the events giving rise to  
 7 this action occurred in California and Defendant markets and sells its Products in California and  
 8 avails itself of the privilege of conducting business in California to such an extent that suit was  
 9 foreseeable.

10 11. Venue is proper in this Court under 28 U.S.C. § 1391 because Defendant transacts  
 11 significant business within this District, at least one Plaintiff resides within this District, and a  
 12 substantial part of the events giving rise to Plaintiff's claims took place within this District.

### FACTS COMMON TO ALL CAUSES OF ACTION

#### **I. Lead and Cadmium are Toxic**

15 12. Lead and cadmium are heavy metals. As described more fully below, the harmful  
 16 effects of heavy metals are well-documented, particularly on children. Exposure puts children at  
 17 risk for lowered IQ, behavioral problems (such as attention deficit hyperactivity disorder), type 2  
 18 diabetes, and cancer, among other health issues. Heavy metals also pose risks to adults. Even  
 19 modest amounts of heavy metals can increase the risk of cancer, cognitive and reproductive  
 20 problems, and other adverse conditions. As such, it is important to limit exposure.

21 13. "No amount of lead is known to be safe."<sup>2</sup> Exposure to lead may cause anemia,  
 22 weakness, and kidney and brain damage.<sup>3</sup> Lead can affect almost every organ and system in the  
 23 body. Lead accumulates in the body over time, and can lead to health risks and toxicity, including

24  
 25 <sup>2</sup> *See* <https://www.npr.org/sections/thetwo-way/2016/08/13/489825051/lead-levels-below-epa-limits-can-still-impact-your-health> (last accessed June 22, 2022).

26 <sup>3</sup> Centers for Disease Control and Prevention, "Health Problems Caused by Lead," *The National*  
 27 *Institute for Occupational Safety and Health (NIOSH)*,  
 28 <https://www.cdc.gov/niosh/topics/lead/health.html#:~:text=Exposure%20to%20high%20levels%20of,a%20developing%20baby's%20nervous%20system.> (last accessed June 22, 2022).

1 inhibiting neurological function, anemia, kidney damage, seizures, and in extreme cases, coma and  
2 death. Lead can also cross the fetal barrier during pregnancy, exposing the mother and developing  
3 fetus to serious risks, including reduced growth and premature birth. Lead exposure is also  
4 harmful to adults as more than 90 percent of the total body burden of lead is accumulated in the  
5 bones, where it is stored. Lead in bones may be released into the blood, re-exposing organ systems  
6 long after the original exposure.<sup>4</sup>

7 14. Cadmium is similarly harmful. “[A]ny cadmium exposure should be avoided.”<sup>5</sup>  
8 Exposure to cadmium may lead to damage to kidneys, lungs, and bones.<sup>6</sup> “Even relatively low  
9 chronic exposure can cause irreversible renal tubule damage, potentially progressing to glomerular  
10 damage and kidney failure” and “bone loss often is seen in concert with these effects.”<sup>7</sup> This metal  
11 is also known to cause cancer and targets the body’s cardiovascular, renal, gastrointestinal,  
12 neurological, reproductive, and respiratory systems.<sup>8</sup>

## 13 **II. Defendant’s Products Contain Toxic Lead and Cadmium**

14 15. In December of 2022, Consumer Reports published a report titled “Lead and  
15 Cadmium Could Be in Your Dark Chocolate.” The report detailed the results of Consumer  
16 Reports’ testing of various dark chocolates for lead and cadmium. To determine the risk posed by  
17 the chocolates in the test, Consumer Reports measured the chocolates with reference to California’s  
18 maximum allowable dose level (MADL) for lead (0.5 micrograms) and cadmium (4.1  
19 micrograms).

20 16. Consumer Reports analyzed 28 different bars.

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21 <sup>4</sup> State of New York Department of Health, “Lead Exposure in Adults: A Guide for Health Care  
22 Providers,” <https://www.health.ny.gov/publications/2584.pdf> (last accessed June 22, 2022).

23 <sup>5</sup> M. Nathaniel Mead, “Cadmium Confusion: Do Consumers Need Protection,” *Environ Health*  
*Perspect.* 2010 Dec; 118(12): A528-A534,

24 <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3002210/> (last accessed June 22, 2022).

25 <sup>6</sup> See Agency for Toxic Substances and Disease Registry, “ToxFAQs for Cadmium,” Toxic  
26 Substances Portal,  
<https://wwwn.cdc.gov/TSP/ToxFAQs/ToxFAQsDetails.aspx?faqid=47&toxid=15> (last accessed  
27 June 22, 2022).

28 <sup>7</sup> Mead, *supra* note 8.

<sup>8</sup> See Occupational Safety & Health, “Cadmium,” <https://www.osha.gov/cadmium> (last accessed  
June 22, 2022).

1           17.     Consumer Reports determined that “[f]or 23 of the bars, eating just an ounce a day  
2 would put an adult over a level that public health authorities and CR’s experts say may be harmful  
3 for at least one of those heavy metals. Five of the bars were above those levels for both cadmium  
4 and lead.”<sup>9</sup>

5           18.     Lindt’s “Excellence Dark Chocolate 70% Cocoa” bar was found to have cadmium  
6 levels at 116% of the MADL.

7           19.     Lindt’s “Excellence Dark Chocolate 85% Cocoa” bar was found to have lead levels  
8 at 166% of the MADL.

9           20.     With regard to the results, Tunde Akinleye, a food safety researcher at Consumer  
10 Reports who led the project, remarked that “the danger is greatest for pregnant people and young  
11 children because the metals can cause developmental problems ... but there are risks for people of  
12 any age” because frequent exposure to lead can lead to nervous system problems, hypertension,  
13 immune system suppression, kidney damage, and reproductive issues.<sup>10</sup>

14           21.     While lead and cadmium can be found in many food sources, the Lindt Products, *on*  
15 *their own*, expose consumers to 48-166% of the MADL for lead in a one ounce serving and 80-  
16 116% of the MADL for cadmium in a one ounce serving.<sup>11</sup>

17           22.     Chocolate is made from the cacao bean, which has two main components: cocoa  
18 solids and cocoa butter. Together, these are called cacao or cocoa.

19           23.     Dark chocolate, rather than milk chocolate, was the subject of these tests because  
20 dark chocolate has a higher percentage of cacao, at least 65 percent cacao by weight, which is  
21 where the Heavy Metals lurk.

22           24.     These Heavy Metals have made their way into the cacao in two ways: cadmium is  
23 taken up through the soil by the cacao plant as it grows, while lead is found typically on the outer  
24 shell of cacao beans *after* they are harvested.

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26 \_\_\_\_\_  
<sup>9</sup> Loria, *supra* note 1.

27 <sup>10</sup> *Id.*

28 <sup>11</sup> *Id.*

1           25.     However, this does not mean that lead and cadmium exposure is inevitable when  
2 consuming dark chocolate. As Consumer Reports noted, five of the 28 chocolate bars tested were  
3 below the MADL for both cadmium and lead, proving that “it’s possible for companies to make  
4 products with lower amounts of heavy metals—and for consumers to find safer products that they  
5 enjoy.”<sup>12</sup>

6           26.     Instead of adequately testing its Products like its competitors, Defendant chose to  
7 ignore the health of the consuming public in pursuit of profit.

8     **III.    The Presence (or Risk) of Toxic Heavy Metals in Defendant’s Products Far Exceeds**  
9     **Expectations of Reasonable Consumers**

10          27.     According to Verified Market Research, the dark chocolate market was valued at  
11 \$56.09 billion in 2021 and is expected to grow to \$114.62 billion by 2030.<sup>13</sup> Indeed, “[g]rowing  
12 health benefits associated with cocoa-rich dark chocolates, rising demand for premium dark  
13 chocolates as gifts, players introducing more limited-edition seasonal chocolates, increasing online  
14 sales, and expanding marketing initiatives are expected to drive the global Dark Chocolate Market  
15 during the forecast period.”<sup>14</sup>

16          28.     What’s more, up to 15% of consumers eat dark chocolate on a daily basis.<sup>15</sup>

17          29.     Given the negative effects of toxic heavy metals (such as lead and cadmium) on  
18 child development and adult health, the presence of these substances in dark chocolate is a material  
19 fact to reasonable consumers, including Plaintiff and members of the Class.

20          30.     This is not the first time that Defendant has been alerted to the fact that its Products  
21 contain unsafe levels of cadmium and lead.

22          31.     In 2014 Defendant’s products were tested by a consumer advocacy group and  
23 Defendant was informed that its dark chocolate products had dangerously high levels of cadmium  
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25 <sup>12</sup> *Id.*

26 <sup>13</sup> *Dark Chocolate Market Size and Forecast*, VERIFIED MARKET RESEARCH (May 2022),  
27 [https://www.verifiedmarketresearch.com/product/global-dark-chocolate-  
28 market/#:~:text=Dark%20Chocolate%20Market%20was%20valued,8.28%25%20from%202022%  
20to%202030](https://www.verifiedmarketresearch.com/product/global-dark-chocolate-market/#:~:text=Dark%20Chocolate%20Market%20was%20valued,8.28%25%20from%202022%20to%202030) (last accessed January 03, 2023).

<sup>15</sup> Loria, *supra* Note 1.

1 and lead, a subject of concern for the group and for consumers at large.

2 32. As such, Defendant knows that the presence (or risk) of toxic Heavy Metals in its  
3 Products is a material fact to reasonable consumers, including Plaintiff and the Class members.

4 33. Food manufacturers (such as Defendant) hold a position of public trust. Consumers  
5 believe that they would not sell products that are contaminated with unsafe levels of heavy metals.

6 34. Defendant knew that if the presence (or risk) of toxic Heavy Metals in its Products  
7 was disclosed to Plaintiff and the Class members, then Plaintiff and the Class members would be  
8 unwilling to purchase them or would pay less for them.

9 35. In light of Defendant's knowledge that Plaintiff and the Class members would be  
10 unwilling to purchase the Products or would pay less for the Products if they knew that they  
11 contained (or risked containing) toxic Heavy Metals, Defendant intentionally and knowingly  
12 concealed this fact from Plaintiff and the Class members and did not disclose the presence (or risk)  
13 of these toxic Heavy Metals on the labels of the Products.

14 36. Defendant knew or should have known that Plaintiff and the Class members would  
15 rely upon the packages of the Products and intended for them to do so, but failed to disclose the  
16 presence (or risk) of Heavy Metals.

17 37. Defendant knew or should have known that it owed consumers a duty of care to  
18 adequately test for Heavy Metals in the Products, which it failed to do.

19 38. Additionally, Defendant knew or should have been aware that a reasonable  
20 consumer could consume a one ounce serving of the Product daily, leading to repeated exposure to  
21 the Heavy Metals at unsafe levels.

22 39. As such, Defendant has a duty to disclose that consumption of the Products could  
23 expose consumers to high levels of the toxic Heavy Metals.

24 40. Defendant knew or should have known it could control the levels of Heavy Metals  
25 in the Products by properly monitoring the ingredients for Heavy Metals and adjusting any  
26 cultivation practices to reduce or eliminate the high levels of Heavy Metals.

27 41. It is reasonable to assert that Defendant knew or should have known it could control  
28



1 the levels of Heavy Metals in its Products because there are other chocolate manufacturers who  
2 have been able to produce dark chocolate with significantly less cadmium and lead than  
3 Defendant's Products.

4 42. Prior to purchasing the Products, Plaintiff and the Class members were exposed to,  
5 saw, read, and understood Defendant's labels, and relied upon them in purchasing the Products, but  
6 Defendant failed to disclose the presence (or risk) of Heavy Metals.

7 43. As a result of Defendant's concealment of the fact that the Products contained toxic  
8 Heavy Metals, Plaintiff and the Class members reasonably believed that Defendant's Products  
9 were free from substances that would negatively affect children's development as well as their own  
10 health.

11 44. In reliance upon Defendant's labels that contained omissions, Plaintiff and the Class  
12 members purchased Defendant's Products.

13 45. Had Plaintiff and the Class members known the truth—*i.e.*, that the Products  
14 contained (or risked containing) toxic Heavy Metals, rendering them unsafe for consumption by  
15 children and adults—they would not have been willing to purchase them or would have paid less  
16 for them.

17 46. Therefore, as a direct and proximate result of Defendant's omissions concerning the  
18 Products, Plaintiff and the Class members purchased the Products.

19 47. Plaintiff and the Class members were harmed in the form of the monies they paid  
20 for the Products which they would not otherwise have paid had they known the truth about the  
21 Products. Since the presence (or risk) of toxic Heavy Metals in the Products renders them unsafe  
22 for human consumption, the Products that Plaintiff and the Class members purchased are worthless  
23 or are worth less than Plaintiff and the Class paid for them. What's more, there are other dark  
24 chocolate products on the market which contain significantly lower levels of cadmium and lead  
25 than Defendant's Products, meaning that there are safer alternatives to Defendant's Products.

26 48. The Products' labels are materially deceptive, false and misleading given  
27 Defendant's omission about the presence (or risk) of Heavy Metals as described above.  
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**FED. R. CIV. P. 9(b) ALLEGATIONS**

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2 49. Rule 9(b) of the Federal Rules of Civil Procedure provides that “[i]n alleging fraud  
3 or mistake, a party must state with particularity the circumstances constituting fraud or mistake.”  
4 To the extent necessary, as detailed in the paragraphs above and below, Plaintiff has satisfied the  
5 requirements of Rule 9(b) by establishing the following elements with sufficient particularity.

6 50. **WHO**: Defendant made material omissions of fact in its packaging of the Products  
7 by omitting the presence (or risk) of significant amounts of unsafe Heavy Metals.

8 51. **WHAT**: Defendant’s conduct was and continues to be fraudulent and deceptive  
9 because it has the effect of deceiving consumers into believing that the Products do not contain (or  
10 risk containing) significant amounts of Heavy Metals. Defendant omitted from Plaintiff and Class  
11 members that the Products contain (or risk containing) Heavy Metals. Defendant knew or should  
12 have known this information is material to all reasonable consumers and impacts consumers’  
13 purchasing decisions. Yet, Defendant has omitted from the Products’ labeling the fact that they  
14 contain (or risk containing) Heavy Metals.

15 52. **WHEN**: Defendant omitted from the Products’ labeling the fact that the Products  
16 contain (or risk containing) significant amounts of unsafe Heavy Metals, continuously throughout  
17 the applicable relevant periods, including at the point of sale.

18 53. **WHERE**: Defendant’s omissions were made on the front labeling and packaging of  
19 the Products and were thus viewed by every purchaser, including Plaintiff, at the point of sale in  
20 every transaction. The Products are sold in brick-and-mortar stores and online stores nationwide.

21 54. **HOW**: Defendant omitted from the Products’ labeling the fact that they contain (or  
22 risk containing) Heavy Metals. And as discussed in detail throughout this Complaint, Plaintiff and  
23 Class members read and relied on Defendant’s front-label omissions before purchasing the  
24 Products.

25 55. **WHY**: Defendant omitted from the Products’ labeling the fact that they contain (or  
26 risk containing) Heavy Metals for the express purpose of inducing Plaintiff and Class members to  
27 purchase the Products at a substantial price premium or more than they would have paid had they  
28

1 known the truth about the Products. As such, Defendant profited by selling the Products to at least  
2 thousands of consumers throughout the nation, including Plaintiff and the Class members.

3 **CLASS ACTION ALLEGATIONS**

4 56. Plaintiff brings this action individually and on behalf of all other persons similarly  
5 situated pursuant to Federal Rule of Civil Procedure 23. The class definition(s) may depend on the  
6 information obtained throughout discovery. Notwithstanding, at this time, Plaintiff brings this  
7 action and seek certification of the following proposed classes (collectively, the Classes):

8 **Class:** All persons within the United States who purchased the  
9 Products from the beginning of any applicable limitations period  
through the date of judgment.

10 57. Plaintiff Harkavy also bring this action on behalf of the following Subclass:

11 **California Subclass:** All persons who purchased the Products in  
12 the State of California from the beginning of any applicable  
13 limitations period through the date of judgment.

14 58. Excluded from the proposed Classes are the Defendant, and any entities in which  
15 the Defendant has controlling interest, the Defendant's agents, employees and its legal  
16 representatives, any Judge to whom this action is assigned and any member of such Judge's staff  
17 and immediate family, and all resellers of the Products.

18 59. Plaintiff reserves the right to amend the definition of the Classes if discovery or  
19 further investigation reveals that the Classes should be expanded or otherwise modified.

20 60. Plaintiff further reserves the right to amend the above class definition as appropriate  
21 after further investigation and discovery, including by seeking to certify a narrower multi-state  
22 class (or classes) in lieu of a nationwide class if appropriate.

23 61. **Numerosity – Federal Rule of Civil Procedure 23(a)(1).** At this time, Plaintiff  
24 does not know the exact number of members of the Classes; however, given the nature of the  
25 claims and the number of retail stores in the United States selling the Products, Plaintiff believes  
26 that the Class members are so numerous that joinder of all members is impracticable. While the  
27 exact number of Class members remains unknown at this time, upon information and belief, there  
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1 are thousands, if not hundreds of thousands, of putative Class members. Moreover, the number of  
2 members of the Classes may be ascertained from Defendant's books and records. Class members  
3 may be notified of the pendency of this action by mail and/or electronic mail or other appropriate  
4 digital means, which can be supplemented if deemed necessary or appropriate by the Court with  
5 published notice.

6           **62. Predominance of Common Questions of Law and Fact – Federal Rule of Civil**  
7 **Procedure 23(a)(2) and 23(b)(3).** There is a well-defined community of interest in the questions  
8 of law and fact involved in this case. Questions of law and fact common to the members of the  
9 Classes that predominate over questions that may affect individual Class members include:

- 10           a. whether the Products contain toxic Heavy Metals;
- 11           b. whether Defendant's conduct is unethical, oppressive, unscrupulous, and/or  
12           substantially injurious to consumers;
- 13           c. whether the amount of toxic Heavy Metals in the Products is material to a  
14           reasonable consumer;
- 15           d. whether Defendant had a duty to disclose that its Products had toxic Heavy Metals;
- 16           e. whether Plaintiff and members of the Classes are entitled to injunctive and other  
17           equitable relief;
- 18           f. whether Defendant failed to disclose material facts concerning the Products;
- 19           g. whether Defendant's conduct was unfair and/or deceptive;
- 20           h. whether Defendant has been unjustly enriched as a result of the unlawful,  
21           fraudulent, and unfair conduct alleged in this Complaint such that it would be  
22           inequitable for Defendant to retain the benefits conferred upon Defendant by  
23           Plaintiff and the Class members;
- 24           i. whether Defendant violated California consumer protection and deceptive practice  
25           statutes and are entitled to restitution and/or damages under such state statutes; and  
26           j. whether Plaintiff and the Class members have sustained damages with respect to the  
27           common-law claims asserted, and if so, the proper measure of their damages.  
28

1           63.     **Typicality – Federal Rule of Civil Procedure 23(a)(3).** Plaintiff’s claims are  
2 typical of those of the Class members because Plaintiff, like other Class members, purchased, in a  
3 typical consumer setting, the Products and Plaintiff sustained damages from Defendant’s wrongful  
4 conduct.

5           64.     **Adequacy – Federal Rule of Civil Procedure 23(a)(4).** Plaintiff will fairly and  
6 adequately protect the interests of the Class members and have retained counsel that is experienced  
7 in litigating complex class actions. Plaintiff has no interests which conflict with those of the  
8 Classes.

9           65.     **Insufficiency of Separate Actions – Federal Rule of Civil Procedure 23(b)(1).**  
10 Absent a class action, Plaintiff and members of the Classes will continue to suffer the harm  
11 described herein, for which they would have no remedy. Even if separate actions could be brought  
12 by individual consumers, the resulting multiplicity of lawsuits would cause undue burden and  
13 expense for both the Court and the litigants, as well as create a risk of inconsistent rulings and  
14 adjudications that might be dispositive of the interests of similarly situated consumers,  
15 substantially impeding their ability to protect their interests, while establishing incompatible  
16 standards of conduct for Defendant.

17           66.     **Declaratory and Injunctive Relief – Federal Rule of Civil Procedure 23(b)(2).**  
18 Defendant has acted or refused to act on grounds generally applicable to Plaintiff and the other  
19 members of the Classes, thereby making appropriate final injunctive relief and declaratory relief, as  
20 described below, with respect to the members of the Classes as a whole. In particular, Plaintiff  
21 seeks to certify the Classes to enjoin Defendant from selling or otherwise distributing the Products  
22 until such time that Defendant can demonstrate to the Court’s satisfaction that the Products are  
23 accurately labeled. The prerequisites to maintaining a class action for equitable relief are met as  
24 Defendant has acted or refused to act on grounds generally applicable to the Classes, thereby  
25 making appropriate equitable relief with respect to the Classes as a whole.  
26  
27  
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1           67.     **Superiority – Federal Rule of Civil Procedure 23(b)(3).** A class action is superior  
2 to any other available methods for the fair and efficient adjudication of the present controversy for  
3 at least the following reasons:

- 4           a. The damages suffered by each individual members of the putative Classes do not  
5 justify the burden and expense of individual prosecution of the complex and  
6 extensive litigation necessitated by Defendant’s conduct;
- 7           b. Even if individual members of the Classes had the resources to pursue individual  
8 litigation, it would be unduly burdensome to the courts in which the individual  
9 litigation would proceed;
- 10          c. The claims presented in this case predominate over any questions of law or fact  
11 affecting individual members of the Classes;
- 12          d. Individual joinder of all members of the Classes is impracticable;
- 13          e. Absent a Class, Plaintiff and members of the putative Classes will continue to suffer  
14 harm as a result of Defendant’s unlawful conduct; and
- 15          f. This action presents no difficulty that would impede its management by the Court as  
16 a class action, which is the best available means by which Plaintiff and members of  
17 the putative Classes can seek redress for the harm caused by Defendant.
- 18          g. In the alternative, the Classes may be certified for the following reasons:
- 19            i. The prosecution of separate actions by individual members of the Classes  
20 would create a risk of inconsistent or varying adjudication with respect to  
21 individual members of the Classes, which would establish incompatible  
22 standards of conduct for Defendant;
- 23            ii. Adjudications of claims of the individual members of the Classes against  
24 Defendant would, as a practical matter, be dispositive of the interests of  
25 other members of the putative Classes who are not parties to the  
26 adjudication and may substantially impair or impede the ability of other  
27 putative Class members to protect their interests; and  
28







1 conduct includes but is not limited to its failure to disclose that the Products contain (or risk  
2 containing) toxic Heavy Metals.

3 84. Defendant has violated the UCL's proscription against engaging in **Fraudulent**  
4 **Business Practices**. After reviewing the packaging for the Products, Plaintiff purchased the  
5 Products in reliance on Defendant's omissions. Plaintiff would not have purchased the Products at  
6 all or would have paid less for them if they had known of Defendant's omissions regarding that the  
7 Products contain (or risk containing) toxic Heavy Metals. Plaintiff and the California Subclass  
8 members have all paid money for the Products. However, Plaintiff and the California Subclass  
9 members did not obtain the full value or any value of the advertised products due to Defendant's  
10 omissions regarding the toxic Heavy Metals. Accordingly, Plaintiff and the California Subclass  
11 members have suffered injury in fact and lost money or property as a direct result of Defendant's  
12 omissions.

13 85. Defendant has also violated the UCL's proscription against engaging in **Unlawful**  
14 **Business Practices** by, *inter alia*, making omissions of material facts, as set forth more fully herein,  
15 and violating Cal. Civ. Code § 1750 *et seq.* Plaintiff purchased the Products in reliance on  
16 Defendant's omissions as to the toxic Heavy Metals contained therein (or the risk of same).  
17 Plaintiff would not have purchased the Products at all or would have paid less for them had they  
18 known of Defendant's omissions. Plaintiff and the California Subclass members paid money for the  
19 Products. However, Plaintiff and the California Subclass members did not obtain the full value, or  
20 any value, of the advertised products due to Defendant's omissions regarding the Products.  
21 Accordingly, Plaintiff and the California Subclass members have suffered injury in fact and lost  
22 money or property as a direct result of Defendant's omissions.

23 86. Defendant has further violated the UCL's proscription against engaging in **Unfair**  
24 **Business Practices**. Under Business & Professions Code §17200, any business act or practice that  
25 is unethical, oppressive, unscrupulous, and/or substantially injurious to consumers, or that violates  
26 a legislatively declared policy, constitutes an unfair business act or practice.

1           87. Defendant has engaged, and continues to engage, in conduct which is immoral,  
2 unethical, oppressive, unscrupulous, and/or substantially injurious to consumers. This conduct  
3 includes its failure to disclose that the Products contain (or risk containing) toxic Heavy Metals.

4           88. Defendant's conduct is substantially injurious to consumers. Such conduct has  
5 caused, and continues to cause, substantial injury to consumers because consumers would not have  
6 purchased the Products at all or would have paid less for them but for Defendant's omissions  
7 regarding the presence (or risk) of toxic Heavy Metals in the Products. Such injury is not  
8 outweighed by any countervailing benefits to consumers or competition. Indeed, no benefit to  
9 consumers or competition results from Defendant's conduct. Since consumers reasonably rely on  
10 Defendant's labels, and thus also its omissions, consumers could not have reasonably avoided such  
11 injury. *Davis v. Ford Motor Credit Co.*, 179 Cal. App. 4th 581, 597-98 (2009); *see also Drum v.*  
12 *San Fernando Valley Bar Ass'n*, 182 Cal. App. 4th 247, 257 (2010) (outlining the third test based  
13 on the definition of "unfair" in Section 5 of the FTC Act).

14           89. There were reasonably available alternatives to further Defendant's legitimate  
15 business interests, other than the conduct described herein.

16           90. Pursuant to California Business and Professional Code § 17203, Plaintiff and the  
17 California Subclass members seek an order of this Court that includes, but is not limited to, an order  
18 requiring Defendant to (a) provide restitution to Plaintiff and the other California Subclass  
19 members; (b) disgorge all revenues obtained as a result of violations of the UCL; and (c) pay  
20 Plaintiff and the California Subclass members' attorneys' fees and costs.

21           91. Here, equitable relief is appropriate because Plaintiff may lack an adequate remedy  
22 at law if, for instance, damages resulting from their purchase of the Product is determined to be an  
23 amount less than the premium price of the Product. Without compensation for the full premium  
24 price of the Product, Plaintiff would be left without the parity in purchasing power to which they are  
25 entitled.

26           92. Injunctive relief is also appropriate, and indeed necessary, to require Defendant to  
27 provide full and accurate disclosures regarding the Product so that Plaintiff and California Subclass  
28

1 members can reasonably rely on Defendant's packaging as well as those of Defendant's competitors  
2 who may then have an incentive to follow Defendant's deceptive practices, further misleading  
3 consumers.

4 93. Restitution and/or injunctive relief may also be more certain, prompt, and efficient  
5 than other legal remedies requested herein. The return of the full premium price, and an injunction  
6 requiring either (1) adequate disclosures of the existence of Heavy Metals in the Products; or (2) the  
7 removal of such Heavy Metals from the Products, will ensure that Plaintiff is in the same place they  
8 would have been in had Defendant's wrongful conduct not occurred, i.e., in the position to make an  
9 informed decision about the purchase of the Products absent omissions with the full purchase price  
10 at their disposal.

11 **PRAYER FOR RELIEF**

12 WHEREFORE, Plaintiff respectfully requests that the Court grant Plaintiff and all members  
13 of the proposed Classes the following relief against Defendant:

- 14 a. That the Court certify the Classes under Rule 23 of the Federal Rules of Civil  
15 Procedure and appoint Plaintiff as Class Representatives and their attorneys as Class  
16 Counsel to represent the members of the Classes;
- 17 b. That the Court declare that Defendant's conduct violates the statutes referenced  
18 herein;
- 19 c. That the Court preliminarily and permanently enjoin Defendant from conducting  
20 business through the unlawful, unfair, or fraudulent business acts or practices,  
21 untrue, and misleading labeling and marketing and other violations of law described  
22 in this Complaint;
- 23 d. That the Court order preliminary and injunctive relief requiring Defendant to  
24 disclose that the Products contain toxic Heavy Metals;
- 25 e. That the Court order Defendant to implement whatever measures are necessary to  
26 remedy the unlawful, unfair, or fraudulent business acts or practices, untrue and  
27 misleading advertising, and other violations of law described in this Complaint;  
28

- 1 f. That the Court order Defendant to notify each and every individual who purchased  
2 the Products of the pendency of the claims in this action to give such individuals an  
3 opportunity to obtain restitution from Defendant;
- 4 g. For an award of compensatory damages, the amount of which is to be determined at  
5 trial;
- 6 h. For punitive damages;
- 7 i. That the Court grant Plaintiff's reasonable attorneys' fees and costs of suit pursuant  
8 to California Code of Civil Procedure §1021.5, California Civil Code §1780(d), the  
9 common fund doctrine, and/or any other appropriate legal theory; and
- 10 j. That the Court grant such other and further relief as may be just and proper.

11 **DEMAND FOR JURY TRIAL**

12 Pursuant to Federal Rule of Civil Procedure 38(b), Plaintiff demands a trial by jury of any  
13 and all issues in this action so triable of right.

14  
15 Dated: January 10, 2023

**BURSOR & FISHER, P.A.**

16 By:  /s/ L. Timothy Fisher

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*Attorneys for Plaintiff*

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CIVIL COVER SHEET

The JS-CAND 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved in its original form by the Judicial Conference of the United States in September 1974, is required for the Clerk of Court to initiate the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS

KAMILA HARKAVY, individually and on behalf of all others similarly situated,

(b) County of Residence of First Listed Plaintiff Marin (EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorneys (Firm Name, Address, and Telephone Number)

L. Timothy Fisher, Bursor & Fisher, P.A., 1990 N. California Blvd., Suite 940, Walnut Creek CA 94596, Tel. (925) 300-4455

DEFENDANTS

LINDT & SPRÜNGLI (NORTH AMERICA), INC.

County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

Attorneys (If Known)

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)

- 1 U.S. Government Plaintiff 3 Federal Question (U.S. Government Not a Party) 2 U.S. Government Defendant 4 Diversity (Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)

Table with columns for Plaintiff (PTF) and Defendant (DEF) citizenship and incorporation status.

IV. NATURE OF SUIT (Place an "X" in One Box Only)

Large table with categories: CONTRACT, REAL PROPERTY, TORTS, CIVIL RIGHTS, PRISONER PETITIONS, HABEAS CORPUS, OTHER, FORFEITURE/PENALTY, LABOR, IMMIGRATION, BANKRUPTCY, SOCIAL SECURITY, FEDERAL TAX SUITS, OTHER STATUTES.

V. ORIGIN (Place an "X" in One Box Only)

- 1 Original Proceeding 2 Removed from State Court 3 Remanded from Appellate Court 4 Reinstated or Reopened 5 Transferred from Another District (specify) 6 Multidistrict Litigation-Transfer 8 Multidistrict Litigation-Direct File

VI. CAUSE OF ACTION

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): 28 U.S.C. § 1332(d)

Brief description of cause: Defendant sells chocolate products that are unsafe to eat.

VII. REQUESTED IN COMPLAINT:

CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, Fed. R. Civ. P. DEMAND \$ 5,000,000.00

CHECK YES only if demanded in complaint: JURY DEMAND: X Yes No

VIII. RELATED CASE(S), IF ANY (See instructions):

JUDGE DOCKET NUMBER

IX. DIVISIONAL ASSIGNMENT (Civil Local Rule 3-2)

(Place an "X" in One Box Only) X SAN FRANCISCO/OAKLAND SAN JOSE EUREKA-MCKINLEYVILLE

DATE 01/10/2023

SIGNATURE OF ATTORNEY OF RECORD

/s/ L. Timothy Fisher