

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK
CENTRAL ISLIP**

Agnita Cheah, individually and on behalf of all
others similarly situated,

Plaintiff,

- against -

Pepperidge Farm, Incorporated,

Defendant

2:22-cv-03633

Class Action Complaint

Jury Trial Demanded

Plaintiff alleges upon information and belief, except for allegations pertaining to Plaintiff, which are based on personal knowledge:

1. Pepperidge Farm, Incorporated (“Defendant”) manufactures, markets, labels and sells dark colored, mottled crackers identified as “Harvest Wheat” under the Pepperidge Farm brand (“Product”).



2. The crackers contain specks of what appear to be grains and are displayed on a stone slap with freshly picked produce and cheese.

3. The representation as “Harvest Wheat” causes consumers to expect it contains a predominant amount of whole grains compared to refined grains.

I. CONSUMERS VALUE WHOLE GRAINS

4. Consumers increasingly prefer whole grains to non-whole, or refined, grains.

5. Whole grains are nutritionally superior to non-whole grains because they include the entire grain seed, consisting of the endosperm, bran, and germ.

6. The bran and germ contain important nutrients like fiber, vitamins, minerals, and antioxidants, such as iron, zinc, folate, magnesium, thiamin, niacin, selenium, riboflavin, manganese, copper, vitamin A, and vitamin B6.

7. In contrast, “non-whole grains” or “refined grains” have been processed to remove the bran and germ, thereby removing the fiber and most other nutrients.

8. Most refined grains are enriched, a process that adds back some of the previously removed iron and B vitamins, such as thiamin, riboflavin, niacin, and folic acid.

9. Other nutrients, including fiber, vitamin E, vitamin B6, vitamin K, magnesium, manganese, potassium, phosphorus, copper, calcium, and selenium, are not added back.

10. Where flour is made of refined grains, which only contains the endosperm and mainly starch, it is white in color (“white flour”).

II. CONSUMERS EXPECT FIBER FROM PRODUCTS REPRESENTED AS WHOLE GRAIN

11. The 2015-2020 Dietary Guidelines for Americans recommend that at least half of all grains eaten be whole grains.

12. The Dietary Guidelines recommend consuming 48g of whole grains and 28g of fiber per day.

13. The Dietary Guidelines promote whole grains as an important source of fiber.

14. 87% of consumers try to consume more whole grains and 92% try to get more fiber.
15. Research proves that consumers seek whole grains because they want more fiber.
16. In surveys, more than 60% of consumers stated they want to consume more whole grains to improve their digestive health, which is reflective of a desire to increase fiber intake.
17. Almost 75% of consumers who are presented representations which contain express and implied representations that a product is made with, or contains whole grains, will expect that food to be at least a good source of fiber – 10% of the daily value.
18. Almost 70% of consumers agree with the statement that whole grains are one of the best sources of fiber.
19. 62% of consumers agree that foods made from whole grains are one of the best sources of fiber.
20. 46% of consumers rely on foods with whole grains for their daily fiber needs.
21. Based on the proven connection with fiber, consumers expect foods represented – directly or indirectly – as whole grain, do more than tell consumers a product contains a type of grain ingredient.

III. CONSUMER CONFUSION ABOUT WHOLE GRAINS

22. Despite consumers' desire to consume more whole grains, a recent study in the journal, Public Health Nutrition, concluded that labeling practices stymie these efforts.
23. The study found that the most significant information considered by consumers in comparing foods with different amounts of whole grain was not the ingredient list or nutrition facts, but the front label.
24. When products used terms like “multigrain” or “wheat” on the front label, between thirty to fifty percent of participants believed these foods had more whole grains than products

without such names.

25. According to a food economist and professor at Tufts University, the words used on wheat products can cause consumers to be misled as to the relative amount of whole grains compared to refined grains.

26. For instance, products labeled “multigrain” and “Twelve Grain” by definition contain more than one type of grain.

27. However, consumers expect that besides regular refined grains, the primary grains in those products are whole grains.

28. Instead, they are mostly refined grains with a *de minimis* amount of whole grains.

29. Other potentially misleading terms include “stoned wheat” or “stoned ground grain.”

30. These terms have no formal definition about how much whole grain they contain.

31. However, the word “stoned” implies a primitive form of processing, i.e., with stones.

32. This is in contrast to the advanced technology and machinery used to create refined grains, or white flour.

33. The result is that consumers expect grain products described and promoted with the word “stone[d]” to contain mostly whole grains, because they are presumed to be less processed than refined grains.

34. Another term which contributed to consumer misunderstanding about whole grains is “honey wheat.”

35. The Public Health Nutrition study found that 43% of respondents believed at least half to all of the grains in a “honey wheat” product was whole grains.¹

¹ Parke Wilde, et al. “Consumer confusion about wholegrain content and healthfulness in product labels: a discrete choice experiment and comprehension assessment.” *Public Health Nutrition* 23.18 (2020): 3324-3331.

36. However, the amount of whole grains was negligible.

37. Consumers believed “honey wheat” was a type of wheat, and the term “honey” referred to its amber color, darker than regular wheat.

38. Where grains and wheat are described with the term “harvest,” i.e., “harvest grain” and “harvest wheat,” consumers expect a product which is mostly whole grains.

39. This is because the word “harvest” is defined and understood as “the process or period of gathering in crops.”

40. By emphasizing the “harvest” in “harvest grain” and “harvest wheat,” consumers expect that the wheat and grains they are consuming is closer in form to its original “harvest” state than after it is fully refined.

41. After all, all grains are initially harvested, but it is their subsequent refining – the removal of the bran and germ – that strips away the nutrients of harvested grains.

42. The public health advocacy group, Center for Science in the Public Interest (“CSPI”), noted that terms such as “harvest grain” was misleading to consumers, who expected it meant a product contained a predominant amount of whole grains.²

43. One food and nutrition professor stated, “Even people with advanced degrees cannot figure out how much whole grain” is in products represented to consumers as whole grain.

44. The FDA and Federal Trade Commission (“FTC”) have cautioned companies against misleading consumers as to the relative amounts of whole grains in foods.

45. Both agencies – based on numerous studies and research – know that when consumers are presented with products that reference or allude to whole grains on the front label, consumers will expect those foods to get at least half of its grain content from whole grain.

² CSPI, Comments to 2006 FDA Draft Guidance on Whole Grain Labeling.

46. Most consumers, they found, will expect any references, direct or indirect, to whole grains, mean a food is 100% or entirely whole grain.

47. The FDA and FTC highlighted deceptive tactics such as the names used to identify grain ingredients, and added dark coloring, among other methods, that companies should steer clear of when marketing whole grain foods to consumers.

IV. PRODUCT NOT WHOLE GRAIN

48. Despite the labeling of the Product as “Harvest Wheat,” with a dark brown color, and visible pieces of grain, the Product contains a negligible absolute and relative amount of whole grains compared to refined grains.

49. This is revealed in part from the fiber content shown on the Nutrition Facts as less than 1g per serving, or 4% of the Daily Value.

Nutrition Facts	
About 18 Servings Per Container	
Serving Size 3 Crackers (16g)	
Amount per serving	
Calories	80
<small>% Daily Value*</small>	
Total Fat 3.5g	4%
Saturated Fat 0g	0%
Trans Fat 0g	
Polyunsaturated Fat 1g	
Monounsaturated Fat 2g	
Cholesterol 0mg	0%
Sodium 125mg	5%
Total Carbohydrate 11g	4%
Dietary Fiber <1g	4%
Total Sugars 2g	
Includes 2g Added Sugars	4%
Protein 1g	
Vitamin D 0mcg 0% • Calcium 10mg 0%	
Iron 0.7mg 4% • Potassium 0mg 0%	
<small>* The % Daily Value (DV) tells you how much a nutrient in a serving of food contributes to a daily diet. 2,000 calories a day is used for general nutrition advice.</small>	

50. This is further confirmed by the ingredient list, which reveals that the most predominant ingredient is “ENRICHED WHEAT FLOUR.”

MADE FROM: ENRICHED WHEAT FLOUR (FLOUR, NIACIN, REDUCED IRON, THIAMINE MONONITRATE, RIBOFLAVIN, FOLIC ACID), VEGETABLE OILS (CANOLA, SUNFLOWER AND/OR SOYBEAN), BROWN SUGAR (SUGAR, INVERT SUGAR, MOLASSES), DEFATTED WHEAT GERM, WHOLE WHEAT FLOUR, SUGAR, CONTAINS 2% OR LESS OF: SALT, HONEY, OAT FIBER, MALTED BARLEY FLOUR, BAKING SODA, SOY LECITHIN, MONOCALCIUM PHOSPHATE, NONFAT MILK.

MADE FROM: ENRICHED WHEAT FLOUR (FLOUR, NIACIN, REDUCED IRON, THIAMINE MONONITRATE, RIBOFLAVIN, FOLIC ACID), VEGETABLE OILS (CANOLA, SUNFLOWER AND/OR SOYBEAN), BROWN SUGAR (SUGAR, INVERT SUGAR, MOLASSES), DEFATTED WHEAT GERM, WHOLE WHEAT FLOUR, SUGAR, CONTAINS 2% OR LESS OF: SALT, HONEY, OAT FIBER, MALTED BARLEY FLOUR, BAKING SODA, SOY LECITHIN, MONOCALCIUM PHOSPHATE, NONFAT MILK.

51. While the Product contains “WHOLE WHEAT FLOUR,” this is listed fifth, just ahead of sugar.

52. There is no way for consumers to know what percent of the Product’s grains are refined relative to whole grains.

V. INGREDIENTS USED TO DARKEN COLOR GIVES APPEARANCE OF MORE WHOLE GRAINS

53. Studies have shown that consumers seeking whole grain look for products darker in color with visible grains.

54. One participant stated, “For me I like to look at the color,” and “I like to be able to see the grains” to find out if a food is mainly whole grain.

55. In part, this is due to the presence of bran in whole grains, which gives it a distinctive brown coloring.

56. This is logical, because refined grains are associated with white flour, which is white and smooth.

57. The Product contains several ingredients which alter its physical appearance so that consumers will expect the “Harvest Wheat” crackers depicted on the label are predominantly whole grain.

58. First, though the primary sweetener is brown sugar, its component ingredients

correctly show this consists of regular sugar with molasses added back, to impart a darker color.

59. Second, the addition of honey causes bread, crackers, and other grain products to “[to] brown[s] easily during baking, adding a natural dark color,” because it consists of “mostly reducing sugars.”³

60. Third, the crackers are mottled with darker spots and specks, because it contains added defatted wheat germ.



61. Industry publications praise this ingredient as recognized to “help[s] manufacturers of wheat-based products cut down on costs” by using less whole grain and give consumers the impression a product contains more whole grain.

62. According to a November 2019 article in Food Business News, the tan to dark color of defatted wheat germ and its “granular particle size gives a wholesome appearance and texture to baked foods such as crackers, bread, tortillas, cookies and muffins.”

63. Consumers viewing these brown specks will believe they are there because the Product is predominantly whole grain and/or contains a non-de minimis amount of whole grain, when this would be false.

³ W.K. Nip et al., eds. *Bakery products: science and technology*, Ch. 7, “Sweeteners,” John Wiley & Sons, 2006.

64. The addition of molasses, honey, and defatted wheat germ results in a darker product which consumers believe has more whole grains relative to refined grains than it does.

65. The Product's color and texture would be significantly lighter and smoother if based solely on the ratio of refined grains to whole grains.

VI. CONCLUSION

66. Defendant makes other representations and omissions with respect to the Product which are false and misleading.

67. Reasonable consumers must and do rely on a company to honestly and lawfully market and describe the components, attributes, and features of a product, relative to itself and other comparable products or alternatives.

68. The value of the Product that Plaintiff purchased was materially less than its value as represented by Defendant.

69. Defendant sold more of the Product and at higher prices than it would have in the absence of this misconduct, resulting in additional profits at the expense of consumers.

70. Had Plaintiff known the truth, she would not have bought the Product or would have paid less for it.

71. As a result of the false and misleading representations, the Product is sold at a premium price, approximately no less than no less than \$4.09 for 10.25 oz, excluding tax and sales, higher than similar products, represented in a non-misleading way, and higher than it would be sold for absent the misleading representations and omissions.

72.

Jurisdiction and Venue

73. Jurisdiction is based on the Class Action Fairness Act of 2005 ("CAFA"). 28 U.S.C.

§ 1332(d)(2).

74. The aggregate amount in controversy exceeds \$5 million, including sales, statutory and punitive damages, injunctive relief, and attorney's fees, exclusive of interest and costs.

75. The Product is sold at thousands of locations in the states covered by the classes Plaintiff seeks to represent.

76. Plaintiff Agnita Cheah is a citizen of New York.

77. Defendant Pepperidge Farm, Incorporated is a Delaware corporation with a principal place of business in Norwalk, Fairfield County, Connecticut.

78. The class of persons Plaintiff seeks to represent includes persons who are citizens of different states from which Defendant is a citizen

79. The members of the class Plaintiff seeks to represent are more than 100, because the Product has been sold with the representations described here for several years, in thousands of locations, in the states covered by Plaintiff's proposed classes.

80. The Product is available to consumers from grocery stores, dollar stores, warehouse club stores, drug stores, convenience stores, big box stores, and online.

81. Venue is in the Central Islip in this District because a substantial part of the events or omissions giving rise to these claims occurred in Suffolk County, including Plaintiff's purchase, consumption, transactions and/or use of the Product and awareness and/or experiences of and with the issues described here.

Parties

82. Plaintiff Agnita Cheah is a citizen of Bay Shore, Suffolk County, New York.

83. Defendant Pepperidge Farm, Incorporated is a Delaware corporation with a principal place of business in Norwalk, Connecticut, Fairfield County.

595STPORT AVENUE, NORWALK, CT, 06851,

84. Defendant Pepperidge Farm, Incorporated is a Connecticut corporation with a principal place of business in Norwalk, Connecticut, Fairfield County and is a citizen of Connecticut.

85. In 1937, Margaret Rudkin started the company known today as Pepperidge Farms to prepare wholesome, nutritious foods that her son, who had asthma and was allergic to most commercially processed foods, could eat.

86. This commitment to nutrient dense yet widely accepted foods continues to be the hallmark of Pepperidge Farm.

87. Pepperidge Farm is believed to have opposed many “advances” in the food industry, such as the development of synthetic preservatives, artificial flavors, and significant amounts of additives and thickeners, like sugars and starches, because it stays as close to its founding principles as possible.

88. This commitment is apparent when it comes to whole and refined grains.

89. Early on, Pepperidge Farm recognized how modern wheat processing stripped away valuable nutrients and fiber and has been at the forefront of advocating for greater consumption of whole grains.

90. Pepperidge Farm’s commitment to whole grains is shown through its logo of the actual grist mill in Sudbury, Massachusetts which for decades supplied only whole wheat flour.

91. The importance placed on whole grains by Pepperidge Farm is in contrast to other big food companies, who rely on low cost refined flours to churn out nutritionally deficient foods.

92. Based on these bedrock values, Pepperidge Farm became one of the largest food manufacturers in the United States.

93. Consumers trust Pepperidge Farm because they know its brand represents a commitment to nutrition and quality foods, like they might prepare for their own families.

94. Defendant spends millions of dollars each year on consumer research to identify attributes of products consumers want and will pay more for.

95. Defendant's internal and external studies confirm that consumers increasingly seek foods which contain a greater absolute and relative amount of whole grains compared to refined grains, and correspondingly sufficient amounts of fiber.

96. Plaintiff purchased the Product at locations including Stop & Shop Supermarket, 421 Commack Rd, Deer Park, NY 11729, between June 2021 and January 2022, among other times.

97. Plaintiff believed and expected the Product contained a greater absolute and relative amount of whole grains compared to refined grains, and more fiber, than it did because that is what the representations and omissions said and implied, on the front label and the absence of any reference or statement elsewhere on the Product.

98. Plaintiff relied on the words, terms coloring, descriptions, layout, placement, packaging, hang tags, and/or images on the Product, on the labeling, statements, omissions, claims, statements, and instructions, made by Defendant or at its directions, in digital, print and/or social media, which accompanied the Product and separately, through in-store, digital, audio, and print marketing.

99. Plaintiff bought the Product at or exceeding the above-referenced price.

100. Plaintiff would not have purchased the Product if she knew the representations and omissions were false and misleading or would have paid less for it.

101. Plaintiff chose between Defendant's Product and products represented similarly, but which did not misrepresent their attributes, requirements, instructions, features, and/or

components.

102. The Product was worth less than what Plaintiff paid and she would not have paid as much absent Defendant's false and misleading statements and omissions.

103. Plaintiff intends to, seeks to, and will purchase the Product again when she can do so with the assurance the Product's representations are consistent with its abilities, attributes, and/or composition.

104. Plaintiff is unable to rely on the labeling and representations not only of this Product, but other similar whole grain products, because she is unsure whether those representations are truthful.

Class Allegations

105. Plaintiff seeks certification under Fed. R. Civ. P. 23 of the following classes:

New York Class: All persons in the State of New York who purchased the Product during the statutes of limitations for each cause of action alleged; and

Consumer Fraud Multi-State Class: All persons in the States of Kansas, New Hampshire, Nebraska, Virginia, South Carolina, Montana, Iowa, Mississippi, and Utah who purchased the Product during the statutes of limitations for each cause of action alleged.

106. Common questions of issues, law, and fact predominate and include whether Defendant's representations were and are misleading and if Plaintiff and class members are entitled to damages.

107. Plaintiff's claims and basis for relief are typical to other members because all were subjected to the same unfair, misleading, and deceptive representations, omissions, and actions.

108. Plaintiff is an adequate representative because her interests do not conflict with other members.

109. No individual inquiry is necessary since the focus is only on Defendant's practices and the class is definable and ascertainable.

110. Individual actions would risk inconsistent results, be repetitive and are impractical to justify, as the claims are modest relative to the scope of the harm.

111. Plaintiff's counsel is competent and experienced in complex class action litigation and intends to protect class members' interests adequately and fairly.

112. Plaintiff seeks class-wide injunctive relief because the practices continue.

New York General Business Law ("GBL") §§ 349 & 350

(Consumer Protection Statute)

113. Plaintiff incorporates by reference all preceding paragraphs.

114. Plaintiff believed the Product contained a greater absolute and relative amount of whole grains compared to refined grains, and more fiber, than it did.

115. Defendant's false, misleading and deceptive representations and omissions are material in that they are likely to influence consumer purchasing decisions.

116. Defendant misrepresented the Product through statements, omissions, ambiguities, half-truths and/or actions.

117. Plaintiff relied on the representations and omissions to believe the Product contained a greater absolute and relative amount of whole grains compared to refined grains, and more fiber, than it did.

118. Plaintiff would not have purchased the Product or paid as much if the true facts had been known, suffering damages.

Violation of State Consumer Fraud Acts

(On Behalf of the Consumer Fraud Multi-State Class)

119. The Consumer Fraud Acts of the States in the Consumer Fraud Multi-State Class are similar to the consumer protection statute invoked by Plaintiff and prohibit the use of unfair or deceptive business practices in the conduct of commerce.

120. Plaintiff and/or the members of the Consumer Fraud Multi-State Class reserve their rights to assert these consumer protection claims under the Consumer Fraud Acts of the States they represent and/or the consumer protection statute invoked by Plaintiff.

121. Defendant intended that members of the Consumer Fraud Multi-State Class would rely upon its deceptive conduct.

122. As a result of Defendant's use of artifice, and unfair or deceptive acts or business practices, the members of the Consumer Fraud Multi-State Class sustained damages.

123. Defendant's conduct showed motive and a reckless disregard of the truth such that an award of punitive damages is appropriate.

Breaches of Express Warranty,
Implied Warranty of Merchantability/Fitness for a Particular Purpose
and Magnuson Moss Warranty Act, 15 U.S.C. §§ 2301, et seq.

124. The Product was manufactured, identified, marketed and sold by Defendant and expressly and impliedly warranted to Plaintiff that it contained a greater absolute and relative amount of whole grains compared to refined grains, and more fiber, than it did.

125. Defendant directly marketed the Product to Plaintiff through its advertisements and marketing, through various forms of media, on the packaging, in print circulars, direct mail, product descriptions distributed to resellers, and targeted digital advertising.

126. Defendant knew the product attributes that potential customers like Plaintiff were

seeking and developed its marketing and labeling to directly meet those needs and desires.

127. Defendant's representations about the Product were conveyed in writing and promised it would be defect-free, and Plaintiff understood this meant that it contained a greater absolute and relative amount of whole grains compared to refined grains, and more fiber, than it did.

128. Defendant's representations affirmed and promised that the Product contained a greater absolute and relative amount of whole grains compared to refined grains, and more fiber, than it did.

129. Defendant described the Product so Plaintiff believed it contained a greater absolute and relative amount of whole grains compared to refined grains, and more fiber, than it did, which became part of the basis of the bargain that it would conform to its affirmations and promises.

130. Defendant had a duty to disclose and/or provide non-deceptive descriptions and marketing of the Product.

131. This duty is based on Defendant's outsized role in the market for this type of Product, a trusted brand known for the highest quality products.

132. Plaintiff recently became aware of Defendant's breach of the Product's warranties.

133. Plaintiff provided or will provide notice to Defendant, its agents, representatives, retailers, and their employees.

134. Plaintiff hereby provides notice to Defendant that it breached the express and implied warranties associated with the Product.

135. Defendant received notice and should have been aware of these issues due to complaints by third-parties, including regulators, competitors, and consumers, to its main offices, and by consumers through online forums.

136. The Product did not conform to its affirmations of fact and promises due to Defendant's actions.

137. The Product was not merchantable because it was not fit to pass in the trade as advertised, not fit for the ordinary purpose for which it was intended and did not conform to the promises or affirmations of fact made on the packaging, container or label, because it was marketed as if it contained a greater absolute and relative amount of whole grains compared to refined grains, and more fiber, than it did.

138. The Product was not merchantable because Defendant had reason to know the particular purpose for which the Product was bought by Plaintiff, because she expected it contained a greater absolute and relative amount of whole grains compared to refined grains, and more fiber, than it did, and she relied on Defendant's skill and judgment to select or furnish such a suitable product.

139. Plaintiff would not have purchased the Product or paid as much if the true facts had been known, suffering damages.

Negligent Misrepresentation

140. Defendant had a duty to truthfully represent the Product, which it breached.

141. This duty was non-delegable, based on Defendant's position, holding itself out as having special knowledge and experience in this area, a trusted brand known for the highest quality products.

142. Defendant's representations and omissions regarding the Product went beyond the specific representations on the packaging, as they incorporated the extra-labeling promises and commitments to quality, transparency and putting customers first, that it has been known for.

143. These promises were outside of the standard representations that other companies

may make in a standard arms-length, retail context.

144. The representations took advantage of consumers' cognitive shortcuts made at the point-of-sale and their trust in Defendant.

145. Plaintiff reasonably and justifiably relied on these negligent misrepresentations and omissions, which served to induce and did induce, their purchase of the Product.

146. Plaintiff would not have purchased the Product or paid as much if the true facts had been known, suffering damages.

Fraud

147. Defendant misrepresented and/or omitted the attributes and qualities of the Product, that it contained a greater absolute and relative amount of whole grains compared to refined grains, and more fiber, than it did.

148. Moreover, the records Defendant is required to maintain, and/or the information inconspicuously disclosed to consumers, provided it with actual and constructive knowledge of the falsity and deception, through statements and omissions.

149. Defendant knew of the issues described here yet did not address them.

150. Defendant's fraudulent intent is evinced by its knowledge that the Product was not consistent with its representations.

Unjust Enrichment

151. Defendant obtained benefits and monies because the Product was not as represented and expected, to the detriment and impoverishment of Plaintiff and class members, who seek restitution and disgorgement of inequitably obtained profits.

Jury Demand and Prayer for Relief

Plaintiff demands a jury trial on all issues.

WHEREFORE, Plaintiff prays for judgment:

1. Declaring this a proper class action, certifying Plaintiff as representative and the undersigned as counsel for the class;
2. Entering preliminary and permanent injunctive relief by directing Defendant to correct the challenged practices to comply with the law;
3. Injunctive relief to remove, correct and/or refrain from the challenged practices and representations, and restitution and disgorgement for members of the class pursuant to the applicable laws;
4. Awarding monetary damages, statutory and/or punitive damages pursuant to any statutory claims and interest pursuant to the common law and other statutory claims;
5. Awarding costs and expenses, including reasonable fees for Plaintiff's attorneys and experts; and
6. Other and further relief as the Court deems just and proper.

Dated: June 20, 2022

Respectfully submitted,

/s/Spencer Sheehan

Sheehan & Associates, P.C.

Spencer Sheehan

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CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (See instructions on next page of this form.)

I. (a) PLAINTIFFS

Agnita Cheah, individually and on behalf of all others similarly situated

(b) County of Residence of First Listed Plaintiff Suffolk
(EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorneys (Firm Name, Address, and Telephone Number)
Sheehan & Associates, P.C., 60 Cuttermill Rd Ste 412 Great Neck NY 11021-3104 (516) 268-7080

DEFENDANTS

Pepperidge Farm, Incorporated

County of Residence of First Listed Defendant _____
(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

Attorneys (If Known)

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)

- 1 U.S. Government Plaintiff
- 2 U.S. Government Defendant
- 3 Federal Question (U.S. Government Not a Party)
- 4 Diversity (Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (For Diversity Cases Only.)

(Check one box, only for plaintiff and one box for defendant.)

	PTF	DEF		PTF	DEF
Citizen of This State	<input checked="" type="checkbox"/> 1	<input type="checkbox"/> 1	Incorporated or Principal Place of Business In This State	<input type="checkbox"/> 4	<input type="checkbox"/> 4
Citizen of Another State	<input type="checkbox"/> 2	<input type="checkbox"/> 2	Incorporated and Principal Place of Business In Another State	<input type="checkbox"/> 5	<input checked="" type="checkbox"/> 5
Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	<input type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6	<input type="checkbox"/> 6

IV. NATURE OF SUIT (Place an "X" in One Box Only)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES	
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excludes Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	PERSONAL INJURY <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury - Medical Malpractice	PERSONAL INJURY <input type="checkbox"/> 365 Personal Injury - Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability PERSONAL PROPERTY <input checked="" type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 690 Other LABOR <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Management Relations <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Employee Retirement Income Security Act IMMIGRATION <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions	<input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 PROPERTY RIGHTS <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark SOCIAL SECURITY <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) FEDERAL TAX SUITS <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS—Third Party 26 USC 7609	<input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 376 Qui Tam (31 USC 3729(a)) <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes

V. ORIGIN (Place an "X" in One Box Only)

- 1 Original Proceeding
- 2 Removed from State Court
- 3 Remanded from Appellate Court
- 4 Reinstated or Reopened
- 5 Transferred from Another District (specify)
- 6 Multidistrict Litigation

VI. CAUSE OF ACTION

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):

28 USC § 1332

Brief description of cause:

False advertising

VII. REQUESTED IN COMPLAINT:

CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P. DEMAND \$ _____

CHECK YES only if demanded in complaint:
JURY DEMAND: Yes No

VIII. RELATED CASE(S) IF ANY

(See instructions):

JUDGE _____

DOCKET NUMBER _____

DATE 6/20/2022 SIGNATURE OF ATTORNEY OF RECORD

/s/ Spencer Sheehan

FOR OFFICE USE ONLY

RECEIPT # _____ AMOUNT _____ APPLYING IFP _____ JUDGE _____ MAG. JUDGE _____

CERTIFICATION OF ARBITRATION ELIGIBILITY

Local Arbitration Rule 83.7 provides that with certain exceptions, actions seeking money damages only in an amount not in excess of \$150,000, exclusive of interest and costs, are eligible for compulsory arbitration. The amount of damages is presumed to be below the threshold amount unless a certification to the contrary is filed.

Case is Eligible for Arbitration

I, Spencer Sheehan, counsel for plaintiff, do hereby certify that the above captioned civil action is ineligible for compulsory arbitration for the following reason(s):

- monetary damages sought are in excess of \$150,000, exclusive of interest and costs,
- the complaint seeks injunctive relief,
- the matter is otherwise ineligible for the following reason

DISCLOSURE STATEMENT - FEDERAL RULES CIVIL PROCEDURE 7.1

Identify any parent corporation and any publicly held corporation that owns 10% or more of its stocks:

RELATED CASE STATEMENT (Section VIII on the Front of this Form)

Please list all cases that are arguably related pursuant to Division of Business Rule 50.3.1 in Section VIII on the front of this form. Rule 50.3.1 (a) provides that "A civil case is "related" to another civil case for purposes of this guideline when, because of the similarity of facts and legal issues or because the cases arise from the same transactions or events, a substantial saving of judicial resources is likely to result from assigning both cases to the same judge and magistrate judge." Rule 50.3.1 (b) provides that "A civil case shall not be deemed "related" to another civil case merely because the civil case: (A) involves identical legal issues, or (B) involves the same parties." Rule 50.3.1 (c) further provides that "Presumptively, and subject to the power of a judge to determine otherwise pursuant to paragraph (d), civil cases shall not be deemed to be "related" unless both cases are still pending before the court."

NY-E DIVISION OF BUSINESS RULE 50.1(d)(2)

- 1.) Is the civil action being filed in the Eastern District removed from a New York State Court located in Nassau or Suffolk County? Yes No
- 2.) If you answered "no" above:
 - a) Did the events or omissions giving rise to the claim or claims, or a substantial part thereof, occur in Nassau or Suffolk County? Yes No
 - b) Did the events or omissions giving rise to the claim or claims, or a substantial part thereof, occur in the Eastern District? Yes No
 - c) If this is a Fair Debt Collection Practice Act case, specify the County in which the offending communication was received:

If your answer to question 2 (b) is "No," does the defendant (or a majority of the defendants, if there is more than one) reside in Nassau or Suffolk County, or, in an interpleader action, does the claimant (or a majority of the claimants, if there is more than one) reside in Nassau or Suffolk County? Yes No

(Note: A corporation shall be considered a resident of the County in which it has the most significant contacts).

BAR ADMISSION

I am currently admitted in the Eastern District of New York and currently a member in good standing of the bar of this court.

Yes No

Are you currently the subject of any disciplinary action (s) in this or any other state or federal court?

Yes (If yes, please explain) No

I certify the accuracy of all information provided above.

Signature: /s/Spencer Sheehan

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the Eastern District of New York

Agnita Cheah, individually and on behalf of all others similarly situated,

Plaintiff(s)

v.

Pepperidge Farm, Incorporated,

Defendant(s)

Civil Action No. 2:22-cv-03633

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address) Pepperidge Farm, Incorporated
c/o C T Corporation System
67 Burnside Ave
East Hartford, CT 06108

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Sheehan & Associates, P.C., 60 Cuttermill Rd Ste 412 Great Neck NY 11021-3104 (516) 268-7080

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date:

Signature of Clerk or Deputy Clerk

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 2:22-cv-03633

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

This summons for *(name of individual and title, if any)* _____
was received by me on *(date)* _____ .

I personally served the summons on the individual at *(place)* _____
_____ on *(date)* _____ ; or

I left the summons at the individual's residence or usual place of abode with *(name)* _____
_____, a person of suitable age and discretion who resides there,
on *(date)* _____, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* _____, who is
designated by law to accept service of process on behalf of *(name of organization)* _____
_____ on *(date)* _____ ; or

I returned the summons unexecuted because _____ ; or

Other *(specify)*:

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ _____ .

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc: