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**UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON**

JOAN SCOTT, DEMETRIA CLEMTSON,
JOHN DANNELLY, and LESLIE TAYLOR,
Individually and on behalf of all others
similarly situated,

Plaintiffs,

v.

AMAZON.COM, INC.

Defendant.

Case No.:

COMPLAINT—CLASS ACTION

(Jury Trial Demanded)

Plaintiffs Joan Scott, Demetria Clemtson, John Dannelly, and Leslie Taylor (“Plaintiffs”) bring this Complaint, individually and on behalf of all others similarly situated, against Defendant Amazon.com, Inc., (“Amazon” or “Defendant”), and allege upon personal knowledge as to their own actions, and upon information and belief as to counsel’s investigations and as to all other matters, as follows:

NATURE OF ACTION

1. Defendant Amazon is a multinational technology company providing multiple technology products and services, including its eponymous e-commerce marketplace, video-on-demand services and, pertaining to this action, devices and services that make use of its Alexa virtual assistant (“Alexa Devices”). Sales of Amazon’s Alexa Devices have exploded in the past five years. In four years—from Alexa’s release in 2015 to 2019—Amazon sold over 100 million Alexa Devices. From January 2019 to January 2020, Amazon doubled the sales of Alexa Devices, meaning Amazon sold

1 another 100 million Alexa Devices in just a year.

2 2. Amazon represents that its Alexa Devices work by listening for a specific “wake word”
3 such as “Alexa” that, once spoken, triggers the Alexa device or service to listen to users and respond to
4 user commands. What it does not represent, however, is that when Alexa hears any word or phrase it
5 identifies (correctly or not) as a “wake word,” Amazon initiates a process to record the surrounding
6 audio and create and permanently store the recording. The recordings may capture the user’s voice, and
7 the voice of any individual’s voice near the Alexa device, as well as commands, other sounds, and
8 identifying information like usage data, location data, and personal information.

9 3. Amazon, thus, has millions of recorded interactions between users and its Alexa Devices.
10 Worse, not all those recordings contain conversations that consumers intend for an Alexa Device to
11 hear. In fact, because Alexa Devices are trained to start recording when the device *believes* it heard a
12 “wake word,” user conversations may be recorded when the Alexa Device misinterprets the user’s
13 speech and incorrectly identifies a “wake word” that was not said. Thus, Alexa Devices may be
14 recording private conversations that users never intended Alexa, or anyone else, to hear.

15 4. Amazon’s recordings often contain highly sensitive information. For instance, even when
16 a user intends to interact with an Alexa Device, the interactions between Alexa Devices and their owners
17 range from the mundane, such as “Alexa, what is the weather like today?” to the deeply personal, such
18 as “Alexa, what is the number for the suicide hotline?” Amazon captures, records, and permanently
19 stores those conversations for Amazon personnel to listen to and analyze later. Additionally, when an
20 Alexa Device incorrectly identifies a “wake word,” it begins recording private conversations that users
21 did not intend for the Alexa Device to hear. Indeed, one news article identified thousands of words that
22 incorrectly trigger smart devices like Alexa to listen and record (including words bearing little
23 familiarity to any “wake word”) like “unacceptable,” “election,” and “a letter.”¹ Each time an Alexa
24 Devices mistakes those normal words with a “wake word,” it records the contents of the ongoing, private
25 conversation.

26 _____
27 ¹ Dan Goodin, *Uncovered: 1000 phrases that incorrectly trigger Alexa, Siri, and Google Assistant*,
ARS Technica (Jul. 1, 2020), <https://arstechnica.com/information-technology/2020/07/uncovered-1000-phrases-that-incorrectly-trigger-alex-siri-and-google-assistant/>

1 when she, or other persons in her home, had not issued any commands. Scott works in the healthcare
2 industry and, as part of her job, communicates and works with HIPAA-protected information that Alexa
3 may have captured without Scott's intent. Scott purchased a newer model approximately two years ago
4 with a mute function, which she used to prevent Amazon from recording, storing, and listening to her
5 private conversations.

6 10. **Plaintiff** Demetria Clemtson resides in Georgia and purchased an Echo Dot around
7 September 2017. She and her family, including her three kids, used the Echo Dot to, among other things,
8 issue commands and ask questions. Clemtson works in customer service for a healthcare company and,
9 as part of her job, communicates and works with HIPAA-protected information that Alexa may have
10 captured without Clemtson's intent. Clemtson stopped using the device in 2021 when she learned that
11 Amazon may be recording, storing, and listening to her conversations without her intent.

12 11. **Plaintiff** John Dannelly resides in Colorado and purchased an Alexa Device in 2017 and
13 owns an Amazon TV and Kindle Fire, devices that contain and work with Alexa. Dannelly used his
14 Alexa Devices to, among other things, issue commands and ask questions. Dannelly works in the
15 psychiatric field and communicates and works with HIPPA-protected information that Alexa may have
16 captured without Dannelly's intent. Dannelly stopped using his Alexa devices when he learned that
17 Amazon may be recording, storing, and listening to his conversations without his intent.

18 12. **Plaintiff** Leslie Taylor resides in New Jersey and purchased an Echo and Echo Dot
19 around the end of 2016 or early 2017. Taylor used his Alexa Devices to, among other things, issue
20 commands and ask questions. Leslie is a substance abuse counselor and has private conversations with
21 his patients that Amazon may have recorded and stores without his knowledge or intent. Taylor stopped
22 using his Alexa devices when he learned that Amazon may be recording, storing, and listening to his
23 conversations without his intent.

24 13. **Defendant** Amazon.com, Inc. is a Delaware corporation with its headquarters and
25 principal place of business at 410 Terry Avenue North, Seattle, Washington. Amazon promotes and sells
26 Alexa Devices and wholly owns, operates, and manages Alexa and all its related software and hardware.
27

1 **JURISDICTION AND VENUE**

2 14. This Court has subject matter jurisdiction over this action pursuant to the Class Action
3 Fairness Act (“CAFA”), 28 U.S.C. § 1332(d)(2) because at least one Class member is of diverse
4 citizenship from Amazon, there are more than 100 Class members, and the aggregate amount in
5 controversy exceeds \$5,000,000, exclusive of interest or costs.

6 15. This Court has personal jurisdiction over Amazon because its principal place of
7 businesses and headquarters are in Washington. Additionally, a substantial part of the events and
8 conduct giving rise to Plaintiffs’ claims occurred in this State and Amazon knowingly sold Alexa
9 devices in Washington.

10 16. Venue is proper in this District because Amazon has its principal place of business and
11 headquarters in this District in Seattle, Washington; many of the acts and transactions giving rise to this
12 action occurred in this district; and Amazon conducts substantial business in this district and has
13 intentionally availed itself of the laws and markets of this District.

14 **FACTUAL ALLEGATIONS**

15 17. Amazon is a multinational technology company headquartered in Seattle, Washington.
16 It is primarily known for its e-commerce marketplace, which offers various services including selling
17 and shipping products available on Amazon.com; streaming on-demand videos, television shows, and
18 movies through its Prime Video service; and creating and manufacturing devices such as tablets and
19 microphone-equipped smart speakers equipped with Amazon’s proprietary voice recognition and
20 artificial intelligence program “Alexa” (“Alexa Devices”).

21 18. Amazon released its first smart speaker in 2010, which it called “Echo,” and has since
22 expanded to offering numerous other Echo smart speaker products. In 2014, Amazon launched the
23 “Alexa” virtual assistant program, which is now integrated with Echo devices and other Alexa Devices.
24 The Alexa virtual assistant operates through Amazon’s Alexa Voice Service, which uses artificially
25 intelligent voice recognition and natural language comprehension processes to identify, interpret, and
26 respond to consumers’ speech, allowing consumers to interact with Amazon’s Alexa Devices.

1 **A. Alexa Devices**

2 19. Alexa Devices are available in various models, including “Echo,” “Echo Dot,” “Echo
3 Plus,” “Echo Sub,” “Echo Show,” “Echo Input,” “Echo Flex,” and “Echo Dot Kids” for children. Alexa
4 Devices are microphone-equipped speakers and are marketed as enabling users to interact with the Alexa
5 “virtual assistant” using “wake words,” allowing users to issue commands and ask questions of Alexa.
6 Upon “waking” Alexa, a user may use his or her voice to tell the Alexa Device to perform one or more
7 of many various functions. For example, users may ask Alexa to play music; control house functions
8 through other smart devices, like thermostats, smart outlets, and smart light bulbs; perform internet-
9 based searches by asking Alexa questions; and, obtain information such as the news and weather. In
10 2019, Amazon sold over 100 million Alexa Devices worldwide, with approximately 50 million sales in
11 the United States alone. By 2020, the number of Alexa Devices sold doubled to over 200 million, and
12 sales continue to grow.

13 20. A typical Alexa Device consists of, at a minimum, a speaker, microphones, a computer,
14 and the Alexa program itself. Alexa devices also require internet connectivity to operate because Alexa
15 sends commands to the cloud, purportedly so that Alexa may adequately respond. Since 2014, Amazon
16 has released more sophisticated Alexa Devices, such as the “Echo Show,” which also contain a camera
17 and screen, and has also integrated Alexa into other Amazon products such as Amazon’s Kindle e-book
18 readers, Fire tablets, and the Amazon Fire TV media player.

19 21. Alexa can also be added to non-Amazon hardware products through a standalone Alexa
20 app (for Apple or Android mobile devices) or when making use of an Amazon program or app on a non-
21 Amazon device (such as when using the Kindle app on an iPad or when browsing on Amazon’s retail
22 market on a mobile phone).

23 **B. Alexa Functionality**

24 22. To use Alexa, a consumer must address the Alexa Device using a “wake word” such as
25 “Alexa” or “Echo.” The wake word must precede any specific command or question or Alexa will not
26 respond. For example, a user might say “Alexa, turn on the lights” or “Alexa, play *I Am the Walrus* by
27 the Beatles” or “Alexa, what is the number for the suicide hotline?” Alexa Devices are constantly

1 listening for the “wake word.” When Alexa “hears” the “wake word,” in addition to attempting to
2 perform the requested function, the device automatically begins audio recording. That recording is, in
3 turn, automatically uploaded to Amazon’s Alexa Cloud—a cloud-based data storage and manipulation
4 service. The only way to stop an Alexa Device from “listening” is to turn off the device, mute it, or
5 unplug it. Since the device is intended to be “hands free” and on “standby” to receive commands and
6 provide information at the convenience of the owner, muting it or shutting it off entirely removes the
7 product’s function and usefulness.

8 23. The Alexa Cloud transcribes a consumer’s voice into text and “translates” that text into
9 a language statement comprehensible by the computer known as an “intent.” The Alexa Cloud then
10 sends this “intent” back to the Alexa Device, telling it to act on the “intent” using a given functionality
11 known as a “skill.” One skill might be playing music, another might be locking or unlocking a smart-
12 lock. In other words, a consumer accesses content or functionality in a “skill” by asking Alexa to invoke
13 one of its “skills” using a speech command. While many “skills” are developed by Amazon (and are
14 available by default), third-party developers are also permitted to develop skills for Alexa, so as to
15 incorporate Alexa voice command functionality into the functionality of a third-party app or smart
16 device.

17 24. While Alexa Devices will listen to—and record—speech and other sound
18 indiscriminately, regardless of the speaker, to set up an Alexa Device and use the companion Alexa app,
19 a consumer must have a registered Amazon Alexa account. The consumer must also set-up the device
20 by pairing the Alexa Device with the Alexa app on a computer or mobile device. Once set up and paired,
21 the Alexa Device can be used by anyone within speaking range.

22 **C. Secret Recordings**

23 25. Unbeknownst to Plaintiffs and the putative class when they purchased their Alexa
24 Devices, every interaction between a user and Alexa—including instances where Alexa falsely identifies
25 a “wake word”—is recorded, and that recording is sent to Amazon where it stored permanently and
26 reviewed freely by Amazon, its employees, and third parties.

27 26. Amazon, however, markets its Alexa Devices as responding to only deliberate

1 interactions (*i.e.* upon the use of a “wake word”), and for years represented that the recordings were
2 “streamed” to the cloud and used only to allow Alexa to respond to the command and to help personalize
3 Alexa’s responses to the user.

4 27. At the time Plaintiffs and members of the putative class bought their devices, Amazon
5 failed to disclose to users that it keeps recordings of consumers’ interactions, intentional or not, for its
6 own use. These recordings are permanently stored on Defendant’s servers and are used by Amazon’s
7 employees to train and improve Amazon’s artificial intelligence projects and for other business purposes
8 not essential for the functioning of Alexa Devices.

9 28. Furthermore, Amazon stores, retains, and analyzes recordings of conversations that users
10 never intended for Alexa to hear. At the time of purchase, Amazon represented to Plaintiffs and putative
11 class members that Alexa Devices activate only upon hearing specific “wake words.” The devices,
12 however, often activate without a legitimate prompt, including—though not limited to—when the
13 devices “mishears” speech as containing the “wake word.” For example, if a consumer were to say, “I’m
14 going to call Alex and ask him...” Alexa might truncate “Alex” and “and” and “hear” the word “Alexa,”
15 causing it to wait for a command and—more importantly—to immediately begin recording.
16 Additionally, the Alexa Device may turn on upon hearing a “wake word” spoken on a television set or
17 on the radio and begin listening and recording. This can lead to the recording and analysis of
18 conversations, speech, and other sounds that are private in nature and not intended for Alexa—or
19 Amazon’s—“ears.” Thus, not only is Amazon unlawfully and deceptively storing recordings of
20 intentional interactions with Alexa, but also speech and other sounds never intended to be “heard” by
21 Alexa at all.

22 29. One article, in fact, found that smart devices like Alexa respond to 1,000 “normal” words
23 that are not supposed to trigger the Alexa Device. For instance, the word “election” turns the Alexa
24 Device on, triggering it to record conversations. Given the frequent media and news coverage of, for
25 instance, the presidential election, and the fact that users may discuss the election or election results in
26 their homes, Alexa Devices would be frequently and mistakenly turning on and recording conversations
27 that users would not expect to even be “heard” by Alexa.

1 30. A study performed by Northeastern University found that smart speakers, including
 2 Alexa Devices, frequently wake up and start recording without the presence of a “wake word.”² In that
 3 study, researchers found that smart devices, including Alexa Devices, wake up and start recording even
 4 though no “wake word” was spoken as often as 19 times per day. On average, the devices turned on at
 5 least 1.5 times per day—meaning, on average, every single day Alexa Devices are recording at least one
 6 private conversation.³ A follow-on study found smart speakers, like Alexa, incorrectly activated almost
 7 once *per hour*,⁴ meaning Alexa Devices are frequently recording conversations that were not meant for
 8 it to hear, respond to, record, or listen to.

9 31. Amazon knew, prior to the time Plaintiffs and the putative class members purchased their
 10 Alexa Devices, that the Alexa Devices were being activated by words or sounds other than the wake
 11 word, and thus knew or should have known that individuals’ voices were being recorded even when
 12 those individuals did not intend to be recorded or otherwise consent to being recorded. Amazon,
 13 furthermore, knew but failed to disclose it permanently stored, listened to and used recordings of these
 14 unintentional interactions for purposes unrelated to the operation or response of the Alexa Devices.
 15 Nevertheless, Amazon has taken no remedial action to address the interception of the communications
 16 of Plaintiffs and the putative class, but rather has sought to continue and expand it.

17 32. Once the recording is made and sent to Amazon, its employees may access and identify
 18 the location for the device that made the recordings. It can also review and use other data collected by
 19 Alexa Device, such as the functionalities used by the owner and any information contained in the
 20 recording. Amazon also aggregates this data with data from other sources, such as the user’s Amazon
 21 shopping history, to create fulsome profiles of information that may include deeply personal and private
 22

23 ² Daniel Bennett, *Alexa, how often do you listen in?*, Science Focus (Mar. 11, 2020),
 24 <https://www.sciencefocus.com/future-technology/alexa-how-often-do-you-listen-in/>.

25 ³ *Id.*

26 ⁴ Daniel J. Dubois, et al., *When Speakers Are All Ears*, Monitor Lab (Jul. 21, 2020),
 27 [https://moniotrlab.ccis.neu.edu/smart-speakers-study-pets20/#_utma=72955916.1791290930.1624990319.1624990321.1624990321.1&__utmb=72955916.1.10.1624990321&__utmc=72955916&__utmz=72955916.1624990321.1.1.utmcsr=google|utmccn=\(organic\)|utmcmd=organic|utmctr=\(not%20provided\)&__utmv=-&__utmk=14463537](https://moniotrlab.ccis.neu.edu/smart-speakers-study-pets20/#_utma=72955916.1791290930.1624990319.1624990321.1624990321.1&__utmb=72955916.1.10.1624990321&__utmc=72955916&__utmz=72955916.1624990321.1.1.utmcsr=google|utmccn=(organic)|utmcmd=organic|utmctr=(not%20provided)&__utmv=-&__utmk=14463537).

1 information that Amazon is able to use for its own business purposes.

2 33. Not only does this covert recording, storing, and analyzing of consumer information
3 entail a profound violation of privacy, but given the increasingly all-encompassing scope of Alexa
4 Devices' ability to interface with aspects of a consumer's life (e.g., controlling home security features
5 such as locks and lights, and providing access to personal medical or identity-related information)
6 Amazon's storage of the recordings—unnecessary to the functionality of Alexa Devices—creates a risk
7 from hacking or other unauthorized leveraging of consumer data and processes by third parties (or
8 Amazon personnel).

9 34. Amazon fully admitted as late as 2020 that it previously failed to clearly disclose to
10 consumers that human analysts would be listening to the recordings created by those Alexa Devices for
11 purposes unrelated to Alexa's performance or a personalized response. Dave Limp, senior Vice
12 President of Devices and Services at Amazon, told PBS Frontline during interviews for their
13 documentary *Amazon Empire: The Rise and Reign of Jeff Bezos*:

14 NARRATOR:

15 Once the device is awake and the blue light is on, it's recording. And last year, it
16 was revealed that Amazon employs thousands of people around the world to listen
17 and transcribe some of those recordings to help train the system.

18 JAMES JACOBY [Interviewer]:

19 Do you think that you did a good enough job of disclosing that to consumers? That
20 there are humans involved in listening to these recordings?

21 DAVE LIMP:

22 We try to articulate what we're doing with our products as clearly as we can. But
23 if I could go back in time and I could be more clear and the team could be more
24 clear on how we were using human beings to annotate a small percentage of the
25 data, I would, for sure. What I would say, though, is that once we realize that
26 customers didn't clearly understand this, within a couple of days we added an opt-
27 out feature so that customers could turn off annotation if they so chose. And then
within a month or two later we allowed people to auto-delete data, which they also
asked for within that time frame.

We're not going to always be perfect, but when we make mistakes, I think the key
is that we correct them very quickly on behalf of customers.

NARRATOR:

But even one of the founders of Amazon Web Services approaches his Alexa
devices with caution.

JAMES JACOBY:

When do you turn off your Alexa?

ROBERT FREDERICK, Former senior manager, Amazon Web Services:

I turn off my Alexa when I know for a fact that the conversation that I am going to
have or whenever I just want to have a private moment. I don't want certain
conversations to be heard by humans, conversations that I know for a fact are not
things that should be shared, then I actually turn off those particular listening

1 devices.⁵

2 35. More than 200 million Amazon smart speaker devices have been sold worldwide, leading
3 to the unauthorized capture, recoding, and storing of millions of private conversations on Amazon's
4 servers. Recorded conversations may include discussions about medications, business deals, banking
5 details, phone numbers, and full names. Amazon's Echo Dot Kids, which is a smart speaker specifically
6 designed for children, also records and collects transcripts of voice recordings and other user data
7 produced by children. Additionally, Alexa does not distinguish between conversations entered into only
8 by Amazon users, Amazon Prime Members, and Alexa Device owners. Rather, Alexa Devices may
9 record conversations involving a speaker who does not have any contractual relationship with Amazon.

10 36. Users may opt to set up "voice profiles" for themselves and members of their household.
11 This allows Alexa to identify who is speaking and personalize their experience accordingly. More
12 importantly—for Amazon's purposes—this allows even more sophisticated and thorough individualized
13 data aggregation on Alexa Cloud, helping Amazon to create a robust profile of consumers and their
14 household members that it uses for its own business purposes.

15 37. Amazon does not delete its recordings after they have fulfilled their function—i.e. after
16 they have been converted to an "intent" and used to perform a "skill," as Amazon represents. Rather,
17 Amazon permanently stores recordings on its own servers. These recordings are then trawled by both
18 artificial intelligence programs and human employees for Amazon's own commercial purposes,
19 including for use in training various Amazon artificial intelligence projects. Upon information and
20 belief, this personal information is also shared with third parties and aggregated with data acquired from
21 third parties to develop a frighteningly robust profile of a given Alexa user.

22 38. The only way to stop Amazon from making these recordings is to mute the Alexa
23 Device's microphone or unplug the device, thereby defeating its utility. Further, while Alexa Device
24 users may request that Amazon delete all of the information obtained from a smart speaker device, a
25 user may not stop Amazon from collecting the recordings in the first place. Amazon did not provide
26 users with the ability to delete records until 2019 and, by then, Amazon's analysts may have already

27 ⁵ PBS Frontline, *Amazon Empire: The Rise and Reign of Jeff Bezos*, transcript available at
<https://www.pbs.org/wgbh/frontline/film/amazon-empire/transcript/> (last visited May 14, 2021).

1 listened to the recordings before that ability was enabled.

2 39. Some smart speakers are capable of operating fully even without an internet connection,
3 meaning that user interactions are not recorded or stored.⁶ Amazon’s practice of recording, storing, and
4 listen to interactions, thus, is not necessary for Alexa’s operation. Rather, it is a means for Amazon to
5 compile a massive repository of user data and to use that data for its own business purposes.

6 **D. Plaintiffs Did Not Expect or Know Amazon Permanently Stored Their Interactions**

7 40. Plaintiffs each purchased and used one or more Alexa Devices, including the “Echo,”
8 “Echo Dot,” and the “Echo Show.” and devices that are compatible with Alexa, like the Amazon TV
9 and Amazon Kindle Fire.

10 41. Every time Plaintiffs’ Alexa Devices heard a “wake word”—whether accurately or not—
11 Amazon recorded Plaintiffs’ voices and other sounds and permanently stored those recordings on its
12 servers. It is possible—though known only to Amazon—that Amazon also had Plaintiffs’ recordings
13 analyzed by either human or artificial intelligence analysts and made other uses of those recordings for
14 its own business purposes.

15 42. Additionally, Plaintiffs’ Alexa Devices, on average, woke up between 1.5 to 19 times per
16 day and up to almost once per hour without the presence of any “wake word.” Each Plaintiff, therefore,
17 had at least one, but likely, many, private conversations that their Alexa Device heard, and that Amazon
18 subsequently recorded and used for purposes unrelated to the operation of the Alexa Device. Plaintiffs
19 did not intend for Alexa to hear those private conversations and did not consent to Alexa recording them
20 or to Amazon permanently storing those conversations outside the Alexa cloud and listening to them for
21 purposes unrelated to the operation of the Alexa Devices.

22 43. Plaintiffs were unaware at all relevant times that their Alexa Devices were recording and
23 permanently storing their voices and other sounds, including private conversations the Alexa Devices
24 recorded when they were “awoken” by another individual in the house or after incorrectly identifying a
25 “wake word.” Plaintiffs were unaware that their voice would be recorded and stored even when
26

27 ⁶ Bret Kinsella, *Sensory is Enabling Offline Smart Speakers with No Cloud Connectivity to Maximize Security*, Voicebot.AI (Jan. 18, 2019).

1 speaking at a distance and not intending to speak to Alexa at all. Plaintiffs were likewise unaware at all
2 relevant times that the voices and other sounds of other members of their household and their guests
3 would be captured and stored by Amazon as described.

4 44. Plaintiffs expected his Alexa Device to only “listen” when prompted by the use of the
5 “wake word,” and did not expect that recordings would be intercepted, stored, or evaluated by Amazon.
6 These considerations were material to the Plaintiffs as a reasonable consumers and purchasers of Alexa
7 Devices. Had Plaintiffs known that Amazon permanently stored and listed to recordings made by its
8 Alexa device, Plaintiffs would have either not purchased the Alexa Device or demanded to pay less.

9 **CLASS ALLEGATIONS**

10 45. Plaintiffs bring this action on behalf of themselves and all others similarly situated as a
11 class action pursuant to Fed. R. Civ. P. 23 and other applicable rules of civil procedure. Plaintiffs seek
12 to represent the following putative class (the “Class”):

13 All adult U.S. citizens who owned and used an Alexa Device or downloaded and used
14 the Alexa app during the Class Period.

15 46. The “Class Period” dates back four years (or the length of the longest applicable statute
16 of limitations for any claim asserted) from the date this action was commenced and continues through
17 the present and the date of judgment. Specifically excluded from the Class are: (a) any officers, directors
18 or employees of Defendant; (b) any judge assigned to hear this case (or spouse or immediate family
19 member of any assigned judge); (c) any employee of the Court; (d) any juror selected to hear this case;
20 and (e) any attorneys of record and their employees.

21 47. Plaintiffs reserve the right to amend or modify the class definition(s) with greater
22 specificity, by further division into subclasses, and/or by limitation to particular issues.

23 48. Amazon subjected Plaintiffs and Class members to the same unfair, unlawful, and
24 deceptive practices and harmed them in the same manner. The conduct described above is the Amazon’s
25 standard business practice.

26 49. **Numerosity.** The Class members are so numerous that joinder of each individual class
27 member would be impracticable and unfeasible. The Class likely includes at least tens of millions of

1 individuals who purchased and used Alexa Devices. The individual Class members are ascertainable, as
2 the names and addresses of all class members can be identified in the business records maintained by
3 Amazon. Given that over 200 million Alexa Devices have been sold worldwide, with approximately
4 half of those in the United States, the number of Class members is likely in the tens of millions, and can
5 be determined more precisely through discovery. The Class is, however, so large that Class members
6 cannot be consolidated in one complaint and it would be impractical for each to bring suit individually.
7 Plaintiffs do not anticipate any difficulties in the management of the action as a class action.

8 50. **Commonality and Predominance**. There is a well-defined community of interest
9 among the Class members and common questions of *both* law and fact predominate over questions
10 affecting individual members. These common legal and factual questions include, but are not limited to,
11 the following:

- 12 A. Whether Alexa Devices and the Alexa app recorded the voices and other sounds of
13 people in proximity to them;
- 14 B. Whether Amazon stores such recordings on its servers;
- 15 C. Whether Amazon analyzed or otherwise made use of such recordings;
- 16 D. Whether such analysis included human and/or artificial intelligence analysis;
- 17 E. Whether a reasonable consumer would have purchased an Alexa Devices at the sold price
18 if they were aware that the Alexa Devices were a) recording sounds even when not
19 intentionally addressed; b) permanently storing recorded sounds; c) allowing recorded
20 sounds to be analyzed by human and/or artificial intelligence analysts; d) allowing
21 recorded sounds to be utilized by Amazon for its own business purposes; e) capturing
22 and storing sounds made by other members of the owner's household and guests;
- 23 F. Whether Amazon was required to obtain consent from Alexa Device owners to a) record;
24 b) store; c) analyze; d) make other business uses of, Alexa Device owners' speech and
25 other sounds, as well as those of other members of the Alexa Device owner's household
26 and guests;
- 27 G. Whether Amazon in fact obtained consent from Alexa Device owners to a) record; b)

1 store; c) analyze; d) make other business uses of, Alexa Device owners' speech and other
2 sounds, as well as those of other members of the Alexa Device owner's household and
3 guests.

4 H. Whether Alexa Device owners had a reasonable expectation of privacy and
5 confidentiality in the content of their speech and other sounds;

6 I. Whether Amazon unlawfully collected and disseminated Alexa Device owners' personal
7 information;

8 J. Whether Amazon omitted material facts with regard to the recording, storage, analysis,
9 and use of Alexa Device owners' speech and other sounds;

10 K. Whether Amazon made affirmative misrepresentations as to its conduct in recording
11 storage, analysis, and use of Alexa Device owners' speech and other sounds;

12 L. Whether Amazon was required to warn Alexa Device owners that their speech and other
13 sounds would be recorded, stored, analyzed and used for Amazon's business purposes;

14 M. Whether Amazon did warn Alexa Device owners that their speech and other sounds
15 would be recorded, stored, analyzed and used for Amazon's business purposes;

16 N. Whether Alexa Devices recorded speech and other sounds even when not activated by
17 use of a "wake word";

18 O. Whether Amazon adequately disclosed to Alexa Device owners that their speech and
19 other sounds would be recorded, stored, analyzed, and utilized in the manner described;

20 P. Whether the storage and analysis of Alexa Device recordings was necessary for the
21 functionality of those devices;

22 Q. Whether Alexa Devices permanently stored or analyzed text, email, and other messages
23 they are instructed to send by consumers;

24 R. Whether Amazon's conduct in recording, storing, analyzing, and utilizing Alexa Device
25 owners' speech and other sounds violated the Federal Wiretap Act, 18 U.S.C. §§ 2511,
26 2520;

27 S. Whether Amazon's conduct in recording, storing, analyzing, and utilizing Alexa Device

1 owners' speech—notwithstanding its representations that Alexa begins “listening” only
2 upon “hearing” a “wake word” and its omission of the fact that consumer recordings are
3 stored, analyzed and used for Amazon’s business purposes—violates the Washington
4 Consumer Protection Act, RCW § 19.86.010 et seq.;

5 T. Whether Amazon’s conduct in recording, storing, analyzing, and utilizing Alexa Device
6 owners’ speech and other sounds violated the Washington wiretapping law, RCW §
7 9.73.030;

8 U. Whether Amazon’s conduct was knowing or intentional;

9 V. Whether, as a result of Amazon’s conduct, Plaintiffs and the Class are entitled to
10 damages, including compensatory, statutory, punitive or treble damages and the amount
11 of such damages; and

12 W. Whether, as a result of Defendant’s conduct, Plaintiffs and the Class are entitled to
13 equitable relief, such as declaratory or injunctive relief.

14 51. **Typicality**. Plaintiffs’ claims are typical of those of the Class members in that they arise
15 out of the same course of conduct of Amazon. Plaintiffs and the Class members each sustained, and will
16 continue to sustain, damages arising from Amazon’s common and uniform course of wrongful conduct,
17 as alleged more fully herein. The effort Plaintiffs undertake to pursue their own claims will significantly
18 benefit the Class’ members because of the identical nature of the issues across the Class.

19 52. **Adequacy of Representation**. Plaintiffs will continue to fairly and adequately represent
20 and protect the interests of the members of the Class. Plaintiffs share a common interest with the Class
21 members, with respect to the conduct of the Defendant herein and redress of injury. Plaintiffs have
22 suffered an injury-in-fact as a result of the conduct of the Defendant, as alleged herein. Plaintiffs have
23 retained counsel who are competent and experienced in the prosecution of complex consumer fraud,
24 mass tort, and class actions. Plaintiffs and their counsel intend to prosecute this action vigorously and
25 faithfully for the benefit of the Class members. Plaintiffs and Plaintiffs’ counsel have no interests
26 contrary to the Class members, and will fairly and adequately protect the interests of the Class.
27

1 53. **Community of Interest.** The proposed Class has a well-defined community of interest
2 in the questions of fact and law to be litigated. The common questions of law and fact are predominant
3 with respect to the liability issues, relief issues and anticipated affirmative defenses. The named
4 Plaintiffs have claims typical of the Class members.

5 54. **Superiority.** The certification of the Class in this action is superior to the litigation of a
6 multitude of cases by members of the putative Class. Class adjudication will conserve judicial resources
7 and will avoid the possibility of inconsistent rulings. Moreover, there are members of the Class who are
8 unlikely to join or bring an action due to, among other reasons, their reluctance to spend large sums of
9 time and money to recover what may be a relatively modest individual recovery. Equity dictates that all
10 persons who stand to benefit from the relief sought herein should be subject to the lawsuit and hence
11 subject to an order spreading the costs of the litigation among the class members in relationship to the
12 benefits received. The damages and other potential recovery for each individual member of the Class
13 are modest relative to the substantial burden and expense of individual prosecution of these claims.
14 Given the amount of the individual members of the Class' claims, few, if any, members could or would
15 afford to seek legal redress individually for the wrongs complained of herein. Even if the members of
16 the Class themselves could afford individual litigation, the court system could not. Individualized
17 litigation presents a potential for inconsistent or contradictory judgments. Individualized litigation
18 increases the delay and expense to all parties and the court system presented by the complex legal and
19 factual issues of the case. By contrast, the class action device presents far fewer management difficulties,
20 and provides the benefits of single adjudication, economy of scale, and comprehensive supervision by
21 a single court.

22 55. In the alternative, the above-referenced Class may be certified because:

- 23 a. The prosecution of separate actions by the individual members of the Class would
24 create a risk of inconsistent or varying adjudication with respect to individual Class
25 members' claims which would establish incompatible standards of conduct for
26 Defendant;
- 27 b. The prosecution of separate actions by individual members of the Class would create

1 a risk of adjudications which would as a practical matter be dispositive of the interests
2 of other members of the Class who are not parties to the adjudications, or which
3 would substantially impair or impede the ability of other members to protect their
4 interests; and,

5 c. Defendant has acted or refused to act on grounds generally applicable to the Class,
6 thereby making appropriate final and injunctive relief with respect to the Class.

7 **COUNT ONE**

8 **Violation of the Federal Wiretap Act**

9 **18 U.S.C. §§ 2510 *et seq.***

10 56. Plaintiffs incorporate all preceding and succeeding allegations as if fully set forth herein.

11 57. Plaintiffs bring this claim individually and on behalf of the Class.

12 58. The Federal Wiretap Act, 18 U.S.C. § 2510 *et seq.*, prohibits the interception of any wire,
13 oral, or electronic communications. The statute confers a civil cause of action on “any person whose
14 wire, oral, or electronic communication is intercepted, disclosed, or intentionally used in violation of
15 this chapter.” 18 U.S.C. § 2520(a).

16 59. “Electronic communication” is defined as “any transfer of signs, signals, writing, images,
17 sounds, data, or intelligence of any nature transmitted in whole or in part by a wire, radio,
18 electromagnetic, photoelectronic or photooptical system that affects interstate or foreign commerce...”
19 18 U.S.C. § 2510(12).

20 60. “Intercept” is defined as “the aural or other acquisition of the contents of any wire,
21 electronic, or oral communication through the use of any electronic, mechanical, or other device.” 18
22 U.S.C. § 2510(4).

23 61. “Contents” is defined as “includ[ing] any information concerning the substance, purport,
24 or meaning of that communication.” 18 U.S.C. § 2510(8).

25 62. “Person” is defined as “any employee, or agent of the United States or any State or
26 political subdivision thereof, and any individual, partnership, association, joint stock company, trust, or
27 corporation.” 18 U.S.C. § 2510(6). Plaintiffs and the Class are persons as identified by § 2510(6) of the

1 Act.

2 63. Alexa Devices are devices for the purposes of the Act because they include software and
3 hardware used to intercept electronic communication.

4 64. Amazon, through its design, authorship, programming, knowing and intentional
5 installation, activation, and/or other involvement with Alexa Devices and the Alexa virtual assistant has
6 intentionally intercepted, endeavored to intercept, and/or procured others to intercept or endeavor to
7 intercept, electronic communications and described herein, in violation of 18 U.S.C. § 2511(1)(a).
8 Specifically, Amazon intercepted Plaintiffs' and the Class's communications with Alexa during the real-
9 time interface between the Alexa Device and the Alexa Cloud and other Amazon services and processes,
10 to acquire the content of Plaintiffs and the Class' electronic communications, including their personally
11 identifiable information as described. When Plaintiffs interacted with Alexa, Amazon recorded those
12 communications, permanently stored them separately from the Amazon Cloud, and used those records
13 for purposes separate from the use or functionality of the Alexa Devices. Before they purchased their
14 Alexa Devices, Plaintiffs and the Class had no knowledge of and had never consented to Amazon's
15 recording, storing, and evaluation of their communications with Alexa for purposes unrelated to the
16 Alexa Devices and its responses to Plaintiffs' questions or commands.

17 65. The intercepted communications include, but are not limited to: dates, times, locations
18 and purpose of appointments and parties thereto; media preferences; dates, times and parties to phone
19 calls and other electronic communications; contents of email, text, and other electronic messages;
20 contents of conversations; the contents of online searches; IP addresses; zip codes; product model
21 numbers; hardware and software versions; region and language settings; contents of media consumed
22 or accessed through or in the presence of an Alexa Device; the contents of a user's "phone book" of
23 contacts; conversations in people's homes; people singing; involuntary sounds; bank details; HIPAA-
24 protected information; and full names.

25 66. As described herein, Amazon intercepted Plaintiffs' and the Class's communications
26 during transmission, simultaneous with their occurrence.

27 67. As a result, Plaintiffs and the Class have suffered harm and injury, including due to the

1 interception and transmission of private and personal, confidential, and sensitive communications,
2 sounds, content, and data.

3 68. Plaintiffs and the Class have been damaged by the interception and/or disclosure of their
4 communications in violation of the Wiretap Act, as described herein, and are thus entitled to preliminary,
5 equitable, or declaratory relief; statutory and punitive damages; and reasonable attorneys' fees and
6 litigation costs reasonably incurred. 18 U.S.C. § 2520(b).

7 **COUNT TWO**

8 **Violation of the Washington Consumer Protection Act**

9 **Wash. Rev. Code §§ 19.86 *et seq.***

10 69. Plaintiffs incorporate all preceding and succeeding allegations as if fully set forth herein.

11 70. Plaintiffs bring this claim individually and on behalf of the Class.

12 71. The Washington Consumer Protection Act (CPA) prohibits “[u]nfair methods of
13 competition and unfair or deceptive acts or practices in the conduct of any trade or commerce.” RCW §
14 19.86.020.

15 72. Amazon committed unfair acts and practices in the conduct of trade or commerce, in
16 violation of RCW 19.86.020, by violating Alexa Device owners' rights to privacy, by storing private
17 information about Alexa Device owners, and by misrepresenting its recording practices to Alexa Device
18 owners, because at the time Plaintiffs' and the putative class's purchased their Alexa Devices, Amazon
19 misrepresented and omitted that it permanently stored, analyzed, and utilized recordings for its own
20 business purposes. Upon information and belief, Amazon shared its collected data with third parties for
21 profit or other business purposes, which further violates the CPA.

22 73. Amazon represented to consumers that its Alexa Devices are simple human-computer
23 interfaces that respond to consumer commands to perform computing functions and omitted that it
24 records speech and other sounds of the user and their household, and stores such recordings. Amazon,
25 further, represented that it only “streamed” interactions with Alexa Devices to the Cloud to enable it to
26 respond, failing to disclose that Amazon would record and permanently store those interactions on its
27 servers. Amazon also omitted that it has these recordings analyzed by human and artificial intelligence

1 analysts and makes other uses of this data for its own business purposes. Instead, at the time Plaintiffs
2 and members of the putative class purchased their Alexa Devices, Amazon misrepresented to consumers
3 that the only usage of data is to respond to commands and personalize that user’s experience, omitting
4 disclosure of the fact that user data—including but not limited to audio recordings—are analyzed and
5 utilized for Amazon’s own business purposes not related to a given user’s experience of the Amazon
6 Device. Finally, Amazon misrepresented Alexa Devices as only “listening” when intentionally
7 addressed with a “wake word,” when in fact Alexa Devices can begin “listening” and recording without
8 being intentionally addressed at all.

9 74. Amazon committed its conduct in the context of trade or commerce. Amazon sells its
10 Alexa Devices in interstate commerce in markets across the nation. Additionally, Alexa Device owners
11 can use their Alexa Device to make purchases, including through the Amazon e-commerce marketplace.
12 Additionally, Amazon uses its covert recordings of Alexa Device owners for business purposes affecting
13 interstate commerce, including on information and belief by providing that data to third parties for profit.

14 75. The public interest is harmed by Amazon’s conduct in recording, storing, analyzing, and
15 utilizing the private speech and other sounds of the citizens of this state who have a fundamental privacy
16 interest in this information. Additionally, to the extent that Amazon uses this data for improvements to
17 its products and services or transmits such data to third parties for profit or other benefit, Amazon is
18 deriving an unfair competitive advantage as a result of its covert recording.

19 76. Plaintiffs and the Class have been injured by paying more for Alexa Devices than they
20 would have been willing to pay were it fully disclosed that such devices are recording, storing, analyzing
21 and utilizing their private information. Additionally, Plaintiffs and the Class have been harmed in their
22 privacy interests through this conduct.

23 77. Plaintiffs and the Class expected when they purchased their Alexa Devices, based on
24 Amazon’s representations, that those devices only “listened” when prompted by the use of the “wake
25 word,” and did not expect that recordings would be stored and used for other purposes by Amazon.
26 These considerations are material to Plaintiffs and the Class as reasonable consumers. Had Plaintiffs
27 and the Class known this, they would either have not purchased the Alexa Device or would have

1 demanded to pay less.

2 78. Plaintiffs and the Class are entitled to damages, including treble damages, and reasonable
3 attorney's fees pursuant to RCW § 19.86.090.

4 **COUNT THREE**

5 **Violation of the Washington Wiretapping Law**

6 **Wash. Rev. Code §§ 9.73.030, *et seq.***

7 79. Plaintiffs incorporate all preceding and succeeding allegations as if fully set forth herein.

8 80. Plaintiffs bring this claim individually and on behalf of the Class.

9 81. Washington law prohibits the interception or recording of a private phone call, in-person
10 conversation, or electronic communication, unless all parties to the communication consent. RCW §
11 9.73.030.

12 82. Plaintiffs and the Class used Alexa Devices in their homes and other private locations.

13 83. When Plaintiffs and the Class used the Alexa Devices, Amazon recorded speech and
14 other sounds, as well as details of the device interactions, and stored those recordings on its cloud servers
15 indefinitely.

16 84. Amazon conducted analysis using both human and artificial intelligence analysts of these
17 stored recordings and data and made use thereof for their own business purposes.

18 85. At the time they purchased their Alexa Devices, Plaintiffs and the Class had no reason to
19 expect, and did not expect, that Amazon would create permanent recordings of their speech and other
20 sounds and details of their Alexa interactions.

21 86. Rather Plaintiffs and the Class reasonably expected that their speech and other sounds
22 and details of their Alexa interactions would remain private.

23 87. Additionally, Plaintiffs and the Class did not consent to their speech and other sounds
24 and details of their Alexa interactions being recorded, stored, analyzed, and made use of by Amazon.

25 88. Amazon created, analyzed, and utilized the recordings made of Plaintiffs and the Class
26 and the details of their Alexa interactions intentionally.

27 89. Amazon's creation of persistent recordings of Plaintiffs' and the Class's speech and other

1 sounds and details of their Alexa interactions consisted of interception and use of oral and electronic
2 communications without the consent of all parties to those communications, in violation of RCW §
3 9.73.030.

4 90. Amazon's intentional and unlawful conduct violated Plaintiffs' and the Class' right to
5 privacy in their confidential communications as protected by RCW § 9.73.030.

6 91. Amazon's intentional and unlawful conduct caused Plaintiffs and the Class injury to their
7 dignity, well-being, and security.

8 92. Plaintiffs individually and on behalf of the Class seek (1) an injunction requiring Amazon
9 to obtain consent prior to recording Alexa Device interactions, to delete all such recordings already
10 made, and to implement tools and processes sufficient to prevent such unauthorized recordings in the
11 future; (2) damages equal to \$100 per day up to \$1,000 under RCW § 9.73.060; (3) costs and reasonable
12 attorney's fees under RCW § 9.73.060.

13 **PRAYER FOR RELIEF**

14 WHEREFORE, Plaintiffs, individually and on behalf of all other similarly aggrieved persons,
15 pray for judgment against Defendant as follows:

- 16 1. For an order certifying that the action may be maintained as a class action and appointing
17 Plaintiffs and their undersigned counsel to represent the Class in this litigation;
- 18 2. An order appointing Plaintiffs as class representatives;
- 19 3. An order appointing counsel for Plaintiffs as class counsel;
- 20 4. For an order declaring that the acts and practices of Amazon, as set out above, violate the
21 state and federal privacy laws cited herein;
- 22 5. Awarding damages, including nominal, statutory, treble damages, and punitive damages
23 where applicable, to Plaintiffs and the Class in an amount to be determined at trial;
- 24 6. For a permanent injunction enjoining Defendant from continuing to harm Plaintiffs and
25 members of the Class and the public, and violating Washington law in the manners
26 described above;
- 27 7. An award of reasonable attorneys' fees and costs;

- 1 8. An award of pre- and post-judgment interest, to the extent allowable;
- 2 9. An award of such other further injunctive and declaratory relief as is necessary to protect
- 3 the interests of Plaintiffs and the Class; and
- 4 10. Such other and further relief as the Court may deem just and proper.

DEMAND FOR JURY TRIAL

Plaintiffs hereby demand a jury trial of their claims to the extent authorized by law.

Respectfully submitted,

TOUSLEY BRAIN STEPHENS PLLC

Date: June 30, 2021

By: s/ Jason T. Dennett

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Attorneys for Plaintiffs and the Proposed Class

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS
JOAN SCOTT, et al., Individually and on behalf of all others similarly situated,
(b) County of Residence of First Listed Plaintiff
(c) Attorneys (Firm Name, Address, and Telephone Number)
Tousley Brain Stephens PLLC, 1700 7th Ave., Ste. 2200, Seattle, WA 98101; (206) 682-5600

DEFENDANTS
AMAZON.COM, INC.
County of Residence of First Listed Defendant
NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.
Attorneys (If Known)

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)
1 U.S. Government Plaintiff
2 U.S. Government Defendant
3 Federal Question (U.S. Government Not a Party)
4 Diversity (Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)
PTF DEF
Citizen of This State 1 1
Citizen of Another State 2 2
Citizen or Subject of a Foreign Country 3 3
Incorporated or Principal Place of Business In This State 4 4
Incorporated and Principal Place of Business In Another State 5 5
Foreign Nation 6 6

IV. NATURE OF SUIT (Place an "X" in One Box Only) Click here for: Nature of Suit Code Descriptions.

Table with columns: CONTRACT, REAL PROPERTY, TORTS, CIVIL RIGHTS, PRISONER PETITIONS, FORFEITURE/PENALTY, LABOR, IMMIGRATION, BANKRUPTCY, SOCIAL SECURITY, FEDERAL TAX SUITS, OTHER STATUTES. Includes codes for various legal actions like 110 Insurance, 310 Airplane, 365 Personal Injury, etc.

V. ORIGIN (Place an "X" in One Box Only)
1 Original Proceeding
2 Removed from State Court
3 Remanded from Appellate Court
4 Reinstated or Reopened
5 Transferred from Another District (specify)
6 Multidistrict Litigation - Transfer
8 Multidistrict Litigation - Direct File

VI. CAUSE OF ACTION
Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):
18 U.S.C. 2510
Brief description of cause:
Unlawful wiretapping and consumer protection act violations.

VII. REQUESTED IN COMPLAINT:
[X] CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P. DEMAND \$ CHECK YES only if demanded in complaint:
JURY DEMAND: [X] Yes [] No

VIII. RELATED CASE(S) IF ANY (See instructions):
JUDGE DOCKET NUMBER

DATE 06/30/2021 SIGNATURE OF ATTORNEY OF RECORD /s/ Jason T. Dennett

FOR OFFICE USE ONLY
RECEIPT # AMOUNT APPLYING IFP JUDGE MAG. JUDGE

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- I.(a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence.** For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys.** Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- II. Jurisdiction.** The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.
 United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here. United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.
 Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.
 Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.**)
- III. Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit.** Place an "X" in the appropriate box. If there are multiple nature of suit codes associated with the case, pick the nature of suit code that is most applicable. Click here for: [Nature of Suit Code Descriptions](#).
- V. Origin.** Place an "X" in one of the seven boxes.
 Original Proceedings. (1) Cases which originate in the United States district courts.
 Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441.
 Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.
 Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.
 Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.
 Multidistrict Litigation – Transfer. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407.
 Multidistrict Litigation – Direct File. (8) Check this box when a multidistrict case is filed in the same district as the Master MDL docket.
PLEASE NOTE THAT THERE IS NOT AN ORIGIN CODE 7. Origin Code 7 was used for historical records and is no longer relevant due to changes in statute.
- VI. Cause of Action.** Report the civil statute directly related to the cause of action and give a brief description of the cause. **Do not cite jurisdictional statutes unless diversity.** Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service.
- VII. Requested in Complaint.** Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.
 Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction.
 Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases.** This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.

Civil Action No. _____

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

This summons for *(name of individual and title, if any)* _____
was received by me on *(date)* _____.

I personally served the summons on the individual at *(place)* _____
_____ on *(date)* _____; or

I left the summons at the individual's residence or usual place of abode with *(name)* _____
_____, a person of suitable age and discretion who resides there,
on *(date)* _____, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* _____, who is
designated by law to accept service of process on behalf of *(name of organization)* _____
_____ on *(date)* _____; or

I returned the summons unexecuted because _____; or

Other *(specify)*: _____.

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ _____.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc: