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7	UNITED STATES DISTRI	ICT COURT FOR THE
8	NORTHERN DISTRICT	Γ OF CALIFORNIA
9		
10	ALONDRA MERAZ and SHARYN BUKS-	CASE NO.
11	BAUM, as individuals, on behalf of themselves, the general public and those similarly situated,	CLASS ACTION COMPLAINT FOR
12	Plaintiffs,	VIOLATION OF THE CALIFORNIA CONSUMERS LEGAL REMEDIES
13		ACT; FALSE ADVERTISING; FRAUD, DECEIT, AND/OR MISREPRESEN-
14	V.	TATION; UNFAIR BUSINESS PRAC- TICES; AND UNJUST ENRICHMENT
15	PURELY ELIZABETH, LLC,	
16	Defendant.	JURY TRIAL DEMANDED
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INTRODUCTION

- 1. Plaintiffs Alondra Meraz and Sharyn Bucksbaum, by and through their counsel, bring this class action against Purely Elizabeth LLC to seek redress for Defendant's deceptive practices in labeling and marketing its Purely Elizabeth oats, bread and muffin mixes, oatmeal, pancake and waffle mixes, and granola (the Products").
- 2. Consumers are increasingly health conscious and, as a result, many consumers seek foods high in protein to support weight loss, exercise, and general fitness, among other perceived health benefits of protein consumption.
- 3. To capitalize on this trend, Defendant prominently labels on the front of their Product packages that the Products provide specific amounts of protein per serving depending on the product, such as "7 protein" on the label of its Vanilla Pecan Collagen Protein Oats. Consumers, in turn, reasonably expect that each product will provide the actual amount of protein per serving.
- 4. In truth, however, the Products do not deliver the amount of protein that the labels claim. Based on amino acid content testing, the Products contain up to 25% less protein than claimed, meaning, for example, rather than having 7 grams of protein per serving, the Vanilla Pecan Collagen Protein Oats product actually has only 6 grams.
- 5. Further, Defendant uses proteins of low biological value to humans in their products, such as collagen, amaranth, and nut protein (such as almonds and pecans). Collagen is an incomplete protein as it lacks one of the nine essential amino acids (tryptophan). Accordingly, when the amino acid content is adjusted for protein digestibility (the "Protein Digestibility Corrected Amino Acid" score, or "PDCAAS"), Defendant's products will provide even less protein per serving than amino acid content testing alone suggests. Amaranth and pecans typically have PDCAAS scores of approximately .70. Collagen has a PDCAAS score of 0 since it is incomplete.
- 6. Defendant's products are also misbranded. Parallel state and federal regulations require any product that makes a protein claim to include in the nutrition facts panel the percentage of the daily value of the protein in the product based on its amino acid content and

PDCAAS. The Products prominently make protein content claims but fail to provide the required percent daily value of protein in the nutrition facts panel.

7. Defendant's misrepresentations and misbranding caused Plaintiffs and members of the class to pay a price premium for the Products.

PARTIES

- 8. Alondra Meraz is, and at all times alleged in this Class Action Complaint was, an individual and a resident of San Francisco, California.
- 9. Sharyn Buksbaum is, and at all times alleged in this Class Action Complaint was, an individual and a resident of Carlsbad, California.
- 10. Defendant Purely Elizabeth LLC ("Defendant") is a limited liability company existing under the laws of the State of Delaware, having its principal place of business in Colorado.

JURISDICTION AND VENUE

- 11. This Court has jurisdiction over the subject matter of this action pursuant to 28 U.S.C. § 1332(d)(2). The aggregate amount in controversy exceeds \$5,000,000, exclusive of interest and costs; and Plaintiffs and at Defendant are citizens of different states.
- 12. The injuries, damages and/or harm upon which this action is based, occurred or arose out of activities engaged in by Defendant within, affecting, and emanating from, the State of California. Defendant regularly conducts and/or solicits business in, engages in other persistent courses of conduct in, and/or derives substantial revenue from products provided to persons in the State of California. Defendant has engaged, and continues to engage, in substantial and continuous business practices in the State of California.
- 13. Venue is proper in this District pursuant to 28 U.S.C. § 1391(b)(2) because a substantial part of the events or omissions giving rise to the claims occurred in the state of California, including within this District.
- 14. In accordance with California Civil Code Section 1780(d), Plaintiffs concurrently files herewith a declaration establishing that Ms. Meraz purchased the Products in San Francisco, California. (Ms. Meraz's declaration is attached hereto as Exhibit A.)

1	15.	Plaintiffs accordingly allege that jurisdiction and venue are proper in this Court.				
2		SUBSTANTIVE ALLEGATIONS				
3	16.	Defendant manufactures, distributes, markets, advertises, and sells in the United				
4	States a variet	y of consumer food products under the brand name "Purely Elizabeth," including				
5	oats, oatmeal, pancake and waffle mixes, and granola. Many of these products have packaging					
6	that predominately, uniformly, and consistently states on the principal display panel of the prod-					
7	uct labels that the products contain and provide a certain amount of protein per serving. Below is					
8	a list of the products, along with the claimed protein content, of which Plaintiffs are currently					
9	aware that make protein claims on the labels. The products listed below, and any other Purely					
10	Elizabeth brand product that claims a specific amount of protein on its front label, will hereinafter					
11	be referred to	as "Products."				
12	a.	Original Ancient Grain Granola – 7g				
13	b.	Honey Almond Probiotic Ancient Grain Granola – 4g				
14	c.	Chocolate Sea Salt Probiotic Ancient Grain Granola – 6g				
15	d.	Blueberry Hemp Ancient Grain Granola – 6g				
16	e.	Cranberry Pecan Ancient Grain Granola – 6g				
17	f.	Pumpkin Cinnamon Ancient Grain Granola – 5g				
18	g.	Maple + Almond Butter Nut Butter Ancient Grain Granola – 6g				
19	h.	Chocolate Peanut Butter Ancient Grain Granola – 6g				
20	i.	Maple Walnut Probiotic Granola – 4g				
21	j.	Vanilla Almond Butter Grain-Free Granola + MCT Oil – 5g				
22	k.	Chocolate Hazelnut Grain-Free Granola + MCT OIL – 5g				
23	1.	Coconut Cashew Grain-Free Granola – 4g				
24	m.	Banana Nut Butter Grain-Free Granola – 3g				
25	n.	Cinnamon Peanut Butter Grain-Free Granola + MCT Oil – 5g				
26	0.	Grain Free Protein + Collagen Pancake Mix – 11g				
27	p.	Grain-Free Pancake & Waffle Mix – 6g				
28	q.	Ancient Grain Pancake & Waffle Mix – 7g				

1	r. Grain Free Bread and Muffin Mix – 7g			
2	s. Grain-Free Protein + Collagen Bread & Muffin Mix – 9g			
3	t. Original Superfood Oat Cups with Granola Topper – 9g			
4	u. Apple Cinnamon Pecan Superfood Oat Cups with Granola Topper – 7g			
5	v. Raspberry Dragon Fruit Vibrant Oat Cups – 7g			
6	w. Blueberry Lemon Vibrant Oat Cups – 6g			
7	x. Blueberry Walnut Collagen Protein Oats – 11g			
8	y. Blueberry Walnut Collagen Protein Oats Pouch – 7g			
9	z. Vanilla Pecan Collagen Protein Oats – 11g			
10	aa. Vanilla Pecan Collagen Protein Oats Pouch – 7g			
11	bb. Strawberry Hazelnut Cauli Hot Cereal Cup – 8g			
12	cc. Cinnamon Almond Cauli Hot Cereal Cup – 8g			
13	dd. Apple Cinnamon Pecan Superfood Oats – 5g			
14	ee. Original Superfood Oats – 5g			
15	ff. Vanilla Almond Butter Ancient Grain Granola Bars – 3g			
16	gg. Original Ancient Grain Granola Bars – 3g			
17	hh. Blueberry Hemp Ancient Grain Granola Bar – 3g			
18	17. The representations on the front of the packages that the Products contain and pro-			
19	vide a specific amount of protein per serving respectively, was uniformly communicated to Plain-			
20	tiffs and every other person who purchased any of the Products. The nutrition facts panel on the			
21	side of the Product likewise repeats the protein content claims, although it fails to provide any			
22	referenced percent the daily value of its protein content as state and federal regulations require.			
23	The front and back panels of the Products have appeared consistently throughout the Class Period			
24	in the general form of the following examples:			
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Vanilla Almond Butter Grain-Free Granola – 5g





Grain Free Protein Pancake Mix – 11g

purely elizabeth.



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GLUTEN-FREE

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18. As described in detail below, Defendant's advertising and labeling of the Products as containing and providing specific amounts of protein per serving is false, misleading, and intended to induce consumers to purchase the Products at a premium price, while ultimately failing to meet consumer expectations. These representations deceive and mislead reasonable consumers into believing that a serving of the Product will contain the grams of protein as represented on the label, when in fact, amino acid protein content testing reveals that a serving contains up to 25% fewer grams of protein than claimed. Further, when correcting for the digestibility (and therefore usability) of the protein through the PDCAAS, the amount provided to the human body will be even less.

Consumer Demand for Protein

- 19. Many American consumers are health conscious and seek wholesome, natural foods to keep a healthy diet, so they routinely rely upon nutrition information when selecting and purchasing food items. This is especially true in the community of athletes, registered dietitians, and coaches, to which Defendant markets. As noted by FDA Commissioner Margaret Hamburg during an October 2009 media briefing, "[s]tudies show that consumers trust and believe the nutrition facts information and that many consumers use it to help them build a healthy diet." Indeed, the FDA recommends relying on Nutrition Facts Labels as the primary tool to monitor the consumption of protein.¹
- 20. Protein is found throughout the body—in muscle, bone, skin, hair, and virtually every other body part or tissue. The National Academy of Medicine recommends that adults get a minimum of .8 grams of protein for every kilogram of body weight per day, or just over 7 grams for every 20 pounds of body weight.² For a 140-pound person, that means about 50 grams of protein each day. For a 200-pound person, that means about 70 grams of protein each day.
- 21. Athletes and fitness enthusiasts typically consume much higher amounts of protein each day; typically, between 1 to 1.5 grams of protein for every pound of body weight.
- 22. The health benefits of protein are just as important, if not more important, for children. Children are in a relative state of constant growth and rely on protein as the building block of muscle, bone, skin, hair, and virtually every other body part or tissue. The National Academies of Science recommends the following amounts of daily intake of protein based on age group: 1-3 years old: 13 g of protein per day; 4-8 years old: 19 g of protein per day; 9-13 years old: 34 g of protein per day.³

24 | TDA Protein Fact Sheet,

²⁵ https://www.accessdata.fda.gov/scripts/InteractiveNutritionFactsLabel/factsheets/Protein.pdf

² National Academies of Medicine. *Dietary Reference Intakes for Energy, Carbohydrate, Fiber, Fat, Fatty Acids, Cholesterol, Protein, and Amino Acids (Macronutrients).*

³ *Id*.

- 23. Athletes, dieticians, and coaches are particularly concerned about incorporating protein into their diets both pre and post workout. Protein is the building block of muscles. Therefore, consumers trying to gain muscle seek to ensure their protein intake is sufficiently high to promote muscle growth and prevent muscle loss. Many studies report that consuming protein after exercise can aid recovery by reducing muscle damage and improving muscle performance.
- 24. The health benefits of protein are well studied and wide ranging. Scientific studies have confirmed that protein can assist in weight loss, reduce blood pressure, reduce cholesterol, and control for risk factors for cardiovascular diseases.
- 25. Proteins are not a monolithic substance, but instead come in many varieties. Proteins are essentially chains of different amino acids, and different types of amino acids chained together in different ways will make different types of proteins. Further, the makeup of the protein that is ingested changes the function of the protein in the body, and certain types of proteins are more easily digested and used by humans than others.
- 26. Defendant uses collagen and plant-based proteins, such as amaranth, and nuts (such as almonds and pecans) in its products. Typically, a "complete protein" is a protein that contains all nine essential amino acids. An essential amino acid is one that the human body cannot produce on its own and must be obtained through diet. Essential amino acids may be measured by the Protein Digestibility Corrected Amino Acid Score ("PDCAAS"), which FDA regulations require for the calculation of Daily Reference Values ("DRV"). 21 C.F.R. § 101.9(c)(7)(ii); FDA Food Labeling Guide, p.29, Question N. 22.
- 27. The PDCAAS method requires the manufacturer to determine the amount of essential amino acids that the food contains and then multiply that number by humans' ability to digest the amino acid profile.
- 28. Because of the differences in benefits depending on the amino acid composition of a protein, the source of protein is important. Whey protein is animal-based and contains all nine essential amino acids. It has a high biological value and is fully digestible by humans. Thus, whey protein has a PDCAAS of 1.0. Plant proteins such as amaranth and nut proteins, which Defendant uses in the Products according to the ingredient lists, are not fully digested by humans. Amaranth

cessed February 18, 2020).

⁵ Specifically, the regulation states that the grams of protein figure in the nutrition fact box "may be calculated on the basis of the factor of 6.25 times the nitrogen content of the food."

and pecan proteins typically have a PDCAAS of .70, meaning only 70% of the protein from those sources will be digested and available to humans. Collagen is an incomplete protein as it lacks one of the nine essential amino acids (tryptophan) and has a PDCAAS score of 0.

29. Accordingly, Defendant's use of low quality proteins, even in combination with some higher quality proteins, means that they actually provide far less protein to humans than their labels claim, or that amino acid content testing without correcting for digestibility shows.

Federal and State Regulations Governing Food Labeling

- 30. The Food and Drug Administration regulates nutrition content labeling. According to these regulations, "[a] statement of the corrected amount of protein per serving, as determined in paragraph (c)(7)(ii) of this section, calculated as a percentage of the RDI or DRV for protein, as appropriate, and expressed as a Percent of Daily Value . . . shall be given if a protein claim is made for the product . . ." 21 C.F.R. 101.9(c)(7)(i) (emphasis added).
- 31. Although FDA guidance provides that a declaration of the DRV for protein is "not mandatory" in typical circumstances, that same guidance is equally clear that "[t]he percent of the DRV is required if a protein claim is made for the product."⁴
- 32. Further, FDA regulations require the DRV to be calculated using amino acid analysis, more specifically the PDCAAS. 21 C.F.R. § 101.9(c)(7)(ii); FDA Food Labeling Guide, p. 29, Question N.22. The PDCAAS method does not calculate protein content by nitrogen combustion, which is otherwise permitted under 21 C.F.R. § 101.9(c)(7) for products that do not make protein content claims.⁵
- 33. Accordingly, when a product makes a protein content claim, FDA regulations require manufacturers to calculate the amount of amino acids that the food contains and then multi-

⁴ Guidance for Industry: A Food Labeling Guide ("FDA Food Labeling Guide") p. 29, Question N22, U.S. Food & Drug Administration, https://www.fda.gov/media/81606/download (last ac-

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ply that amount by humans' ability to digest the amino acid profile (the PDCAAS) to come up with a percent daily value.

- 34. Identical federal and California laws regulate the content of labels on packaged food and require truthful, accurate information on the labels of packaged foods. The requirements of the federal Food, Drug & Cosmetic Act ("FDCA"), and its labeling regulations, including those set forth in 21 C.F.R. §§ 101, 102, were adopted by the California legislature in the Sherman Food Drug & Cosmetic Law (the "Sherman Law"). California Health & Safety Code § 110100 ("All food labeling regulations and any amendments to those regulations adopted pursuant to the federal act, in effect on January 1, 1993, or adopted on or after that date shall be the food labeling regulations of this state."). The federal laws and regulations discussed below are applicable nationwide to all sales of packaged food products. Additionally, no state imposes different requirements on the labeling of packaged food for sale in the United States.
- 35. Under the FDCA, the term false has its usual meaning of "untruthful," while the term misleading is a term of art that covers labels that are technically true, but are likely to deceive consumers. Under the FDCA, if any single representation on the labeling is false or misleading, the entire food is misbranded, and no other statement in the labeling can cure a misleading statement.
- 36. Further in addition to its blanket adoption of federal labeling requirements, California has also enacted a number of laws and regulations that adopt and incorporate specific enumerated federal food laws and regulations. *See* California Health & Safety Code § 110660 (misbranded if label is false and misleading); and California Health & Safety Code § 110705 (misbranded if words, statements and other information required by the Sherman Law are either missing or not sufficiently conspicuous).
- 37. Under California law, a food product that is "misbranded" cannot legally be manufactured, advertised, distributed, sold, or possessed. Misbranded products have no economic value and are legally worthless.

38. Representing that the Products contain a certain amount of protein per serving as Defendant's labels do is a statement of fact, and use of these phrases on the labels of packaged food is limited by the aforementioned misbranding laws and regulations.

<u>Defendant's Marketing and Labeling of The Products Violates State and Federal Food Labeling Laws</u>

- 39. Defendant's Products are unlawful, misbranded, and violate the Sherman Law, California Health & Safety Code § 110660, *et seq.*, because the Products' labels state that each Product contains and provides a specific amount of protein per serving—such as "7g protein" for the Vanilla Pecan Collagen Protein Oats—when, in fact, amino acid content testing reveals that the Products contains up to 25% fewer grams of protein than claimed.
- 40. Further, Defendant makes protein content claims on the front of its Product packages and yet has left the Percent Daily Value column of its nutrition facts for protein completely blank. Because Defendant makes a protein content claim, it was statutorily obligated to calculate the protein content of the Products via the amino analysis described above and to provide a percent daily value figure using the PDCAAS method described above. Defendant has failed to do so, and the Products are accordingly misbranded.
- 41. Defendant's marketing, advertising, and sale of the Products violates the false advertising provisions of the Sherman Law (California Health & Safety Code § 110390, *et. seq.*), including but not limited to Sections 110398 and 110400, which make it unlawful to advertise misbranded food or to deliver or proffer for delivery any food that has been falsely or misleadingly advertised.
- 42. Defendant's marketing, advertising, and sale of the Products violates the misbranding provisions of the Sherman Law (California Health & Safety Code § 110660, *et. seq.*), including but not limited to:
 - a. Section 110665 (a food is misbranded if its labeling does not conform with the requirements for nutrition labeling as set forth in 21 U.S.C. Sec. 343(q));
 - b. Section 110705 (a food is misbranded if words, statements and other information required by the Sherman Law to appear food labeling is either missing or not

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- Section 110760, which makes it unlawful for any person to manufacture, sell, deliver, hold, or offer for sale any food that is misbranded;
- Section 110765, which makes it unlawful for any person to misbrand any food; and
- Section 110770, which makes it unlawful for any person to receive in commerce any food that is misbranded or to deliver or proffer for delivery any such food.
- 43. Defendant has violated 21 U.S.C. § 343(a), and the standards set by FDA regulations, including but not limited to 21 C.F.R. § 101.9 (c)(7), which have been incorporated by reference in the Sherman Law, by failing to include on its product labels the nutritional information required by law.
- 44. A reasonable consumer would expect that the Products contain and provide what Defendant identify them to contain and provide on the product labels and that the labels would not be contrary to the policies or regulations of the State of California and/or the FDA. For example, a reasonable consumer would expect that when Defendant labels its Products as containing "7g Protein" per serving, the Products would provide 7 grams of protein per serving. However, based on amino acid content testing conducted by Plaintiffs' counsel, the Products contain up to 25% less protein per serving than claimed.
- 45. Moreover, based on the types of protein stated in the Products' ingredient lists, the amount of digestible or usable protein the Products actually deliver to the human body is even lower than the amino content testing itself reveals. Defendant uses poor quality proteins, such as collagen, amaranth, and nut proteins, in the Products, which will result in each product's overall PDCAAS being significantly less than 1.0.
- 46. A reasonable consumer would expect that the Products' labels would not be contrary to the policies or regulations of the State of California and/or the FDA.
- 47. Consumers lack the meaningful ability to test or independently ascertain the truthfulness of Defendant's food labeling claims, especially at the point of sale. Consumers would not know the true protein content of the Products merely by looking elsewhere on the product pack-

age. Its discovery requires investigation well beyond the grocery store aisle and knowledge of food chemistry beyond that of the average consumer. An average consumer does not have the specialized knowledge necessary to ascertain that a serving of a Product does not contain the number of grams that is represented on the label, and instead contains up to 25% fewer grams. An average consumer also lacks the specialized knowledge necessary to determine the PDCAAS for the Products. That combined with Defendant's active concealment in representing that the Products contain and provide specific amounts of protein per serving, and not disclosing otherwise anywhere on the label, much less by listing the Protein DRV as it is required to do, gave the average reasonable consumer no reason to suspect that Defendant's representations on the packages were not true. Therefore, consumers had no reason to investigate whether the Products actually do contain and provide the amount of protein per serving that the labels claim they do. Thus, reasonable consumers relied on Defendant's representations regarding the nature of the Products.

48. Defendant intends and knows that consumers will and do rely upon food labeling statements in making their purchasing decisions. Label claims and other forms of advertising and marketing drive product sales, particularly if placed prominently on the front of product packaging, as Defendant has done with the claim that the Products contain and provide specific amounts of protein per serving.

<u>Defendant Intends to Continue to Market its Products as Containing More Protein than the Products Actually Contain</u>

- 49. Because consumers pay a price premium for protein supplement products that contain more protein, by labeling its Products as containing more grams of protein per serving than they actually contain, Defendant is able to both increase its sales and retain more profits.
- 50. Defendant engaged in the practices complained of herein to further its private interests of: (i) increasing sales of the Products while decreasing the sales of competitors that do not misrepresent the number of grams of protein contained in its products, and/or (ii) commanding a higher price for its Products because consumers will pay more for the Products due to consumers' demand for products containing more protein.
- 51. The market for protein products is continuing to grow and expand, and because Defendant knows consumers rely on representations about the number of grams of protein in its

Products, Defendant has an incentive to continue to make such false representations. In addition, other trends suggest that Defendant has no incentive to change its labeling practices.

- 52. For example, one market analysis revealed that between 2013-2017, product launches with a protein claim grew 31%.
- 53. To capitalize on the growing market, Defendant is continually launching new product lines and flavors to diversify its portfolio to maintain its competitive edge, making it likely that Defendant will continue to misleadingly advertise its Products and perpetuate the misrepresentations regarding the number of grams of protein in its Products.

PLAINTIFFS' EXPERIENCES

- 54. Ms. Meraz purchased the Purely Elizabeth Grain-Free Protein Pancake Mix at a Grocery Outlet store in San Francisco, California during the Class Period.
- 55. Ms. Buksbaum purchased the following Purely Elizabeth products at retail stores in Carlsbad, Encinitas, and San Marcos, California and an online retailer while she was located in Carlsbad, California during the Class Period: the Vanilla Almond Butter Grain-Free Granola, Pumpkin Cinnamon Ancient Grain Granola, the Grain Free Pancake and Waffle Mix, Grain-Free Chocolate Hazelnut Granola, Cinnamon Almond Cauli Hot Cereal Cup, and the Strawberry Hazelnut Cauli Hot Cereal Cup.
- 56. Plaintiffs made each of their purchases after reading and relying on the truthfulness of Defendant's front product label that promised the Products contained a specified amount of protein per serving. Plaintiffs were attracted to the Products because of the protein claim. But Defendant misrepresented the protein contents of the Products as containing more protein than they actually contain, and far more than they actually provide, when adjusted by the PDCAAS.
- 57. At the time of each of their purchases of the Products, Plaintiffs did not know that the Products did not contain or provide the amount of protein represented on the label. As a result of Defendant's misrepresentations and omissions, the Products have no, or, at a minimum, a much lower value to Plaintiffs.

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- 58. Plaintiffs not only purchased the Products because their labels' protein claims, but they also paid more money for the Products than they would have paid for other or a similar protein product that was not mislabeled regarding the amount of protein it contained and provided.
- 59. Had Defendant not misrepresented (by omission and commission) the true nature of the Products, Plaintiffs would not have purchased them or, at a very minimum, they would have paid less for the Products.
- 60. Plaintiffs continue to desire to purchase protein products, including those marketed and sold by Defendant. If the Products were reformulated to provide the grams of protein that are represented on the labels, Plaintiffs would likely purchase the Products again in the future. Plaintiffs regularly visit stores where the Products and other products making protein claims are sold. Because Plaintiffs do not know the formula for the Products and cannot test whether or not the Products provide the amount of protein that is represented on the label, Plaintiffs will be unable to rely on Defendant's labels when shopping for protein products in the future absent an injunction that prohibits Defendant from labeling its products with the incorrect number of grams of protein that each serving provides. Should Defendant begin to market and sell a new line of products, Plaintiffs could be at risk for buying another one of Defendant's products in reliance on the same or similar misrepresentation.
- 61. Plaintiffs and members of the Class have been economically damaged by their purchase of the Products because the advertising for the Products was and is untrue and/or misleading under California law and the products are misbranded; therefore, the Products are worth less than what Plaintiffs and members of the Class paid for them and/or Plaintiffs and members of the Class did not receive what they reasonably intended to receive.

CLASS ALLEGATIONS

62. Plaintiffs brings this class action lawsuit on behalf of themselves and a proposed class of similarly situated persons, pursuant to Rule 23(b)(2) and (b)(3) of the Federal Rules of Civil Procedure. Plaintiffs seek to represent he following groups of similarly situated persons, defined as follows:

All natural persons in the State of California who purchased the Products between March

- 31, 2017 and the present.
- 63. This action has been brought and may properly be maintained as a class action against Defendant because there is a well-defined community of interest in the litigation and the proposed class is easily ascertainable.
- 64. Numerosity: Plaintiffs do not know the exact size the Class, but they estimate that it is composed of more than 100 persons. The persons in the Class are so numerous that the joinder of all such persons is impracticable and the disposition of its claims in a class action rather than in individual actions will benefit the parties and the courts.
- 65. Common Questions Predominate: This action involves common questions of law and fact to the potential classes because each class member's claim derives from the deceptive, unlawful and/or unfair statements and omissions that led consumers to believe that the Products contained and provided the amount of protein as represented on the Product labels. The common questions of law and fact predominate over individual questions, as proof of a common or single set of facts will establish the right of each member of the Class to recover. The questions of law and fact common to the Class are:
 - a. The true protein content of the Products;
 - Whether the marketing, advertising, packaging, labeling, and other promotional materials for the Products are deceptive and/or unlawful because of misrepresentations;
 - c. Whether Defendant's actions violate Federal and California laws invoked herein;
 - d. Whether labeling the Products as containing and providing more grams of protein than they actually contain and provide causes the Products to command a price premium in the market as compared with similar products that do not make such misrepresentations;
 - e. Whether Defendant's advertising and marketing regarding the Products was likely to deceive reasonable consumers;
 - f. Whether representations regarding the number of grams of protein in the Products are material to a reasonable consumer;
 - g. Whether Defendant engaged in the behavior knowingly, recklessly, or negligently;

- h. The amount of profits and revenues earned by Defendant as a result of the conduct;
- i. Whether class members are entitled to restitution, injunctive and other equitable relief and, if so, what is the nature (and amount) of such relief; and
- j. Whether class members are entitled to payment of actual, incidental, consequential, exemplary and/or statutory damages plus interest thereon, and if so, what is the nature of such relief.
- 66. Typicality: Plaintiffs' claims are typical of the claims of the other members of the Class because, among other things, all such claims arise out of the same wrongful course of conduct engaged in by Defendant in violation of law as complained of herein. Further, the damages of each member of the Class were caused directly by Defendant's wrongful conduct in violation of the law as alleged herein.
- 67. Adequacy of Representation: Plaintiffs will fairly and adequately protect the interests of all class members because it is in their best interests to prosecute the claims alleged herein to obtain full compensation due to them for the unfair and illegal conduct of which they complain. Plaintiffs also have no interests that are in conflict with, or antagonistic to, the interests of class members. Plaintiffs have retained highly competent and experienced class action attorneys to represent their interests and that of the class. By prevailing on their own claims, Plaintiffs will establish Defendant's liability to all class members. Plaintiffs and their counsel have the necessary financial resources to adequately and vigorously litigate this class action, and Plaintiffs and counsel are aware of their fiduciary responsibilities to the class members and are determined to diligently discharge those duties by vigorously seeking the maximum possible recovery for class members.
- 68. Superiority: There is no plain, speedy, or adequate remedy other than by maintenance of this class action. The prosecution of individual remedies by members of the classes will tend to establish inconsistent standards of conduct for Defendant and result in the impairment of class members' rights and the disposition of their interests through actions to which they were not parties. Class action treatment will permit a large number of similarly

from Defendant were "goods" within the meaning of California Civil Code § 1761(a).

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Defendant's acts and practices, set forth in this Class Action Complaint, led

75. Plaintiffs request that this Court enjoin Defendant from continuing to employ the unlawful methods, acts and practices alleged herein pursuant to California Civil Code § 1780(a)(2). If Defendant is not restrained from engaging in these types of practices in the future, Plaintiffs and the other members of the Class will continue to suffer harm. Plaintiffs and those similarly situated have no adequate remedy at law to stop Defendant's continuing practices.

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76. On or about October 27, 2020, Defendant was provided with notice and demand on behalf of the Class that Defendant correct, repair, replace or otherwise rectify the unlawful, unfair, false and/or deceptive practices complained of herein. Despite receiving the aforementioned notice and demand, Defendant failed to do so in that, among other things, it failed to identify similarly situated customers, notify them of their right to correction, repair,

replacement or other remedy, and/or to provide that remedy. Accordingly, Plaintiffs seek, pursuant to California Civil Code § 1780(a)(3), on behalf of themselves and those similarly situated class members, compensatory damages, punitive damages and restitution of any ill-gotten gains due to Defendant's acts and practices.

77. Plaintiffs also request that this Court award them costs and reasonable attorneys' fees pursuant to California Civil Code § 1780(d).

PLAINTIFFS' SECOND CAUSE OF ACTION (False Advertising, Business and Professions Code § 17500, et seq. ("FAL")) On Behalf of Plaintiffs and the Class

- 78. Plaintiffs reallege and incorporate by reference the paragraphs of this Class Action Complaint as if set forth herein.
- 79. Beginning at an exact date unknown to Plaintiffs, but within three (3) years preceding the filing of the Class Action Complaint, Defendant made untrue, false, deceptive and/or misleading statements in connection with the advertising and marketing of the Products.
- 80. Defendant made representations and statements (by omission and commission) that led reasonable customers to believe that the Products that they were purchasing contained and provided more grams of protein per serving than the Products actually contained and provided. Further, Defendant failed to list the DRV of protein, as it was required to do.
- 81. Plaintiffs and those similarly situated relied to their detriment on Defendant's false, misleading and deceptive advertising and marketing practices, including each of the misrepresentations and omissions set forth above. Had Plaintiffs and those similarly situated been adequately informed and not intentionally deceived by Defendant, they would have acted differently by, without limitation, refraining from purchasing the Products or paying less for them.
 - 82. Defendant's acts and omissions are likely to deceive the general public.
- 83. Defendant engaged in these false, misleading and deceptive advertising and marketing practices to increase its profits. Accordingly, Defendant has engaged in false advertising, as defined and prohibited by section 17500, *et seq.* of the California Business and Professions Code.

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significant financial gain, also constitute unlawful competition and provide an unlawful advantage over Defendant's competitors as well as injury to the general public. 85. As a direct and proximate result of such actions, Plaintiffs and the other class

The aforementioned practices, which Defendant used, and continue to use, to its

- members have suffered, and continue to suffer, injury in fact and have lost money and/or property as a result of such false, deceptive and misleading advertising in an amount which will be proven at trial, but which is in excess of the jurisdictional minimum of this Court.
- 86. Plaintiffs seek, on behalf of themselves and those similarly situated, full restitution of monies, as necessary and according to proof, to restore any and all monies acquired by Defendant from Plaintiffs, the general public, or those similarly situated by means of the false, misleading and deceptive advertising and marketing practices complained of herein, plus interest thereon.
- 87. Plaintiffs seek, on behalf of themselves and those similarly situated, a declaration that the above-described practices constitute false, misleading and deceptive advertising.
- 88. Plaintiffs seek, on behalf of themselves and those similarly situated, an injunction to prohibit Defendant from continuing to engage in the false, misleading and deceptive advertising and marketing practices complained of herein. Such misconduct by Defendant, unless and until enjoined and restrained by order of this Court, will continue to cause injury in fact to the general public and the loss of money and property in that Defendant will continue to violate the laws of California, unless specifically ordered to comply with the same. This expectation of future violations will require current and future consumers to repeatedly and continuously seek legal redress in order to recover monies paid to Defendant to which they are not entitled. Plaintiffs, those similarly situated and/or other consumers nationwide have no other adequate remedy at law to ensure future compliance with the California Business and Professions Code alleged to have been violated herein.

PLAINTIFFS' THIRD CAUSE OF ACTION

(Common Law Fraud, Deceit and/or Misrepresentation) On Behalf of Plaintiffs and the Class

89. Plaintiffs reallege and incorporate by reference the paragraphs of this Class Action

Complaint as if set forth herein.

- 90. Defendant has fraudulently and deceptively informed Plaintiffs that the Products contain and provide more grams of protein than they actually contain and provide. Further, Defendant failed to list the DRV of protein, as it was required to do.
- 91. These misrepresentations and omissions were known exclusively to, and actively concealed by, Defendant, not reasonably known to Plaintiffs, and material at the time they were made. Defendant knew the composition of the Products, and knew that the Products did not contain or provide the amount of protein represented on the label. Defendant's misrepresentations and omissions concerned material facts that were essential to the analysis undertaken by Plaintiffs as to whether to purchase Defendant's Products. In misleading Plaintiffs and not so informing Plaintiffs, Defendant breached its duty to them. Defendant also gained financially from, and as a result of, its breach.
- 92. Plaintiffs and those similarly situated relied to their detriment on Defendant's misrepresentations and fraudulent omissions. Had Plaintiffs and those similarly situated been adequately informed and not intentionally deceived by Defendant, they would have acted differently by, without limitation: (i) declining to purchase the Products, (ii) purchasing less of them, or (iii) paying less for the Products.
- 93. By and through such fraud, deceit, misrepresentations and/or omissions, Defendant intended to induce Plaintiffs and those similarly situated to alter their position to their detriment. Specifically, Defendant fraudulently and deceptively induced Plaintiffs and those similarly situated to, without limitation, purchase the Products.
- 94. Plaintiffs and those similarly situated justifiably and reasonably relied on Defendant's misrepresentations and omissions, and, accordingly, were damaged by Defendant.
- 95. As a direct and proximate result of Defendant's misrepresentations and/or omissions, Plaintiffs and those similarly situated have suffered damages, including, without limitation, the amount they paid for the Products.
- 96. Defendant's conduct as described herein was wilful and malicious and was designed to maximize Defendant's profits even though Defendant knew that it would cause loss

and harm to Plaintiffs and those similarly situated.

PLAINTIFFS' FOURTH CAUSE OF ACTION

(Unlawful, unfair, and fraudulent trade practices violation of Business and Professions Code § 17200, et seq.) On Behalf of Plaintiffs and the Class

- 97. Plaintiffs reallege and incorporate by reference the paragraphs of this Class Action Complaint as if set forth herein.
- 98. Within four (4) years preceding the filing of this lawsuit, and at all times mentioned herein, Defendant have engaged, and continue to engage, in unlawful, unfair, and fraudulent trade practices in California by engaging in the unlawful, unfair, and fraudulent business practices outlined in this complaint.
- 99. In particular, Defendant have engaged, and continue to engage, in unlawful practices by, without limitation, violating the following state and federal laws: (i) the CLRA as described herein; (ii) the FAL as described herein; (iii) the advertising provisions of the Sherman Law (Article 3), including without limitation, California Health & Safety Code §§ 110390, 110395, 110398 and 110400; (iv) the misbranded food provisions of the Sherman Law (Article 6), including without limitation, California Health & Safety Code §§ 110660, 110665, 110705, 110760, 110765, and 110770; and (v) and federal laws regulating the advertising and branding of food in 21 U.S.C. § 343(a), *et seq.* and FDA regulations, including but not limited to 21 C.F.R. 21 C.F.R. § 101.9 (c)(7), which are incorporated into the Sherman Law (California Health & Safety Code §§ 110100(a), 110380, and 110505).
- 100. In particular, Defendant have engaged, and continue to engage, in unfair and fraudulent practices by, without limitation, the following: (i) misrepresenting that the Products contain and provide more grams of protein than they actually contain and provide; and (ii) failing to list the Protein DRV as required by FDA regulations.
- 101. Plaintiffs and those similarly situated relied to their detriment on Defendant's unlawful, unfair, and fraudulent business practices. Had Plaintiffs and those similarly situated been adequately informed and not deceived by Defendant, they would have acted differently by, without limitation: (i) declining to purchase the Products, (ii) purchasing less of the Products, or

- 102. Defendant's acts and omissions are likely to deceive the general public.
- 103. Defendant engaged in these deceptive and unlawful practices to increase its profits. Accordingly, Defendant have engaged in unlawful trade practices, as defined and prohibited by section 17200, *et seq.* of the California Business and Professions Code.
- 104. The aforementioned practices, which Defendant have used to its significant financial gain, also constitute unlawful competition and provide an unlawful advantage over Defendant's competitors as well as injury to the general public.
- 105. As a direct and proximate result of such actions, Plaintiffs and the other class members, have suffered and continue to suffer injury in fact and have lost money and/or property as a result of such deceptive and/or unlawful trade practices and unfair competition in an amount which will be proven at trial, but which is in excess of the jurisdictional minimum of this Court. Among other things, Plaintiffs and the class members lost the amount they paid for the Products.
- 106. As a direct and proximate result of such actions, Defendant have enjoyed, and continue to enjoy, significant financial gain in an amount which will be proven at trial, but which is in excess of the jurisdictional minimum of this Court.
- 107. Plaintiffs seeks, on behalf of themselves and those similarly situated, full restitution of monies, as necessary and according to proof, to restore any and all monies acquired by Defendant from Plaintiffs, the general public, or those similarly situated by means of the deceptive and/or unlawful trade practices complained of herein, plus interest thereon.
- 108. Plaintiffs seek, on behalf of those similarly situated, a declaration that the above-described trade practices are fraudulent, unfair, and/or unlawful.
- 109. Plaintiffs seek, on behalf of those similarly situated, an injunction to prohibit Defendant from continuing to engage in the deceptive and/or unlawful trade practices complained of herein. Such misconduct by Defendant, unless and until enjoined and restrained by order of this Court, will continue to cause injury in fact to the general public and the loss of money and property in that Defendant will continue to violate the laws of California, unless specifically

ordered to comply with the same. This expectation of future violations will require current and future consumers to repeatedly and continuously seek legal redress in order to recover monies paid to Defendant to which they were not entitled. Plaintiffs, those similarly situated and/or other consumers nationwide have no other adequate remedy at law to ensure future compliance with the California Business and Professions Code alleged to have been violated herein.

PLAINTIFFS' FIFTH CAUSE OF ACTION

(Unjust Enrichment) On Behalf of Plaintiffs and the Class

- 110. Plaintiffs reallege and incorporate by reference all paragraphs alleged herein
- 111. Plaintiffs and members of the Class members conferred a benefit on the Defendant by purchasing the Products
- 112. Defendant have been unjustly enriched in retaining the revenues from Plaintiffs' and Class Members' purchases of the Products, which retention is unjust and inequitable, because Defendant falsely represented that the Products contained and provided specific amounts of protein per serving, when, in fact, the Products contained up to 25% less protein than represented, and provided even less. This harmed Plaintiffs and members of the class because they paid a price premium as a result.
- 113. Because Defendant's retention of the non-gratuitous benefit conferred on it by Plaintiffs and Class members is unjust and inequitable, Defendant must pay restitution to Plaintiffs and the Class members for its unjust enrichment, as ordered by the Court. Plaintiffs and those similarly situated have no adequate remedy at law to obtain this restitution.
- 114. Plaintiffs, therefore, seek an order requiring Defendant to make restitution to them and other members of the Class

PRAYER FOR RELIEF

WHEREFORE, Plaintiffs, on behalf of themselves and those similarly situated, respectfully request that the Court enter judgement against Defendant as follows:

- A. Certification of the proposed Class, including appointment of Plaintiffs' counsel as class counsel;
- B. An order temporarily and permanently enjoining Defendant from continuing the

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1		unlawful, deceptive, fraudulent, and unfair business practices alleged in this Com-					
2	plaint;						
3	C.	An award of compensatory damages in an amount to be determined at trial except					
4	as to those claims where compensatory damages are not available as a matter of law;						
5	D.	An award of statutory damages in an amount to be determined at trial except as to					
6	those claims v	where statutory damages are not available as a matter of law;					
7	E.	An award of punitive damages in an amount to be determined at trial except as to					
8	those claims v	where punitive damages are not available as a matter of law;					
9	F.	An award of treble damages except as to those claims where treble damages are					
10	not available a	as a matter of law;					
11	G. An award of restitution in an amount to be determined at trial;						
12	H. An order requiring Defendant to pay both pre- and post-judgment interest on any						
13	amounts awarded;						
14	I.	For reasonable attorneys' fees and the costs of suit incurred; and					
15	J. For such further relief as this Court may deem just and proper.						
16		JURY TRIAL DEMANDED					
17	Plaintiffs hereby demands a trial by jury.						
18	Dated:	May 27, 2021 GUTRIDE SAFIER LLP					
19		/s/Seth A. Safier/s/ Seth A. Safier, Esq.					
20		Marie McCrary, Esq. Hayley Reynolds, Esq.					
21		100 Pine Street, Suite 1250 San Francisco, CA 94111					
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EXHIBIT A

- I, Alondra Meraz, declare:
- 1. I am a Plaintiff in this action. If called upon to testify, I could and would competently testify to the matters contained herein based upon my personal knowledge.
- 2. I submit this Declaration pursuant to California Code of Civil Procedure section 2215.5 and California Civil Code section 1780(d).
- 3. As set forth in my complaint, I purchased the Purely Elizabeth Grain-Free Protein Pancake Mix from a Grocery Outlet store in San Francisco, California area during the Class Period.

I declare under penalty of perjury under the laws of California that the foregoing is true and correct.

Executed this 26th day of May 2021, in San Francisco, California.



Filed 05/28/21 Page 1 of 2 Case 3:21-cv-04091

The JS-CAND 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved in its original form by the Judicial Conference of the United States in September 1974, is required for the Clerk of Court to initiate the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS ALONDRA MERAZ and SHARYN BUKSBAUM

(b) County of Residence of First Listed Plaintiff San Francisco (EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorneys (Firm Name, Address, and Telephone Number)

DEFENDANTS PURELY ELIZABETH, LLC

Boulder County, CO County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY)

IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

Attorneys (If Known)

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)			II. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff				
			(For D	iversity Cases Only)	DTE		ox for Defendant)
1 U.S. Government Plaintif	Federal Question (U.S. Government No.	ot a Party)	Citizen	of This State	PTF ✓¹	DEF Incorporated or Princof Business In This S	
2 U.S. Government Defende		(D	Citizen	of Another State	2	2 Incorporated and Pri of Business In Anoth	ncipal Place 5 🗸 5
	(Indicate Citizenship o	f Parties in Item III)	Citizen o Foreign	or Subject of a Country	3	3 Foreign Nation	6 6
IV. NATURE OF SI	UIT (Place an "X" in One Box	Only)					
CONTRACT	TO	RTS		FORFEITURE/PEN	NALTY	BANKRUPTCY	OTHER STATUTES
110 Insurance	PERSONAL INJURY	PERSONAL INJU	RY	625 Drug Related Se		422 Appeal 28 USC § 158	375 False Claims Act
120 Marine	310 Airplane	365 Personal Injury –	Product	Property 21 USG	2 § 881	423 Withdrawal 28 USC	376 Qui Tam (31 USC
130 Miller Act	315 Airplane Product Liability			690 Other		§ 157	§ 3729(a))
140 Negotiable Instrument	320 Assault, Libel & Slander	367 Health Care/	,	LABOR		PROPERTY RIGHTS	400 State Reapportionment
150 Recovery of	330 Federal Employers'	Pharmaceutical Pe Injury Product Lia		710 Fair Labor Stand	lards Act	820 Copyrights	410 Antitrust
Overpayment Of Veteran's Benefits	Liability	368 Asbestos Personal		720 Labor/Managem	ent	830 Patent	430 Banks and Banking
151 Medicare Act	340 Marine	Product Liability	injury	Relations		835 Patent-Abbreviated New	450 Commerce
152 Recovery of Defaulted	345 Marine Product Liability	PERSONAL PROPE	ERTY	740 Railway Labor A	ı	Drug Application	460 Deportation
Student Loans (Excludes	350 Motor Vehicle	✓ 370 Other Fraud		751 Family and Med	ical	840 Trademark	470 Racketeer Influenced & Corrupt Organizations
Veterans)	355 Motor Vehicle Product	371 Truth in Lending		Leave Act	I	880 Defend Trade Secrets Act of 2016	480 Consumer Credit
153 Recovery of	Liability	380 Other Personal Pro	operty	790 Other Labor Liti	_		485 Telephone Consumer
Overpayment	360 Other Personal Injury	Damage	1 ,	791 Employee Retire Income Security		SOCIAL SECURITY	Protection Act
of Veteran's Benefits	362 Personal Injury -Medical Malpractice	385 Property Damage	Product			861 HIA (1395ff)	490 Cable/Sat TV
160 Stockholders' Suits	Liability		IMMIGRATION	JIN	862 Black Lung (923)	850 Securities/Commodities/	
190 Other Contract	CIVIL RIGHTS	PRISONER PETITION	ONS	462 Naturalization Application		863 DIWC/DIWW (405(g))	Exchange
195 Contract Product Liability	440 Other Civil Rights	HABEAS CORPU	US	465 Other Immigrati	on	864 SSID Title XVI	890 Other Statutory Actions
196 Franchise	441 Voting	463 Alien Detainee		Actions	on L	865 RSI (405(g))	891 Agricultural Acts
REAL PROPERTY	442 Employment	510 Motions to Vacate	е			FEDERAL TAX SUITS	893 Environmental Matters
210 Land Condemnation	443 Housing/	Sentence				870 Taxes (U.S. Plaintiff or	895 Freedom of Information
220 Foreclosure	Accommodations	530 General				Defendant)	Act
230 Rent Lease & Ejectment	445 Amer. w/Disabilities—	535 Death Penalty			ĺ	871 IRS-Third Party 26 USC	896 Arbitration
240 Torts to Land	Employment	OTHER				§ 7609	899 Administrative Procedure Act/Review or Appeal of
245 Tort Product Liability	446 Amer. w/Disabilities—Other	540 Mandamus & Oth	er				Agency Decision
290 All Other Real Property	448 Education	550 Civil Rights					950 Constitutionality of State
		555 Prison Condition					Statutes
		560 Civil Detainee-					
		Conditions of Confinement					
V. ORIGIN (Place an ✓ 1 Original 2	Removed from 3	Remanded from 4	Reinsta		ferred from		8 Multidistrict
Proceeding		Appellate Court	Reopen		er District		sfer Litigation–Direct File
VI. CAUSE OF GACTION	e the U.S. Civil Statute under 8 U.S.C. S 1332	which you are filing (Do not cit	e jurisdictional statute	s unless di	versity):	
ACTION Bri	ief description of cause: . class action for viola	4: C		44:	£	1	
C	class action for viola	tion of consum	er pro	tection statute	es; Irau	ıa	
VII. REQUESTED II COMPLAINT:	N CHECK IF THIS IS A UNDER RULE 23, Fee		DEMA	AND \$		CHECK YES only if den JURY DEMAND:	nanded in complaint: Yes No

(Place an "X" in One Box Only)

EUREKA-MCKINLEYVILLE

SAN JOSE

✓ SAN FRANCISCO/OAKLAND

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS-CAND 44

Authority For Civil Cover Sheet. The JS-CAND 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved in its original form by the Judicial Conference of the United States in September 1974, is required for the Clerk of Court to initiate the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I. a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
 - b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
 - c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)."
- II. Jurisdiction. The basis of jurisdiction is set forth under Federal Rule of Civil Procedure 8(a), which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.
 - (1) United States plaintiff. Jurisdiction based on 28 USC §§ 1345 and 1348. Suits by agencies and officers of the United States are included here.
 - (2) <u>United States defendant</u>. When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.
 - (3) <u>Federal question</u>. This refers to suits under 28 USC § 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.
 - (4) <u>Diversity of citizenship</u>. This refers to suits under 28 USC § 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.)**
- **III. Residence (citizenship) of Principal Parties.** This section of the JS-CAND 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit. Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerk(s) in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.
- V. Origin. Place an "X" in one of the six boxes.
 - (1) Original Proceedings. Cases originating in the United States district courts.
 - (2) Removed from State Court. Proceedings initiated in state courts may be removed to the district courts under Title 28 USC § 1441. When the petition for removal is granted, check this box.
 - (3) Remanded from Appellate Court. Check this box for cases remanded to the district court for further action. Use the date of remand as the filing
 - (4) Reinstated or Reopened. Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.
 - (5) <u>Transferred from Another District</u>. For cases transferred under Title 28 USC § 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.
 - (6) <u>Multidistrict Litigation Transfer</u>. Check this box when a multidistrict case is transferred into the district under authority of Title 28 USC § 1407. When this box is checked, do not check (5) above.
 - (8) <u>Multidistrict Litigation Direct File</u>. Check this box when a multidistrict litigation case is filed in the same district as the Master MDL docket.
 - Please note that there is no Origin Code 7. Origin Code 7 was used for historical records and is no longer relevant due to changes in statute.
- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC § 553. Brief Description: Unauthorized reception of cable service.
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Federal Rule of Civil Procedure 23.
 - Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction.
 - Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases. This section of the JS-CAND 44 is used to identify related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.
- IX. Divisional Assignment. If the Nature of Suit is under Property Rights or Prisoner Petitions or the matter is a Securities Class Action, leave this section blank. For all other cases, identify the divisional venue according to Civil Local Rule 3-2: "the county in which a substantial part of the events or omissions which give rise to the claim occurred or in which a substantial part of the property that is the subject of the action is situated."

Date and Attorney Signature. Date and sign the civil cover sheet.