	Case 5:20-cv-02691 Document 1 F	iled 04/17/20 Page 1 of 23
1	ROBERT C. SCHUBERT S.B.N. 62684	
2	WILLEM F. JONCKHEER S.B.N. 178748 NOAH M. SCHUBERT S.B.N. 278696	
3	KATHRYN Y. MCCAULEY S.B.N. 265803	
4	SCHUBERT JONCKHEER & KOLBE LLP Three Embarcadero Center, Suite 1650	
5	San Francisco, California 94111 Telephone: (415) 788-4220	
6	Facsimile: (415) 788-0161 rschubert@sjk.law	
7	wjonckheer@sjk.law	
8	nschubert@sjk.law kmccauley@sjk.law	
9	CHRISTIAN LEVIS (pro hac vice forthcoming)	
10	HENRY KUSJANOVIC (<i>pro hac vice</i> forthcom AMANDA FIORILLA (<i>pro hac vice</i> forthcom	ing)
11	LOWEY DANNENBERG, P.C.	-67
12	44 South Broadway, Suite 1100 White Plains, NY 10601	
13	Telephone: (914) 997-0500 Facsimile: (914) 997-0035	
14	clevis@lowey.com	
15	hkusjanovic@lowey.com afiorilla@lowey.com	
16	Attorneys for Plaintiff	
10		DISTRICT COURT
18		
10	NORTHERN DISTR	ICT OF CALIFORNIA
20	LISHOMWA HENRY, individually and on	Case No.:
21	behalf of all others similarly situated,	
22	Plaintiff,	CLASS ACTION COMPLAINT AND
23	V.	DEMAND FOR JURY TRIAL
23	ZOOM VIDEO COMMUNICATIONS, INC., a Delaware corporation,	a
25	Defendant.	
26		
27		
28		
		AND DEMAND FOR JURY TRIAL
	CASE NO.	·

Plaintiff Lishomwa Henry ("Plaintiff") complains upon knowledge as to himself and his own
 actions and upon information and belief as to all other matters against Defendant Zoom Video
 Communications, Inc., ("Zoom" or "Defendant") as follows:

4

SUMMARY OF ALLEGATIONS

This action arises from Defendant's lack of adequate data privacy and security
 protections and disclosures to its users as part of providing its extremely popular videoconferencing
 software. Defendant has shared Plaintiff's and Class members' data with the widely popular social
 network, Facebook, without adequate disclosure, and has failed to protect its users' data from theft
 by neglecting to adhere to standard data privacy protocols and requirements.

As a provider of videoconferencing software, Defendant has greatly benefitted from
 the recent pandemic that has forced many Americans to work from home. Zoom stated that daily
 meeting participants increased from 10 million to 200 million between December 2019 and March
 2020.1

3. While people utilize Zoom's software on their phones, laptops, or desktop
computers, Zoom has been putting the data of millions of people at risk with poor data security
protections.

4. As Zoom's platform has become more popular, there have been an increasing number
of reports that have exposed problems with the platform which permit hackers to access users' web
cameras, permit access to users' recorded videoconferences, permit access into live
videoconferences, and even give hackers the ability to completely control users' computers or
devices. Additionally, Zoom routes many of its conferences through servers located in The People's
Republic of China, which subjects them to seizure by the Chinese government.

5. Zoom has also published misleading marketing claims and privacy policies while
secretly taking advantage of users by sharing their personal data with third parties and putting their
information at risk.

- 26
- 27

28 Eric S. Yuan, A Message to Our Users, https://blog.zoom.us/wordpress/2020/04/01/a-messageto-our-users/ 1

JURISDICTION AND VENUE

2 6. This Court has jurisdiction over the subject matter of this action pursuant to 28 U.S.C 3 §1332(d), because the amount in controversy for the Class exceeds \$5,000,000 exclusive of interest 4 and costs, there are more than 100 putative class members defined below and minimal diversity 5 exists because a significant portion of putative class members are citizens of a state different from 6 the citizenship of Defendant. 7 7. This Court has general personal jurisdiction over Defendant because its principal 8 place of business is in San Jose, California. Additionally, Defendant is subject to specific personal 9 jurisdiction in this State because a substantial portion of the events and conduct giving rise to 10 Plaintiff's claims occurred in this State. 11 8. Venue is proper in this District pursuant to 28 U.S.C. §1391(b), (c), and (d) because 12 Defendant transacts business in this District; a substantial portion of the events giving rise to the 13 claims occurred in this District; and because Defendant is headquartered in this District.

9. Intra-district Assignment: A substantial part of the events and omissions giving rise
to the violations of law alleged herein occurred in the County of Santa Clara, and as such, this action
may be properly assigned to the San Jose division of this Court pursuant to Civil Local Rule 3-2(c).

17

18

PARTIES

A. Plaintiff

B.

19 10. Plaintiff Lishomwa Henry ("Plaintiff") is a natural person and citizen of the State of
 20 New York and a resident of Queens County.

21

Defendant

22 11. Defendant Zoom Video Communications, Inc., is a Delaware corporation with
 23 principal executive offices located at 55 Almaden Boulevard, San Jose, California 95113.

24

SUBSTANTIVE ALLEGATIONS

25 12. Zoom has provided its video communication platform for companies and individuals
 26 in the United States and many other countries throughout the world. While Zoom may provide
 27 software that is very easy to use, the company has been severely irresponsible in maintaining the
 28 security of its users' data.

By clicking "Join," users are trusting that Zoom will provide the necessary security
 to protect their personal information and the content of their Zoom sessions, however Zoom's rapid
 rise in popularity has exposed that, despite its dramatic increase in revenue, it has cut corners to an
 extreme degree in securing its platform.

5

I.

Zoom Has Been Sharing iOS User Data With Facebook's Developer Kit

6 14. On March 26, 2020 it was reported by Motherboard that the Zoom app for iOS was
7 sending information about its users to Facebook even if the users did not have a Facebook account.2

8 15. The report stated that the Zoom app notifies Facebook when the user opens the app 9 and provides details on the user's device such as the model, the time zone and city they are 10 connecting from, which phone carrier they are using, and a unique advertiser ID created by the 11 user's device, which companies can use to target a user with advertisements.

12 16. This sharing of user data with Facebook was not disclosed by Zoom's privacy policy.
13 Zoom claims to protect its users' privacy, stating on its website "you trust us to connect you to the
14 people that matter. We value that trust more than anything else. We want you to know what data we
15 collect and how we use it to provide our service."3

16 17. Zoom's Privacy Policy purports to identify and disclose to its users all the
17 information Zoom automatically collects from its users when they interact with Zoom products.
18 Zoom's Privacy Policy states that it "utilize[s] a combination of industry-standard security
19 technologies, procedures, and organizational measures to help protect your Personal Data from
20 unauthorized access, use, or disclosure."

21 18. Zoom's failure to provide accurate disclosures to its users about sharing their data
22 and failure to implement adequate security protocols violates its users' privacy and falls well short
23 of Zoom's promises.

- 24
- 25

 27 Account, https://www.vice.com/en_us/article/k7e599/zoom-ios-app-sends-data-to-facebook-evenif-you-dont-have-a-facebook-account
 28

3 http://zoom.us/privacy-and-legal

1 II. Zoom's Misleading Statements About End-to-End Encryption

19. Encryption is the method by which information is converted into secret code that
hides the information's content and meaning. This process converts the original representation of
the information, known as plaintext, into an alternative form known as ciphertext. Only authorized
parties can convert ciphertext back to plaintext and access the original information, generally
through the use of a secure passcode.

20. End-to-end encryption is intended to prevent data from being accessed by anyone
other than by the true sender and recipient. This means that the data is encrypted while being
transmitted to the recipient and the platform provider does not have a means to decrypt it.

10 21. Zoom marketed itself as offering end-to-end encryption. But on March 31, 2020, The 11 Intercept reported that Zoom appeared to employ a simpler form of security in which the data is 12 encrypted when it is being accessed from the meeting endpoints, however the data passes through 13 Zoom's central servers where it is decrypted before being re-encrypted and transmitted to the 14 recipient.4

15 22. On April 1, 2020 Zoom published a blog post stating, "we want to start by 16 apologizing for the confusion we have caused by incorrectly suggesting that Zoom meetings were 17 capable of using end-to-end encryption," explaining that there are instances when Zoom will decrypt 18 the communications of its users in certain circumstances such as when the when their cloud-based 19 recording system is being used.⁵ This would permit an attacker to redirect the data stream from a 20 cloud-recording without breaking into the meeting.

21 23. It was also reported by security research organization Citizen Lab that Zoom uses a 22 single shared key, or password, among all meeting participants and that the password is generated 23 24 25 26 4 Micah Lee, Yael Grauer, Zoom Meetings Aren't End-To-End Encrypted, Despite Misleading *Marketing*, https://theintercept.com/2020/03/31/zoom-meeting-encryption/ 27 5 Oded Gal, The Facts Around Zoom and Encryption For Meetings/Webinars, 28 https://blog.zoom.us/wordpress/2020/04/01/facts-around-zoom-encryption-for-meetings-webinars/ Δ

1 using a weak algorithm susceptible to cracking, and that the passwords are generated not by
2 endpoints, but by company-run servers.6

3 **III.**

Chinese Involvement

4 24. The Citizen Lab report also stated that they observed the transmission of meetings
5 and encryption keys through servers in China. The Chinese government has the authority to compel
6 companies to provide authorities access to their servers. The Citizen Lab report stated that, "Zoom
7 may be legally obligated to disclose the encryption keys to authorities in China."7

8 25. Although Zoom is a Silicon Valley-based company, the report noted that Zoom owns
9 three companies in China through which at least 700 employees are paid to develop Zoom's
10 software.

26. This issue has been recently addressed by Congress. In November 2019, the United 11 12 States Senate introduced a bill to curtail the flow of sensitive information about people in the U.S. 13 to China through large tech companies that provide services to Americans. The bill, named The 14 National Security and Personal Data Protection Act, subjects companies with ties to countries of "national security concern," including China, to a privacy regime that prevents the companies from 15 16 collecting private data on U.S. users beyond what is required to run their services. This concern 17 stems from Chinese laws that require companies to provide their data to Chinese intelligence 18 services.8

19 27. Citizen Lab performed a test of a Zoom meeting with two users, one in the United
20 States and one in Canada. They found that the encryption key was sent to one of the participants
21 from a Zoom server located in Beijing. The report stated that, "[a] company primarily catering to
22

- 23
- ²⁵ 6 Bill Marczak, John Scott-Railton, *Move Fast and Roll Your Own Crypto: A Quick Look at the Confidentiality of Zoom Meetings*, https://citizenlab.ca/2020/04/move-fast-roll-your-own-crypto-a-quick-look-at-the-confidentiality-of-zoom-meetings/
- ²⁵ 7 Bill Marczak, John Scott-Railton, *Move Fast and Roll Your Own Crypto: A Quick Look at the Confidentiality of Zoom Meetings*, https://citizenlab.ca/2020/04/move-fast-roll-your-own-crypto-a-
- quick-look-at-the-confidentiality-of-zoom-meetings/

²⁷ 8 Emily Birnbaum, *GOP senator introduces bill to limit flow of US data to China*,

28 https://thehill.com/policy/technology/470860-gop-senator-introduces-bill-to-limit-flow-of-us-data-to-china

Case 5:20-cv-02691 Document 1 Filed 04/17/20 Page 7 of 23

North American clients that sometimes distributes encryption keys through servers in China is
 potentially concerning, given that Zoom may be legally obligated to disclose th[o]se keys to
 authorities in China." *Id.*

28. The report concluded that, "[a]n app with easily-identifiable limitations in
cryptography, security issues, and offshore servers located in China which handle meeting keys
presents a clear target to reasonably well-resourced nation state attackers, including the People's
Republic of China." *Id.*

8 IV. Recorded Meetings Are Not Secured And Easily Accessible on the Web

9 29. It was reported by the Washington Post on April 3, 2020, that videos recorded
10 through Zoom's software were saved onto a separate online storage space without a password.9

30. Thousands of personal Zoom videos had been left viewable and unprotected,
demonstrating the privacy risks to millions of Americans.

13 31. Zoom uses a standard naming convention for every video recording, therefore a
14 simple online search reveals their recorded videos, available for anyone to access.

32. Videos viewed by The Washington Post included one-on-one therapy sessions, a
training video for healthcare workers that included names and phone numbers, business meetings
that included private company financial statements, and elementary school classes.

33. Zoom said in a statement that it "provides a safe and secure way for hosts to store
recordings" and provides guides for how users can enhance their call security. "Should hosts later
choose to upload their meeting recordings anywhere else, we urge them to use extreme caution and
be transparent with meeting participants, giving careful consideration to whether the meeting
contains sensitive information and to participants' reasonable expectations," the statement said. Five

- 23
- 24 25
- 26

27

9 Drew Harwell, Thousands of Zoom video calls left exposed on open Web,

28 https://www.washingtonpost.com/technology/2020/04/03/thousands-zoom-video-calls-left-exposed-open-web/

people identified in the videos The Washington Post viewed said they had no idea how the footage
 made its way online.10

3 34. Zoom videos are not recorded by default but call hosts can choose to record them
4 and save to Zoom servers. It appears that calls are being recorded without the consent of chat
5 participants.

6 35. Patrick Jackson, the technology chief of the privacy-software company Disconnect 7 and a former researcher for the National Security Agency, who alerted The Post to the exposed data, 8 said that he found the videos by using a free online search engine that scans through open cloud 9 storage space online. One search for recordings using Zoom's default naming convention revealed 10 more than 15,000 results.

11 **V.**

V. Windows Security Risk

36. On March 31, 2020 Bleeping Computer published findings that Zoom lets attackers
steal Microsoft Windows credentials.

37. When users share a hyperlink through the Zoom chat function, and when users click
the link, Windows, by default, will send the user's login name and their password hash, which can
be easily cracked using free tools like Hashcat to dehash and reveal the user's password.11 A remote
hacker could then gain complete access the users Windows computer.

18 38. Additionally, links sent over Zoom chat were able to launch programs on the
19 recipient's computer without requesting permission from the user.

20 VI. Zoom Took Advantage of Users' Computers to Install its App

39. Evidence that Zoom has not taken cybersecurity seriously began to surface in mid2019 when it was discovered that the mac operating system ("macOS") was vulnerable to having
the video camera accessed by visiting a web page that loaded a malicious link.

24

 $25 \mid |_{10}$ Drew Harwell, *Thousands of Zoom video calls left exposed on open Web*,

26 https://www.washingtonpost.com/technology/2020/04/03/thousands-zoom-video-calls-left-exposed-open-web/

27
 11 Lawrence Abrams, Zoom Lets Attackers Steal Windows Credentials, Run Programs via UNC
 28
 28
 28
 28
 28
 28
 29
 20
 20
 20
 21
 21
 22
 23
 24
 25
 26
 27
 27
 28
 29
 20
 20
 21
 21
 22
 23
 24
 24
 25
 26
 27
 27
 28
 29
 20
 20
 20
 21
 21
 22
 23
 24
 24
 25
 26
 27
 27
 28
 29
 20
 20
 21
 21
 22
 23
 24
 25
 26
 27
 28
 29
 20
 20
 21
 21
 22
 23
 24
 24
 24
 24
 24
 25
 26
 27
 28
 29
 20
 20
 21
 21
 22
 23
 24
 24
 24
 24
 24
 24
 24
 24
 25
 26
 27
 28
 28
 29
 20
 20
 21
 21
 22
 24
 24
 24
 24
 24
 24
 24
 24
 24
 24
 24
 24
 24
 24
 24
 24
 24

	Case 5:20-cv-02691 Document 1 Filed 04/17/20 Page 9 of 23
1 2 3 4 5 6 7 8 9 10 11 12 13	 40. In mid-2019, a security researcher named Jonathan Leitschuh posted a report about security flaws with Zoom. The report noted that: The Zoom client app installed a Web server on the user's computer without disclosing it to the user. The Web server bypassed a security improvement in Safari designed to require users to click "Allow" each time a URL with an application-based link was loaded. Instead of prompting, the redirection was captured by Zoom's Web server, which launched the Zoom app without notifying the user. Zoom's Web server lacked basic security features, so an attacker can easily direct a user to a URL or load a URL within a Web page and trigger the Web server to join a Zoom meeting without any prompt. That allows an attacker to hear the user's audio and see the video through the user's video camera. If you removed the Zoom client app, Zoom's Web server remained in place and continued to run. If you subsequently clicked a link to join a Zoom meeting, the Web server would quietly and automatically reinstall and launch the Zoom client. 41. Leitschuh made his findings public on July 8, 2019.12 After this disclosure Zoom
13	removed the Web server from the install process and Apple added the Web server to its "malicious
 14 15 16 17 18 19 20 21 22 23 24 	software" list. 42. On March 30, 2020, Daring Fireball's John Gruber published an article stating that Zoom's macOS installer bypasses the normal process in a standard installer noting that the installation happened in what is called the "preflight" process which permits the app to install without requiring user prompts to proceed. Gruber stated that this was "clearly not an oversight or honest mistake" and that "[i]t's a complete disregard for doing things properly and honestly on Zoom's part. There's no way to check what files will be installed, or where in the computer they will be installed, before their installer has gone ahead and installed them." ¹³ This was designed by Zoom to avoid disclosure and bypass user intent.
25 26 27 28	12 Jonathan Leitschuh, Zoom Zero Day: 4+ Million Webcams & maybe an RCE? Just get them to visit your website!, https://medium.com/bugbountywriteup/zoom-zero-day-4-million-webcams- maybe-an-rce-just-get-them-to-visit-your-website-ac75c83f4ef5 13 John Gruber, Regarding Zoom, https://daringfireball.net/2020/03/regarding_zoom 8 CLASS ACTION COMPLAINT AND DEMAND FOR JURY TRIAL CASE NO

1 VII. One of Zoom's Software Bugs Gave Hackers Full Control Over Computers

2 43. Former NSA hacker and security researcher Patrick Wardle revealed two security 3 flaws that have been discovered and still did not have a fix, known as "zero-day exploits." These 4 bugs are targeted by hackers because there is no way to defend an exploitation of the vulnerability.

5 44. If a hacker has access to control a computer, physically or remotely, they can add malicious code to the Zoom installer giving them full access to the entire computer. They can also 6 7 inject code into the Zoom installation and get it to request that users provide the app access to the 8 computer's camera and microphone, thereby providing the attacker with access to those features of 9 the computer.14

10

VIII. Zoom's Software has Design Flaws That Allow Hackers Access to Private Videoconferences

11

45. One major design flaw by Zoom was having meeting IDs that were nine to eleven-12 digits in length. However, a nine to eleven-digit number is too small relative to the number of 13 meetings being held. Security researchers at Check Point Research probed this weakness by writing 14 a script that generated random numbers in the range Zoom employs and tested it on the Zoom 15 platform. They found that 4% of the randomly generated numbers matched live meeting IDs. Check 16 Point made its findings public on January 28, 2020.15 This weakness is how a hacker can easily gain 17 access to a private videoconference, known as "Zoombombing." Zoombombing is when an 18 unauthorized person or stranger joins a Zoom meeting/chat session and causes disorder by saying 19 offensive things and even photobombing your meeting by sharing pornographic and hate images.¹⁶ 20

- 46. Zoom did not control the amount of times a user could request a Zoom meeting URL, 21 a well-known technology function in the software industry, so the researchers were able to send 22 thousands of URLs to Zoom's server and quickly determine which were actual life meetings. 23
- 24
- 14 Patrick Wardle, The 'S' in Zoom, Stands for Security: Uncovering (local) security flaws in Zoom's 25 *latest macOS client*, https://objective-see.com/blog/blog_0x56.html 26

15 Alexander Chailytko, Zoom-Zoom: We Are Watching You,

https://research.checkpoint.com/2020/zoom-zoom-we-are-watching-you/ 27

16 Kellep Charles, What is Zoombombing and how to defend against it, 28 https://securityboulevard.com/2020/04/what-is-zoombombing-and-how-to-defend-against-it/

1 IX. Zoom Shared LinkedIn Data

47. On April 2, 2020, the New York Times reported that if a Zoom user had signed up
for LinkedIn Sales Navigator, every Zoom participant's name and email address in the chat was
matched against LinkedIn's database and, if they had a LinkedIn profile, they were connected to it.
Any participant who subscribed to the LinkedIn feature could hover over a participant's name to see
their LinkedIn profile card.

7 48. Zoom permanently removed the feature on April 1, 2020 after the New York Times
8 contacted the company about it.

9

X. Zoom's Did Not Obtain User Consent Before Sharing Their Information

49. Zoom disclosed its users' information to undisclosed and unauthorized third parties
without the users' consent.

12 50. The disclosure of a Zoom user's unique advertiser identifier is invasive because
13 advertisers use this information to track data so they can deliver customized advertising. The
14 identifier is used for tracking and identifying a user, allowing the advertiser to identify when users
15 perform certain actions.

16 51. Advertisers use this information to learn more about users, including their behaviors,
17 demographics and preferences. This information has significant economic value. Additionally, this
18 information makes people more vulnerable to identity fraud.

19 52. Zoom's data-sharing activity was not visible to the user, thus Zoom users had no
20 opportunity to express or withhold consent to Zoom's misconduct. Since they could not detect this
21 activity, users of Zoom have no reasonable way of knowing whether their information will be
22 safeguarded or disclosed without their consent.

23 53. Zoom users had no reason to expect that Zoom would transmit their information to
24 undisclosed third parties without their consent to be used to track and target them.

25

XI.

26

Zoom's Absolute Disregard For Standard Security Practices Put Zoom Users' Information at Risk and Ultimately Led to a Data Breach of Users' Credentials

27

54. Zoom's disregard for the security of its users' information put users at risk of identity theft and other dangers.

28

Case 5:20-cv-02691 Document 1 Filed 04/17/20 Page 12 of 23

55. By cutting corners and by neglecting to spend the time, money, resources and effort
 to secure its platform, Zoom greatly increased the risk that its users would suffer harm.

3 56. In fact, Zoom users did suffer harm, as explained in a Forbes article published on
4 April 13, 2020 titled, "500,000 Hacked Zoom Accounts Given Away For Free On The Dark Web."¹⁷

5 57. Cybersecurity researchers were able to contact some of the compromised account
6 holders and they were told that the usernames and passwords found on the dark web were in fact
7 correct. *Id.*

8 58. The various shortcomings in their programming that was described above were
9 simply cost cutting measures to enable Zoom to maximize its bottom line at the expense of users'
10 privacy and security.

59. Zoom is a for profit corporation that has been valued at over \$30 billion dollars. With
vast resources at its disposal, Zoom's failure to protect the welfare of its users which comprise
private individuals and many United States government agencies is inexcusable.

14 60. A Forbes report revealed that U.S. agencies handling the coronavirus response had spent a collective \$1.3 million on Zoom technology in just a few days at the end of March. Not only 15 16 had the Centers for Disease Control and Prevention (CDC) and the Federal Emergency Management 17 Agency (FEMA) spent hundreds of thousands on Zoom for COVID-19-related webinars and calls, 18 but other government agencies had also purchased the technology. That included the State 19 Department and one organization that was the alleged victim of a major Chinese hack, the Office of 20 Personnel Management, in a breach that saw the private data of 21 million Americans leak. The 21 U.K. government is also a well-known user of the tool, hosting critical cabinet meetings over Zoom.18 22

23

²⁴
¹⁷ Lee Matthews, *500,000 Hacked Zoom Accounts Given Away For Free On The Dark Web*, https://www.forbes.com/sites/leemathews/2020/04/13/500000-hacked-zoom-accounts-givenaway-for-free-on-the-dark-web/#603da42c58c5

26 || 18 Thomas Brewster, Why Zoom Really Needs Better Privacy: \$1.4 Million Orders Show The US Government's COVID-19 Response Is Now Relying On It,

27 https://www.forbes.com/sites/thomasbrewster/2020/04/02/why-zoom-really-needs-better-privacy 28 13-million-orders-show-the-us-governments-covid-19-response-is-now-relying-on-

it/#5f30164977e8

- 1 61. Zoom's failure to take adequate measures to secure its users data is inexcusable and
 2 creates liability as described in the claims below.
- 3

CLASS ACTION ALLEGATIONS

4 62. Plaintiff brings this action pursuant to Federal Rule of Civil Procedure 23
5 individually and on behalf of the following Class:

6

7

All individuals who used the Zoom platform in the United States during the time period of four years prior to the filing of the complaint through the present.¹⁹

63. Excluded from each Class are: (1) any Judge or Magistrate presiding over this action
and any members of their families; (2) Defendant, Defendant's subsidiaries, parents, successors,
predecessors, and any entity in which Defendant or its parent has a controlling interest and their
current or former employees, officers, and directors; (3) persons who properly execute and file a
timely request for exclusion from the Class; (4) persons whose claims in this matter have been
finally adjudicated on the merits or otherwise released; (5) Plaintiff's counsel and Defendant's

64. Ascertainability: Membership of the Class is defined based on objective criteria,
and individual members will be identifiable from Defendant's records, including the Zoom
accounts.

18
65. Numerosity: The exact number of members of the Class is unknown and unavailable
19
to Plaintiff at this time, but individual joinder in this case is impracticable. The Class likely consists
20
21
22
23
24
25
26
27
28
29
29
20
20
20
21
21
22
23
24
24
25
26
27
28
29
29
20
20
20
21
21
22
23
24
24
25
26
27
28
29
29
20
20
20
21
21
22
23
24
24
25
26
27
28
29
29
20
20
21
21
21
22
23
24
24
25
26
27
27
28
29
29
20
20
21
21
21
21
22
23
24
24
25
26
27
27
28
29
29
20
21
21
21
21
21
21
22
23
24
24
24
25
26
27
27
28
29
29
20
21
21
21
21
21
21
22
23
24
24
25
26
27
27
28
29
29
20
21
21
21
21
21
21
21
21
22
23
24
24
25
26
27
27
28
28
29
29
20
21
21
21</

66. Predominant Common Questions: The Class' claims present common questions
of law and fact, and those questions predominate over any questions that may affect individual Class
members. Common questions for the Class include, but are not limited to, the following:

Whether Defendant violated Plaintiff's and Class members' privacy rights;

25

a.

26

b. Whether Defendant acted negligently;

27

19 Plaintiff has defined the Class based on currently available information and hereby reserves the right to amend the definition of the Class, including, without limitation, the Class Period.

12	
CLASS ACTION COMPLAINT AND DEMAND FOR JURY TRIAL CASE NO	

1

24

2 egregious breaches of social norms; 3 d. Whether Plaintiff and the Class members were harmed: Whether Defendant and Plaintiff formed implied contracts; 4 e. 5 f. Whether Defendant breached implied contracts with Plaintiff and the Class 6 members: 7 Whether Defendant's conduct was unfair; g. 8 h. Whether Defendant's conduct was fraudulent; 9 i. Whether Defendant omitted or misrepresented material facts regarding the 10 information of Plaintiff and Class members it shared with third parties, including Facebook; 11 Whether Plaintiff and the Class members are entitled to equitable relief, j. including but not limited to, injunctive relief, restitution, and disgorgement; and, 12 13 k. Whether Plaintiff and the Class members are entitled to actual, statutory, 14 punitive or other forms of damages, and other monetary relief. 15 67. **Typicality:** Plaintiff's claims are typical of the claims of the other members of the 16 proposed Class. Defendant's conduct that gave rise to the claims of Plaintiff and the members of 17 the Class is the same for all members of the Class. 18 68. Adequate Representation: Plaintiff has and will continue to fairly and adequately 19 represent and protect the interests of the Class. Plaintiff has retained counsel competent and 20 experienced in complex litigation and class actions, including privacy violations. Plaintiff has no 21 interest that is antagonistic to those of the Class, and Defendant has no defenses unique to Plaintiff. 22 Plaintiff and his counsel are committed to vigorously prosecuting this action on behalf of the 23 members of the Class, and they have the resources to do so. Neither Plaintiff nor his counsel have

69. Substantial Benefits: This class action is appropriate for certification because class
proceedings are superior to other available methods for the fair and efficient adjudication of this
controversy and joinder of all members of the Class is impracticable. This proposed class action
presents fewer management difficulties than individual litigation, and provides the benefits of single

any interest adverse to those of the other members of the Class.

13

adjudication, economies of scale, and comprehensive supervision by a single court. Class treatment
 will create economies of time, effort, and expense and promote uniform decision-making.

3 70. Plaintiff reserves the right to revise the foregoing class allegations and definitions
4 based on facts learned and legal developments following additional investigation, discovery, or
5 otherwise.

6

CALIFORNIA LAW APPLIES TO THE ENTIRE CLASS

7 71. California's substantive laws apply to every member of the Class, regardless of
8 where in the United States the Class member resides. The State of California has sufficient contacts
9 to Defendant's relevant conduct for California law to be uniformly applied to the claims of the Class.
10 The application of California law to all relevant Class members comports with the Due Process
11 Clause given the significant aggregation of contacts between Defendant's conduct and California.

12

72. Zoom is headquartered and does substantial business in California.

13 73. A significant percentage of the Class members are located in California and a
14 substantial portion of Zoom's unlawful conduct was conducted in California.

15 74. The conduct that forms the basis for each Class member's claims against Zoom
16 emanated from Zoom's headquarters in San Jose, California, including Zoom's misrepresentations
17 and omissions regarding data privacy. Zoom instructs users with questions about privacy to contact
18 Zoom at an address in San Jose.

19 75. California has a greater interest than any other state in applying its law to the claims
20 at issue in this case. California has a strong interest in preventing its resident corporations from
21 engaging in unfair and deceptive conduct and in ensuring that harm inflicted on resident consumers
22 is redressed. California's interest in preventing unlawful corporate behavior occurring in California
23 substantially outweighs any interest of any other state in denying recovery to its residents injured
24 by an out of state defendant or in applying its laws to conduct occurring outside its borders.

76. The application of California laws to the Class is also appropriate under California's
choice of law rules because California has significant contacts to the claims of Plaintiff and the
proposed Class, and California has a greater interest in applying its laws here than any other
interested state.

14 CLASS ACTION COMPLAINT AND DEMAND FOR JURY TRIAL CASE NO. _____

	Case 5:20-cv-02691 Document 1 Filed 04/17/20 Page 16 of 23
1	CLAIMS FOR RELIEF
2	FIRST CLAIM FOR RELIEF Negligence
3	(On Behalf of Plaintiff and the Class)
4	77. Plaintiff re-alleges and incorporates the preceding allegations of this Complaint with
5	the same force and effect as if fully restated herein.
6	78. Defendant provided services to Plaintiff and the Class Members, including the ability
7	to participate in videoconferences that Zoom marketed and represented as secured. Plaintiff's and
8	the Class members' use of Zoom was predicated on the understanding that Zoom would take
9	appropriate measures to protect their information. Zoom had a special relationship with Plaintiff and
10	the Class members as a result of being entrusted with their content and information, which created
11	a duty of care between Zoom and the Class.
12	79. Defendant owed a duty to Plaintiff and the Class to exercise reasonable care in
13	implementing and maintaining reliable security systems and practices to ensure the safety of
14	Plaintiff and the Class members' information by securing their information using reasonable and
15	accepted methods, and by not disclosing, this information to third parties, like Facebook, without
16	informed consent.
17	80. Defendant breached its duties by, failing to implement and maintain reasonable
18	security protections for users and by disclosing personal user information to third parties, like
19	Facebook, without the consent of its users.
20	81. But for Defendant's actions and breaches of its duties, Plaintiff's and Class members'
21	information would be secure. Third parties, like Facebook, would not have gained access to users'
22	information and users' Zoom credentials would not have wound up on the dark web for hackers to
23	exploit.
24	82. It was foreseeable that Defendant's conduct as alleged herein would harm Plaintiff
25	and the Class. Plaintiff knew or should have known that its inability to adequately protect user
26	
27	
28	15
	CLASS ACTION COMPLAINT AND DEMAND FOR JURY TRIAL CASE NO

Case 5:20-cv-02691 Document 1 Filed 04/17/20 Page 17 of 23

information, and sharing information with third parties, like Facebook, would cause harm to Plaintiff
 and the Class.

3 83. Defendant's breach as alleged herein directly and proximately resulted in Plaintiff's
4 and the Class' injuries.

5 84. As a result of Defendant's breach, Plaintiff and the Class have been damaged in the
6 amount to be determined at trial.

SECOND CLAIM FOR RELIEF Breach of Implied Contract (On Behalf of Plaintiff and the Class)

9 85. Plaintiff re-alleges and incorporates the preceding allegations of this Complaint with
10 the same force and effect as if fully restated herein.

11 86. Defendant offered its videoconferencing capabilities to Plaintiff and the Class
 12 Members. In exchange, Defendant received benefits in the form of monetary payments and access
 13 to Plaintiff's valuable personal information.

87. Defendant has acknowledged these benefits and accepted or retained them.

15 88. Implicit in the exchange of the products and services for the benefits provided by
16 Plaintiff and the Class members is an agreement that Defendant would safeguard their personal
17 information.

¹⁸ 89. Without such implied contracts, Plaintiff and the Class members would not have
 ¹⁹ conferred benefits on Defendant, but rather would have chosen an alternative videoconference
 ²⁰ platform that did not fail to protect their information, or intentionally share their information with
 ²¹ undisclosed and unauthorized parties.

90. Plaintiff and Class members fully performed their obligations under their implied
 contracts with Defendant, but Defendant did not perform its obligations.

²⁴ 91. Defendant breached its implied contracts with Plaintiff and the Class Members when
 ²⁵ it failed to protect their information, and when it disclosed their information to unauthorized third
 ²⁶ parties like Facebook. These circumstances are such that it would be inequitable for Defendant to
 ²⁷ retain the benefits received.

28

7

8

14

Case 5:20-cv-02691	Document 1	Filed 04/17/20	Page 18 of 23

92. As a direct and proximate result of defendant's breach of its implied contracts with
 Plaintiff and the Class members, Plaintiff and the Class members have suffered and will continue to
 suffer injury.

4 93. Had Plaintiff and the Class members known the truth about Zoom's failure to protect
5 their information, and Zoom's undisclosed sharing of their information with third parties, they
6 would not have entrusted their information to Zoom and would not have been willing to use, or pay
7 for, Zoom's videoconferencing services.

8 94. Plaintiff and Class members did not receive the benefit of their bargain with Zoom
9 because they paid for a value of services, either through information or a combination of their
10 information and money, they did not receive the privacy protections that Zoom should have
11 provided.

12

13

14

THIRD CLAIM FOR RELIEF Violation of the California Consumer Privacy Act Cal. Civ. Code § 1798.100 *et seq.* (On Behalf of Plaintiff and the Class)

15 95. Plaintiff re-alleges and incorporates the preceding allegations of this Complaint with
16 the same force and effect as if fully restated herein.

17 96. California's Consumer Privacy Act ("CCPA") protects consumers' personal
18 information from collection and use by businesses without consumers' notice and consent.

97. Defendant violated the CCPA by using customers' information without providing
the required notice under the CCPA. *See* Cal. Civ Code § 1798.100(b). Defendant did not notify
Plaintiff and the Class members that it was disclosing their information to unauthorized parties.

98. Defendant also violated the CCPA by failing to provide notice to its customers of
their right to opt-out of the disclosure of their information to unauthorized third parties. *See* Cal.
Civ. Code § 1798.120(b). Defendant did not give Plaintiff and the Class members the opportunity
to opt out before it provided their information to unauthorized parties.

99. Defendant further violated the CCPA by failing to adequately protect Plaintiff's and
Class members' information from data breach, and to prevent Plaintiff's and Class members'
unencrypted and unredacted personal information from unauthorized disclosure. This failure was a

Case 5:20-cv-02691 Document 1 Filed 04/17/20 Page 19 of 23

result of Defendant's violation of its duty to implement and maintain reasonable security procedures
 and practices appropriate to the nature of the information of Plaintiff and Class members. *See* Cal.
 Civ. Code 1798.150(a).

4 100. As a direct and proximate result of the Defendant's acts, Plaintiff's and Class
5 members' personal information was subjected to a data breach, and subject to unauthorized
6 disclosure through the Zoom app where personal information was regularly collected and sent to
7 third parties without authorization.

8 101. As a direct and proximate result of Defendant's acts, Plaintiff and the Class members 9 were injured and lost money or property, including but not limited to the leak of their personal 10 information in the data breach, along with information and/or the price received by Defendant for 11 the services, the loss of the Class members' legally protected interest in the confidentiality and 12 privacy of their personal information, nominal damages and additional losses.

13 102. Defendant knew or should have known that Zoom's security practices were
14 inadequate to safeguard the Class members' personal information and that the risk of unauthorized
15 disclosure was highly likely. Defendant failed to implement and maintain reasonable security
16 procedures and practices appropriate to the nature of the information.

17 103. Plaintiff seeks injunctive relief in the form of an order enjoining Defendant from
18 continuing to violate the CCPA, as well as statutory and actual damages on behalf of himself and
19 the class.

20 104. Plaintiff has also provided written notice to Defendant identifying the specific
21 provisions of the CCPA it has violated. If Defendant fails to respond to Plaintiff's notice letter or
22 agree to adequately cure the violations described herein (and to certify that no further violations will
23 occur), Plaintiff will also seek statutory damages on behalf of himself and the class.

- 24
- 25
- 26

27
 105. Plaintiff re-alleges and incorporates the preceding allegations of this Complaint with
 28
 28
 28
 28

FOURTH CLAIM FOR RELIEF Violation of California Unfair Competition Law ("UCL")

> Bus. & Prof. Code § 17200 (On Behalf of Plaintiff and the Class)

1 106. California's UCL prohibits any "unlawful, unfair, or fraudulent business act or
 2 practice and unfair, deceptive, untrue or misleading advertising." *See* Cal. Bus. & Prof. Code §
 3 17200.

4 107. Defendant engaged in unlawful activity prohibited by the UCL. The actions of
5 Defendant as alleged herein constitute unlawful and unfair business practices within the meaning of
6 the UCL.

7 108. Defendant's acts, as described herein, are "fraudulent" because they are likely to
8 deceive the general public.

9 109. Defendant's business practices, as alleged herein, violate the "unfair" prong of the
10 UCL because they offend established public policy and are immoral, unethical and unscrupulous or
11 substantially injurious to consumers.

12 110. The reasons, justifications, or motives that Defendant may offer for the acts and
13 omissions described herein are outweighed by the gravity of harm to the victims. The injuries
14 suffered by Plaintiff and the Class members are substantial and are not outweighed by any
15 countervailing benefits to consumers or competition.

16 111. Defendant's business practices described herein also violate the UCL because
17 Defendant: falsely represented that its goods or services are of a particular standard when they are
18 of another; advertised its goods or services with the intent not to sell them as advertised; falsely
19 represented the subject of a transaction that was supplied, and made material omissions regarding
20 its safeguarding of user information.

112. Had users, including Plaintiff, known the truth about Zoom's information sharing
practices they would not have entrusted their information to Zoom and would not have been willing
to use, pay for, or pay as much for, Zoom's products. As such, Plaintiff and class members did not
receive the benefit of their bargain with Zoom because they paid for a value of services, either
through information or a combination of information and money and did not receive the protections
they expected.

27 113. As a result of Defendant's unfair business practices, Plaintiff and the Class members
28 suffered injury.

1 2	Unjust Enrichment				
3	3 114. Plaintiff re-alleges and incorporate the preceding allegations of this C	Complaint with			
4	4 the same force and effect as if fully restated herein.				
5	5 115. Defendant has profited and benefited from the use of its videoconfere	encing services			
6	$6 \parallel$ by Plaintiff and the Class in exchange for monetary benefits and access to user inform	mation.			
7	7 116. Defendant has voluntarily accepted and retained these benefits and p	rofits with full			
8	$\frac{8}{8}$ knowledge and awareness that, as a result of the misconduct and omissions des	scribed herein,			
9	9 Plaintiff and the Class members did not receive products of the quality, nature, fi	tness or value			
10	0 represented by Defendant and that reasonable consumers expected.				
11	1 117. Defendant has been unjustly enriched by its withholding and rete	ntion of these			
12	$\frac{2}{2}$ benefits at the grave expense of plaintiff and the Class Members.				
13	³ 118. Equity and justice prohibit Defendant from retaining these profits and	l benefits.			
14	4 119. Plaintiff and the Class members suffered injury as a direct and proxi	imate result of			
15	⁵ Defendant's unjust enrichment and seek an order directing Defendant to disgorge the	se benefits and			
16	$6 \mid $ pay restitution to Plaintiff and the Class members.				
17	7 PRAYER FOR RELIEF				
18	⁸ WHEREFORE, Plaintiff on behalf of himself and the proposed Class respect	fully requests			
19	⁹ that the Court enter an order:				
20	0 A. Certifying the Class and appointing Plaintiff as Class Representative;				
21	1B.Finding that Defendant's conduct was unlawful as alleged herein;				
22	2 C. Awarding such injunctive and other equitable relief as the Court d	eems just and			
23	³ proper;				
24	D. Awarding Plaintiff and the Class members statutory, actual,	compensatory,			
25	consequential, punitive, and nominal damages;				
26	E. Awarding Plaintiff and the Class members pre-judgment and post-judg	gment interest;			
27	F. Awarding Plaintiff and the Class members reasonable attorneys' fe	ees, costs, and			
28	expenses, and				
	20 CLASS ACTION COMPLAINT AND DEMAND FOR JURY TRIAL CASE NO				

	Case 5:20-cv-02691 Document 1 Filed 04/17/20 Page 22 of 23
1	G. Granting such other relief as the Court deems just and proper.
2	DEMAND FOR JURY TRIAL
3	Plaintiff demands a trial by jury for all issues so triable.
4	r minure demands a drar by jury for an issues so drable.
5	
6	Dated: April 17, 2020
	SCHUBERT JONCKHEER & KOLBE LLP
7	/s/Willem F. Jonckheer
8	Willem F. Jonckheer
9	ROBERT C. SCHUBERT S.B.N. 62684
10	NOAH M. SCHUBERT S.B.N. 278696 KATHRYN Y. MCCAULEY S.B.N. 265803
11	SCHUBERT JONCKHEER & KOLBE LLP
12	Three Embarcadero Center, Suite 1650 San Francisco, California 94111
13	Telephone: (415) 788-4220
14	Facsimile: (415) 788-0161
15	rschubert@sjk.law wjonckheer@sjk.law
	nschubert@sjk.law
16	kmccauley@sjk.law
17	LOWEY DANNENBERG, P.C.
18	CHRISTIAN LEVIS (<i>pro hac vice</i> forthcoming) HENRY KUSJANOVIC (<i>pro hac vice</i> forthcoming)
19	AMANDA FIORILLA (<i>pro hac vice</i> forthcoming) LOWEY DANNENBERG, P.C.
20	44 South Broadway, Suite 1100
21	White Plains, NY 10601 Telephone: (914) 997-0500
22	Facsimile: (914) 997-0035
23	clevis@lowey.com hkusjanovic@lowey.com
	afiorilla@lowey.com
24	LOWEY DANNENBERG, P.C.
25	Anthony M. Christina (<i>pro hac vice</i> forthcoming)
26	One Tower Bridge 100 Front Street, Suite 520
27	West Conshohocken, PA 19428
28	Tel.: (215) 399-4770 Fax: (914) 997-0035
	21
	CLASS ACTION COMPLAINT AND DEMAND FOR JURY TRIAL CASE NO

	Case 5:20-cv-02691 Document 1 Filed 04/17/20 Page 23 of 23
1	achristina@lowey.com
2	Attorneys for Plaintiff
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19 20	
20	
21	
23	
24	
25	
26	
27	
28	
	22 CLASS ACTION COMPLAINT AND DEMAND FOR JURY TRIAL
	CASE NO

JS-CAND 44 (Rev. 07/19) Case 5:20-cv-02691 Document 1-1 Filed 04/17/20 Page 1 of 2 CIVIL COVER SHEET

The JS-CAND 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved in its original form by the Judicial Conference of the United States in September 1974, is required for the Clerk of Court to initiate the civil docket sheet. *(SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)*

I. (a) PLAINTIFFS		DEFENDA	NTS				
Lishomwa Henry, individually and on behalf of all others similarly situate	ed,	ZOOM VIDEO COMMUNICATIONS, INC., a Delaware corporation,					
(b) County of Residence of First Listed Plaintiff (EXCEPT IN U.S. PLAINTIFF CASES)		County of Residence of First Listed Defendant Santa Clara, CA (IN U.S. PLAINTIFF CASES ONLY)					
Queens County, NY		NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.					
(c) Attorneys (Firm Name, Address, and Telephone Number)		Attorneys (If Kn	nown)				
Schubert Jonckheer & Kolbe LLP 3 Embarcadero Center, Suite 1650 San Francisco, CA 94111							
II. BASIS OF JURISDICTION (Place an "X" in One Box Only)		ZENSHIP OI Diversity Cases Only		PAL PA	ARTIES (Place an "X" in One Bo and One Box for Defend		aintiff
			PTF	DEF		PTF	DEF
1 U.S. Government Plaintiff 3 Federal Question (U.S. Government Not a Party)	Citizen o	of This State	1	1	Incorporated or Principal Place of Business In This State	4	4
2 U.S. Government Defendant 4 Diversity (Indicate Citizenship of Parties in Item III)	Citizen o	of Another State	2	2	Incorporated <i>and</i> Principal Place of Business In Another State	5	5
(macue Chizenship of Farites in hem 11)	Citizen o Foreign	or Subject of a Country	3	3	Foreign Nation	6	6

IV. NATURE OF SUIT (Place an "X" in One Box Only)

CONTRACT TORTS		FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES			
CONTRACT 110 Insurance 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of Overpayment Of Veteran's Benefits 151 Medicare Act 152 Recovery of Defaulted Student Loans (Excludes Veteran's Benefits 160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability 196 Franchise REAL PROPERTY 210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property	TOI PERSONAL INJURY 310 Airplane Product Liability 315 Airplane Product Liability 320 Assault, Libel & Slander 330 Federal Employers' Liability 340 Marine 345 Marine Product Liability 350 Motor Vehicle 355 Motor Vehicle Product Liability 360 Other Personal Injury 362 Personal Injury -Medical Malpractice CIVIL RIGHTS 440 Other Civil Rights 441 Voting 442 Employment 443 Housing/ Accommodations 445 Amer. w/Disabilities- Employment 446 Amer. w/Disabilities- Employment 448 Education	PERSONAL INJURY 365 Personal Injury – Product Liability 367 Health Care/ Pharmaceutical Personal Injury Product Liability 368 Asbestos Personal Injury Product Liability 368 Asbestos Personal Injury Product Liability 368 Asbestos Personal Injury Product Liability 9ERSONAL PROPERTY 370 Other Fraud 371 Truth in Lending 380 Other Personal Property Damage 385 Property Damage Product Liability PRISONER PETITIONS HABEAS CORPUS 463 Alien Detainee 510 Motions to Vacate Sentence 530 General 535 Death Penalty OTHER 540 Mandamus & Other 555 Prison Condition 560 Civil Rights 555 Prison Condition	FORFEITURE/PENALTY 625 Drug Related Seizure of Property 21 USC § 881 690 Other LABOR 710 Fair Labor Standards Act 720 Labor/Management Relations 740 Railway Labor Act 751 Family and Medical Leave Act 790 Other Labor Litigation 791 Employee Retirement Income Security Act IMMIGRATION 462 Naturalization Application 465 Other Immigration Actions	BANKRUPTCY 422 Appeal 28 USC § 158 423 Withdrawal 28 USC § 157 PROPERTY RIGHTS 820 Copyrights 830 Patent 835 Patent-Abbreviated New Drug Application 840 Trademark SOCIAL SECURITY 861 HIA (1395ff) 862 Black Lung (923) 863 DIWC/DIWW (405(g)) 864 SSID Title XVI 865 RSI (405(g)) FEDERAL TAX SUITS 870 Taxes (U.S. Plaintiff or Defendant) 871 IRS-Third Party 26 USC § 7609	OTHER STATUTES 375 False Claims Act 376 Qui Tam (31 USC § 3729(a)) 400 State Reapportionment 410 Antitrust 430 Banks and Banking 450 Commerce 460 Deportation 470 Racketeer Influenced & Corrupt Organizations 480 Consumer Credit 485 Telephone Consumer Protection Act 490 Cable/Sat TV 850 Securities/Commodities/ Exchange 890 Other Statutory Actions 891 Agricultural Acts 893 Environmental Matters 895 Freedom of Information Act 896 Arbitration 899 Administrative Procedure Act/Review or Appeal of Agency Decision 950 Constitutionality of State Statutes		
V. ORIGIN (Place an "X" in One Box Only) 1 Original Proceeding 2 Removed from State Court 3 Remanded from Appellate Court 4 Reinstated or Reopened 5 Transferred from Another District (specify) 6 Multidistrict Litigation-Transfer 8 Multidistrict Litigation-Direct File VI. CAUSE OF ACTION Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): 6 Multidistrict Litigation-Transfer 8 Multidistrict Litigation-Direct File VI. CAUSE OF ACTION Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): 6 Multidistrict Litigation-Transfer 8 Multidistrict Litigation-Direct File VI. CAUSE OF ACTION Cite the U.S. Civil Statute 28 USC 1332(d) Brief description of cause: Diversity Statute 28 USC 1332(d) Brief description of cause: California Consumer Privacy Act, Unfair Competition Law VII. REQUESTED IN COMPLAINT: CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, Fed. R. Civ. P. DEMAND \$ CHECK YES only if demanded in complaint: JURY DEMAND: Yes No							
VIII. RELATED CAS IF ANY (See instru		Lucy H. Koh	DOCKET NUMBER	5:20-cv-02155-LHK			
IX. DIVISIONAL ASSIGNMENT (Civil Local Rule 3-2) (Place an "X" in One Box Only) SAN FRANCISCO/OAKLAND SAN JOSE EUREKA-MCKINLEYVILLE							

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS-CAND 44

Authority For Civil Cover Sheet. The JS-CAND 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved in its original form by the Judicial Conference of the United States in September 1974, is required for the Clerk of Court to initiate the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I.** a) **Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)."
- **II.** Jurisdiction. The basis of jurisdiction is set forth under Federal Rule of Civil Procedure 8(a), which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.
 - (1) United States plaintiff. Jurisdiction based on 28 USC §§ 1345 and 1348. Suits by agencies and officers of the United States are included here.
 - (2) <u>United States defendant</u>. When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.
 - (3) Federal question. This refers to suits under 28 USC § 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.
 - (4) <u>Diversity of citizenship</u>. This refers to suits under 28 USC § 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; NOTE: federal question actions take precedence over diversity cases.)
- **III. Residence (citizenship) of Principal Parties.** This section of the JS-CAND 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- **IV.** Nature of Suit. Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerk(s) in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.
- V. Origin. Place an "X" in one of the six boxes.
 - (1) Original Proceedings. Cases originating in the United States district courts.
 - (2) <u>Removed from State Court</u>. Proceedings initiated in state courts may be removed to the district courts under Title 28 USC § 1441. When the petition for removal is granted, check this box.
 - (3) <u>Remanded from Appellate Court</u>. Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.
 - (4) <u>Reinstated or Reopened</u>. Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.
 - (5) <u>Transferred from Another District</u>. For cases transferred under Title 28 USC § 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.
 - (6) <u>Multidistrict Litigation Transfer</u>. Check this box when a multidistrict case is transferred into the district under authority of Title 28 USC § 1407. When this box is checked, do not check (5) above.
 - (8) <u>Multidistrict Litigation Direct File</u>. Check this box when a multidistrict litigation case is filed in the same district as the Master MDL docket.

Please note that there is no Origin Code 7. Origin Code 7 was used for historical records and is no longer relevant due to changes in statute.

- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC § 553. <u>Brief Description</u>: Unauthorized reception of cable service.
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Federal Rule of Civil Procedure 23.

Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction.

Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.

- VIII. Related Cases. This section of the JS-CAND 44 is used to identify related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.
- IX. Divisional Assignment. If the Nature of Suit is under Property Rights or Prisoner Petitions or the matter is a Securities Class Action, leave this section blank. For all other cases, identify the divisional venue according to Civil Local Rule 3-2: "the county in which a substantial part of the events or omissions which give rise to the claim occurred or in which a substantial part of the property that is the subject of the action is situated."

Date and Attorney Signature. Date and sign the civil cover sheet.