# UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF NEW YORK

HENRY H. HEUMANN, individually and on behalf of all others similarly situated,	Civil No.	6:19-CV-10// (GLS/1 WD)
Plaintiff,	Judge	
v.		
WOODSTREAM CORPORATION, a Pennsylvania corporation,		
Defendant.		

**CLASS ACTION COMPLAINT** 

Plaintiff Henry H. Heumann ("Plaintiff") brings this class action complaint against Defendant Woodstream Corporation ("Defendant" or "Woodstream"), individually and on behalf of all others similarly situated, and alleges upon personal knowledge as to Plaintiff's acts and experiences, and, as to all other matters, upon information and belief, including investigation conducted by Plaintiff's attorneys.

# **NATURE OF THE ACTION**

- 1. This is a consumer protection class action arising out of Defendant's false and misleading advertising of its ultrasonic rodent repeller products.
- 2. Defendant markets, sells and distributes a line of ultrasonic mouse and rat repellers under the "VICTOR®" and "VICTOR® PESTCHASER®" brand names (collectively, "Victor Repellers"). Defendant represents and sells the Victor Repellers for a single purpose, which is to effectively drive away and deter rodents from your home without the use of toxic chemicals. Defendant's advertising claims, however, are false, misleading, and reasonably likely to deceive the public.
- 3. Each Victor Repeller in Defendant's ultrasonic rodent repeller line, through labeling and packaging, and through Defendant's other advertising and marketing materials, communicates the same substantive message to consumers: that the Victor Repellers provide an effective and nontoxic rodent control that will keep mice and rats from infesting your home. Defendant conveys this uniform rodent deterrent message through its coordinated advertising campaign through which Defendant represents that all a consumer has to do is "plug it in" and the Victor Repellers will "effectively drive[] away rodents by emitting a highly irritating noise that can only be heard by rodents."

- 4. Defendant bolsters its effectivity claims by representing to the public that the efficacy of its Victor Repellers has been proven in the lab and in the field. These representations are designed to induce consumers to believe that Defendant's Victor Repellers effectively repels, reduces and eliminates rodents. The claimed effectiveness as a rodent repeller without the use of toxic chemicals is the only reason a consumer would purchase Victor Repellers.
- 5. Defendant's Victor Repellers, however, do not deter or repel mice or rats from infesting a home: commercially available ultrasonic technology simply does not work as a rodent repeller. Multiple scientific studies over a course of several decades have consistently shown that "commercially available ultrasonic pest devices for use in residential applications have not been shown to be effective." These studies apply to Defendant's ultrasonic Victor Repellers and their results constitute material facts to the reasonable consumer who purchases Victor Repellers under a false belief they actually repel rodents.
- 6. In light of this overwhelming scientific research, the Federal Trade Commission ("FTC") issued warning letters to more than 60 manufacturers and retailers of ultrasonic pest-control devices. The FTC specifically cautioned manufacturers of ultrasonic rodent repellers that advertisements that market their products' ability to control rodent infestations may be false and deceptive and expose the manufacturers to legal action. Manufacturers were cautioned to have competent and reliable scientific evidence to support such claims.
- 7. Defendant's Victor Repellers have been proven ineffective and Defendant has ignored the FTC's warnings. Defendant's rodent repeller efficacy representations are false, misleading and deceptive, and its Victor Repellers are worthless.

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See Press Release, FTC Warns Manufacturers and Retailers of Ultrasonic Pest-control Devices, (May 3, 2001), https://www.ftc.gov/news-events/press-releases/2001/05/ftc-warns-manufacturers-and-retailers-ultrasonic-pest-control.

8. Plaintiff brings this action individually and on behalf of all other similarly situated consumers to halt the dissemination of Defendant's false and misleading representations, correct the false and misleading perception Defendant's representations have created in the minds of consumers, and to obtain redress for those who have purchased any of Defendant's Victor Repellers.

# **JURISDICTION AND VENUE**

- 9. The Court has original jurisdiction under 28 U.S.C. § 1332(d)(2) because the matter in controversy, exclusive of interest and costs, exceeds the sum or value of \$5,000,000 and is a class action in which there are in excess of 100 class members, and some of the members of the class are citizens of states different from Defendant.
- 10. This Court has personal jurisdiction over Defendant because Defendant conducts business in New York. Defendant has marketed, promoted, distributed, and sold the Victor Repellers in New York, rendering exercise of jurisdiction by New York courts permissible.
- 11. Venue is proper in this Court pursuant to 28 U.S.C. §§ 1391(a) and (b) because a substantial part of the events and omissions giving rise to Plaintiff's claims occurred in this district. Venue also is proper under 18 U.S.C. § 1965(a) because Defendant transacts substantial business in this district.

### **PARTIES**

12. Plaintiff Henry Heumann is a citizen of the State of Florida, but maintains a vacation home in Herkimer County, New York, where events giving rise to these proceedings arose. Over a period of several years, Mr. Heumann purchased 59 Rodent Repellers. Relying on Woodstream's representations, Plaintiff purchased the product for approximately \$14.99 for the

Classic Pestchaser and \$19.99 for a three-pack of the Victor Pestchaser Mini. By purchasing the falsely advertised product, Plaintiff suffered injury-in-fact and lost money.

- 13. In October 2017, Mr. Heumann mailed 10 Rodent Repellers to Woodstream and informed Defendant that the units failed to operate as advertised. Defendant informed Mr. Heumann that the Rodent Repellers he sent back purportedly functioned properly and recommended using the Rodent Repellers in conjunction with other means of rodent control. In May 2019, Mr. Heumann again informed Woodstream that its Rodent Repellers have not accomplished their advertised function of repelling rodents, specifically mice, and that mice have destroyed his personal property. Mr. Heumann also informed Defendant that he has spoken with other users of Victor Repellers who reported that their Victor Repellers also did not work as advertised and did not repel any rodents.
- 14. The Victor Repellers Plaintiff purchased, like all Woodstream Victor Repellers, cannot provide the advertised benefits because commercially available ultrasonic rodent repellers fail to perform their only intended purpose to repel rodents. Had Plaintiff known the truth about Defendant's misrepresentations and omissions at the time of purchase, Plaintiff would not have purchased Defendant's Victor Repellers.
- 15. Woodstream Corporation is a Pennsylvania corporation with its principal place of business located at 60 N. Locust Street, Lititz, Pennsylvania 17543. Vestar Capital Partners, a private equity firm, acquired Woodstream in 2015. Defendant advertises, markets, distributes, and sells the Victor Repellers to tens of thousands of consumers in New York and throughout the United States.

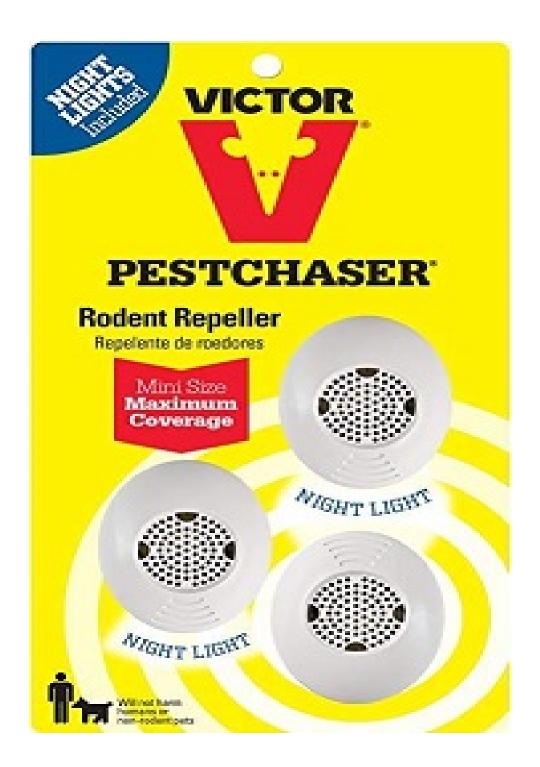
# **FACTUAL ALLEGATIONS**

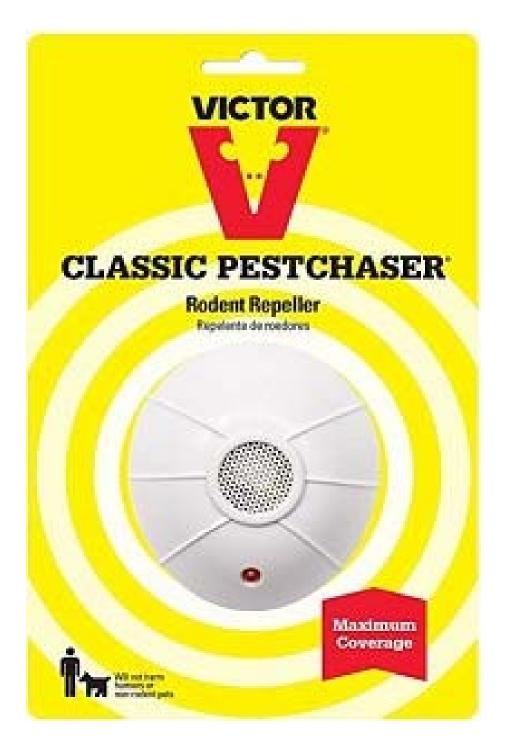
# I. Defendant's Victor Repellers

- 16. Defendant advertises Victor Repellers as a humane, non-toxic and effective alternative to common rodent control methods like poison and snap and glue traps. Victor Repellers may be purchased at retail locations throughout the United States, directly from Defendant through its website, https://www.victorpest.com/store, and on e-commerce website giants like Amazon.com.
- 17. The sole purported rodent deterring aspect in the Victor Repellers is the ultrasonic sound wave that varies in volume and frequency.

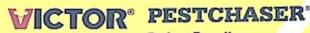
# II. Defendant's False and Deceptive Advertising

- 18. Defendant's website, advertisements, product catalogues, and packaging and labeling have consistently conveyed to consumers throughout the United States that Victor Repellers effectively deter mice and rats.
- 19. The front packaging panels for the Victor Mini M753SN Ultrasonic Rodent Repeller and the Victor Sonic PestChaser Ultrasonic Rodent Repeller appear as follows:





20. On the back of the packaging, Defendant expressly claims that the Victor Repellers' "High frequency ultrasound repels rodents." The back of the package appear as follows:



# **Rodent Repeller**

Repelente de roedores

#### INSTRUCTIONS

Plug PestChaser® into lower socket of 110V-AC wall outlet. Clear all surrounding objects. PestChaser® ultrasound does not travel through walls, furniture, curtains or other objects. PestChaser® is ideal for average size rooms like living rooms, bedrooms, dining rooms, kitchens, etc.

#### PESTCHASER® TECHNOLOGY

- . High frequency ultrasound repels rodents.
- PestChaser® emits ultrasound at varying volumes (peaking at 100dB) and varying frequencies (ranging from 32 to 62 kHz).
- . This technology prevents rodents from becoming accustomed to the ultrasound.



Economical: PestChaser® uses less than a penny a day in energy costs

Easy to Use: Plugs into any wall outlet Clean & Humane: No chemicals or poisons

Pet-Friendly: Cannot be heard by humans and non-rodent pets

Convenient: Use anywhere indoors

CAUTION: DO NOT use a PestChaser® around rodent pets such as gerbils. hamsters, guinea pigs, etc.



PRECAUCIÓN: No use el PestChaser® cerca de roedores mascotas tales como los jerbos, hámsters, cobayas, etc.

CARACTERÍSTICAS

· Limpio y humano

Benigna para las mascotas

Económico

· Fácil de usar

Conveniente

INSTRUCCIONES: Enchufe el PestChaser® en la toma inferior de un tomacorriente de pared de 110 V CA. Aparte todos los objetos circundantes. El ultrasonido del PestChaser e no atraviesa las paredes, los muebles, las cortinas ni otros objetos. PestChaser® es ideal para las habitaciones de tamaño promedio como las salas, dormitorios, comedores, cocinas, etc.

# TECNOLOGÍA PESTCHASER®

- El ultrascnido de alta frecuencia repete los roedores.
- PestChaser® emite ultrasonido en distintos volúmenes (alcanzando un máximo de 100 dB) y diversas frecuencias (entre 32 y 62 kHz).
- Esto evita que los roedores se acostumbren al ultrasonido.

Model Number: M690S

Contents: 1 Model M690 PestChaser

EPA Est. No.: 84504-VNM-001

Made in Vietnam

040215



Manufactured For:

**₩**woodstream

Woodstream Corporation 69 N. Locust St. Lititz, PA 17543 855-5-VICTOR



For further Instructions go to: WWW.VICTORPEST.COM



- 21. Woodstream repeats this claim in its product catalogue and furthers these representations on its website (www.victorpest.com), including by stating that Victor Repellers' technology "emits ultrasound at varying volumes ... and varying frequencies," which "prevents rodents from becoming accustomed to the ultrasonic sound" and that the Defendant goes as far as claiming that its "electronic mouse repellents are capable of preventing a rodent infestation before it can even start." Defendant represents that the Victor Repellers produce "scientifically proven results that show how effective this repellent can be;" that "lab results show that food consumption was reduced by 67% in treated chambers and rodent tracking board activity decreased by 21%;" and that "[f]ield testing offered similar results by repelling rodents from protected areas over 81% of the time."<sup>2</sup>
- 22. These "scientifically proven results," however, are a product of Defendant's internal and uncontrolled tests. The methods used during these tests, or the means to measure the efficacy are not available anywhere on Defendant's website or anywhere in the public domain. They have not been subjected to peer review and haven't been published, even informally. In sum, and given the repeated results of other peer-reviewed, scientifically valid research, representations about these tests as scientific proof is highly misleading, and these tests do not provide a reliable scientific basis for any of Woodstream's claims about the efficacy of its Victor Repellers.
- 23. Based on the current and former representations contained on Defendant's Victor Repellers' packaging, labeling and on the Products' website, the advertising, including labeling, for the Victor Repellers are intended to induce a common belief in consumers that Victor Repellers are proven and capable of repelling rodents like mice and rats.

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WOODSTREAM CORPORATION, https://www.victorpest.com/victor-pestchaser-rodent-repellent-with-nightlight-and-outlet (last visited Jul. 19, 2019).

- III. Scientific Studies Confirm that Over-the-Counter Ultrasonic Pest Repellers Are Not Effective and Defendant's Efficacy Representations Are False, Deceptive, and Misleading
- 24. Despite Defendant's representations, numerous scientific studies confirm that ultrasonic pest devices are not effective for their only purpose repelling rodents.
- 25. An October 2015 paper from the University of Arizona's College of Agriculture & Life Sciences concluded that "Commercially available sonic pest devices for use in residential applications have not been shown to be effective in scientific studies." According to the researchers, "track-record of sonic pest devices has been questionable" since the 1960's and 1970's. The authors explained that rodents' "dislike [of the ultrasonic sounds] diminished over time, especially after a reliable food source was discovered near the sonic device. Even after the food source was removed [sic] the rats and mice continued to explore the room with ultrasonic sound, expressing habituation to the sound."
- 26. A study published by University of Nebraska in 1990, concluded that "frightening techniques," including use of ultrasonic devices, "rarely have any appreciable effects on small rodents." Echoing similar findings from earlier studies, the authors again concluded that "rodents habituate to [ultrasonic noise] and will feed or nest alongside the operating devices."

Nicholas Aflitto and Tom DeGomez, *Sonic Pest Repellents*, Univ. of Arizona – Cooperative Extension, College of Agriculture & Life Sciences, AZ1639 (Oct. 2015), available at https://extension.arizona.edu/sites/extension.arizona.edu/files/pubs/AZ1639-2015.pdf

<sup>&</sup>lt;sup>4</sup> *Id.* 

<sup>&</sup>lt;sup>5</sup> *Id*.

Ann E. Koehler, Rex E. Marsh, Terrell P. Salmon, *Frightening Methods and Devices/Stimuli to Prevent Mammal Damage – A Review*, University of Nebraska – Lincoln, at 171 (Mar. 1990), available at https://digitalcommons.unl.edu/cgi/viewcontent.cgi?article=1049&context=vpc14

 $<sup>^{7}</sup>$  Id.

The authors also observed that "[t]here have been so many failures reported with high-frequency sound that little can be said in favor of such devises."

- 27. A 1998 study published by Utah State University also concluded that mice and rats "become accustomed to new sounds and thus tend to ignore them," rendering ultrasonic repellers ineffective.<sup>9</sup> Due to the rodents' adaptability to sound, the study reiterated, "scientific evidence clearly shows that these devices are not useful in repelling rats or mice."<sup>10</sup>
- 28. In November 2017, the Office for Science and Society at McGill University published an article summarizing decades of research into efficacy of ultrasonic soundwave emitting devices to control rodent infestations. The conclusion? "[T]hese devices have never been proven to actually work."

# IV. The FTC Issues a Warning to Manufacturers to Stop Employing Deceptive Marketing Representations about Efficacy of Ultrasonic Repellers

- 29. Between 1985 and 1997, the FTC prosecuted six manufacturers and retailers for making claims about the effectiveness of ultrasonic devices as rodent repellers.<sup>12</sup> The FTC alleged the following claims were false and unsubstantiated:
  - Eliminates rodent infestations;
  - Serves as an effective alternative to conventional pest-control products;

<sup>8</sup> *Id.* 

Ben C. West and Terry A. Messmer, *Commensal Rodents*, Utah State University Extension, NR/WD/010, available at https://digitalcommons.usu.edu/cgi/viewcontent.cgi?referer=&httpsredir=1&article=1995&context=extension\_histall

<sup>&</sup>lt;sup>10</sup> *Id*.

Cassandra Lee, *Are ultrasonic pest repellers effective?* McGill University Office of Science and Society, Nov. 17, 2017, available at https://www.mcgill.ca/oss/article/technology-you-asked/are-ultrasonic-pest-repellers-effective (last visited July 19, 2019).

See Press Release, FTC Warns Manufacturers and Retailers of Ultrasonic Pest-control Devices, (May 3, 2001), *supra*.

• Increases or assists the effectiveness of other pest-control methods; and

• Scientific tests prove product effectiveness.

Defendant has made every one of these false and misleading claims in its labeling, packaging, marketing materials, and on its website.

30. In May 2001, the FTC sent warning letters to 60 manufacturers and retailers of ultrasonic pest-control devices. The FTC advised the manufacturers that any efficacy claims must be substantiated by reliable scientific evidence. The FTC also urged manufacturer and retailers to examine their advertising and ensure they have competent and reliable scientific evidence to support their effectiveness claims. No such evidence, however, exists.

31. As the seller of Victor Repellers, Defendant possesses specialized knowledge regarding their effectiveness, and Defendant is in a superior position to know whether its Victor Repellers work as advertised. Specifically, Defendant knew, but failed to disclose, or should have known, that Victor Repellers do not actually repel mice or rats, and that well-conducted clinical studies have found that commercially available ultrasonic rodent repellers do not work.

32. Plaintiff and the Class members have been and will continue to be deceived or misled by Defendant's false and deceptive representations about the efficacy of ultrasonic devices as a rodent repeller.

33. Defendant's representations and omissions were a material factor in influencing Plaintiff's and the Class members' decision to purchase the Victor Repellers. In fact, the only purpose for purchasing the Victor Repellers is to obtain the represented benefits of the product.

34. Defendant's conduct has injured Plaintiff and the Class members because Defendant's Victor Repellers do not repel mice or rats as advertised.

<sup>&</sup>lt;sup>13</sup> *Id*.

- 35. Had Plaintiff and the Class members known the truth about Defendant's Victor Repellers, they would not have purchased them and would not have paid the prices they paid for the Victor Repellers.
- 36. Plaintiff and each Class member were harmed by purchasing Defendant's Victor Repellers because none of them are capable of providing their advertised benefits. As a result, Plaintiff and each Class member lost money and property by way of purchasing Defendant's ineffective and worthless Victor Repellers.

# **CLASS DEFINITION AND ALLEGATIONS**

37. Plaintiff brings this action on behalf of himself and all other similarly situated consumers who purchase one or more Victor Repellers in New York pursuant to Federal Rules of Civil Procedure rules 23(a), (b)(2) and (b)(3) and seeks certification of the following Class:

### **New York-Only Class**

All persons who purchased in the state of New York any of the Victor Repellers for personal use between August 1, 2015, and the date notice is disseminated.

- 38. Excluded from the Class is Defendant, its parents, subsidiaries, affiliates, officers, and directors, those who purchased the Victor Repellers for resale, all persons who make a timely election to be excluded from the Class, the judge to whom this case is assigned and any immediate family members thereof, and those who assert claims for personal injury.
- 39. Certification of Plaintiff's claims for classwide treatment is appropriate because Plaintiff can prove the elements of his claims on a classwide basis using the same evidence as would be used to prove those elements in individual actions alleging the same claims.
- 40. **Numerosity—Federal Rule of Civil Procedure 23(a)(1).** The members of the Class are so numerous that individual joinder of all Class members is impracticable. Defendant has sold many thousands of units of Victor Repellers to Class members.

- 41. Commonality and Predominance—Federal Rule of Civil Procedure 23(a)(2) and 23(b)(3). This action involves common questions of law and fact, which predominate over any questions affecting individual Class members, including, without limitation:
  - (a) Whether the representations discussed herein that Defendant made about its Victor Repellers were or are untrue, misleading, or likely to deceive;
  - (b) Whether Defendant's conduct violates public policy;
  - (c) Whether Defendant engaged in false or misleading advertising;
  - (d) Whether Defendant's conduct constitutes violations of the laws asserted herein;
  - (e) Whether Plaintiff and other Class members have been injured and the proper measure of their losses as a result of those injuries; and
  - (f) Whether Plaintiff and other Class members are entitled to injunctive, declaratory, or other equitable relief.
- 42. **Typicality—Federal Rule of Civil Procedure 23(a)(3).** Plaintiff's claims are typical of other Class members' claims because, among other things, all Class members were comparably injured through the uniform prohibited conduct described above.
- 43. Adequacy of Representation—Federal Rule of Civil Procedure 23(a)(4). Plaintiff is an adequate representative of the Class because Plaintiff's interests do not conflict with the interests of other Class members Plaintiff seeks to represent; Plaintiff has retained counsel competent and experienced in complex commercial and class action litigation; and Plaintiff intends to prosecute this action vigorously. The interests of the Class members will be fairly and adequately protected by Plaintiff and his counsel.

- 44. **Declaratory and Injunctive Relief—Federal Rule of Civil Procedure 23(b)(2).** Defendant has acted or refused to act on grounds generally applicable to Plaintiff and other Class members, thereby making appropriate final injunctive relief and declaratory relief, as described below, with respect to Class as a whole.
- 45. Superiority—Federal Rule of Civil Procedure 23(b)(3). A class action is superior to any other available means for the fair and efficient adjudication of this controversy, and no unusual difficulties are likely to be encountered in the management of this class action. The damages or other financial detriment suffered by Plaintiff and other Class members are relatively small compared to the burden and expense that would be required to individually litigate their claims against Defendant, so it would be impracticable for Class members to individually seek redress for Defendant's wrongful conduct. Even if Class members could afford individual litigation, the court system could not. Individualized litigation creates a potential for inconsistent or contradictory judgments, and increases the delay and expense to all parties and the court system. By contrast, the class action device presents far fewer management difficulties, and provides the benefits of single adjudication, economy of scale, and comprehensive supervision by a single court.

# **CLAIMS ALLEGED**

### **COUNT I**

# VIOLATION OF NEW YORK'S GENERAL BUSINESS LAW § 349 FOR DECEPTIVE ACTS AND PRACTICES

- 46. Plaintiff realleges and incorporates by reference the preceding paragraphs as if fully set forth herein.
- 47. Plaintiff and other Class members are "persons" within the meaning of N.Y. GBL § 349(h).

- 48. The N.Y. GBL § 349 makes unlawful "[d]eceptive acts or practices in the conduct of any business, trade or commerce." Defendant's conduct, as described above and below, constitutes "deceptive acts or practices" within the meaning of the New York GBL § 349. Furthermore, Defendant's deceptive acts and practices, which were intended to mislead consumers who were in the process of purchasing Victor Repellers, was conduct directed at consumers.
- 49. Defendant engaged in false or deceptive advertising and knew that Victor Repellers would not deter mice or rats, would not control or prevent rodent infestation, would not reduce or eliminate existing mouse or rat presence, and were not suitable for their intended use.
- 50. In failing to disclose the ineffectiveness of its Victor Repellers, Defendant knowingly and intentionally concealed material facts and breached its duty not to do so, thereby engaging in deceptive acts or practices within the meaning of the N.Y. GBL § 349.
- 51. Defendant was under a duty to Plaintiff and other Class members to disclose the ineffectiveness of the Victor Repellers because:
- 52. Defendant was in a superior position to know the true state of facts about the Victor Repellers' ineffectiveness;
- 53. Defendant made representations about the efficacy of Victor Repellers unsubstantiated by any competent scientific evidence; and
- 54. Defendant actively concealed the ineffectiveness of its Victor Repellers from Plaintiff and other Class members at the time of sale and thereafter.
- 55. The facts concealed or not disclosed by Defendant to Plaintiff and other Class members are material because a reasonable person would have considered them to be important

in deciding whether or not to purchase Defendant's Victor Repellers. Had Plaintiff and other Class members known that Victor Repellers failed to repel or eliminate rodent infestations, as described herein, they would not have purchased the Victor Repellers.

- 56. Defendant continued to conceal the ineffectiveness of the Victor Repellers even after Plaintiff informed it that his Victor Repellers, as well as Victor Repellers purchased by others, did not work, and that mice destroyed his personal property. Indeed, Defendant continues to cover up and conceal the true nature of this systematic problem today.
- 57. Plaintiff also asserts a violation of public policy arising from Defendant's withholding of material facts from consumers and engaging in false or deceptive advertising. Defendant's violation of consumer protection and unfair competition laws resulted in harm to consumers.
- 58. Defendant's omissions of material facts, as set forth herein, also constitute deceptive acts or practices because they affect consumer's choice and violate consumer protection laws, warranty laws and the common law as set forth herein.
- 59. Thus, by its conduct, Defendant has engaged in consumer-oriented deceptive acts or practices within the meaning of the N.Y. GBL § 349.
- 60. Defendant's consumer-oriented deceptive acts or practices occurred repeatedly in Defendant's trade or business, and were capable of deceiving a substantial portion of the purchasing public.
- 61. As a direct and proximate result of Defendant's deceptive acts or practices, Plaintiff and other Class members have suffered and will continue to suffer actual damages.
- 62. As a result of Defendant's willful and knowing conduct, Plaintiff and other Class members suffered injury. Accordingly, Plaintiff seeks recovery of actual damages or \$50,

whichever is greater, discretionary treble damages up to \$1,000, punitive damages, reasonable attorneys' fees and costs, and an order enjoining Defendant's deceptive conduct, and any other just and proper relief available under N.Y. GBL § 349.

### **COUNT II**

# VIOLATION OF NEW YORK'S GENERAL BUSINESS LAW § 350 FOR FALSE ADVERTISING

- 63. Plaintiff realleges and incorporates by reference the preceding paragraphs as if fully set forth herein.
- 64. The N.Y. GBL § 350 makes unlawful "[f]alse advertising in the conduct of any business, trade or commerce or in the furnishing of any service in this state." Defendant's conduct, as described above and below, constitutes "false advertising" within the meaning of the New York GBL § 350, which is defined as "advertising, including labeling, of a commodity ... if such advertising is misleading in a material respect. In determining whether any advertising is misleading, there shall be taken into account (among other things) not only representations made by statement, word, design, device, sound or any combination thereof, but also the extent to which the advertising fails to reveal facts material in the light of such representations with respect to the commodity ... to which the advertising relates under the conditions prescribed in said advertisement, or under such conditions as are customary or usual...." N.Y. GBL § 350-a.
- 65. Plaintiff and other Class members purchased Defendant's Victor Repellers on the belief that they would repel mice and rats. Indeed, no consumer would purchase a rodent repeller unless he or she believed it would repel rodents.
- 66. Defendant's labeling and advertisements contain untrue and materially misleading statements concerning Victor Repellers inasmuch as they misrepresent that: "High frequency ultrasound repels rodents"; "rodent activity is reduced in 6-10 days"; "electronic mouse

repellents are capable of preventing a rodent infestation before it can even start"; "scientifically proven results that show how effective this repellent can be"; "lab results show that food consumption was reduced by 67% in treated chambers and rodent tracking board activity decreased by 21%"; "Field testing offered similar results by repelling rodents from protected areas over 81% of the time."

- 67. Defendant's Victor Repellers, however, are worthless and cannot provide their advertised benefits. Accordingly, Plaintiff and other Class members received less than what they bargained and/or paid for.
- 68. Defendant made its untrue and/or misleading statements and representations willfully, wantonly, and with reckless disregard for the truth.
  - 69. Defendant's conduct constitutes multiple, separate violations of N.Y. GBL § 350.
- 70. 59. Defendant made the material misrepresentations described herein in its advertising, and on Victor Repellers' packaging, labeling and marketing materials.
- 71. Defendant's material misrepresentations were substantially uniform in content, presentation, and impact upon consumers at large. Moreover, all consumers purchasing the Victor Repellers were and continue to be exposed to Defendant's material misrepresentations.
- 72. As a result of Defendant's recurring "false advertising," Plaintiff and other Class members suffered injury. Accordingly, Plaintiff seeks recovery of three times the actual damages up to \$10,000, or \$500, whichever is greater, injunctive relief, restitution and disgorgement of all moneys obtained by means of Defendant's unlawful conduct, interest, and attorney's fees and costs pursuant to N.Y. GBL § 350-e.

#### **COUNT III**

# BREACH OF WRITTEN WARRANTIES IN VIOLATION OF MAGNUSON-MOSS WARRANTY ACT, 15 U.S.C. §§ 2301, et seq.

- 73. Plaintiff realleges and incorporates by reference the preceding paragraphs as if fully set forth herein.
- 74. Plaintiff is a "consumer" within the meaning of the Magnuson-Moss Warranty Act ("MMWA"), 15 U.S.C. § 2301(3).
- 75. Defendant is a "supplier" and "warrantor" within the meaning of the Magnuson-Moss Warranty Act, 15 U.S.C. § 2301(4) (5).
- 76. Victor Repellers are "consumer products" within the meaning of the Magnuson-Moss Warranty Act, 15 U.S.C. § 2301(1).
- 77. 15 U.S.C. § 2310(d)(1) provides a cause of action for any consumer who is damaged by the failure of a warrantor to comply with a written warranty or implied warranty.
- 78. Defendant's representations, as described herein, that Victor Repellers sold to Plaintiffs and other Class members were a "rodent repeller" and that "rodent activity is reduced in 6-10 days" after plugging the device in, and that Victor Repellers "effectively drive[] away rodents by emitting a highly irritating noise that can only be heard by rodents" are written warranties within the meaning of the Magnuson-Moss Warranty Act, 15 U.S.C. § 2301(6).
- 79. Defendant breached the warranties as described herein. Contrary to Defendant's representations, Plaintiff's and other Class members' Victor Repellers did not work as warranted: they did not repel mice or rats and did not reduce rodent populations where used. As such, Plaintiff's and other Class members' Victor Repellers do not function as promised.
- 80. All conditions precedent have occurred or been performed. Defendant had actual notice of its breach of warranty. Defendant knew before the time of sale to Plaintiff and other

Class members, or earlier, that Victor Repellers, like all commercial ultrasonic rodent repellers, were not an effective method to repel, reduce or prevent rodent infestations. Through consumer complaints, FTC warnings, decades of scientific research, internal product testing, and past experience, Defendant learned that its Victor Repellers did not work as advertised. The existence and ubiquity of ineffectiveness of ultrasonic rodent repellers is illustrated by the numerous scientific studies, scientific articles, FTC enforcement actions, publicized consumer complaints and disputes.

- 81. Defendant's unlawful conduct, as described above, was the foreseeable and actual cause of Plaintiff's and other Class members suffering actual damage on account of receiving a rodent repeller that did not actually repel any rodents.
- 82. Plaintiff and other Class members paid for a rodent repeller that was supposed to meet certain specifications. When they received a rodent repeller that did not conform to these specifications, unfit for its ordinary use and not merchantable, and which fell below the standards set by and described in Woodstream's representations, Plaintiff and other Class members were damaged on account of receiving a rodent repeller worth less than as represented.

#### **COUNT IV**

### BREACH OF EXPRESS WARRANTY UNDER NEW YORK LAW

- 83. Plaintiff realleges and incorporates by reference the preceding paragraphs as if fully set forth herein.
- 84. Through the product labeling, Defendant provided all purchasers of Victor Repellers with the express warranty described herein, which became a material part of the bargain. Accordingly, Defendant's express warranty is an express warranty under New York law.

- 85. Defendant expressly warranted that its Victor Repellers were a "rodent repeller", that "rodent activity is reduced in 6-10 days" after plugging the device in, and that Victor Repellers "effectively drive[] away rodents by emitting a highly irritating noise that can only be heard by rodents." Defendant's representations regarding Victor Repellers' efficacy had the natural tendency to induce Plaintiff and other Class members to purchase the Victor Repellers.
- 86. Defendant breached the express warranty through the acts and omissions described above.
- 87. As detailed above, Plaintiff Heumann notified Woodstream of the breach and gave it a reasonable opportunity to cure its breach of written warranty.
- 88. As a result of Defendant's breach of the applicable express warranties, purchasers of Victor Repellers suffered an ascertainable loss of money and property. As a result of Defendant's breach of the express warranty, Plaintiff and other Class members are entitled to legal and equitable relief against Defendant, including actual damages, attorney's fees, costs of suit, and other relief as appropriate.

### **COUNT V**

#### UNJUST ENRICHMENT

- 89. Plaintiff realleges and incorporates by reference the preceding paragraphs as if fully set forth herein.
- 90. As a direct and proximate result of its failure to disclose that its Victor Repellers were ineffective in repelling, reducing or eliminating rodent infestations, Woodstream has profited through the sale of Victor Repellers. Although Victor Repellers are sometimes purchased on e-commerce platforms and unaffiliated retailers, the money from the sales flows directly to Woodstream, on which it confers an unjust, substantial benefit.

91. As a result of the Woodstream's unjust enrichment, Plaintiff and Class members have suffered damages.

# **JURY DEMAND**

Plaintiff demands a trial by jury of all claims in this Complaint so triable.

### **REQUEST FOR RELIEF**

WHEREFORE, Plaintiff, individually and on behalf of other members of the proposed Class, respectfully requests that the Court enter judgment in Plaintiff's favor and against Defendant as follows:

- A. Declaring that this action is a proper class action, certifying the Class as requested herein, designating Plaintiff as Class Representative and appointing the undersigned counsel as Class Counsel;
- B. Ordering restitution and disgorgement of all profits and unjust enrichment that Defendant obtained from Plaintiff and the Class members as a result of Defendant's unlawful, unfair and fraudulent business practices;
- C. Ordering injunctive relief as permitted by law or equity, including enjoining Defendant from continuing the unlawful practices as set forth herein, and ordering Defendant to engage in a corrective advertising campaign;
- D. Ordering damages for Plaintiff and the Class in the amount of actual damages or \$50, whichever is greater, discretionary treble damages up to \$1,000, for violations of N.Y. GBL \$349;
- E. Ordering damages for Plaintiff and the Class in the amount of actual damages or \$500, whichever is greater, discretionary treble damages up to \$10,000, for violations of N.Y. GBL § 350;

- F. Ordering punitive damages for Plaintiff and the Class;
- G. Ordering Defendant to pay attorneys' fees and litigation costs to Plaintiff and other Class members;
- H. Ordering Defendant to pay both pre- and post-judgment interest on any amounts awarded; and
  - I. Ordering such other and further relief as may be just and proper.

Respectfully submitted,

Dated: August 28, 2019 By: s/ John A. Maya

John A. Maya, Esq. 510 Bleecker Street Utica, New York 13501 Tel: (315) 733-0455 / (315) 749-7021 johnmaya.law@gmail.com

BLOOD HURST & O'REARDON, LLP Timothy G. Blood (pro hac vice forthcoming) Aleksandr J. Yarmolinets (5157367NY) 501 West Broadway, Suite 1490 San Diego, CA 92101 Tel: 619-338-1100 tblood@bholaw.com ayarmolinets@bholaw.com

Attorneys for Plaintiff

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The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as

JS 44 (Rev 06/17)

provided by local rules of court purpose of initiating the civil do	This form, approved by tocket sheet. (SEE INSTRUC	he Judicial Conference o TIONS ON NEXT PAGE O	of the Uni F THIS FO	ted States in September 1 RM.)	974, is requ	ired for the use of	the Clerk of Co	ourt for th	ie	
I. (a) PLAINTIFFS HENRY H. HEUMANN, individually and on behalf of all others sim situated										
(b) County of Residence of First Listed Plaintiff Palm Beach County  (EXCEPT IN U.S. PLAINTIFF CASES)			, FL_	FL County of Residence of First Listed Defendant  (IN U.S. PLAINTIFF CASES ONLY)  NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED						
(c) Attorneys (Firm Name, 2) John A. Maya 510 Bleecker Street, Utic (315) 733-0455 / (315) 74	a, New York 13501	7)		Attorneys (If Known)						
II. BASIS OF JURISDI	CTION (Place an "X" in C	ne Box Only)		TIZENSHIP OF P	RINCIPA	L PARTIES				
☐ 1 US Government Plaintiff	☐ 3 Federal Question (U.S. Government.)	Not a Party)		(For Diversity Cases Only) PT en of This State	TF DEF 1	Incorporated or Pr		or Defenda PTF 4	DEF	
☐ 2 US Government Defendant	,		Citize	Citizen of Another State			Incorporated <i>and</i> Principal Place			
				en or Subject of a reign Country	3 🗇 3	Foreign Nation		□ 6	□ 6	
IV. NATURE OF SUIT						here for: Nature				
CONTRACT  110 Insurance 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of Overpayment & Enforcement of Judgment 151 Medicare Act 152 Recovery of Defaulted Student Loans (Excludes Veterans) 153 Recovery of Overpayment of Veteran's Benefits 160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability 196 Franchise  REAL PROPERTY 210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property	PERSONAL INJURY    310 Airplane   315 Airplane Product   Liability   320 Assault, Libel & Slander   330 Federal Employers' Liability   340 Marine   345 Marine Product   Liability   350 Motor Vehicle   355 Motor Vehicle   Product Liability   360 Other Personal Injury - Medical Malpractice   CIVIL RIGHTS   440 Other Civil Rights   441 Voting   442 Employment   443 Housing/   Accommodations   445 Amer w/Disabilities - Employment   446 Amer w/Disabilities - Other   0 der Civil Airplassilities - Other   1 der Civil Airplassilities - Other (Airplassilities - Other)   1 der Civil Airplassilities - Other (Airplassilities - Other)   1 der Civil Airplassilities - Other (Airplassilities - Other)   1 der Civil Airplassilities - Other (Airplassilities - Other)   2 der Civil Airplassilities - Other (Airplassilities - Other)   3 der Civil Airplassilities - Other (Airplassilities - Other)	PERSONAL INJUR'    365 Personal Injury - Product Liability     367 Health Care/   Pharmaceutical   Personal Injury   Product Liability     368 Asbestos Personal Injury   Product Liability     368 Asbestos Personal   Injury   Product Liability     370 Other Fraud   371 Truth in Lending   380 Other Personal   Property Damage   Product Liability     385 Property Damage   Product Liability     PRISONER PETITION   Habeas Corpus:   463 Alien Detainee   510 Motions to Vacate   Sentence   530 General   535 Death Penalty   Other:   540 Mandamus & Other:   540 Mandamus & Other:   540 Mandamus & Other:   555 Prison Condition   560 Civil Detainee - Conditions of   Confinement	TTY	DEFEITURE/PENALTY  5 Drug Related Seizure of Property 21 USC 881  0 Other  LABOR  0 Fair Labor Standards Act 0 Labor/Management Relations 0 Railway Labor Act 1 Family and Medical Leave Act 0 Other Labor Litigation 1 Employee Retirement Income Security Act  IMMIGRATION 2 Naturalization Application 5 Other Immigration Actions	422 Appe   423 With 28 U   423 With 28 U   52 U	RTY RIGHTS rrights at 1t - Abbreviated Drug Application emark SECURITY (1395ff) k Lung (923) C/DIWW (405(g))	375 False Ct   376 Qui Tan 3729(a)   400 State Re   410 Antitrus   430 Banks a   450 Comme   460 Deporta   470 Rackete   Corrupt   480 Consum   490 Cable/S   850 Securiti   Exchan   X 890 Other   391 Agricult   893 Environ   895 Freedon   Act   896 Arbitrat   899 Administration   899 Administration   899 Administration   890 Constitut   890 Const	OTHER STATUTES  ☐ 375 False Claims Act ☐ 376 Qui Tam (31 USC 3729(a)) ☐ 400 State Reapportionment ☐ 410 Antitrust ☐ 430 Banks and Banking ☐ 450 Commerce ☐ 460 Deportation ☐ 470 Racketeer Influenced and Corrupt Organizations ☐ 480 Consumer Credit ☐ 490 Cable/Sat TV ☐ 850 Securities/Commodities/ Exchange ☐ \$891 Agricultural Acts ☐ 891 Agricultural Acts ☐ 893 Environmental Matters ☐ 895 Freedom of Information Act ☐ 896 Arbitration ☐ 899 Administrative Procedure Act/Review or Appeal of Agency Decision ☐ 950 Constitutionality of State Statutes		
VI. CAUSE OF ACTION  VII. REQUESTED IN  COMPLAINT:  VIII. RELATED CASE	Cite the U.S. Civil Str.  15 U.S.C. §§ 230  Brief description of car  Breach of Warran  CHECK IF THIS  UNDER RULE 2	Appellate Court atute under which you as 11, et seq. ause: nty IS A CLASS ACTION	re filing (I		n District futes unless di	GHECK YES only URY DEMAND:	if demanded in	Multidist Litigation Direct Fil	on - le	
IF ANY	(See Instructions):	JUDGE		The second	DOCKE	ET NUMBER				
DATE 08/28/2019		signature of att s/ John A. May		DF KECOKD						
FOR OFFICE USE ONLY ANYNDC-48545. RECEIPT#	33 MOUNT \$400.00	APPLYING IFP		JUDGE	GLS	MAG JUD	oge TWI	)		

Case No.: 6:19-CV-1077

#### INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I.(a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
  - (b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
  - (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- **II. Jurisdiction.** The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.

United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here. United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.**)

- **III. Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- **IV. Nature of Suit.** Place an "X" in the appropriate box. If there are multiple nature of suit codes associated with the case, pick the nature of suit code that is most applicable. Click here for: Nature of Suit Code Descriptions.
- **V. Origin.** Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date. Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation – Transfer. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407

Multidistrict Litigation – Direct File. (8) Check this box when a multidistrict case is filed in the same district as the Master MDL docket. **PLEASE NOTE THAT THERE IS NOT AN ORIGIN CODE 7.** Origin Code 7 was used for historical records and is no longer relevant due to changes in statue.

- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

  Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction.

  Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases. This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

**Date and Attorney Signature.** Date and sign the civil cover sheet.