Sheehan & Associates, P.C. Spencer Sheehan spencer@spencersheehan.com (516) 303-0552

United States District Court Eastern District of New York

2:19-cv-02488

Kenneth Copeland, John Doe, individually and on behalf of all others similarly situated

Plaintiffs

- against -

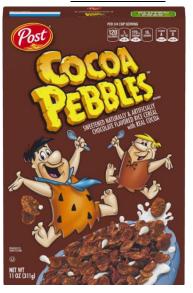
Complaint

Post Consumer Brands, LLC

Defendant

1. Post Consumer Brands, LLC ("defendant") manufactures, processes, distributes, markets, labels and sells breakfast cereals and snacks made from rice and containing cocoa-based ingredients under the "PEBBLES" brand based on the iconic cartoon Flintstones (the "Products").

Cocoa Pebbles



Peanut Butter & Cocoa Pebbles



Cocoa Pebbles Treats



2. All foods are required to have on the front label an appropriate descriptive name, that is straightforward and not a brand name.

- 3. For instance, "Cocoa Pebbles" is the brand name and does not purport to describe the nature of the food because "pebbles" are small rocks.
- 4. Underneath the brand name, the Cocoa Pebbles contain a more appropriately descriptive (though alleged within to be misleading) term, "Sweetened Naturally & Artificially Chocolate Flavored Rice Cereal with Real Cocoa."
- 5. The relevant representations include the product names, Cocoa Pebbles, Peanut Butter & Cocoa Pebbles, Cocoa Pebbles Treats, the statements of identity for Cocoa Pebbles Cocoa Pebbles Treats and the front label claim for the Peanut Butter & Cocoa Pebbles.¹



Sweetened Naturally & Artificially Chocolate Flavored Rice Cereal with Real Cocoa (Statement of Identity)



Marshmallow Cereal Bars Natural and Artificial Chocolate Flavor with Real Cocoa (Statement of Identity)



Made with Real Peanut Butter & Cocoa (Claim)

6. All of the Products declare or highlight certain ingredients or components on the front labels through the above-described statement relating to the presence of "Real Cocoa."

¹ 21 C.F.R. §101.3(b)(3).

- 7. According to a trade publication, "[M]ore consumers are looking for authenticity and 'real' ingredients in their foods, including sweet ingredients like real sugar" and cocoa.²
- 8. Approximately two-thirds of consumers "noted that health, nutrition and making better choices are factors in their purchasing decisions on indulgent items or desserts," and "[H]alf of shoppers look for 'real' ingredients."³
- 9. Another study found "nearly three-quarters of U.S. consumers find it important to recognize the ingredients in the products they buy," confirming that "recognition of ingredients to be one of the biggest drivers of product choice, with more than half of respondents (52 percent) considering it to be an important factor."
- 10. This is not to say a "reasonable consumer" expects chocolate-y breakfast cereals based on a cartoon to be healthy or nutrient-rich they are by definition an indulgence and not generally consumed for their salutary effects in the same way a cereal like oat bran would.
- 11. But consumers expect and seek out those indulgent foods that let them enjoy a "guilty pleasure" while taking comfort in other attributes of those products.⁶
- 12. One industry leader described this paradigm, where "simple ingredients…elevate a classic indulgence to a premium, more permissible experience," citing a donut shop which only uses certified organic ingredients to make donuts from scratch.

² Beth Day, Indulgence driving innovation in baked foods, November 3, 2016 FoodBusinessNews.net, https://www.foodbusinessnews.net/articles/7110-indulgence-driving-innovation-in-baked-foods

³ Progressive Grocer, Bakery Connects Emotionally With Consumers, Drives Grocery Sales, April 8, 2019, https://progressivegrocer.com/bakery-connects-emotionally-consumers-drives-grocery-sales

⁴https://www.snackandbakery.com/articles/88762-clean-label-snacks-and-bakery-move-from-novelty-to-mainstream ⁵ https://www.foodinsiderjournal.com/clean-label/75-consumers-will-pay-extra-clean-label-ingredients

⁶Monica Watrous, The new pleasure paradigm, Food Business News, Oct. 4, 2017, https://www.foodbusinessnews.net/articles/10688-the-new-pleasure-paradigm ("To tap into today's pleasure principles, it is critical to move beyond the temporary "high" often associated with pleasure and focus on real ingredients").

- 13. Defendant has tapped into this consumer demand for simple, "real ingredients" by promoting the products as made with "real cocoa."
- 14. The Products' representations are misleading because despite the front-label claims of "real cocoa," they do not contain this component in the amount, type and/or form which a reasonable consumer would expect based on the claims.
- 15. The "with Real Cocoa" representation is false, misleading and deceptive because the ingredient lists disclose they contain "Cocoa (Processed with Alkali)."

Cocoa Pebbles

Cocoa Pebbles Treats

Peanut Butter & Cocoa Pebbles

INGREDIENTS: RICE, SUGAR, HYDROGENATED VEGETABLE OIL (COCONUT AND PALM KERNEL OILS), COCOA (PROCESSED WITH ALKALI), SALT, CARAMEL COLOR, NATURAL AND ARTIFICIAL FLAVOR.

VITAMINS AND MINERALS: NIACINAMIDE, REDUCED IRON, ZINC OXIDE (SOURCE OF ZINC), VITAMIN B6, VITAMIN A PALMITATE, RIBOFLAVIN (VITAMIN B2), THIAMIN MONONITRATE (VITAMIN B1), FOLIC ACID, VITAMIN B12, VITAMIN D3.

Ingredients:

Rice, Sugar, Corn Syrup, Fructose, Vegetable Oil (Hydrogenated Coconut, and Palm Kernel, Palm Kernel, Palm, Canola), Gelatin, Cocoa (Processed with Alkali), Glycerin, Salt, Caramel Color, Whey Powder, Soy Lecithin, Natural and Artificial Flavor, Non Fat Dry Milk Solids, Whole Milk Solids, Sulfites. Ingredients: Rice, Sugar, Peanut Butter (peanuts, dextrose. hydrogenated vegetable oil (cottonseed, soybean, canola), salt), Dextrose, Canola Oil, Salt, Cocoa processed with Alkali, Natural and Artificial Flavor, Molasses, Caramel Color, BHT added to preserve freshness.

Vitamins and Minerals: Niacinamide (Vitamin B3), Reduced Iron, Zinc Oxide, Vitamin A Palmitate, Pyridoxine Hydrochloride (Vitamin B6), Thiamin Mononitrate (Vitamin B1), Riboflavin (Vitamin B2), Folic Acid, Vitamin D3, Vitamin B12.

CONTAINS PEANUTS.

- 16. All of the Products contain cocoa processed with alkali.
- 17. Cocoa powder ("cocoa") is the "core of a chocolate's flavor, without any extra fat, sugar, or milk to get in the way."
- 18. Cocoa powder results from crushing the edible portions of the cocoa bean "nibs" into a fine paste, releasing and melting the nibs' fat content (cocoa butter).
 - 19. The combination of crushed, ground nibs and cocoa butter produces chocolate liquor.
- 20. The chocolate liquor is pressed between hydraulic plates to form hard-cocoa "press cakes" and the excess cocoa butter is removed.

- 21. The cocoa cakes are grated into fine powders.
- 22. The types of powders produced are based on the amount of cocoa butter, or fat, remaining in the powder: high or "breakfast cocoa" (22% +), medium or "cocoa" (10-12%) and lowfat cocoa (less than 10%).
- 23. The cocoa powder can be further treated through alkalization ("Dutch-process" or alkalized) or used in its non-alkalized state.
- 24. The use and presence of alkalis reduces the acidity of cocoa powder, giving it a noticeably darker color of the Products but detracting from the "real cocoa" taste.



- 25. The claim of "real cocoa" is intended to differentiate the cocoa in the Products from other cocoa ingredients bearing the standard cocoa designation, i.e., "cocoa" or "cocoa processed with alkali," and implies the cocoa present in the Products is nutritionally and organoleptically superior.⁷
- 26. Because cocoa is a commonly used and valued product, consumers have certain expectations based on experience when "cocoa" is declared on a label.
 - 27. No reasonable consumer would expect the cocoa in the Products to have been made

⁷ 21 C.F.R. §130.11 Label designations of ingredients for standardized foods.

with and contain alkalis because "real," in modifying the word "cocoa," represents the cocoa powder is included in its most simplified form.

- 28. According to Nielsen market research, a majority of consumers "say that when it comes to ingredient trends, a back-to-basics mind-set, focused on simple ingredients and fewer artificial or processed foods, is a priority."
- 29. While the Products' statements of identity acknowledge the presence of artificial ingredients,
- 30. It is false, deceptive and misleading to conspicuously promote "real cocoa" without any reference to the presence and use of alkalis either preceding or following because "real cocoa" without any modifying terms implies the absence of artificial ingredients in the cocoa.
- 31. The Products' use of the optional alkali ingredients significantly distinguishes its cocoa component from cocoa powder which does not contain alkali.
- 32. The presence or absence of alkalis effect the taste and color of the cocoa powder to such an extent that they are a characterizing feature and effect whether a product that promotes cocoa will be purchased.
- 33. Though it may be duplicative and unnecessary to indicate the presence of all optional ingredients used in standardized foods wherever the affected ingredient is declared, other than the ingredient list, this is not the case where an optional ingredient (alkali) is characterizing towards the affected ingredient (cocoa).
 - 34. The representation "real cocoa" is false, deceptive and misleading because

⁸ Reaching for Real Ingredients: Avoiding the Artificial, Nielsen, CPG, FMCG & Retail, Sept. 6, 2016 https://www.nielsen.com/us/en/insights/news/2016/reaching-for-real-ingredients-avoiding-the-artificial.html

consumers expect "real cocoa" to indicate a higher quality cocoa than had the ingredient merely been accurately identified as "cocoa," (minus the descriptor "real"), the term for cocoa between

10 and 22 percent fat.

35. By misrepresenting medium fat cocoa which has been modified in flavor, color and

nutritionally, as "real cocoa," consumers will expect the cocoa powder component to be

nutritionally and organoleptically superior than it actually is.

36. Such a type of higher fat cocoa – above 22 percent – is referred to as breakfast cocoa,

which has a more intense "chocolate-y" flavor.

37. In fact, "real cocoa" when used in the context of breakfast-type foods, can be and is

interpreted as referring to a higher-quality cocoa (breakfast cocoa).

38. While the use of "Cocoa" in the product name, "Cocoa Pebbles" is not misleading

because there is no attempt to distinguish one variety of "cocoa" to another, the statements of

identity and product claims do just that.

39. For instance, other brands of breakfast cereal are made with cocoa and do not attempt

at distinguishing the variety or type of cocoa they contain, so the consumer will not be misled.

Front Label

Ingredient List



Ingredients: Rice, sugar, cocoa processed with alkali, semisweet chocolate (sugar, chocolate, dextrose), contains 2% or less of hydrogenated vegetable oil (coconut, soybean and/or cottonseed), salt, malt flavor, natural flavor.

- 40. When consumers see similar products which promote the presence of cocoa, they will choose the product which has the central ingredient in a higher quality form.
 - 41. The Products contain other representations which are misleading and deceptive.
- 42. As a result of the false and misleading labeling, defendant sold the Products at a premium price no less than \$4.99 per box, excluding tax compared to other similar products which are represented in a non-misleading way.

Jurisdiction and Venue

- 43. Jurisdiction is proper pursuant to 28 U.S.C. § 1332(d)(2).
- 44. Upon information and belief, the aggregate amount in controversy is more than \$5,000,000.00, exclusive of interests and costs.
- 45. This court has personal jurisdiction over defendant because it conducts and transacts business, contracts to supply and supplies goods within New York.

- 46. Venue is proper because plaintiff and many class members reside in this District and defendant does business in this District and State.
- 47. A substantial part of events and omissions giving rise to the claims occurred in this District.

Parties

- 48. Plaintiff is a citizen of Kings County, New York.
- 49. John and Jane Doe plaintiffs are citizens of the other 49 states.
- 50. John and Jane Doe are individuals in the other 49 states who have been affected by the conduct alleged here but their true identities are not fully known.
- 51. John and Jane Doe may be used in the complaint to refer to representatives of subclasses of the various states and at such time their identities will be disclosed.
- 52. The allegations as related to laws of other states serves as a placeholder upon joinder or amendment.
- 53. Defendant is a Delaware limited liability company with a principal place of business in Lakeville, Minnesota, and no member thereof is a citizen of New York.
- 54. During the class period, plaintiffs purchased one or more Products for personal consumption with the representations described herein, for no less than the price indicated, *supra*, excluding tax, within their districts and/or states.
- 55. Plaintiff paid this premium because prior to purchase, plaintiff saw and relied on the misleading representations.
- 56. Plaintiff would consider purchasing the Products again if there were assurances that the Products' representations were no longer misleading.

Class Allegations

- 57. The classes consist of all consumers in the following states: all, New York, California, who purchased any Products subject to the actionable representations during the statutes of limitation.
 - 58. A class action is superior to other methods for fair and efficient adjudication.
- 59. The class is so numerous that joinder of all members, even if permitted, is impracticable, as there are likely hundreds of thousands of members.
- 60. Common questions of law or fact predominate and include whether the representations were likely to deceive reasonable consumers and if plaintiff(s) and class members are entitled to damages.
- 61. Plaintiff's claims and the basis for relief are typical to other members because all were subjected to the same representations.
- 62. Plaintiff(s) is/are an adequate representative because his/her/their interests do not conflict with other members.
- 63. No individual inquiry is necessary since the focus is only on defendant's practices and the class is definable and ascertainable.
- 64. Individual actions would risk inconsistent results, be repetitive and are impractical to justify, as the claims are modest.
- 65. Plaintiff(s) counsel is competent and experienced in complex class action litigation and intends to adequately and fairly protect class members' interests.
 - 66. Plaintiff(s) seeks class-wide injunctive relief because the practices continue.

New York General Business Law ("GBL") §§ 349 & 350, California Consumers

<u>Legal Remedies Act, Civ. Code §§ 1750-1785 ("CLRA")</u> and Consumer Protection Statutes of Other States and Territories

- 67. Plaintiff and John and Jane Doe plaintiffs, representing the 49 other states where they reside and purchased the Products, incorporate by reference all preceding paragraphs and assert causes of action under the consumer protection statutes of all 50 states.
 - a. Alabama Deceptive Trade Practices Act, Ala. Code § 8-19-1, et. seq.;
 - b. Alaska Unfair Trade Practices and Consumer Protection Act, Ak. Code § 45.50.471, et. seq.;
 - c. Arkansas Deceptive Trade Practices Act, Ark. Code § 4-88-101, et. seq.;
 - d. California Consumers Legal Remedies Act, Cal. Civ. Code §§ 1750 et seq. and Unfair Competition Law, Cal. Bus. Prof. Code §§ 17200- 17210 et. seq.;
 - e. Colorado Consumer Protection Act, Colo Rev. Stat § 6-1-101, et. seq.;
 - f. Connecticut Unfair Trade Practices Act, Conn. Gen Stat § 42-110a, et. seq.;
 - g. Delaware Deceptive Trade Practices Act, 6 Del. Code § 2511, et. seq.;
 - h. District of Columbia Consumer Protection Procedures Act, D.C. Code §§ 28-3901, et. seq.;
 - i. Florida Deceptive and Unfair Trade Practices, Act Florida Statutes § 501.201, et. seq.;
 - j. Georgia Fair Business Practices Act, §10-1-390 et. seq.;
 - k. Hawaii Unfair and Deceptive Practices Act, Hawaii Revised Statutes § 480 1, et. seq. and Hawaii Uniform Deceptive Trade Practices Act, Hawaii Revised Statute § 481A-1, et. seq.;
 - 1. Idaho Consumer Protection Act, Idaho Code § 48-601, et. seq.;
 - m. Illinois Consumer Fraud and Deceptive Business Practices Act, 815 ILCS § 505/1, et. seq.;
 - n. Kansas Consumer Protection Act, Kan. Stat. Ann §§ 50 626, et. seq.;
 - o. Kentucky Consumer Protection Act, Ky. Rev. Stat. Ann. §§ 367.110, et. seq., and the

- Kentucky Unfair Trade Practices Act, Ky. Rev. Stat. Ann § 365.020, et. seq.;
- p. Louisiana Unfair Trade Practices and Consumer Protection Law, La. Rev. Stat. Ann. §§ 51:1401, et. seq.;
- q. Maine Unfair Trade Practices Act, 5 Me. Rev. Stat. § 205A, et. seq., and Maine Uniform Deceptive Trade Practices Act, Me. Rev. Stat. Ann. 10, § 1211, et. seq.;
- r. Massachusetts Unfair and Deceptive Practices Act, Mass. Gen Laws ch. 93A;
- s. Michigan Consumer Protection Act, §§ 445.901, et. seq.;
- t. Minnesota Prevention of Consumer Fraud Act, Minn. Stat §§ 325F.68, et. seq.; and Minnesota Uniform Deceptive Trade Practices Act, Minn Stat. § 325D.43, et. seq.;
- u. Mississippi Consumer Protection Act, Miss. Code An. §§ 75-24-1, et. seq.;
- v. Missouri Merchandising Practices Act, Mo. Rev. Stat. § 407.010, et. seq.;
- w. Montana Unfair Trade Practices and Consumer Protection Act, Mont. Code § 30-14-101,et. seq.;
- x. Nebraska Consumer Protection Act, neb. Rev. Stat. § 59 1601 *et. seq.*, and the Nebraska Uniform Deceptive Trade Practices Act, Neb. Rev. Stat. § 87-301, *et. seq.*;
- y. Nevada Trade Regulation and Practices Act, Nev. Rev. Stat. §§ 598.0903, et. seq.;
- z. New Hampshire Consumer Protection Act, N.H. Rev. Stat. § 358-A:1, et. seq.;
- aa. New Jersey Consumer Fraud Act, N.J. Stat. Ann. §§ 56:8 1, et. seq.;
- bb. New Mexico Unfair Practices Act, N.M. Sta. Ann. §§ 57 12 1, et. seq.;
- cc. New York General Business Law ("GBL") §§ 349 & 350;
- dd. North Dakota Consumer Fraud Act, N.D. Cent. Code §§ 51 15 01, et. seq.;
- ee. Ohio Rev. Code Ann. §§ 1345.02 and 1345.03; Ohio Admin. Code §§ 109;

- ff. Oklahoma Consumer Protection Act, Okla. Stat. 15 § 751, et. seq.;
- gg. Oregon Unfair Trade Practices Act, Ore. Rev. Stat. § 646.608(e) & (g);
- hh. Rhode Island Unfair Trade Practices and Consumer Protection Act, R.I. Gen. Laws § 6-13.1-1 et. seq.;
- ii. South Carolina Unfair Trade Practices Act, S.C. Code Law § 39-5-10, et. seq.;
- jj. South Dakota's Deceptive Trade Practices and Consumer Protection Law, S.D. Codified Laws §§ 37 24 1, et. seq.;
- kk. Tennessee Consumer Protection Act, Tenn. Code Ann. § 47-18-101 et. seq.;
- 11. Vermont Consumer Fraud Act, Vt. Stat. Ann. Tit. 9, § 2451, et. seq.;
- mm. Washington Consumer Fraud Act, Wash. Rev. Code § 19.86/0101, et. seq.;
- nn. West Virginia Consumer Credit and Protection Act, West Virginia Code § 46A-6-101, et. seq.;
- oo. Wisconsin Deceptive Trade Practices Act, Wis. Stat. §§ 100.18, et. seq.
- 68. Named plaintiff asserts causes of action under New York General Business Law ("GBL") §§ 349 & 350.
- 69. John Doe plaintiff asserts causes of action under the laws of the other 48 states, including under the California Consumers Legal Remedies Act, Cal. Civ. Code §§ 1750-1785 ("CLRA").
- 70. Defendant's representations and omissions are false, unfair, deceptive and misleading and are not unique to the parties and have a broader impact on the public.
- 71. Defendant's acts, practices, advertising, labeling, packaging, representations and omissions are not unique to the parties and have a broader impact on the public.

- 72. Plaintiff desired to purchase products which were as described by defendant and expected by reasonable consumers, given the product type.
- 73. John Doe California plaintiff and members of the California Subclass engaged in transactions as consumers who bought the Products for personal, family, or household consumption. Cal. Civ. Code § 1761(d)-(e).
- 74. In accordance with Civil Code § 1780(a), John Doe California Plaintiff will seek injunctive and equitable relief for defendant's violations of the CLRA and an injunction to enjoin defendant from continuing its deceptive advertising and sales practices.
- 75. In addition, after mailing appropriate notice and demand in accordance with Civil Code § 1782(a) & (d), John Doe California Plaintiff will have mailed or have amended the complaint to include a request for damages.
- 76. The conduct alleged in this Complaint constitutes unfair methods of competition and unfair and deceptive acts and practices for the purpose of the CLRA.
- 77. Defendant violated the GBL, CLRA, et seq., by falsely representing to Plaintiffs that the Products contained its central ingredient in its basic, higher quality form, when it was actually modified and of less value than it actually was.
- 78. Pursuant to California Civil Code § 1780(a)(2) and (a)(5), John Doe California Plaintiff will seek an order of this Court that includes, but is not limited to, an order requiring Defendant to remove and/or refrain from making representations on the Products' packaging representing that the Products its central ingredient in its basic, higher quality form, when it was actually modified and of less value than it actually was.
 - 79. Plaintiffs and prospective class members may be irreparably harmed and/or denied

an effective and complete remedy if such an order is not granted.

80. The unfair and deceptive acts and practices of Defendant, as described above, present

a serious threat to Plaintiffs and prospective class members.

81. Defendant's representations and omissions are false, unfair, deceptive and

misleading and are not unique to the parties and have a broader impact on the public.

82. Defendant's acts, practices, advertising, labeling, packaging, representations and

omissions are not unique to the parties and have a broader impact on the public.

83. Plaintiff desired to purchase products which were as described by defendant and

expected by reasonable consumers, given the product type.

84. The representations and omissions were relied on by plaintiff and class members,

who paid more than they would have, causing damages.

Violations of California's False Advertising and Unfair Competition Law

(On Behalf of the California Subclass)

85. John Doe California Plaintiff realleges paragraphs above.

86. Defendant has falsely advertised the Products by claiming to contain real cocoa.

87. John Doe California Plaintiff and other members of the California Subclass suffered

injury in fact and have lost money or property as a result of Defendant's violations of California's

False Advertising Law ("FAL"), Cal. Bus. & Prof. Code § 17500 et seq.

88. John Doe California Plaintiff will seek an order requiring Defendant to remove

and/or refrain from making representations on the Products' packaging, through the Products'

name and identification, that the Products consist of real cocoa.

Violations of California's Unfair Competition Law

(On Behalf of the California Subclass)

- 89. John Doe California Plaintiff realleges all paragraphs above.
- 90. By committing the acts and practices alleged herein, Defendant violated California's Unfair Competition Law ("UCL"), Cal. Bus. & Prof. Code §§ 17200-17210, as to the John Doe Plaintiff California Subclass as a whole, by engaging in unlawful, fraudulent, and unfair conduct as a result of its violations of the CLRA, Cal. Civ. Code § 1770(a)(5), (a)(7), and (a)(9), as alleged above; (b) its violations of the FAL, Cal. Bus. & Prof. Code § 17500 et seq., as alleged above; and (c)its violations of the Cal. Bus. & Prof. Code §§ 17580-17581, as alleged above.
- 91. Defendant's acts and practices described above also violate the UCL's proscription against engaging in fraudulent conduct.
- 92. As more fully described above, Defendant's misleading marketing, advertising, packaging, and labeling of Products is likely to deceive reasonable consumers.
- 93. John Doe California Plaintiff and other members of the California Subclass were deceived regarding the purported real cocoa qualities of the Products, as Defendant's marketing, advertising, packaging, and labeling of the Products misrepresent and/or omit the true facts concerning the identity, composition and quality of the Products.
 - 94. Said acts are fraudulent business practices.
- 95. Defendant's acts and practices described above also violate the UCL's proscription against engaging in unfair conduct.
- 96. John Doe California Plaintiff and the other California Subclass members suffered a substantial injury by virtue of buying the Products that they would not have purchased absent Defendant's unlawful, fraudulent, and unfair marketing, advertising, packaging, and labeling or by virtue of paying an excessive premium price for the unlawfully, fraudulently, and unfairly

marketed, advertised, packaged, and labeled Products.

- 97. There is no benefit to consumers or competition by deceptively marketing and labeling the Products, in the manner described herein.
- 98. Pursuant to California Business and Professional Code § 17203, John Doe California Plaintiff and the California Subclass seek an order of this Court that includes, but is not limited to, requirements that Defendant:
 - (a) remove and/or refrain from making representations on the Products' packaging representing that the Products contain real cocoa;
 - (b) provide restitution to John Doe California Plaintiff and the other California Class members;
 - (c) disgorge all revenues obtained as a result of violations of the UCL; and
 - (d) pay John Doe California Plaintiff and the California Subclass' attorney fees and costs.

Negligent Misrepresentation

- 99. Plaintiff incorporates by references all preceding paragraphs.
- 100. Defendant misrepresented the substantive, compositional, health, quality and nutritional attributes of the Products' composition.
- 101. Defendant had a duty to disclose and/or provide non-deceptive labeling of the Products and knew or should have known same were false or misleading.
- 102. This duty is based on defendant's position as an entity which has held itself out as having special knowledge in the production, service and/or sale of the product type.
 - 103. Defendant negligently misrepresented and/or negligently omitted material facts.
- 104. Plaintiff reasonably and justifiably relied on these negligent misrepresentations and omissions, which served to induce and did induce, the purchase of the Products.

105. Plaintiff and class members would not have purchased the Products or paid as much if the true facts had been known, thereby suffering damages.

Breach of Express Warranty and Implied Warranty of Merchantability

- 106. Plaintiff incorporates by references all preceding paragraphs.
- 107. Defendant manufactures and sells products which contain almonds.
- 108. The Products warranted to plaintiff and class members that they possessed substantive, functional, nutritional, compositional, organoleptic, sensory, physical and other attributes which they did not.
- 109. Plaintiff desired to purchase products which were as described by defendant made with cocoa in its most basic form.
- 110. Defendant sells cookies with "real cocoa" ingredients, purporting to be the ingredient type in its most basic form available such that it can be functionally included in the Products.
- 111. Defendant had a duty to disclose and/or provide a non-deceptive description of the Products and knew or should have known same were false or misleading.
- 112. This duty is based, in part, on defendant's position as one of the largest users of cocoa in the world.
- 113. The Products did not conform to their affirmations of fact and promises, wholly due to defendant's actions.
 - 114. The Products were not merchantable in their final sale form.
- 115. Plaintiff and class members relied on defendant's claims, paying more than they would have.

Fraud

- 116. Plaintiff incorporates by references all preceding paragraphs.
- 117. Defendant's purpose was to mislead consumers who seek products which feature "real" ingredients "real" referring to the ingredient in its least modified form such that it can be incorporated into a final product.
- 118. Defendant's intent was to secure economic advantage in the marketplace against competitors.
- 119. Plaintiff and class members observed and relied on defendant's claims, causing them to pay more than they would have, entitling them to damages.

Unjust Enrichment

- 120. Plaintiff incorporates by references all preceding paragraphs.
- 121. Defendant obtained benefits and monies because the Products were not as represented and expected, to the detriment and impoverishment of plaintiff and class members, who seek restitution and disgorgement of inequitably obtained profits.

Jury Demand and Prayer for Relief

Plaintiff demands a jury trial on all issues.

WHEREFORE, plaintiff prays for judgment:

- 1. Declaring this a proper class action, certifying plaintiff(s) as representative and the undersigned as counsel for the class;
- 2. Entering preliminary and permanent injunctive relief by directing defendant to correct such practices to comply with the law;
- 3. Injunctive relief for members of the New York Subclass pursuant to GBL §§ 349 and 350, without limitation;

4. An award of restitution pursuant to California Business and Professions Code §§ 17203

and 17535 for John Doe California Plaintiff and members of the California Subclass;

5. An award of disgorgement pursuant to California Business and Professions Code §§ 17203

and 17535 for John Doe California Plaintiff members of the California Subclass;

6. An order enjoining Defendant, pursuant to California Business and Professions Code §§

17203 and 17535, to remove and/or refrain from using representations on Defendant's

Products described here;

7. Awarding monetary damages and interest, including treble and punitive damages, pursuant

to the common law, GBL, CLRA and other statutory claims;

8. Awarding costs and expenses, including reasonable fees for plaintiff's attorneys and

experts; and

9. Such other and further relief as the Court deems just and proper.

Dated: April 26, 2019

Respectfully submitted,

Sheehan & Associates, P.C.

/s/Spencer Sheehan

Spencer Sheehan (SS-8533)

505 Northern Blvd., Suite 311

Great Neck, NY 11021

(516) 303-0552

spencer@spencersheehan.com

Joshua Levin-Epstein

2:19-cv-02488 United States District Court Eastern District of New York

Kenneth Copeland individually and on behalf of all others similarly situated

Plaintiff

- against -

Post Consumer Brands, LLC

Defendant

Complaint

Sheehan & Associates, P.C. 505 Northern Blvd., #311 Great Neck, NY 11021 Tel: (516) 303-0052 Fax: (516) 234-7800

Pursuant to 22 NYCRR 130-1.1, the undersigned, an attorney admitted to practice in the courts of New York State, certifies that, upon information, and belief, formed after an inquiry reasonable under the circumstances, the contentions contained in the annexed documents are not frivolous.

Dated: April 26, 2019

/s/ Spencer Sheehan
Spencer Sheehan

$_{ m JS~44~(Rev.~07/16)}$ Case 2:19-cv-02488-SJF-AKT_ Pocument 1-1, Filed 04/26/19 Page 1 of 2 PageID #: 22

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

parpose or minating the errir a	one one on the contract of	110.10 011.11211 1.1102 0		.11.71.)					
I. (a) PLAINTIFFS Kenneth Copeland indivi- situated	/	DEFENDANTS Post Consumer Brands, LLC							
(b) County of Residence of First Listed Plaintiff Nassau (EXCEPT IN U.S. PLAINTIFF CASES)				County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.					
(c) Attorneys (Firm Name, A Sheehan & Associates, F Neck, NY 11021, (516) 3	P.C., 505 Northern Bo	_{r)} ulevard, Suite 311,	Great	Attorneys (If Known)					
II. BASIS OF JURISDI	CTION (Place an "X" in C	One Box Only)		TIZENSHIP OF P	RINCIP	AL PARTIES			
□ 1 U.S. Government Plaintiff	☐ 3 Federal Question (U.S. Government Not a Party)				FF DEF	I Incorporated or Pr of Business In T		PTF 4	ant) DEF □ 4
☐ 2 U.S. Government Defendant	■ 4 Diversity (Indicate Citizensh	4 Diversity (Indicate Citizenship of Parties in Item III)		en of Another State	2 🛣 2	2 Incorporated and I of Business In A		□ 5	□ 5
				en or Subject of a reign Country	3 🗖 3	3 Foreign Nation		□ 6	□ 6
IV. NATURE OF SUIT		••	F	ORFEITURE/PENALTY	I RA	NKRUPTCV	OTHER S	STATIIT	ES
☐ 110 Insurance ☐ 120 Marine ☐ 130 Miller Act ☐ 140 Negotiable Instrument ☐ 150 Recovery of Overpayment	□ 310 Airplane □ 365 Perso □ 315 Airplane Product Liability □ 367 Healt □ 320 Assault, Libel & Pharr Slander □ 330 Federal Employers' Liability □ 368 Asbe	PERSONAL INJUR 365 Personal Injury - Product Liability 367 Health Care/ Pharmaceutical Personal Injury Product Liability 368 Asbestos Personal	EY ☐ 62 ☐ 69	25 Drug Related Seizure of Property 21 USC 881 00 Other	BANKRUPTCY □ 422 Appeal 28 USC 158 □ 423 Withdrawal 28 USC 157 PROPERTY RIGHTS □ 820 Copyrights □ 830 Patent □ 840 Trademark SOCIAL SECURITY □ 861 HIA (1395ff) □ 862 Black Lung (923) □ 863 DIWC/DIWW (405(g)) □ 864 SSID Title XVI □ 865 RSI (405(g))		OTHER STATUTES □ 375 False Claims Act □ 376 Qui Tam (31 USC 3729(a)) □ 400 State Reapportionment □ 410 Antitrust □ 430 Banks and Banking □ 450 Commerce □ 460 Deportation □ 470 Real stream Influenced and		
Student Loans (Excludes Veterans) 153 Recovery of Overpayment of Veteran's Benefits 160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability 196 Franchise	□ 340 Marine □ 345 Marine Product Liability □ 350 Motor Vehicle □ 355 Motor Vehicle Product Liability □ 360 Other Personal Injury □ 362 Personal Injury - Medical Malpractice	Injury Product Liability PERSONAL PROPEI 370 Other Fraud 371 Truth in Lending 380 Other Personal Property Damage 385 Property Damage Product Liability	□ 72 □ 74 □ 75	LABOR 10 Fair Labor Standards Act 20 Labor/Management Relations 40 Railway Labor Act 51 Family and Medical Leave Act 00 Other Labor Litigation			□ 470 Racketeer Influenced and Corrupt Organizations □ 480 Consumer Credit □ 490 Cable/Sat TV □ 850 Securities/Commodities/ Exchange □ 890 Other Statutory Actions □ 891 Agricultural Acts □ 893 Environmental Matters □ 895 Freedom of Information		
REAL PROPERTY	CIVIL RIGHTS	PRISONER PETITIO		1 Employee Retirement		RAL TAX SUITS	Act		
 210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property 	□ 440 Other Civil Rights □ 441 Voting □ 442 Employment □ 443 Housing/ Accommodations □ 445 Amer. w/Disabilities - Employment □ 446 Amer. w/Disabilities - Other □ 448 Education	Habeas Corpus: ☐ 463 Alien Detainee ☐ 510 Motions to Vacate Sentence ☐ 530 General ☐ 535 Death Penalty Other: ☐ 540 Mandamus & Oth ☐ 550 Civil Rights ☐ 555 Prison Condition ☐ 560 Civil Detainee - Conditions of Confinement	□ 46	Income Security Act IMMIGRATION 52 Naturalization Application 55 Other Immigration Actions	□ 870 Taxes (U.S. Plaintiff or Defendant) □ 871 IRS—Third Party 26 USC 7609		□ 896 Arbitration □ 899 Administrative Procedure		
	n One Box Only) moved from	Remanded from Appellate Court	□ 4 Rein Reo		er District	☐ 6 Multidistr Litigation Transfer	1 -	Multidis Litigatio Direct F	on -
VI. CAUSE OF ACTIO	I 28 USC § 1332	ause:	re filing (I	Do not cite jurisdictional stat	tutes unless	diversity):			
VII. REQUESTED IN COMPLAINT: CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P.		N D	DEMAND \$ CHECK YES only if demanded in complaint: 5,000,000.00 JURY DEMAND: ✓ Yes ☐ No						
VIII. RELATED CASE(S) IF ANY (See instructions): JUDGE				DOCKET NUMBER					
DATE 04/26/2019	signature of attorney of record /s/ Spencer Sheehan								
FOR OFFICE USE ONLY RECEIPT # AM	ИOUNT	APPLYING IFP		JUDGE		MAG. JU	DGE		

Case 2:19-cv-02488-SJF-AKT Document 1-1 Filed 04/26/19 Page 2 of 2 PageID #: 23

CERTIFICATION OF ARBITRATION ELIGIBILITY

Local Arbitration Rule 83.10 provides that with certain exceptions, actions seeking money damages only in an amount not in excess of \$150,000, exclusive of interest and costs, are eligible for compulsory arbitration. The amount of damages is presumed to be below the threshold amount unless a certification to the contrary is filed.

I, Spend	cer Sheehar	, counsel for plaintiff , do hereby certify that the above captioned civil action is				
ineligi	ble for o	compulsory arbitration for the following reason(s): , do hereby certify that the above captioned civil action is				
	X	monetary damages sought are in excess of \$150,000, exclusive of interest and costs,				
	★ the complaint seeks injunctive relief,					
		the matter is otherwise ineligible for the following reason				
		DISCLOSURE STATEMENT - FEDERAL RULES CIVIL PROCEDURE 7.1				
		Identify any parent corporation and any publicly held corporation that owns 10% or more or its stocks:				
		RELATED CASE STATEMENT (Section VIII on the Front of this Form)				
provide because same ju case: (A	s that "A the cases dge and n a) involve	es that are arguably related pursuant to Division of Business Rule 50.3.1 in Section VIII on the front of this form. Rule 50.3.1 (a) civil case is "related" to another civil case for purposes of this guideline when, because of the similarity of facts and legal issues or arise from the same transactions or events, a substantial saving of judicial resources is likely to result from assigning both cases to the nagistrate judge." Rule 50.3.1 (b) provides that "A civil case shall not be deemed "related" to another civil case merely because the civil is identical legal issues, or (B) involves the same parties." Rule 50.3.1 (c) further provides that "Presumptively, and subject to the power rmine otherwise pursuant to paragraph (d), civil cases shall not be deemed to be "related" unless both cases are still pending before the				
		NY-E DIVISION OF BUSINESS RULE 50.1(d)(2)				
1.)	Is the County	civil action being filed in the Eastern District removed from a New York State Court located in Nassau or Suffolk				
2.)		answered "no" above: the events or omissions giving rise to the claim or claims, or a substantial part thereof, occur in Nassau or Suffolk 7? Yes				
	b) Did Distric	the events or omissions giving rise to the claim or claims, or a substantial part thereof, occur in the Eastern t? Yes				
Suffolk	County olk Cour	to question 2 (b) is "No," does the defendant (or a majority of the defendants, if there is more than one) reside in Nassau or, or, in an interpleader action, does the claimant (or a majority of the claimants, if there is more than one) reside in Nassau aty?				
		BAR ADMISSION				
I am cı	ırrently a	dmitted in the Eastern District of New York and currently a member in good standing of the bar of this court. Yes No				
Are yo	u current	ly the subject of any disciplinary action (s) in this or any other state or federal court? Yes (If yes, please explain) No				

I certify the accuracy of all information provided above.

Signature: /s/ Spencer Sheehan

UNITED STATES DISTRICT COURT

for the Eastern District of New York

Kenneth Copeland individuall similarly situated	y and on behalf of all others)))))))
Pla	uintiff(s)	
Post Consumer Brands, LLC	V.) Civil Action No. 2:19-cv-02488)
Defe	endant(s)	_)
)
	SUMMONS IN	A CIVIL ACTION
To: (Defendant's name and address)	Post Consumer Brands, LLC C/O Corporation Service Con 2345 Rice Street, Suite 230 Roseville, MN 55113	npany
A lawsuit has been filed	l against you.	
the United States or a United St (a)(2) or (3) — you must serve	ates agency, or an officer or employ on the plaintiff an answer to the atta answer or motion must be served or	ounting the day you received it) — or 60 days if you are zee of the United States described in Fed. R. Civ. P. 12 sched complaint or a motion under Rule 12 of the Federal the plaintiff or plaintiff's attorney, whose name and P.C. 505 Northern Blvd., #311, Great Neck, NY 11021
If you fail to respond, ju You also must file your answer		against you for the relief demanded in the complaint.
		DOUGLAS C. PALMER CLERK OF COURT
Date:		Signature of Clerk or Deputy Clerk