

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF PENNSYLVANIA**

<p>Etta Calhoun, Sherry Porter, and Cynthia Gray, on behalf of themselves and all other persons similarly situated,</p> <p style="text-align: center;">Plaintiffs,</p> <p style="text-align: center;">v.</p> <p>Invention Submission Corporation d/b/a InventHelp, Technosystems Consolidated Corp., Technosystems Service Corp., Western Invention Submission Corp., Universal Payment Corporation, Intromark Incorporated, Robert J. Susa, Thomas Frost, P.A., Thomas Frost, John Doe Companies 1- 10, John Doe Individuals 1-10,</p> <p style="text-align: center;">Defendants.</p>	<p>No. 2:18-cv-01022</p> <p>Magistrate Judge Patricia L. Dodge</p>
<p>Carla Austin and Nil Leone, individually and on behalf of all others similarly situated,</p> <p style="text-align: center;">Plaintiffs,</p> <p style="text-align: center;">v.</p> <p>Invention Submission Corp. d/b/a InventHelp, Western Invention Submission Corp. d/b/a Western InventHelp, Intromark Incorporated, and Technosystems Service Corporation,</p> <p style="text-align: center;">Defendants.</p>	<p>No. 2:19-CV-01396</p> <p>Magistrate Judge Patricia L. Dodge</p>
<p>Geta Miclaus and Vim and Kevin Byrne, on behalf of themselves and all other persons similarly situated,</p> <p style="text-align: center;">Plaintiffs,</p> <p style="text-align: center;">v.</p>	<p>No. 2:20-cv-681</p> <p>Magistrate Judge Patricia L. Dodge</p>

Invention Submission Corporation d/b/a InventHelp, Technosystems Consolidated Corp., Technosystems Service Corp., Western Invention Submission Corp. d/b/a Western InventHelp, Universal Payment Corporation, Intromark Incorporated, Robert J. Susa, Thomas Frost, P.A., Thomas Frost, John Doe Companies 1-10, John Doe Individuals 1-10,

Defendants.

CLASS ACTION SETTLEMENT AGREEMENT

This Class Action Settlement Agreement (the “Agreement”) is made and entered into as of the date of the last signature to the Agreement (the “Settlement Date”), by and between Plaintiffs Cynthia Gray, Vim and Kevin Byrne, Geta Miclaus, Carla Austin, and Nil Leone (collectively, “**Plaintiffs**” or “**Class Representatives**”), individually and as proposed representatives on behalf of the Settlement Class defined below; Invention Submission Corporation d/b/a InventHelp, Western Invention Submission Corporation d/b/a Western InventHelp, Technosystems Consolidated Corporation, Technosystems Service Corporation, Intromark Incorporated, Universal Payment Corporation, and Robert Susa (collectively, the “**InventHelp Defendants**”); and Thomas Frost, P.A. and Thomas Frost (the “**Frost Defendants**”) (the InventHelp Defendants and Frost Defendants are together referenced herein as the “**Defendants**” and all parties are together referenced herein as the “**Parties**”).

RECITALS

WHEREAS, in the Litigation (defined below), Plaintiffs, individually and on behalf of the Settlement Class defined below, allege claims against Defendants for violation of the American Inventors Protection Act, 35 U.S.C. § 297(b), and for breach of contract, and assert

that Defendants failed to perform invention promotion services as promised, and misrepresented those services;

WHEREAS, Plaintiffs, through their counsel, have conducted a thorough investigation of the facts and law relating to the claims alleged in the Litigation and, without conceding that any of Plaintiffs' claims lack merit, have concluded that it is in the best interests of Plaintiffs and the proposed Settlement Class to settle the Litigation on the terms set forth herein, and that the Settlement set forth in this Agreement is fair, reasonable, and adequate, taking into account all relevant circumstances;

WHEREAS, while Defendants deny Plaintiffs' allegations in the Litigation and deny that they are or may be liable for any of the claims asserted in the Litigation, they enter into this Agreement solely to avoid the further expense, inconvenience, and distraction of protracted discovery and further proceedings in the Litigation, and do so without any express or implied admission of fact or liability;

WHEREAS, the Parties engaged in extensive, difficult, complex, and arm's-length negotiations regarding this Settlement over an extended period of time, and the Settlement was achieved only after numerous mediation sessions, including two full-day sessions overseen by an experienced mediator, the Hon. Diane Welsh (Ret.) of JAMS, a former U.S. Magistrate Judge, and, more recently, another full day session overseen by the Court-assigned mediator, the Hon. Lisa Pupo Lenihan, a current U.S. Magistrate Judge, who also oversaw the negotiations between the Parties that followed their mediation session;

WHEREAS, the Settlement takes into account all facts and circumstances relating to the Litigation including the InventHelp Defendants' finances, as confidentially disclosed to Plaintiffs

during the mediation process overseen by the Hon. Diane Welsh (Ret.) and, ultimately, Judge Lenihan;

WHEREAS, the Parties agree that this Agreement shall not be deemed to be or construed as an admission, concession, or evidence of any violation of any federal or state statute, regulation, rule, or other law, or principle of common law or equity, or of any liability or wrongdoing by Defendants;

WHEREAS, the Parties desire and intend by this Agreement to settle finally and completely, and effectuate a final and complete resolution of the Released Claims (defined below) of all Settlement Class Members, and in exchange for the meaningful consideration set forth herein to be provided by the Defendants, to provide for a complete, full, and final release of the Released Claims in favor of the Released Parties (defined below);

NOW, THEREFORE, the Parties, in consideration of the promises, covenants, and agreements herein described, and for other good and valuable consideration acknowledged by each of them to be satisfactory and adequate, and intending to be legally bound, do hereby mutually agree that the claims asserted in the Litigation shall be settled, compromised, released, and dismissed on their merits with prejudice, according to the following terms and conditions:

A. DEFINITIONS

1. As used herein, the following terms have the meanings set forth below.
2. The term “**Allowed Claim**” means a Claim submitted by a Claimant that the Settlement Administrator determines to be timely, complete, and eligible pursuant to Section F below.
3. The term “**Appellate Courts**” refers to the United States Court of Appeals for the Third Circuit and the Supreme Court of the United States.

4. The term “**BIP Agreement**” means the InventHelp Defendants’ Basic Information Package Agreement offered by certain of the InventHelp Defendants (“Basic Information Package”).

5. The term “**BIP Agreement Customers**” means the Settlement Class Members who signed a BIP Agreement and did not enter into a Submission Agreement.

6. The term “**BIP Agreements Fully Paid**” means BIP Agreements that were fully paid as of November 19, 2021. 29,478 BIP Agreements fall into this category.

7. The term “**BIP Agreements Not Fully Paid**” means BIP Agreements that were not fully paid (*i.e.*, currently paying, marked as “cancelled,” or “charge-offs”) as of November 19, 2021. 7,404 BIP Agreements fall into this category.

8. The term “**Claim**” means a request for relief made by a Claimant via the submission of a Claim Form to the Settlement Administrator pursuant to Section F below.

9. The term “**Claimant**” means a Settlement Class Member who makes a request for relief via the submission of a Claim Form to the Settlement Administrator pursuant to Section F below.

10. The term “**Claim Form**” means the form approved by the Court for use by Claimants to submit Claims pursuant to this Agreement, substantially in the form of Exhibit 1 hereto.

11. The term “**Claim Period**” means the time period of sixty (60) days from the Notice Date, during which verified Claim Forms must be postmarked (if sent by U.S. Mail or hard copy delivery) or submitted electronically within the Claim Period to be considered timely.

12. The term “**Claims Process**” refers to the process for the submission and determination of Claims submitted by Settlement Class Members, as specified in Section F of this Agreement.

13. The term “**Class Counsel**” means Shanon J. Carson, Peter R. Kahana, and John “Quinn” Kerrigan of Berger Montague PC, and Julie Pechersky Plitt and Marc S. Oxman of Oxman Law Group, PLLC, and the term “**Lead Class Counsel**” means Shanon J. Carson and Peter R. Kahana of Berger Montague PC who were previously appointed as Interim Lead Class Counsel by the Court.

14. The term “**Class Representatives**” means Plaintiffs Cynthia Gray, Vim and Kevin Byrne, Geta Miclaus, Carla Austin, and Nil Leone.

15. The term “**Counsel for Frost Defendants**” means Frank Stoy of Pietragallo Gordon Alfano Bosick & Raspanti, LLP.

16. The term “**Counsel for InventHelp Defendants**” means David Garraux, Christopher Verdini, Jennifer Nagle, and Jessica Moran of K&L Gates LLP.

17. The term “**Court**” means the United States District Court for the Western District of Pennsylvania.

18. The term “**Data Bank**” means InventHelp’s database of companies that have registered with InventHelp to receive InventHelp customers’ submission materials on an ongoing basis.

19. The term “**Defendants**” means the InventHelp Defendants and Frost Defendants.

20. The term “**Effective Date**” means the following: (i) if there are no objectors to the Settlement and the Court does not modify the terms of this Settlement Agreement via the Final Approval Order, the date following the issuance of the Court’s Final Approval Order and

Judgment; or (ii) if there are any objectors to the Settlement or the Court modifies the terms of this Settlement via the Final Approval Order, and no appeal is filed, the day after the expiration of the deadline for appealing the Final Approval Order and Judgment; or (iii) if there are any objectors to the Settlement or the Court modifies the terms of this Settlement via the Final Approval Order, and an appeal is filed, the day after the Final Approval Order and Judgment have been affirmed in their entirety by the Appellate Court of last resort to which such appeal has been taken, and such affirmance is no longer subject to further appeal or review.

21. The term “**Enhanced Processes**” means the following six (6) measures to be implemented by the applicable InventHelp Defendants within 30 days of the Effective Date (except as set forth below), to be paid for by the InventHelp Defendants outside of the Gross Settlement Fund defined below, and to exist for a period of at least five years from the Effective Date:

a. Employ a customer care team to address complaints by Settlement Class Members on a timely basis, and retain a searchable electronic record of any and all such complaints and the InventHelp Defendants’ responses;

b. Prepare and implement a written policy for the InventHelp Defendants stating that they will utilize commercially reasonable best efforts to maintain and use with maximum possible accuracy the InventHelp Defendants’ Data Bank, such that: (a) the Data Bank is up to date and that names, addresses, and contact information are correct and active; (b) any currently registered Data Bank company is an ongoing and active business that desires to receive the submissions; (c) the registered Data Bank companies selected to match a SUB Customer’s invention are companies interested in reviewing inventions and ideas that are relevant to that of the SUB Customer; and (d) the InventHelp Defendants have not submitted the same invention

more than once to the same Data Bank company, provided that the InventHelp Defendants can submit an idea to multiple contacts at the same company;

c. Implement a customer outreach program to follow up on customers' satisfaction level regarding the InventHelp Defendants' services using commercially reasonable methods for testing and analyzing customer satisfaction;

d. Modify the content of its advertising, including television, radio, print, and online advertising, to remove from their advertising the names of companies, if any, that have not registered in its Data Bank to receive client inventions;

e. Prepare and implement a written policy prohibiting the use of issuing temporary license agreements for the purpose of inflating the figures required by AIPA 35 U.S.C. § 297 (a)(3) and (4); and

f. Prepare and implement a written policy for the InventHelp Defendants stating that they will issue written disclosures to customers prior to a customer entering into a Submission Agreement identifying the estimated timetable for the InventHelp Defendants (or any of them) to complete each of the services they will provide under the Agreement.

22. The term “**Eligible Claimant**” means a Claimant who has submitted a Claim Form determined by the Settlement Administrator to be timely, complete, and eligible pursuant to Section F below.

23. The term “**Final Approval Date**” means the date on which the Court enters the Final Approval Order and Judgment.

24. The term “**Final Approval Hearing**” means the hearing conducted by the Court under Rule 23(e) of the Federal Rules of Civil Procedure at which the Court shall: (a) determine whether to grant final approval to this Settlement; (b) consider any timely Objections to the

Settlement and all responses thereto; and (c) consider any motion for attorneys' fees and expenses to Class Counsel and for service awards to the Class Representatives.

25. The term "**Final Approval Order and Judgment**" means the Final Approval Order and Judgment of the Court approving the Settlement and entering judgment with prejudice.

26. The term "**Frost Defendants**" means Thomas Frost, P.A. and Thomas Frost.

27. The term "**Gross Settlement Fund**" means the non-reversionary sum of Three Million Dollars (\$3,000,000.00) to be funded by the InventHelp Defendants, maintained and disbursed by the Settlement Administrator under the terms of this Agreement, and from which Class Counsel's attorneys' fees and expenses, notice and settlement administration costs, and any service awards to the Class Representatives shall be paid as approved by the Court.

28. The term "**InventHelp Defendants**" means Invention Submission Corporation d/b/a InventHelp, Western Invention Submission Corporation d/b/a Western InventHelp, Technosystems Consolidated Corporation, Technosystems Service Corporation, Intromark Incorporated, Universal Payment Corporation, and Robert Susa.

29. The term "**Litigation**" means (a) *Austin, et al. v. Invention Submission Corporation, et al.*, No. 2:19-CV-01396 (W.D. Pa.); (b) *Calhoun, et al. v. Invention Submission Corporation, et al.*, No. 2:18-cv-01022 (W.D. Pa.); and (c) *Miclaus, et al. v. Invention Submission Corporation, et al.*, No. 2:20-cv-00681-CB (W.D. Pa.).

30. The term "**Net Settlement Fund**" means the amount of money remaining in the Gross Settlement Fund after Class Counsel's attorneys' fees and expenses, notice and settlement administration costs, and any service awards to the Class Representatives are deducted from the Gross Settlement Fund, all as approved by the Court.

31. The term “**Notice**” means the Notice of Class Action Settlement approved by the Court by which the Settlement Class Members are notified of the Settlement, substantially in the form of Exhibit 2 to this Agreement.

32. The term “**Notice Deadline**” means the date established by the Court in its Preliminary Approval Order by which the Settlement Administrator must implement the Notice Plan and which shall not be later than 21 days after the Preliminary Approval Date.

33. The term “**Notice of Deficiency**” means any written notice that the Settlement Administrator sends to any Claimant who submits a timely Claim Form that contains a deficiency that needs to be cured as determined by the Settlement Administrator. A form of Notice of Deficiency to be used by the Settlement Administrator is attached hereto as Exhibit 3.

34. The term “**Notice Plan**” shall have the meaning ascribed to it in Sections E.74 of this Agreement.

35. The term “**Objection**” refers to the timely and complete filing with the Court of a written objection to the Settlement, which includes all information specified in Section G.85 of this Agreement.

36. The term “**Objection and Opt-Out Deadline**” means the deadline established by the Court in the Preliminary Approval Order, and to be set forth in the Notice, by which Settlement Class Members must file any Objections with the Court or submit any Opt-Out Requests to the Settlement Administrator.

37. The term “**Opt-Out Request**” means a request to opt out of the Settlement Class, which includes all information specified in Section G.84 of this Agreement.

38. The term “**Parties**” means Plaintiffs and Defendants.

39. The term “**Person**” or “**Persons**” means any individual or entity, public or private.

40. The term “**Plaintiffs**” means Cynthia Gray, Vim and Kevin Byrne, Geta Miclaus, Carla Austin, and Nil Leone.

41. The term “**Preliminary Approval Date**” means the date on which the Court enters the Preliminary Approval Order.

42. The term “**Preliminary Approval Order**” means the Order of the Court preliminarily approving the Settlement, in a form substantially similar to Exhibit 4 hereto.

43. The term “**Released Claims**” means the claims released as set forth in Section H.87 below.

44. The term “**Released Parties**” shall have the meaning set forth in Section H.86 of this Agreement.

45. The term “**Releasing Parties**” shall have the meaning set forth in Section H.86 of this Agreement.

46. The term “**Settlement Administrator**” means Angeion Group, LLC, the third-party settlement administration company that the parties will request be appointed by the Court in the Preliminary Approval Order, that has been retained by Lead Class Counsel to administer the Notice Plan and Claims Process, create and maintain the Gross Settlement Fund, and disburse payments from the Gross Settlement Fund. All reasonable fees and costs invoiced by the Settlement Administrator will be paid from the Gross Settlement Fund.

47. The term “**Settlement Agreement,**” “**Agreement,**” or “**Settlement**” mean this Agreement and its Exhibits which are incorporated herein, including any subsequent

amendments and subsequent exhibits that are agreed to by the Parties in writing and approved by the Court.

48. The term “**Settlement Class**” means all United States residents who purchased services from any of the InventHelp Defendants from January 1, 2014 to June 30, 2021. Defendants have represented that the Settlement Class collectively purchased 36,882 Basic Information Package Agreements and 18,223 Submission Agreements during that time period. Plaintiffs have relied on this information in agreeing to this Agreement. The Settlement Class excludes: (a) employees/officers/agents of the InventHelp Defendants; and (b) any judicial officer assigned to the Litigation. To the extent that one or more individuals jointly purchased services from any of the InventHelp Defendants from January 1, 2014 to June 30, 2021, they shall be deemed to be one member of the Settlement Class for purposes of obtaining relief under the Settlement Agreement.

49. The terms “**Settlement Class Member**” or “**Settlement Class Members**” mean members of the Settlement Class.

50. The term “**Settlement Payment**” means a settlement check sent by the Settlement Administrator to a Settlement Class Member entitled to relief pursuant to this Agreement.

51. The term “**Settlement Relief**” means the Enhanced Processes plus the relief described in Section D, below.

52. The term “**Settlement Website**” means the Settlement Website to be established by the Settlement Administrator.

53. The terms “**Submission Agreement**” or “**SUB Agreement**” mean the Submission Agreement between either Invention Submission Corporation or Western Invention Submission Corporation and a Settlement Class Member for the purchase of invention submission services.

54. The term “**SUB Agreement Customers**” means the Settlement Class Members who signed a SUB Agreement.

55. The term “**SUB Agreements Fully Paid**” means the Submission Agreements that were fully paid as of November 19, 2021. 10,325 SUB Agreements fall into this category.

56. The term “**SUB Agreements Not Fully Paid and Open**” means the Submission Agreements that were not fully paid and were still recorded as open in the relevant InventHelp Defendants’ records as of November 19, 2021. 3,411 SUB Agreements fall into this category.

57. The term “**SUB Agreements Not Fully Paid and Closed**” means the Submission Agreements that were not fully paid and had been charged off or cancelled as of November 19, 2021. 4,487 SUB Agreements fall into this category.

58. References to a person or entity under this Agreement include their permitted heirs, personal representatives, executors, affiliates, successors, and assigns.

59. Whenever the words “include,” “includes,” or “including” are used in this Agreement, they shall not be limiting, but rather shall be deemed to be followed by the words “without limitation.”

B. CONDITIONAL CERTIFICATION OF SETTLEMENT CLASS; PRELIMINARY APPROVAL

60. **Stipulation to Settlement Class.** The Parties stipulate for settlement purposes only that all prerequisites for conditional certification of the Settlement Class pursuant to Federal Rule of Civil Procedure 23(a) and (b)(3) are met.

61. **Preliminary Approval.** Within one week of the execution of this Settlement Agreement, Class Counsel shall file a Motion for Preliminary Approval of Class Action Settlement requesting entry of the Preliminary Approval Order attached hereto as Exhibit 4 (i) preliminarily approving the Parties’ Settlement under terms substantially consistent with the

terms of this Agreement; (ii) conditionally certifying the Settlement Class identified in this Agreement for settlement purposes only; (iii) reaffirming Shanon J. Carson and Peter R. Kahana of Berger Montague PC as Lead Class Counsel; (iv) approving the proposed Notice and Notice Plan; (v) appointing, Angeion Group, LLC as the Settlement Administrator; (vi) establishing deadlines and requirements for the filing of any Objection to the Settlement; (vii) establishing deadlines and requirements for the filing of any Opt-Out Request; (viii) establishing the Claim Period and approving the Claim Form and Claims Process; (ix) establishing a date for the Final Approval Hearing; and (x) preliminarily enjoining Settlement Class Members who do not file a complete and valid Opt-Out Request by the Objection and Opt-Out Deadline from filing suit or asserting any claims, demands, and/or counterclaims with respect to matters released in Section H of this Agreement.

C. GROSS SETTLEMENT FUND

62. **Payment of Gross Settlement Fund.** Within ten (10) business days after the Effective Date, and following receipt of a W-9 and wiring instructions to be provided by the Settlement Administrator, the InventHelp Defendants shall remit Three Million Dollars (\$3,000,000) to the Settlement Administrator.

63. **Qualified Settlement Fund.** The Gross Settlement Fund shall be maintained by the Settlement Administrator in an account established as a Qualified Settlement Fund for federal tax purposes pursuant to Treas. Reg. § 1.468B-1. The Settlement Administrator shall be responsible for all administrative, accounting, and tax compliance activities in connection with the Qualified Settlement Fund, including any filings necessary to obtain Qualified Settlement Fund status pursuant to Treas. Reg. § 1.468B-1. The InventHelp Defendants shall provide to the Settlement Administrator any documentation necessary to facilitate obtaining Qualified Settlement Fund status.

64. **No Reversion.** No amount of the Gross Settlement Fund shall revert to the Defendants. The Gross Settlement Fund and Net Settlement Fund shall be utilized and distributed as set forth in this Agreement and approved by the Court. Any portion of the Net Settlement Fund that results from uncashed checks will be paid to a *cy pres* recipient selected by Plaintiffs (subject to Defendants' approval, not to be unreasonably withheld), and approved by the Court in its Final Approval Order.

D. SETTLEMENT RELIEF TO THE SETTLEMENT CLASS MEMBERS

65. **BIP Agreement Customers.**

a. **BIP Agreements Fully Paid.** BIP Agreement Customers with BIP Agreements Fully Paid who do not opt out of the Settlement Class are entitled to submit a Claim Form and, if their claim is deemed timely and valid by the Settlement Administrator, will receive a cash payment of \$20 to be paid by the InventHelp Defendants outside of the Gross Settlement Fund, meaning such payments will not reduce the amount of the Gross Settlement Fund. Following the Claim Period, the Settlement Administrator will provide notice to the Parties of the amount to be paid for BIP Agreements Fully Paid. Following receipt of a W-9 and wiring instructions to be provided by the Settlement Administrator, and within ten (10) business days of the Effective Date, the InventHelp Defendants will wire that amount (in addition to the amount to fund the Gross Settlement Fund) to the Settlement Administrator.

b. **BIP Agreements Not Fully Paid.** BIP Agreement Customers with BIP Agreements Not Fully Paid who do not opt out of the Settlement Class are entitled to submit a Claim Form and, if their claim is deemed timely and valid by the Settlement Administrator, will receive a \$20 credit against any outstanding balance they may owe to the InventHelp Defendants (or a credit entirely canceling their debt if the existing debt is \$20 or less), all of which credit

amounts will be outside of the Gross Settlement Fund, meaning that such credits will not reduce the amount of the Gross Settlement Fund.

66. **SUB Agreement Customers.**

a. **SUB Agreements Not Fully Paid and Open.** SUB Agreement Customers with SUB Agreements Not Fully Paid and Open are entitled to submit a Claim Form electing one of the following two (2) options if their Claim is deemed timely and valid by the Settlement Administrator: (a) an \$800 credit against any outstanding balance they may owe to the InventHelp Defendants (or a credit entirely canceling their debt if the existing debt is \$800 or less) (all of which credit amounts shall be outside of and not charged against the Gross Settlement Fund); or (b) invention services to be provided by the InventHelp Defendants valued at not less than \$3,000 (outside of and not to be charged against the Gross Settlement Fund) consisting of:

1. Inclusion of their idea or invention by InventHelp in the Virtual Invention Browsing Experience (“VIBE”) at either the 2023 or 2024 national licensing show (in addition to any VIBE already purchased by the Settlement Class Member). To the extent either show is cancelled for reasons beyond InventHelp’s control (*e.g.*, on account of the COVID-19 pandemic), InventHelp may also provide the service for eligible Settlement Class Members at the 2025 national licensing show; and
2. Inclusion of their idea or invention by InventHelp (in addition to the service already provided for in paragraph K of their existing Submission Agreement) in a curated catalog of inventions (that may be provided either before or after any catalog to which a Settlement Class Member is already contractually entitled to) that is grouped by product area, and that will be published and appropriately distributed by InventHelp to approximately fifty (50) Data Bank companies within a period of one (1) year after the Effective Date.

b. **SUB Agreements Not Fully Paid and Closed.** SUB Agreement Customers with SUB Agreements Not Fully Paid and Closed who do not opt out of the

Settlement Class will automatically receive a credit of \$1,500 against any outstanding balance they may owe to the InventHelp Defendants (or a credit entirely canceling their debt if the existing debt is \$1,500 or less), without having to submit a Claim Form (which credits shall be outside of and not charged against the Gross Settlement Fund).

c. **SUB Agreements Fully Paid.** SUB Agreement Customers with SUB Agreements Fully Paid are entitled to submit a Claim Form, and if deemed timely and valid by the Settlement Administrator, will receive an equal *pro rata* cash payment from the Net Settlement Fund not to exceed \$250, plus they shall also receive the following invention services from the InventHelp Defendants valued at not less than \$3,000 (outside of and not to be charged against the Gross Settlement Fund):

1. Inclusion of their idea or invention by InventHelp in the Virtual Invention Browsing Experience (“VIBE”) at either the 2023 or 2024 national licensing show (in addition to any VIBE already purchased by the Settlement Class Member). To the extent either show is cancelled for reasons beyond InventHelp’s control (e.g. on account of the COVID-19 pandemic), InventHelp may also provide the service for eligible Settlement Class Members at the 2025 national licensing show; and
2. Inclusion of their idea or invention by InventHelp (in addition to the service already provided for in paragraph K of their existing Submission Agreement) in a curated catalog of inventions (that may be provided either before or after any catalog to which a Settlement Class Member is already contractually entitled to) that is grouped by product area, and that will be published and appropriately distributed by InventHelp to approximately fifty (50) Data Bank companies within a period of one (1) year after the Effective Date.

67. **Additional Credit Repair Relief, Negative Tradeline Deletion Request, and Adjustment of Reported Balance.** In addition to the foregoing, within thirty (30) days of the Effective Date and as to all Settlement Class Members whose balances are fully eliminated as a result of the relief set forth above, the relevant InventHelp Defendant(s) shall contact all

consumer reporting agencies (“CRAs”) to which it (or anyone acting on its behalf) previously has reported information regarding outstanding payments owed by such Settlement Class Member to any of the InventHelp Defendants, and request permanent removal of any negative tradelines previously reported to CRAs in the name of any of the InventHelp Defendants or anyone acting on their behalf, regarding such outstanding payments. A tradeline shall be considered “negative” if it indicates that any payment was missed. Within ninety (90) days of the Effective Date and as to those Class Members whose balances are reduced, but not fully eliminated, as a result of the Class Member Relief, the relevant InventHelp Defendant(s) shall contact the CRAs and report the modified balance. If at any time following ninety (90) days after the Effective Date for any Settlement Class Member whose balance has been fully eliminated, or following one hundred twenty (120) days from the Effective Date for any Settlement Class Member whose balance has been modified, any such Settlement Class Member determines that any of the CRAs have not complied with the request of the InventHelp Defendants as set forth in this paragraph, inclusive of subsections, then the Settlement Class Member may provide written notice to the InventHelp Defendants, together with copies of any credit reports for which he or she contends the credit reporting has not been properly updated, via email or U.S. Mail at the following addresses, dlohr@ufc-online.com or 903 Liberty Ave., 3rd Floor, Pittsburgh, PA 15222 Attention: Deb Lohr, and in that event, the relevant InventHelp Defendant will, within thirty (30) days, make a second request in writing that the CRAs update the reporting as set forth in this Paragraph.

68. **Implementation of the Enhanced Processes.** The InventHelp Defendants shall also be responsible for implementing the Enhanced Processes set forth in Section A.21 within

thirty (30) days of the Effective Date unless another date is set forth in that Paragraph and its subsections.

69. **Multiple Claims.** Settlement Class Members who entered into more than one contract for services with any of the InventHelp Defendants are entitled to relief as to each contract, based upon its appropriate category, except that SUB Agreement Customers are only entitled to relief based upon their SUB Agreements, not earlier, subsumed BIP Agreements. By way of clarifying examples:

- If a BIP Agreement Customer entered into three (3) separate BIP Agreements, two (2) of which were fully paid and one (1) of which was not fully paid, that BIP Agreement Customer would be entitled to submit a claim for \$20 cash payments on each of the fully paid BIPs, and a claim for a \$20 credit on the not fully paid BIP.
- If a SUB Agreement Customer entered into three (3) separate SUB Agreements, one (1) of which was not fully paid and open, one (1) of which was not fully paid and closed, and one (1) of which was fully paid, that SUB Agreement Customer would be entitled to submit a claim for relief for each of the separate SUB Agreements, under Sections D.66.a, D.66.b, and D.66.c, respectively.
- If a Settlement Class Member entered into one (1) BIP Agreement that developed into a SUB Agreement, that Settlement Class Member is a SUB Agreement Customer by definition (not a BIP Agreement Customer) and would be entitled to submit a single claim for relief based on their SUB Agreement status.

70. **Jointly Purchased Services.** As reflected in the definition of Settlement Class Members above, to the extent that one or more individuals jointly purchased services from any of the InventHelp Defendants from January 1, 2014 to June 30, 2021, they shall be deemed to be one member of the Settlement Class for purposes of obtaining relief under the Settlement Agreement. The Settlement Relief set forth in this section shall be provided jointly to the one or more individuals listed on the subject contract for services, except that the credit repair provided in Section D.67 shall be provided to each individual listed on the subject contract for services

and/or each individual financially responsible for the contract for services. As set forth below, the Notice of Class Action Settlement, Claim Form, and Settlement Payments, and statements of non-cash Settlement Relief shall be mailed and/or emailed to the primary, *i.e.*, first, listed individual on the subject contract for services.

E. SETTLEMENT ADMINISTRATOR; NOTICE PLAN; CLAIMS PROCESS

71. **Appointment and Duties of Settlement Administrator.** Following a request for proposal/bidding process, the Parties selected Angeion Group, LLC as the Settlement Administrator subject to the approval of the Court in its Preliminary Approval Order, to be responsible for administering the Notice Plan and Claims Process, as set forth herein. The Settlement Administrator shall provide Lead Class Counsel and Counsel for the InventHelp Defendants and Frost Defendants with (i) a declaration to be filed with Plaintiffs' Motion for Preliminary Approval setting forth a detailed estimate based upon the scope of services requested to perform all tasks and duties under the Settlement, as well as a not-to-exceed amount; and (ii) following the Claim Period, a declaration to be filed with Plaintiffs' Motion for Final Approval setting forth a detailed summary of its administration of the Notice Plan and Claims Process, including the number of opt-outs and claims received. The reasonable fees and expenses of the Settlement Administrator shall be paid out of the Gross Settlement Fund.

72. **Settlement Administrator Duties To Protect Personal Information.** The Settlement Administrator shall:

- a. Use personal information acquired as the result of this Agreement solely for purposes of administering the Settlement;
- b. Take security countermeasures to prevent unauthorized access to personal information and the loss, destruction, falsification, and leakage of personal information;

- c. If outsourcing the handling of personal or confidential information, ensure that outsourced companies take steps to ensure appropriate management of the information to prevent leaks of it, and prohibit its reuse for other purposes;
- d. Respond immediately with appropriate measures when necessary to disclose, correct, stop using, or expunge information;
- e. Within ninety (90) days after the completion of the 180-day check-cashing period following the Claim Period, destroy all personal information obtained in connection with this Settlement in a manner most likely to guarantee that such information will not be obtained by unauthorized Persons.

73. **Settlement Administrator Accounting.** The Settlement Administrator shall maintain a complete and accurate accounting of its receipts, expenses, costs, and payments made pursuant to this Agreement which shall be made available on reasonable notice at any time to Counsel for Defendants and Lead Class Counsel.

74. **Notice Plan.** The Settlement Administrator will implement the following Notice Plan pursuant to the Court's Preliminary Approval Order:

- a. **Direct Notice.** By the Notice Deadline, the Notice substantially in the form attached hereto as Exhibit 2 and the Claim Form substantially in the form attached hereto as Exhibit 1 (both subject to approval by the Court in the Preliminary Approval Order) shall be sent via U.S. Mail, first-class postage prepaid, and emailed (to the extent email addresses are available), to all members of the Settlement Class. In the case of jointly purchased services as noted in Section D.70 above, (i) Settlement Payments will be made payable to the one or more individuals jointly, but will be sent to the primary, *i.e.*, first, listed individual on the subject contract; and (ii) statements confirming non-cash benefits will be sent to the primary, *i.e.*, first,

listed individual on the subject contract. To facilitate the sending of Direct Notice, and within five (5) business days after the Preliminary Approval Date, the InventHelp Defendants will provide the Settlement Administrator with a confidential spreadsheet that identifies all Settlement Class Members by name, last known address, last known email address (if available), and telephone number (if available). Defendants will promptly cooperate with reasonable requests for information made by the Settlement Administrator in performing its duties under this Agreement.

b. **Settlement Website.** Within fourteen (14) days after the Preliminary Approval Order, the Settlement Administrator shall establish and implement the Settlement Website, which shall be an Internet website concerning the Settlement utilizing the domain name www.IHSettlement.com. The Settlement Website shall be maintained by the Settlement Administrator until thirty (30) days after the conclusion of the 180-day check-cashing period at which point the Settlement Website will no longer be available for public access. The domain name of the Settlement Website shall be included in the Notice and Claim Form. The Settlement Website shall provide: (i) information concerning deadlines for filing a Claim Form and the dates and locations of relevant Court proceedings including the Final Approval Hearing; (ii) the toll-free phone number to be maintained by the Settlement Administrator for the Settlement (see below); (iii) copies of the Agreement, the Notice of Settlement, the Claim Form, Court Orders regarding this Settlement, and other Court filings regarding this Settlement, including Class Counsel's Motion for Attorneys' Fees, Expenses, and service awards; (iv) information concerning the submission of Claim Forms, including the ability to submit Claim Forms electronically via the Settlement Website and email; and (v) a Frequently Asked Questions page regarding the Settlement with content approved by the Parties. The content of the Settlement

Website, as well as all other communications made to or made available to the Settlement Class or the public relative to the Litigation and Settlement shall be discussed and agreed upon by the Parties, it being understood that Class Counsel may communicate on an individual basis with individual members of the Settlement Class who may reach out with respect to the Litigation or the resolution thereof, may cite the public record, and nothing in this paragraph affects attorney-client privileged discussions between Class Counsel and individual Settlement Class Members.

c. **Toll-Free Number for Settlement Administrator.** Within fourteen (14) days after the Preliminary Approval Order, the Settlement Administrator will cause to be established and maintained an automated telephone system using a toll-free number to provide information about the Settlement to the Settlement Class Members, utilizing an IVR script to be discussed and agreed upon by the Parties. The automated telephone system shall be operated by the Settlement Administrator. The automated telephone system shall permit Settlement Class Members to request and obtain copies of the Agreement, Notice, and Claim Form, and shall provide the opportunity for Settlement Class Members to speak with a live operator during business hours for further information.

d. **CAFA Notice.** Pursuant to 28 U.S.C. § 1715, Defendants, through the Settlement Administrator or on their own, shall mail all required notices in accordance with their obligations thereunder.

F. CLAIM PROCESS

75. **Claim Submission.** Any Settlement Class Member who wishes to submit a Claim must complete the Claim Form, sign it by hard copy or electronic signature, and submit it to the Settlement Administrator by the end of the Claim Period. A Claim Form that is not postmarked (if sent by U.S. Mail or hard copy delivery) or submitted electronically within the Claim Period will be denied. A Claim Form that is postmarked (if sent by U.S. Mail or hard copy delivery) or

submitted electronically within the Claim Period, but is not fully completed, shall promptly be responded to by the Settlement Administrator using a Notice of Deficiency. A Claimant shall have one opportunity to cure a noticed deficiency within thirty (30) days of receipt of the Notice of Deficiency. The Settlement Administrator shall implement reasonable measures designed to encourage check-cashing by Settlement Class Members which may include allowing Settlement Class Members to utilize a method to receive payment other than by checks, such as through Paypal.

76. **Review of Claims.** The Settlement Administrator shall receive, process, and make determinations regarding all Claim Forms as promptly as possible, and as they are received, in accordance with this Agreement. If a Claim Form is considered deficient for any reason by the Settlement Administrator, the Settlement Administrator shall issue a notice of deficiency within five (5) business days of receipt of the Claim Form and shall not wait until the end of the Claim Period to issue such notices. The Settlement Administrator's decisions as to Claim eligibility shall be final and the Settlement Administrator has the discretion to accept Claim Forms with minor deficiencies. The Settlement Administrator does not have the discretion to accept a Claim Form that is not signed under oath by the Claimant, or that does not contain the information necessary for the Settlement Administrator to administer relief under the Agreement.

77. **Notice of Allowed Claims and Weekly Reporting.** The Settlement Administrator shall provide a weekly status report to Lead Class Counsel and Counsel for Defendants setting forth and describing all Eligible Claimants and advising on the total amount of such claims, as well as setting forth all other Settlement-related statistics that are requested by Lead Class Counsel or Counsel for Defendants.

78. **Payment of Claims.** As soon as practicable after it receives payment of the Gross Settlement Fund and any additional amounts necessary to pay Allowed Claims for BIP Agreements Fully Paid due from the InventHelp Defendants, the Settlement Administrator will distribute all Settlement Payments to Eligible Claimants from the Net Settlement Fund and/or, as applicable, a statement confirming the non-cash benefits to which the Eligible Claimant is entitled (the language of which shall be agreed upon by the Parties). In the case of jointly purchased services as noted in Section D.70 above, Settlement Payments will be made payable (or, in the case of services, are deliverable to) to the one or more individuals jointly, but will be sent to the primary, *i.e.*, first, listed individual on the subject contract. The Settlement Administrator shall provide Class Counsel and Counsel for Defendants with a full and complete accounting for the escrow account upon request at any time.

79. **Uncashed Settlement Payment Checks.** All checks issued by the Settlement Administrator to any Eligible Claimants shall remain valid and negotiable for 180 days. Any check sent to an Eligible Claimant that is not cashed within 180 days shall be void. During that period, the Settlement Administrator can take all reasonable steps contemplated by and included within its cost-estimate to encourage check-cashing, including by emailing and/or mailing reminder notices (the language of which shall be agreed upon by the Parties), and/or calling Eligible Claimants (utilizing a script agreed upon by the Parties) who were sent but who have not yet cashed their check, to remind them to cash their checks. Thirty (30) days after the conclusion of the check-cashing deadline, any remaining amounts from uncashed checks will be paid to a *cy pres* recipient selected by Plaintiffs (subject to Defendants' approval not to be unreasonably withheld) and approved by the Court in its Final Approval Order.

80. **No Liability for Claims Administered Pursuant to Agreement.** No Person shall have any claim against Defendants, Counsel for Defendants, Plaintiffs, Lead Class Counsel, Class Counsel, the Released Parties, and/or the Settlement Administrator based on any determinations, distributions, actions taken, or awards made with respect to this Settlement, so long as each of these individuals and entities act in accordance with the Agreement and the Court's Preliminary Approval Order and Final Approval Order.

81. **Proof of Compliance with Notice Plan.** The Settlement Administrator shall provide Lead Class Counsel with a declaration detailing its compliance with the Notice Plan and Claims Process, including the number of opt-outs and claims received, to be filed as an exhibit to Plaintiffs' Motion for Final Approval, and shall provide Lead Class Counsel with any other declarations concerning the actions of the Settlement Administrator which are requested at any time.

82. **Requests for Notice and Claim Forms.** The Settlement Administrator shall provide through mail and/or email the Notice of Settlement and/or Claim Form to any Person requesting such documents during the Claim Period.

83. **Settlement Administrator Database.** The Settlement Administrator shall maintain and preserve records of all of its activities, including logs of all telephone calls, emails, mailings, visits to the Settlement Website, and all other contacts with actual and potential Settlement Class Members, in a computerized database with readily retrievable records. The database shall also include a running tally of the number of and types of materials mailed or disseminated by the Settlement Administrator. The Settlement Administrator shall provide Lead Class Counsel and Counsel for InventHelp Defendants and Counsel for Frost Defendants with weekly written reports, beginning on the Notice Date and continuing until the end of the check-

cashing period, summarizing all statistics and actions taken by the Settlement Administrator in connection with administering the Settlement.

G. OPT-OUTS AND OBJECTIONS

84. **Requests for Opt-Out.** Any Settlement Class Member may submit an Opt-Out Request, *i.e.*, a request for exclusion from the Settlement pursuant to Rule 23(c)(2)(B)(v) of the Federal Rules of Civil Procedure. A Settlement Class Member who submits an Opt-Out Request cannot object to the Settlement and is not eligible to receive any payment or other relief under the Settlement. To validly request to opt-out from the Settlement Class, a member of the Settlement Class must mail the Settlement Administrator a written Opt-Out Request, postmarked by the Objection and Opt-Out Deadline (if sent by U.S. Mail or hard copy delivery), stating as follows (or substantially similar clear and unambiguous language): “I wish to exclude myself from the Settlement Class in the InventHelp Class Action Settlement and understand that by submitting this request I will not be entitled to any payments or other relief under the Settlement.” The Opt-Out Request must also contain the following: (i) the Settlement Class Member’s printed full name, current address, telephone number, and email address; and (ii) the actual written signature of the Settlement Class Member seeking to opt out. Requests for exclusion cannot be made on a group or class basis. In the event of jointly purchased services as noted in Section D.70 above, any Settlement Class Member that wishes to Opt-Out must do so jointly with the other individuals on the contract in question. In that case, to validly request to opt-out from the Settlement Class, the Settlement Class Members must send by U.S. Mail or hard copy delivery to the Settlement Administrator a written Opt-Out Request, postmarked by the Objection and Opt-Out Deadline, stating as follows (or substantially similar clear and unambiguous language): “I and all other individuals on my contract with InventHelp or Western InventHelp wish to exclude ourselves from the Settlement Class in the InventHelp/Frost Class

Action Settlement and understand that, by submitting this request, we will not be entitled to any payments or other relief under the Settlement.” The Opt-Out Request must also contain the following: (i) each of the Settlement Class Members’ printed full names, current addresses, telephone numbers, and email addresses; and (ii) the actual written signature of each Settlement Class Member seeking to opt out.

a. The Settlement Administrator will provide copies of all Opt-Out Requests to Lead Class Counsel and Counsel for Defendants on a weekly basis.

b. Any Settlement Class Member who does not submit a valid and timely written Opt-Out Request as provided herein shall be bound by all subsequent proceedings, releases, orders, and judgments in this Litigation.

c. A member of the Settlement Class who opts out can, on or before the Objection and Opt-Out Deadline, withdraw their Opt-Out Request by submitting a written or emailed request to the Settlement Administrator stating their desire to revoke their Opt-Out Request and containing their written signature or electronic signature.

d. Any statement or submission purporting or appearing to be both an objection and opt-out shall be treated as an Opt-Out Request.

e. No later than one week after the Objection and Opt-Out Deadline, the Settlement Administrator shall provide to Lead Class Counsel and Counsel for Defendants a complete list of opt-outs together with copies of the Opt-Out Requests and any other related information.

85. **Objections.** Any Settlement Class Member who does not submit an Opt-Out Request has a right to object to the Settlement by sending a written Objection explaining why he or she believes that the Settlement should not be approved by the Court as fair and reasonable. A

copy of the Objection should be sent by U.S. Mail or hard copy delivery to the Settlement Administrator at Attn: Objections, P.O. Box 58220, Philadelphia, PA 19102 postmarked by the Objection and Opt-Out Deadline. All Objections must clearly state the following: (i) the Settlement Class Member's printed name, address, telephone number, and email address; (ii) the case name and number (found on the Notice of Settlement); (iii) evidence demonstrating that the objector is a Settlement Class Member; (iv) the basis of the Objection in detail, including the specific aspect(s) of the Settlement being challenged and any evidence and legal authority that the Settlement Class Member wishes to bring to the Court's attention; (v) any other supporting papers, materials, or briefs that the Settlement Class Member wishes the Court to consider when reviewing the objection; (vi) the actual written signature of the Settlement Class Member making the objection; and (vii) a statement whether the objecting Settlement Class Member and/or his or her counsel intend to appear at the Final Approval Hearing.

a. A Settlement Class Member may object on his or her own behalf or through an attorney; provided, however, that even if represented, the objector must individually sign the Objection and all attorneys who are involved in any way in asserting the Objection must be listed on the Objection.

b. The Settlement Administrator shall provide Lead Class Counsel and Counsel for Defendants with copies of any Objections it receives on a weekly basis.

c. Any objector who submits a valid and timely written Objection as described above may appear at the Final Approval Hearing, either in person or through separate counsel hired at the objector's expense, to object to any aspect of the Settlement on the basis set forth in his or her Objection; provided, however, that any objector or attorney for an objector

who intends to make an appearance at the Final Approval Hearing must in the Objection state their intention to appear.

d. Any Settlement Class Member who does not comply with the foregoing provisions shall waive and forfeit any and all rights that he, she, or it may have to object to the Settlement, and shall be bound by all terms of this Agreement and by all proceedings, releases, orders, and judgments in the Litigation, including but not limited to the Release and the Final Approval Order and Judgment.

e. A Settlement Class Member who objects can withdraw their objection on or before the date of the Final Approval Hearing by submitting a signed written request or email containing an electronic signature to the Settlement Administrator stating their desire to withdraw their objection.

f. Copies of all Objections will be attached as an exhibit to the Motion for Final Approval.

H. RELEASE OF CLAIMS

86. In consideration of the relief provided to the Settlement Class under this Agreement, and for other good and valuable consideration, the Class Representatives, for themselves and all Settlement Class Members who do not timely exclude themselves from the Settlement, as well as all of their respective heirs, executors, personal representatives, successors, and assigns (together, the “Releasing Parties”), release, remise, resolve, waive, acquit, and forever discharge Defendants, their predecessors, successors, assigns, parents, subsidiaries, affiliates, related entities, and all of their past and present agents, directors, officers, employees, shareholders, insurers, representatives, and attorneys (together the “Released Parties”) of and from any and all of the Released Claims (as defined below).

87. The term “Released Claims” means any and all claims, causes of action, suits, obligations, debts, demands, agreements, promises, liabilities, damages, losses, controversies, costs, expenses, and attorneys’ fees of any nature whatsoever, whether based on any federal law, state law, common law, territorial law, foreign law, contract, rule, regulation, any regulatory promulgation (including, but not limited to, any opinion or declaratory ruling), common law or equity, whether known or unknown, suspected or unsuspected, asserted or unasserted, foreseen or unforeseen, actual or contingent, liquidated or unliquidated, punitive or compensatory, as of the date of the Final Approval Order and Judgment, that any of the Releasing Parties have, had, and/or may have against any of the Released Parties, including but not limited to: (a) the matters alleged and claims asserted in the Litigation and/or claims that could have been alleged therein based on the facts alleged in the complaints filed in the Litigation; (b) InventHelp Defendants’ provision of services to any of the Settlement Class Members, including but not limited to the preparation, distribution, or presentation of disclosures applicable to certain of the InventHelp Defendants, advertising and sale of invention services by certain of the InventHelp Defendants, actions, practices or efforts by certain of the InventHelp Defendants in connection with the administration of any customer debt; and/or (c) the Frost Defendants’ provision of services to any of the Settlement Class Members (collectively, the “Released Claims”). The Parties shall request that this Release be included in the Final Approval Order and Judgment entered in these cases.

88. The Class Representatives for themselves and the Settlement Class Members agree and covenant not to sue any of the Released Parties with respect to any of the Released Claims, or otherwise to assist others in doing so, and agree to be forever barred from doing so, in

any court of law or equity, or any other forum, except for claims related to enforcement of this Agreement or disputes relative to the terms of this Agreement.

89. Without limiting the foregoing, the Released Claims specifically extend to claims that the Releasing Parties do not know or suspect to exist in their favor at the time that the releases contained herein become effective. This Section includes a waiver, without limitation as to any other applicable law, of Section 1542 of the California Civil Code, which provides:

A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS WHICH THE CREDITOR DOES NOT KNOW OR SUSPECT TO EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE, WHICH IF KNOWN BY HIM OR HER MUST HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR.

The Class Representatives for themselves and the Settlement Class Members understand and acknowledge the significance of these waivers of California Civil Code Section 1542 and similar federal and state statutes, case law, rules, or regulations relating to limitations on releases. In connection with such waivers and relinquishment, the Class Representatives and the Settlement Class Members acknowledge that they are aware that they may hereafter discover facts in addition to, or different from, those facts that they now know or believe to be true with respect to the subject matter of the Settlement, but that it is their intention to release fully, finally, and forever, all Released Claims with respect to the Released Parties and, in furtherance of such intention, the releases of the Released Claims will be and remain in effect notwithstanding the discovery or existence of any such additional or different facts. The undersigned attorneys for Plaintiffs represent that they do not currently represent, do not currently intend to represent, and are not aware of any non-parties or other individuals who intend to or possibly may intervene in this Action or who intend to or may file a substantially similar action against Defendants, whether individually or as a putative class representative. Class Counsel further agree that they will not represent any individual in connection with objecting to the Settlement.

I. ATTORNEYS' FEES, EXPENSES, AND SERVICE AWARDS

90. **Attorneys' Fees and Expenses.** Lead Class Counsel will, by no later than fourteen (14) days before the Objection and Opt Out Deadline, file a Motion for Attorneys' Fees, Expenses, and Service Awards, which shall be accessible on the Settlement Website. Defendants have no obligation to support this motion but agree that they will not object to or oppose such motion so long as Class Counsel's request does not exceed \$1.5 million for attorneys' fees, \$150,000 for out-of-pocket expenses, and \$3,000 as a service award for each of the Class Representatives (with a single service award for Plaintiffs who are married).

91. **Timing of Payment.** Any attorneys' fees, expenses, and service awards that are approved by the Court shall be paid from the Gross Settlement Fund and shall be wired by the Settlement Administrator to Lead Class Counsel within three (3) business days after the Gross Settlement Fund is received by the Settlement Administrator.

J. FINAL APPROVAL

92. **Motion for Final Approval of Class Action Settlement.** Pursuant to the schedule set by the Court in its Preliminary Approval Order and at least fourteen (14) days before the Final Approval Hearing, Lead Class Counsel shall file a Motion for Final Approval of Class Action Settlement and supporting papers requesting that the Court enter a Final Approval Order and Judgment agreed to by the Parties, which shall include the following at a minimum:

- a. Finally certifying the Settlement Class for settlement purposes only;
- b. Finally approving the Agreement as fair, reasonable, and adequate pursuant to Federal Rule of Civil Procedure 23;
- c. Finding that the Notice Plan complied with all applicable laws, including the Due Process Clause, and was fair, adequate, and sufficient, as the best practicable notice

under the circumstances, and reasonably calculated to apprise Settlement Class Members of the Litigation, the Agreement, their objection rights, and their opt-out rights;

d. Dismissing the Litigation, including all individual and class claims, with prejudice;

e. Listing all opt-outs;

f. Finding that the notification requirements of the Class Action Fairness Act, 28 U.S.C. § 1715, have been met;

g. Authorizing the Parties to implement the remaining terms of the Agreement;

h. Permanently enjoining the Releasing Parties from commencing, maintaining, or participating in, or permitting another to commence, maintain, or participate in, the filing or service of any suit, claim, demand, or counterclaim concerning any Released Claims against the Released Parties; and

i. Retaining jurisdiction relating to the administration, implementation, enforcement, and interpretation of the Agreement and the Final Approval Order and Judgment.

K. OTHER TERMS AND CONDITIONS

93. **Defendant Opt-Out Provision.** If more than 1.5% of the Settlement Class files timely and valid Opt-Out Requests, Defendants may elect, at their option, within fourteen (14) days of the Objection and Opt-Out Deadline, whether or not to proceed with the Settlement.

94. **No Admission of Liability.** This Settlement, whether or not granted final approval and whether or not a final judgment is entered, and any and all negotiations, documents, and discussions associated with it, shall not be deemed or construed to be an admission or evidence of any violation of any statute or law, or of any liability or wrongdoing by Defendants

(or any of them), or of the truth of any of the claims or allegations made in the Litigation. This provision will survive termination of this Agreement.

95. **Settlement Conditioned on Court Approval.** Because this is a class action settlement, this Agreement must receive preliminary and final approval by the Court. If the Effective Date is not reached for any reason, this Agreement shall be deemed terminated and null and void, except for Sections K.94 and K.105 hereof, which will survive the termination of this Agreement. In the event of such termination, the Parties shall be restored to their respective positions before execution of this Agreement and shall bear their own costs, except that Defendants shall bear the Settlement Administrator's fees and costs that were incurred up until the date that the Settlement Administrator is given notice that the Agreement is terminated.

96. **Status and Stay of Proceedings.** The Litigation is currently administratively closed. The Parties agree to jointly request that the Court reopen the Litigation and request that the Preliminary Approval Order stay the Litigation during the pendency of the Court's approval process regarding this Agreement.

97. **Defendants' Attorneys' Fees and Costs.** Defendants shall bear their own attorneys' fees and costs in the Litigation.

98. **Representation by Counsel.** The Parties are represented by competent counsel, and they have had an opportunity to consult and have consulted with counsel prior to executing this Agreement. Each Party represents that it understands the terms and consequences of entering into this Agreement and executes it and agrees to be bound by the terms set forth herein knowingly and voluntarily.

99. **Mutual Cooperation.** The Parties and their counsel agree to cooperate with each other in good faith to accomplish the terms of this Agreement, including the execution of such

documents and such other action as may reasonably be necessary to implement the terms of this Agreement and obtain the Court's final approval of the Agreement.

100. **Notices.** Unless otherwise specifically provided herein, all notices, demands, or other communications given hereunder shall be in writing by mail or email and addressed as follows:

To Plaintiffs and the Settlement Class:

Shanon J. Carson
BERGER MONTAGUE PC
1818 Market Street, Suite 3600
Philadelphia, PA 19103
scarson@bm.net

To the InventHelp Defendants:

David Garraux
K&L GATES LLP
K&L Gates Center
210 Sixth Avenue
Pittsburgh, PA 15222-2613
david.garraux@klgates.com

To the Frost Defendants:

Frank H. Stoy
Pietragallo Gordon Alfano Bosick & Raspanti, LLP
38th Floor, One Oxford Centre
Pittsburgh, PA 15219
FHS@Pietragallo.com

101. **Drafting of Agreement.** The language of all parts of this Agreement shall be construed as a whole, according to its fair meaning, and not strictly for or against any Party. No Party shall be deemed the drafter of this Agreement. The Parties acknowledge that the terms of this Agreement are contractual and are the product of negotiations between the Parties and their counsel. Each Party and its counsel cooperated in the drafting and preparation of this Agreement, and this Agreement shall not be construed against any Party because of their role in drafting it.

102. **Governing Law.** This Agreement and the Exhibits hereto will be construed and enforced in accordance with, and governed by, the substantive laws of the Commonwealth of Pennsylvania without giving effect to the choice-of-law principles of that or any other state, except as to federal law relating to class action settlements under FED. R. CIV. P. 23.

103. **Modification.** This Agreement may not be changed, altered, or modified, except in writing and signed by all Parties hereto. The Agreement may not be discharged except by performance in accordance with its terms or by a writing signed by the Parties hereto.

104. **Integration.** This Agreement, its Exhibits, and any materials executed by the Parties in connection with the Settlement contain the entire agreement between the Parties relating to the Settlement, and all prior or contemporaneous agreements, understandings, representations, and statements, whether oral or written and whether by a Party or its counsel, are merged herein. No rights hereunder may be waived except in writing.

105. **Use in Other Proceedings.** The Parties expressly acknowledge and agree that this Agreement and its Exhibits, along with all related drafts, motions, pleadings, conversations, negotiations, and correspondence, constitute an offer of compromise and a compromise within the meaning of Federal Rule of Evidence 408 and any equivalent rule of evidence in any state. In no event shall this Agreement, any of its provisions, or any negotiations, statements, or court proceedings relating to its provisions in any way be construed as, offered as, received as, used as, or deemed to be evidence of any kind in the Litigation, in any other action, or in any judicial, administrative, regulatory or other proceeding, except in a proceeding to enforce this Agreement, or as necessary to respond to any objection to the Agreement. This Section survives termination of the Agreement.

106. **Publicity.** All information concerning the settlement set forth on the Settlement Website shall be agreed to by the Parties. Plaintiffs and Class Counsel shall not issue a press release, hold a press conference, repost any prior or previously removed web content pertaining to the Litigation or Defendants (or any of them), or create new websites concerning the Litigation or Defendants (or any of them).

107. **Subheadings.** Subheadings in this Agreement are for the purpose of clarity only and are not intended to modify the terms of this Agreement's text, which are controlling.

108. **Waiver.** The waiver by any party to this Agreement, of any breach of its terms shall not be deemed or construed to be a waiver of any other breach of this Agreement, whether prior, subsequent, or contemporaneous.

109. **Signatures.** Each Person executing this Agreement on behalf of any Party warrants that such Person has the authority to do so. This Agreement shall be binding upon, and inure to the benefit of, the agents, heirs, executors, administrators, successors, and assigns of the Parties.

110. **Counterparts.** This Agreement may be executed in any number of counterparts, including by electronic signature, each of which shall be deemed to be an original. All counterparts shall constitute one Agreement, binding on all Parties hereto, regardless of whether all Parties are signatories to the same counterpart, but the Agreement will be without effect until and unless all Parties to this Agreement have executed a counterpart.

**AGREED AND ENTERED INTO BY THE PARTIES THROUGH THEIR
RESPECTIVE COUNSEL ON THE DATES NOTED BELOW.**

Dated: August 18, 2022

Berger Montague PC

DocuSigned by:
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Counsel for the Frost Defendants