

LAW OFFICES OF RONALD A.  
MARRON, APLC

RONALD A. MARRON (175650)  
*ron@consumersadvocates.com*

MICHAEL T. HOUCHIN (305541)  
*mike@consumersadvocates.com*

651 Arroyo Drive  
San Diego, CA 92103  
Phone: (619) 696-9006  
Fax: (619) 564-6665

*Counsel for Plaintiffs and the Proposed Class*

**ELECTRONICALLY FILED**  
Superior Court of California,  
County of San Diego

**11/09/2017** at 09:43:00 AM

Clerk of the Superior Court  
By Richard Day, Deputy Clerk

**IN THE SUPERIOR COURT OF THE STATE OF CALIFORNIA  
IN AND FOR THE COUNTY OF SAN DIEGO**

WILLIAM JACKSON, EDWARD  
BUCHANNAN, and THAMAR SANTISTEBAN  
CORTINA, on behalf of themselves, all others  
similarly situated and the general public,

Plaintiffs,

v.

LANG PHARMA NUTRITION, INC.; WAL-  
MART STORES, INC.; CVS PHARMACY, INC.;  
WALGREEN CO.; MEIJER DISTRIBUTION,  
INC.; and DOES 1-20, inclusive;

Defendants.

Case No: 37-2017-00028196-CU-BC-CTL

CLASS ACTION

FIRST AMENDED COMPLAINT FOR:

1. VIOLATIONS OF THE UNFAIR  
COMPETITION LAW, CAL. BUS. &  
PROF. CODE §§ 17200, et seq.;
2. VIOLATIONS OF THE FALSE  
ADVERTISING LAW, CAL. BUS. &  
PROF. CODE §§ 17500, et seq.;
3. VIOLATIONS OF THE CONSUMERS  
LEGAL REMEDIES ACT, CAL. CIV.  
CODE §§ 1750, et seq.;
4. BREACH OF EXPRESS  
WARRANTIES;
5. BREACH OF IMPLIED  
WARRANTIES;

DEMAND FOR JURY TRIAL

1 WILLIAM JACKSON, EDWARD BUCHANNAN, and THAMAR SATESTIBAN CORTINA  
2 (collectively "Plaintiffs"), on behalf of themselves, all others similarly situated, and the general public, by  
3 and through their undersigned counsel, hereby sue LANG PHARMA NUTRITION, INC. ("Lang"), WAL-  
4 MART STORES, INC. ("Wal-Mart"), CVS PHARMACY, INC. ("CVS"), WALGREEN CO.  
5 ("Walgreen's"), MEIJER DISTRIBUTION, INC. ("Meijer"), and DOES 1-20, inclusive ("DOES")  
6 (collectively the "Defendants") and allege the following upon their own knowledge, or where they lack  
7 personal knowledge, upon information and belief, and the investigation of their counsel:

8 **NATURE OF THE ACTION**

9 1. Coenzyme Q-10 is a nutrient with proven health benefits, but also a well-known drawback: it  
10 is not soluble in water, and poorly soluble in fat. This is problematic for consumers who use CoQ-10  
11 supplements because the body and digestive tract are aqueous, and the absorption of a substance depends on  
12 its first dissolving. To address this problem, some dietary supplement manufacturers have invented  
13 technologies for modifying orally-administered CoQ-10 to increase its solubility, and thereby its  
14 bioavailability.

15 2. Retailers Wal-Mart, CVS, Walgreens, and Meijer sell an identical Co-Q10 soft gel supplied  
16 by Defendant Lang under their own store brand names. Together, Lang with each of its CoQ10 retailer  
17 customers Wal-Mart, CVS, Walgreens, and Meijer conceived, devised, and created packaging, including the  
18 product claims and representations for each Lang-supplied retailer CoQ10 product, and put those products  
19 into the stream of interstate commerce for sale to the consuming public, reasonably expecting the consuming  
20 public to rely on the product claims formulated by Lang with each of its retailer customers.

21 3. These identical Co-Q10 Products are sold under the following generic store brands: Wal-  
22 Mart's Equate Clinical Strength High Absorption CoQ-10 100 mg ("Equate CoQ-10"), CVS/Pharmacy Ultra  
23 CoQ-10 100 mg and CVS/Health Ultra CoQ-10 100 mg (collectively referred to as "CVS Ultra CoQ-10"),  
24 CVS/Pharmacy Enhanced Absorption Formula CoQ-10 100 mg and CVS/Health Enhanced Absorption  
25 Formula CoQ-10 100 mg (collectively referred to as "CVS Enhanced Co-Q-10"), Walgreen's Well at  
26 Walgreens CoQ-10 Enhanced Absorption Formula 100 mg ("Walgreen's Enhanced CoQ-10"), and Meijer's  
27 Ultra CoQ-10 100 mg ("Meijer Ultra CoQ-10") (collectively the "Lang Co-Q10 Products").  
28

1           4.     Similar claims of benefits and efficacy appeal on the labels of the Lang Co-Q10 Products that  
2 are sold by the retail Defendants.

3           5.     For example, the Equate Co-Q10 Products states that it “Helps support Heart Health,”  
4 “Supports heart and vascular health,” “Promotes healthy blood pressure levels,” is “Essential for energy  
5 production,” is “Beneficial to Statin Drug Users,” and provides “Powerful natural antioxidants.” Equate’s  
6 packaging also says it offers “clinical strength,” “high absorption,” and “3x better absorption.” Equate CoQ-  
7 10 is also represented as being comparable to a competing brand-name CoQ-10 supplement, by stating  
8 expressly on Equate’s label that consumers can “Compare to Qunol™ Ultra CoQ-10,” by placing Equate  
9 immediately next to Qunol on Wal-Mart’s retail shelves, and by modeling Equate’s numerical claim, “3x  
10 better absorption,” on Qunol’s identical claim. *See Exhibit 1.*

11           6.     The CVS Ultra CoQ-10 Product states that it offers “6x Better Absorption,” provides “Heart  
12 & Muscle Health,” is “Beneficial for those taking cholesterol-lowering statin drugs,” and that “CVS/Health  
13 Ultra CoQ-10 uses a proprietary formula to achieve over 600% better absorption.” *See Exhibit 2.*

14           7.     The CVS Enhanced CoQ-10 Product makes claims similar to CVS Ultra CoQ-10 Product,  
15 except for the “6x Better Absorption” claim. *See Exhibit \_\_.*

16           8.     The Walgreen’s Enhanced CoQ-10 Product states that it offers an “Enhanced Absorption  
17 Formula,” “may support heart health, vascular health and healthy blood pressure levels,” is “Beneficial for  
18 those taking cholesterol-lowering statin drugs,” and that it provides “Heart Health.” *See Exhibit 3.*

19           9.     Finally, Meijer’s Ultra CoQ-10 states that it offers “5x better absorption,” provides “Heart  
20 Health,” that the “Meijer Ultra CoQ-10 uses a proprietary formula to achieve over 500% better absorption,”  
21 and “is beneficial for people taking cholesterol-lowering statin drugs.” *See Exhibit 4.*

22           10.    These above-quoted statements (hereinafter the “Representations and Warranties”) on each  
23 of the Lang CoQ-10 Products are false and misleading. Laboratory tests demonstrate the Lang CoQ-10 soft  
24 gels frequently fail even to rupture within 15 minutes, the time designated for effectiveness by the U.S.  
25 Pharmacopeial Convention (USP), the organization that sets testing standards in the dietary supplement  
26 industry. Instead, the soft gels sometimes do not rupture after more than 30, 45, or even 60 minutes. Thus,  
27 the Lang CoQ-10 Products frequently will pass through a consumer’s digestive tract without *any* dissolution  
28 or absorption or, if rupture occurs late, dissolution and hence absorption will be substantially diminished.

1 Laboratory tests also show that the Lang CoQ-10 products exhibit substantially less than the 75% dissolution  
2 minimally necessary for effectiveness, also as designated by the USP. Moreover, a significant disparity in  
3 testing results suggests The Lang Co-Q10 Products are manufactured without adequate quality control,  
4 meaning consumers cannot obtain, much less expect, consistent and predictable results from one bottle of  
5 the Lang CoQ-10 Products to the next.

6 11. Rupture is the first step in dissolution, and dissolution the first step in absorption; thus because  
7 of Lang CoQ-10 Products rupture problems and substandard dissolution, they cannot possibly provide the  
8 “clinical strength,” “high absorption,” and “better absorption” that Defendants claim.

9  
10 12. Defendants’ comparison of Lang CoQ-10 Products to Qunol is also false and misleading.  
11 First, the products are formulated differently and employ different technologies for increasing CoQ-10  
12 absorption. Second, in apples-to-apples testing, a laboratory blindly tested samples of Lang CoQ-10 soft gels  
13 and Qunol purchased at the same time, from the same Wal-Mart retail store, using the same tests and  
14 techniques promulgated by the USP. In a standard rupture test using water, Qunol ruptured in 13 minutes,  
15 while the Lang CoQ-10 soft gels did not rupture even after 60 minutes. Similarly, Qunol dissolved 92.7% in  
16 water, while Lang CoQ-10 soft gels dissolved less than 2%. Even in a retest using pepsin, an enzyme that  
17 aids dissolution, Lang CoQ-10 soft gels took 47 minutes to rupture and dissolved only 45.3%. The results of  
18 the Lang CoQ-10 testing are consistent with at least four other tests conducted by three other independent  
19 testing laboratories between August 2013 and February 2014.

20 13. Plaintiffs bring this class action to remedy the damage caused to them and other consumers  
21 by Defendants’ false and misleading advertising of the Lang CoQ-10 Products.

### 22 **JURISDICTION & VENUE**

23 14. This Court has subject matter jurisdiction over this action pursuant to California Business and  
24 Professions Code, Sections 17203, 17204 and 17535 and Civil Code, Section 1780. This Court has personal  
25 jurisdiction over Defendants because all Defendants have conducted and continue to conduct substantial  
26 business in the State of California, County of San Diego and the offending products are sold in the State of  
27 California, County of San Diego.

15. This is an unlimited civil action because the amount in controversy exceeds the sum or value of \$25,000.00.

16. Venue is proper in this Court pursuant to California Code of Civil Procedure, Sections 395 and 395.5, Business and Professions Code, Sections 17203, 17204 and 17535, and Civil Code, Section 1780(d) because Defendants conduct substantial business in this County.

## PARTIES

17. Plaintiff William Jackson is a resident of Fresno County, California. During the class period as governed by statutory and case law, Plaintiff Jackson purchased the Equate CoQ-10 Product that is manufactured by Defendant Lang and that is sold by Defendant Wal-Mart. Plaintiff was exposed to and saw Defendants' claims, purchased the Equate Co-Q10 Product in reliance on those claims, and suffered injury in fact and lost money as a result of the misrepresentations, breaches, and unfair competition described herein.

18. Plaintiff Edward Buchanan is a resident of Solano County, California. During the class period, as governed by statutory and case law, Plaintiff Buchanan purchased the CVS Ultra CoQ-10 Product that is manufactured by Defendant Lang and that is sold by Defendant CVS. Plaintiff was exposed to and saw Defendants' claims, purchased the CVS Ultra CoQ-10 Product in reliance on those claims, and suffered injury in fact and lost money as a result of the misrepresentations, breaches, and unfair competition described herein.

19. Plaintiff Thamar Santisteban Cortina is a resident of San Diego County, California. During the class period, as governed by statutory and case law, Plaintiff Cortina purchased Equate CoQ-10 Product that is manufactured by Defendant Lang and that is sold by defendant Wal-Mart. Plaintiff was exposed to and saw Defendants' claims, purchased the Equate Co-Q10 Product in reliance on those claims, and suffered injury in fact and lost money as a result of the misrepresentations, breaches, and unfair competition described herein.

20. Defendant Lang Pharma Nutrition, Inc. is a Rhode Island corporation with its principal place of business at 20 Silva Lane, Middletown, Rhode Island 02842.

21. Defendant Wal-Mart Stores, Inc. is a Delaware corporation with its principal place of business at 702 Southwest 8th Street, Bentonville, Arkansas 72716.

22. Defendant CVS Pharmacy, Inc. is a Rhode Island corporation with its principal place of business at One CVS Drive, Woonsocket, Rhode Island.

23. Defendant Walgreen Co. is an Illinois corporation with its principal place of business at 108 Wilmot Road, Deerfield, Illinois.

24. Defendant Meijer Distribution, Inc. is a Michigan corporation with its principal place of business at 2929 Walker Ave Nw. Grand Rapids, Michigan 49544.

25. The true names and capacities of the defendants named herein under California Code of Civil Procedure, Section 474 as Does 1 through 20 are presently unknown to Plaintiffs, who therefore sues them by such fictitious names. Plaintiffs will amend this Complaint to allege the true names and capacities of these defendants when they have been determined. Each of the fictitiously named defendants is responsible in some manner for the conduct alleged herein. The Doe defendants are private individuals, associations, partnerships, corporations or institutes who participated in the wrongful conduct alleged herein in ways which are unknown to Plaintiffs at this time.

26. Each of the Defendants discussed above acted jointly to perpetrate the acts described herein. At all times relevant to the allegations in this matter, each of these Defendants acted in concert with, with the knowledge and approval of, and/or as the agent of the other members of the Joint Enterprise within the course and scope of the agency, regarding the acts and omissions alleged.

27. Each of the retail defendants discussed above provided substantial aid and encouragement to Defendant Lang by selling the Lang CoQ-10 Products to consumers and each of the Defendants discussed above has given substantial assistance to the other in accomplishing a tortious result and the person's own conduct.

## GENERAL ALLEGATIONS

### A. Coenzyme Q-10

28. CoQ-10 is a vitamin-like, antioxidant nutrient produced naturally in the heart, liver, kidneys, and pancreas. It plays a vital role in cellular energy production and is known to provide various benefits, especially to heart health. Although most commonly known in abbreviated form as CoQ-10, it is more formally referred to as ubiquinone, ubidecarenone, or ubiquinol, depending upon its form.

1           29. Although the body generally produces sufficient CoQ-10, blood levels can be depleted by  
2 aging, heart disease, and some medications, especially statins. For those wishing to replace depleted CoQ-  
3 10 or otherwise increase blood levels to realize the substance’s potential health benefits, dietary  
4 supplementation is common.

5           30. In order to provide a benefit, a nutrient must first be absorbed into the body’s systemic  
6 circulation in an adequate amount. Thereafter, it is carried to various organs and tissues for eventual uptake  
7 by the cells. Accordingly, to realize any benefits of CoQ-10 supplementation at a cellular level, an individual  
8 must achieve effective or optimum CoQ-10 blood levels. In its raw form, however, CoQ-10 is a crystalline  
9 powder that is insoluble in water, and poorly soluble in fat. It has been reported that the bioavailability<sup>1</sup> of  
10 raw CoQ-10 powder is less than 10%.

11           31. The formulation of a CoQ-10 dietary supplement is crucial to its bioavailability. CoQ-10  
12 supplements have been available to consumers for approximately 20 years, but initial CoQ-10 supplements  
13 offered on the market, which was little more than raw CoQ-10 powder, were not well-absorbed because of  
14 CoQ-10’s hydrophobicity and large molecular weight. It has long been known that the absorbability of CoQ-  
15 10 can be increased when taken with food. The absorption of poor water-soluble drugs—that is fat soluble  
16 vitamins like CoQ-10—is increased especially when administered with or after a meal containing fat, in part  
17 because fats stimulate bile salt secretion, which assists in drug and vitamin solubilization because bile salts  
18 are natural emulsifiers. However, taking such unsophisticated CoQ-10 supplements with food does not,  
19 alone, significantly enhance absorption.

20           32. CoQ-10 is a commodity product, with hundreds of different brands on the market. Like  
21 Plaintiffs, consumers of CoQ-10 supplements—who are familiar both with CoQ-10’s benefits, and its poor  
22 absorption—seek out technologies that purport to increase its absorbability. Thus, according to NAD, in  
23 December 2009, “several manufacturers currently advertise ‘absorbability’ as one of the features of their  
24 CoQ-10 supplements.”

---

27 <sup>1</sup> Bioavailability is the propensity of a substance to reach the systemic circulation (i.e., the bloodstream),  
28 which decreases with incomplete absorption (by comparison, medicine intravenously injected is 100% bioavailable).



33. Over the past several years, dietary supplement manufacturers have taken a variety of approaches to boosting the bioavailability of orally-administered CoQ-10 supplements—some as simple as suspending CoQ-10 powder in oil, others complex, patented processes—with varying degrees of success. Examples of patented technologies employed in some different CoQ-10 supplements include Bio-Solv and Hydro-Q-Sorb (Tishcon Corp.), Q-Sorb (Nature’s Bounty), All-Q (DSM Nutritional Products Ltd.), and VESIsorb (Source One Global Partners, LLC).

34. Because the body is comprised far more of water than fat, in order to enhance the substance’s dissolution, and thus absorbability, companies seriously seeking to enhance CoQ-10 dissolution and absorption try to make the compound maximally water-soluble.

35. CoQ-10 is one of the most popular supplements in the United States, with sales over \$500 million in 2011.

**B. The United States Pharmacopeial Convention**

36. USP is a nonprofit scientific organization founded in 1820 in Washington, D.C., whose participants, working under strict conflict-of-interest rules, and using careful scientific method and consensus, set enforceable standards for the quality of drugs, and voluntary standards for the quality of vitamins and dietary supplements. Known as Reference Standards, these are updated and published annually jointly by USP and the National Formulary in a compendia known as USP-NF.

37. Although compliance with USP’s standards concerning dietary supplements is not required by regulation, USP plays a major role in the multi-billion dollar dietary supplement industry, providing the objective (and only) scientifically-valid industry standards against which all supplements may be tested and measured, providing important information about a supplement’s intrinsic qualities, and serving as a “level playing field” for comparing two or more products, *despite* that manufacturers are not required by law to meet them.

38. Compliance with an applicable USP monograph means a tested product contains the ingredients listed in the declared amount and potency, and will break down and release into the body within a specified amount of time. Thus, whether or not required by regulation, the testing and measurement of a dietary supplement by the prescribed USP methodologies and standards provides an objective idea of whether the supplement is likely to be effective when taken orally by a human.



1           39. Information that can be gleaned from USP testing is important to consumers in determining  
2 the relative quality (and value) of competing dietary supplements. For example, in a product review of joint  
3 health supplements for pets and animals containing glucosamine, chondroitin, and MSM, ConsumerLab.com,  
4 a well-respect consumer watchdog organization that does comparative testing, the company noted that certain  
5 formulations “were analyzed for disintegration utilizing [USP] <2040> recommendations,” and to obtain a  
6 “Pass,” a product must “meet recommended USP <2040> parameters for disintegration for dietary  
7 supplements[.]”

8           40. In the case of CoQ-10 soft gels, the USP tests for rupture and dissolution show whether a  
9 product is likely to break up early enough in the digestive process to provide an effective amount of the  
10 enclosed CoQ-10, and, if the product does timely rupture, whether the vitamin is likely to adequately dissolve  
11 so as to provide substantial bioavailability.

12           41. The process of digesting a CoQ-10 soft gel supplement begins with the timely rupture, or  
13 break up, of the gelatin outer shell. This is a necessary prerequisite to absorption because a pill that does not  
14 timely rupture will pass through the gastrointestinal tract without dissolution and then absorption  
15 commencing as quickly, or at all. Digestion is a relatively quick process, and in some cases, a soft gel may  
16 *never* rupture. A person consuming such a capsule would pass it without digesting or absorbing any of its  
17 contents, realizing *none* of the product’s potential benefits or value.

18           42. Even if a CoQ-10 soft gel ruptures, for effectiveness it must adequately dissolve, because  
19 dissolution is the first step in, and a prerequisite to, the absorption of a vitamin. Thus, information about a  
20 supplement’s dissolution rate provides an accurate idea of how effective a supplement is likely to be when it  
21 is orally ingested.

22           43. The USP-NF compendia consist of Monographs, General Chapters, and General Notices.  
23 Monographs include the name of an ingredient or preparation; its definition; its packaging, storage, and  
24 labeling requirements; and its specification, which consists of a series of tests, procedures for the tests, and  
25 acceptance criteria that require the use of the official USP Reference Standards. General Chapters set forth  
26 tests and procedures referred to in multiple monographs. And General Notices provide definitions for terms  
27 used in monographs, as well as information necessary to interpret monograph requirements.

1           44. The USP CoQ10 Monograph prescribes the following “Performance Tests”: “**Disintegration**  
2 **and Dissolution <2040>**: Meet the requirements of the test for *Disintegration*, except where the product is  
3 labeled to contain a water-soluble form of ubiquinone. Capsules labeled to contain a water-soluble form  
4 of ubiquinone meet the requirements for *Dissolution* as follows.” The Monograph then sets forth a  
5 procedure and method of calculation, and requires that “NLT [Not Less Than] 75% of the labeled amount of  
6 ubiquinone . . . dissolve[s].”

7           45. The tests for *Disintegration* (sometimes called Rupture) and *Dissolution* (sometimes called  
8 solubilization) are set forth in the USP-NF General Chapter on Disintegration and Dissolution of Dietary  
9 Supplements, USP-NF General Chapter <2040>,. Although Chapter <2040> includes sections on both  
10 *Disintegration* and *Dissolution*, the specific dissolution procedure set forth in the USP CoQ10 Monograph  
11 supplements or replaces the dissolution section in Chapter <2040>. For *Disintegration*, Chapter <2040>  
12 requires “Soft Shell Capsules,” like the VESIsorb CoQ10 soft gels, to “[p]roceed as directed under *Rupture*  
13 *Test for Soft Shell Capsules*,” which in turn requires rupture “in not more than 15 minutes.”

14           46. In 2014, USP <2040> was revised to add the following paragraph (with  
15 emphasis added) in its Introduction:

16           Disintegration and dissolution tests as described in this chapter are quality control tools to  
17 assess performance characteristics of dietary supplement finished dosage forms. These  
18 performance standards are intended to detect problems that may arise due to use or misuse,  
19 or changes in coatings, lubricants, disintegrants, and other components. ***These***  
20 ***performance tests are also intended to detect manufacturing process issues such as***  
21 ***overcompression and over-drying that would affect the release characteristics of the***  
***final dosage forms.*** These tests are not intended to be used as a demonstration or as  
surrogate for in vivo absorption, bioavailability, or effectiveness, unless an in vitro-in vivo  
correlation (IVIVC) has been established.

22           47. Finally, the USP CoQ10 Monograph requires that, “[w]here the product contains a water-  
23 soluble form of ubiquinone, this is so stated on the label.”

### 24           C.     **The Lang CoQ-10 Products**

25           52. For purposes of this section, each statement that appears in quotation marks (“”) below create  
26 affirmative representations about the Products and also create express and implied warranties that were  
27 relied on by Plaintiffs and the Class members in deciding to purchase the products.  
28

1           **Equate CoQ-10**

2           48.     Wal-Mart purchases Equate CoQ-10 from co-defendant Lang Pharma Nutrition, Inc.

3           49.     The Equate CoQ-10 states that it “Helps support Heart Health,” “Supports heart and vascular  
4 health,” “Promotes healthy blood pressure levels,” is “Essential for energy production,” is “Beneficial to  
5 Statin Drug Users,” and provides “Powerful natural antioxidants.” Equate’s packaging also says it offers  
6 “clinical strength,” “high absorption,” and “3x better absorption.” Equate Co-Q10 is also represented as being  
7 comparable to a competing brand-name CoQ10 supplement, by stating expressly on Equate’s label that  
8 consumers can “Compare to Qunol™ Ultra CoQ-10,” by placing Equate immediately next to Qunol on Wal-  
9 Mart’s retail shelves, and by modeling Equate’s numerical claim, “3x better absorption,” on Qunol’s identical  
claim. *See Exhibit 1.*

10           **CVS CoQ-10**

11           50.     Defendant CVS also purchases the CVS Ultra CoQ-10 soft gels and CVS Enhanced CoQ-10  
12 soft gels from co-defendant Lang Pharma Nutrition, Inc.

13           51.     Lang supplies CoQ-10 soft gels identical to those in Equate to CVS, which sells the CoQ10  
14 soft gels under its store brands, calling them “CVS/pharmacy Ultra CoQ10.” The CVS Ultra Co-Q10  
15 Product states that it offers “6x Better Absorption,” provides “Heart & Muscle Health,” and is “Beneficial  
16 for those taking cholesterol-lowering statin drugs.” *See Exhibit 2.*

17           52.     CVS also sells Lang CoQ-10 soft gels under its store brand CVS Enhanced CoQ-10, the label  
18 for which includes similar claims as CVS Ultra CoQ-10, except for “6x Better Absorption. *See Exhibit \_\_.*

19           **Walgreen’s Enhanced CoQ-10**

20           53.     Defendant Walgreen’s also purchases the Walgreen’s Enhanced CoQ-10 soft gels from co-  
21 defendant Lang Pharma Nutrition, Inc.

22           54.     Lang also supplies CoQ-10 soft gels identical to those in Equate to Walgreens, which sells  
23 them under its store brand, calling them “Walgreen’s Enhanced Co-Q10.” The Walgreen’s Enhanced Co-  
24 Q10 Product states that it offers an “Enhanced Absorption Formula,” “may support heart health,” is  
25 “Beneficial for those taking cholesterol-lowering statin drugs,” and provides “Heart Health.” *See Exhibit 3.*

1 **Meijer Ultra CoQ-10**

2 55. Defendant Meijer also purchases the Meijer's Ultra CoQ-10 soft gels from co-defendant Lang  
3 Pharma Nutrition, Inc.

4 56. Lang supplies CoQ10 soft gels identical to those in Equate to Meijer, which sells them under  
5 its store brand, calling them "Meijer's Ultra Co-Q10," The Meijer's Ultra Co-Q10 Product states that it  
6 offers "5x better absorption," and provides "Heart Health." *See Exhibit 4.*

7 **D. VESIsorb**

8 57. The CoQ-10 soft gels supplied by Lang for use in all of the above-mentioned products employ  
9 a patented technology for delivering vitamins called VESIsorb.

10 58. The VESIsorb technology was invented by Swiss company Vesifact, AG. The intellectual  
11 property, however, is owned by SourceOne, a Chicago company, which licenses it to Lang for use in the  
12 Lang CoQ-10 soft gels.

13 59. Defendant Lang outsources manufacturing of the Lang CoQ-10 soft gels to a company in  
14 Florida called Swiss Caps. Lang sends Swiss Caps both raw CoQ-10 powder, and raw VESIsorb "paste."  
15 Swiss Caps then mixes the two and encapsulates the resulting "medicine" in a gelatin soft gel. Swiss Caps  
16 ships the completed soft gels back to Lang, which packages them (for example, in either Wal-Mart Equate  
17 or CVS Ultra CoQ-10 packaging), and distributes the completed product to its customers, shelf-ready.

18 60. The VESIsorb technology is described in U.S. Patent No. 8,158,134.

19 61. VESIsorb's U.S. patent states that the "invention relates to compositions in the form of  
20 microemulsion pre-concentrates," which, "[w]hen contacted with water or with an aqueous medium . . . form  
21 microemulsions," which themselves, when "[i]n the aqueous phase, . . . may contain water-soluble  
22 substances."

23 62. SourceOne's website for VESIsorb quotes a Dr. Andrew Halpner as saying of VESIsorb, that  
24 its "ability to offer bio-enhanced, water-soluble ingredients such as CoQ10 . . . to dietary supplement,  
25 functional food and beverage markets has set a new benchmark for the industry."<sup>2</sup> On the same page,  
26 SourceOne depicts a product called "Pure Encapsulations Ubiquinol VESIsorb." A brochure for the product

27  
28 <sup>2</sup> *See*, "Products Offered / VESIsorb Delivery System," at <http://source-1-global.com/products-offered/vesisorb-delivery-system> (last visited July 28, 2014).

states that the VESIsorb technology “increases bioavailability of a bioactive that is fat soluble or that has poor water solubility,” by creating “[n]anosized water-soluble droplets” that “allow the bioactive to cross the water layer of the GI tract for absorption.”

63. In an effort to prove its technology, Vesifact commissioned a study to compare the bioavailability of CoQ10 capsules made with VESIsorb to other commercially-available CoQ-10 supplements. The results were reported in the March-April issue of *Alternative Therapies in Health & Medicine*, in an article titled *Relative Bioavailability Comparison of Different Coenzyme Q10 Formulations with a Novel Delivery System*<sup>3</sup> (“*Relative Bioavailability*”).

64. *Relative Bioavailability* describes the VESIsorb “delivery system” as “a lipid-based formulation that self-assembles on contact with an aqueous phase into a colloidal delivery system,” which it says is an example of “enhancement of the rate and extent of dissolution,” rather than “facilitation of an absorption process.”

65. For example, Equate’s packaging makes the following representations:

a. The Benefit Claims:

- “Helps support Heart Health”
- “Supports heart and vascular health”
- “Promotes healthy blood pressure levels”
- “Essential for energy production”
- “Beneficial to Statin Drug Users”
- “Powerful natural antioxidants”

b. The Efficacy Claims:

- “Clinical Strength”
- “High Absorption”
- “3 times better absorption”

c. The Comparative Claim:

- “Compare to Qunol™ Ultra CoQ-10”

---

<sup>3</sup> Z. Xia-Lui et al., *Relative Bioavailability Comparison of Different Coenzyme Q10 Formulations with a Novel Delivery System*, *Alternative Therapies in Health & Medicine* 15(2) 2009, 42-46.

1           66. Wal-Mart's comparative claim is bolstered by its practice and policy of placing Equate  
2 immediately next to Qunol on its retail shelves. Moreover, Equate's "3x better absorption" claim is modeled  
3 on Qunol's identical claim, which was in the marketplace long before Equate. And Equate's packaging  
4 contains several claims identical or substantially similar to claims that first appeared on Qunol's packaging.<sup>4</sup>  
5 The sum effect of Equate's comparative packaging claim and Wal-Mart's related sales practices is to suggest  
6 that Equate is a store-brand or generic version of the brand-name Qunol product, perhaps identically  
7 formulated (as with many store-brands and generics), and offering the same benefits.

8           67. Although the Equate CoQ10 soft gels are based on the VESIsorb technology that purports to  
9 make the CoQ10 nutrient water-soluble, and thus contains a water-soluble form of ubiquinone, this is not  
10 stated on Equate's label. This may be an attempt to avoid the USP CoQ10 Monograph's special dissolution  
11 requirement for water-soluble forms of ubiquinone. This is, however, a Catch-22 for Wal-Mart, because  
12 if its position is that Equate is in fact *not* a water-soluble form of CoQ10, this is effectively an admission that  
13 Equate does *not* offer "high absorption" CoQ10, since it is well-established that the bioavailability of lipid-  
14 based forms of CoQ10 is simply not on par with hydro-soluble versions like Qunol. In short, water solubility  
15 is the gold standard of CoQ10 absorption and bioavailability.

16           68. Each of the Lang CoQ-10 products makes substantially similar representations as those found  
17 on the label of the Equate CoQ-10 product.

18           **E. Qunol CoQ10**

19           69. Qunol is sold by Quten Research Institute, LLC, a New Jersey company. The technology  
20 employed in enhancing dissolution of the so-called "Q-Gel" CoQ10 (a trade name) in Qunol soft gels is  
21 described in U.S. Patent Nos. 6,056,971, 6,300,377, and 6,740,338, and registered under the trademark, "Bio-  
22 Solv." The process used to manufacture Qunol produces sub-micron size CoQ10 molecules, increasing the  
23 surface area of the CoQ10, and thereby enhancing its interaction with bile salts, for enhanced micellization  
24 and absorption. This makes Qunol water-soluble. Qunol is also formulated with 150 IU of Vitamin E, which  
25 enhances the solubility of its CoQ10. Qunol's packaging, a true and correct copy of which is attached hereto  
26

---

27 <sup>4</sup> Qunol's packaging includes the following claims: "Clinical Strength," "3X Better Absorption," "Supports  
28 heart and vascular health," "Promotes healthy blood pressure levels," "Essential for energy production,"  
"Beneficial to Statin drug users," and "Powerful all-natural antioxidant."

as **Exhibit 7** and expressly incorporated into the Complaint, notes that Qunol passes the USP dissolution test and is both water- and fat-soluble.

**F. Independent Laboratory Testing**

70. The Lang CoQ-10 soft sold by the retailer Defendants have been subject to numerous tests in 2013 and 2014, including by plaintiffs in a prior case (*Thamar Cortina v. Wal-Mart Stores, Inc.*, 3:13-cv-02054, U.S. Dist. Ct., SDCA, and *Harris v. CVS Pharmacy*, 5:13-cv-02329, U.S. Dist. Ct., CDCA) and Lang, defendant herein, sometimes on behalf of Wal-Mart or CVS. Several tests show USP failures. By contrast, in an apples-to-apples comparison, Qunol showed far superior results to the Lang CoQ-10 Products.

**1. Eurofins Testing (July 2014)**

71. From about July 7 to 21, 2014, Eurofins Scientific, Inc.'s Supplement Analysis Center in Petaluma, California tested: (a) a sample of Equate, from Lot G13NM13, bearing an expiration date of March 2015, which was purchased on August 15, 2013 from the Wal-Mart located at 4840 Shawline St., San Diego, California 92111; and (b) a sample of Qunol, from Lot 1341-2121, bearing an expiration date of March 2016, that was also purchased on August 15, 2013 from the Wal-Mart located at 4840 Shawline Street, San Diego, California 92111. From August 2013 to July 2014, the samples were maintained, sealed in the bottles, alongside one another, each in its outer cardboard packaging, inside a file cabinet, in an office whose temperature is generally maintained between 69 and 74 degrees Fahrenheit. The Equate and Qunol samples were provided to Eurofins blindly, in sealed bottles whose labels were completely obscured. Eurofins tested both samples for rupture and dissolution according to the methods prescribed by USP. Eurofins testing shows Equate failed to rupture after more than 60 minutes in water, and took 47 minutes to rupture during a retest using pepsin, an enzyme that breaks down proteins and promotes solubilization. The Qunol sample ruptured in 13 minutes in water. The Eurofins testing also shows the Equate sample achieved less than 2% dissolution in water, compared to 92.7% dissolution for Qunol. On a retest using pepsin, Equate achieved 45.3% dissolution. A true and correct copy of the July 21, 2014 Eurofins Certificates of Analysis for Equate Lot G13NM13, and Qunol Lot 1341-2121, are attached hereto as **Exhibit 5**.

**2. Advanced Botanical Testing (February 2014)**

72. On August 8, 2012, Advanced Botanical Consulting & Testing, Inc. received from defendant Lang a sample of CVS Ultra soft gels (e.g., the same VESIsorb CoQ10 soft gels as Equate and all Lang CoQ-



1 10 Products) for a long-term stability study. The sample was identified as “Lot #: F12NM10.” At 18 months,  
2 in February 2014, Advanced Botanical tested Equate’s “Rupture (USP).” The results: “Fail, >30 min.”  
3 Advanced Botanical had not previously tested for rupture since receiving the sample in August 2012. A true  
4 and correct copy of the Advanced Botanical testing report, dated February 18, 2014, is attached hereto as  
5 **Exhibit 6.**

6 **3. Tampa Bay Analytical Research Testing (November 2013)**

7 73. On November 18, 2013, Tampa Bay Analytical Research, Inc. (TBAR) tested samples from  
8 two different lots of CVS Ultra CoQ-10, Lots F12NM09 and F12NM10, which are the identical to all Lang-  
9 supplied CoQ-10 soft gels. The samples were purchased on June 9, 2013 (Lot F12NM09), and August 15,  
10 2013 (Lot F12NM10), from the CVS/pharmacy store located at 4829 Clairemont Drive, San Diego,  
11 California, 92117. From June and August 2013, respectively, until early November 2013, the samples were  
12 maintained, sealed in the bottles, in their outer cardboard packaging, in an office whose temperature is  
13 generally maintained between 69 and 74 degrees Fahrenheit. The samples were provided to TBAR blindly,  
14 in sealed bottles whose labels were completely obscured. For each lot, TBAR analyzed 6 capsules, following  
15 USP protocols for testing rupture and dissolution. TBAR’s testing showed that 7 out of 12 of the soft gel  
16 capsules tested did not rupture at all, even after 60 minutes; 3 out of the 12 experienced at best an immaterial,  
17 *de minimis* leakage of contents, perhaps from a pinhole-size opening, but no discernible, visible rupture was  
18 observed, even after 60 minutes; and only 2 soft gel capsules (1 from each lot) actually ruptured, but only  
19 after approximately 50 minutes. The 2 capsules that ruptured showed only 27.6%, and 27.9% dissolution. A  
20 true and correct copy of TBAR’s two testing reports, each an “Assay Result Form,” is attached hereto as  
21 **Exhibit 7.**

22 **4. Advanced Botanical Testing (September 2013)**

23 74. Between September 6, 2013 and September 10, 2013, Advanced Botanical performed USP  
24 dissolution testing for Lang on a sample identified as “CoQ10 w/ VesiSorb,” and identified as “Item#:  
25 C13NM29,” with an expiration date of January 2015. This corresponds to Equate CoQ10 that was available  
26 for purchase in around June 2013, for example, in the Wal-Mart located at 4840 Shawline St., San Diego,  
27 California 92111. Using the standard USP procedure, Advanced Botanical’s testing showed Equate achieved  
28 only 39% dissolution. The report describes the reason for the poor dissolution:

CoQ10 in the soft gels once ruptured was physically suspended in the dissolution medium, not chemically solubilized. If the solution is directly filtered and injected, the unsolubilized portion is removed by the filtration step, which lead to low result. The dissolution sample needs to be properly diluted with organic solvent like isopropyl alcohol to assure complete solubilization of the CoQ10, prior to injection into the HPLC.

75. The USP methods and procedures applicable to CoQ10 do not permit the use of isopropyl alcohol to enhance CoQ10 dissolution. A true and correct copy of Advanced Botanical's September 10, 2013 testing report as described above is attached hereto as **Exhibit 8**.

#### 5. Covance Testing (August 2013)

76. Between August 2 and 12, 2013, Covance Laboratories analyzed samples from two different lots of Equate. Following USP procedures, for each lot Covance measured six soft gels, determining that one lot offered an average of 41.18% dissolution and the second, an average of 41.3% dissolution. A true and correct copy of the Covance Laboratories Certificates of Analysis relating to this testing (one per lot) are attached hereto as **Exhibit 9**.

77. The preceding testing results concerning rupture and dissolution are summarized in the following table:

	<i>Qunol</i>	<i>Equate</i>				
Test	Eurofins (7/14)	Eurofins (7/14)	ABC (2/14)	TBAR (11/13)	ABC 9/13	Covance 8/13
Disintegration	13 min	> 60 min (47 min w/ pepsin retest)	> 30 min	> 60 min (10 capsules); 50 min (2 capsules)		-

Dissolution	92.7%	< 2% (45.3% w/ pepsin retest)	-	27.75% (avg)	9%	41.24% (avg)
-------------	-------	---	---	--------------	----	-----------------

## **DEFENDANTS' DECEPTIVE ACTS & UNFAIR BUSINESS PRACTICES**

### **A. Lang's Defective CoQ-10 Dietary Supplements**

78. In some cases, Lang CoQ-10 soft gels do not rupture within 15, or even 30, or 45, or even 60 minutes, providing consumers with little or no benefit, making them ineffective, and indeed defective. But even if Lang CoQ-10 soft gels occasionally rupture, they still fail to adequately dissolve, at best exhibiting less than 50% dissolution, well below the USP standard of 75%, further providing little or no benefit to consumers, also rendering the products defective.

79. CoQ-10 supplements manufactured in full compliance with Good Manufacturing Practices, and exercising adequate quality control, will measure far more consistently than does the Lang CoQ-10 soft gels across batches and lots, and over time (e.g., without degradation during the product's lifetime preceding its expiration date). The wide divergence in Lang's dissolution results—less than 2%, 28%, 39%, 41%, 45%—suggest some defect in the formulation, manufacturing (including possibly relating to its outer soft gel gelatin coating), packaging, or distribution resulting in inconsistent batches of Lang CoQ-10 soft gels, many of which provide the consumer little or no effect, and which may degrade quickly during the product's shelf life.

### **B. Defendants' Claims of "High Absorption" and "Better Absorption" Are False & Misleading**

80. Defendants' efficacy claims of "High Absorption" and "Better Absorption" are based on the *Relative Bioavailability* study. On Equate's packaging, for example, Defendants deceptively omit the source of these claims, providing consumers with no means of investigating the claim's *bona fides*. Unsurprisingly, *Relative Bioavailability* does not establish Defendants' claims.

1        81.        First, *Relative Bioavailability*'s small sample size (just 20 subjects) allows for distortion by  
2 random chance, and magnifies bias. This is especially true because the human body is a complex  
3 environment. Thus, the results cannot possibly be considered reliable.

4        82.        Second, *Relative Bioavailability* employed improper exclusion criteria. Equate's packaging  
5 advertises it as "Beneficial to Statin Drug Users," but *Relative Bioavailability* excluded as test subjects those  
6 taking "Medication affecting cholesterol (e.g., statins)." CoQ-10 is often taken by those with heart  
7 conditions seeking to improve and promote heart health, and the Equate package states it "Helps support  
8 Heart Health," but *Relative Bioavailability* excluded subjects with heart conditions. And while CoQ-10  
9 supplements are most popular with those over 55, *Relative Bioavailability* excluded subjects over 60, and  
10 did not state the age of the subjects chosen. The exclusion of test subjects with certain conditions and  
11 characteristics undermines the study's reliability in predicting the "real world" absorption claimed by  
12 Defendants on Equate's label.

13        83.        Moreover, *Relative Bioavailability* represents only limited initial results with no verification  
14 of clinical response. The article concludes that "[a]dditional clinical studies are indicated to verify that the  
15 improved absorption with [VESIsorb] correlated with clinical response to treatment." Thus, by its own  
16 admission, the *Relative Bioavailability* study does not actually "verify" anything, and certainly not any  
17 "clinical response" to Lang CoQ-10 soft gels, especially when extrapolated to the general population.

18        84.        *Relative Bioavailability* is also undermined by bias and sponsorship, and cannot be considered  
19 independent. Besides Vesifact supplying the VESIsorb capsules for use in the study, "[t]he work was funded  
20 by Vesifact AG, Baar, Switzerland." And one of the two authors of the study, Carl Artmann, "served as paid  
21 consultant[ ] to Vesifact in monitoring and analyzing this study . . . ." The other author, Zheng-Xian Liu,  
22 "served as a paid consultant to SourceOne Global Partners in the preparation of th[e] manuscript . . . ."   
23 Despite stating that both authors of the study hold "no other financial interest in the products or technologies  
24 studied or in either Vesifact or SourceOne," the study's having been funded by and conducted on behalf of  
25 companies that in fact have a significant financial interest in its outcome undermines the study's credibility  
26 and reliability. And at the time Dr. Liu was paid by SourceOne to prepare the *Relative Bioavailability*  
27 manuscript, he had an ongoing relationship with, and was being compensated as a consultant on several  
28 different projects for SourceOne.

1        85. But even if *Relative Bioavailability* supported the conclusion that the Lang CoQ-10 capsules  
2 tested in Germany in 2008—likely fresh samples, carefully-manufactured by someone other than Swiss  
3 Caps, provided directly to the study’s administrators by Vesifact—exhibited increased absorption, this does  
4 not support Defendants’ claim that Equate, as formulated, mass-manufactured, and distributed in the United  
5 States and available on retail shelves to consumers, offers equivalent “high” or “3 times” absorption.

6        86. To the contrary, a substantial body of testing based on USP protocols and standards shows  
7 Equate frequently fails to time rupture or rupture at all, offering consumers little or no efficacy, and  
8 inadequately dissolves, making little CoQ-10 even available for absorption and bioavailability.

9        87. This is especially significant because *Relative Bioavailability* discusses the importance of  
10 water solubility and the technology purportedly employed in Equate claims to enhance the water solubility  
11 of CoQ-10, yet the USP test designed by independent scientists to determine whether a CoQ-10 supplement  
12 is water soluble—the special dissolution test prescribed in the USP CoQ-10 Monograph requiring 75%  
13 dissolution to pass—shows Lang CoQ-10 soft gels not only consistently fail dissolution, but sometimes fail  
14 miserably: less than 2% dissolution.

15        88. For example, *Relative Bioavailability* explains that bile salts “enhance drug solubilization”  
16 because they help form “micelles” that “transport the lipophilic molecules through the aqueous environment  
17 of the gastrointestinal (GI) tract and across the unstirred water layer to the absorptive epithelium,” and that  
18 VESIsorb supposedly “mimics this natural absorption process to improve bioavailability of poorly water-  
19 soluble drugs” like CoQ-10.

20        89. As *Relative Bioavailability* notes “[t]he absorption of most drugs depends on 2 processes: (1)  
21 the dissolution of the drug in physiological fluids and (2) the absorption process itself (ie, the process by  
22 which a drug in solution enters the cells at the absorption site and finally enters general blood circulation).”  
23 Thus in sum, “the dissolution of [a] drug is the first step in the absorption process . . . .” For poorly-absorbed  
24 drugs like CoQ-10, one technique used to “increase the extent to which the administered drug is absorbed”  
25 is “enhancement of the rate and extent of dissolution,” with VESIsorb an “example of the . . . technique.”

26        90. *Relative Bioavailability* also notes that “VESIsorb was designed to address the poor  
27 bioavailability of . . . natural bioactives like CoQ-10 exhibiting poor water solubility,” by using a process in  
28 which the “bioactive will be solubilized . . . .”

1           91.     If *Relative Bioavailability* requires water solubility in order for a CoQ-10 supplement using  
2 VESIsorb technology to properly function, and industry standard testing based on sound scientifically-sound  
3 principles developed by an independent expert organization demonstrates Equate is not water soluble, then  
4 by definition *Relative Bioavailability* cannot support claims of enhanced absorption (even if, *arguendo*, the  
5 study might otherwise support the claim for a VESIsorb-based CoQ-10 supplement that practiced the  
6 patented technology correctly and was free from any formulation, manufacturing, or handling errors or  
7 defects).

8           92.     The falsity of Defendants’ “high” and “better absorption” claims is also demonstrable by  
9 comparison to Qunol, which also makes a “3X Better Absorption” claim. Qunol timely ruptures and exhibits  
10 more than 90% dissolution. In 2009, in response to a challenge by the Council for Responsible Nutrition,  
11 the National Advertising Division<sup>5</sup> investigated Qunol’s “3X” claim, and held the claim was adequately  
12 supported.<sup>6</sup> If Qunol’s “3X” claim is legitimate and substantiated where the product exhibits near-total  
13 dissolution, a product like Equate, which shows only 2%, or 28%, or 39%, or 41%, or 45% dissolution,  
14 cannot *similarly* offer “high” and “3 times” better absorption.

15           93.     Walmart, for example, also deceptively omit what products Equate offers “3 times better  
16 absorption” than. If Wal-Mart uses the claim to suggest an equivalence to Qunol, that is false and misleading  
17 for the reasons set forth herein. If Defendants use the claim to compare Equate to *all* or *any given* CoQ-10  
18 dietary supplement in the market, this is also false: even *Relative Bioavailability* only compared the  
19

---

20 <sup>5</sup> The NAD is a division of the Council of Better Business Bureaus, whose policy and procedures are  
21 established by the Advertising Self-Regulatory Council (ASRC). NAD’s mission is to review national  
22 advertising for truthfulness and accuracy, and thereby foster public confidence in the credibility of  
23 advertising. NAD reviews a case when an advertisement is challenged (usually by a competitor), with NAD’s  
24 attorneys working with both parties’ in-house counsel, marketing executives, and research and development  
25 departments, as well as with outside consultants, to decide whether the challenged claims have been  
26 substantiated. Each party is also given substantial time and opportunity to explain its position and provide  
27 supporting data. ASRC maintains a database of NAD case reports on its website.

28 <sup>6</sup> NAD noted that in response to its investigation Qunol’s manufacture “submitted several published and  
unpublished studies which, it maintained, substantiate the enhanced bioavailability of the hydrosoluble  
CoQ10 in Qunol,” and also “submitted a laboratory report . . . substantiating [Qunol’s] hydrosolubility (i.e.,  
that it passes USP Dissolution Test)” and “submitted reports of tests conducted on other CoQ10 softgel  
brands . . . that it maintained, indicated their lack of solubility, as shown by their lack of dissolution in the  
USP Dissolution Test.”



1 VESIsorb product to three others, and no other clinical studies comparing any other products to competing  
2 CoQ10 supplements—much less any studies comparing them to Equate, itself—have been conducted; by  
3 comparison, Qunol only claims to offer “3X better absorption” than “regular CoQ-10,” which its packaging  
4 defines as “unsolubilized Ubiquinone in oil suspensions and/or powder-filled capsules/tablets,” based on  
5 specific studies performed relating to those specific products. But if Wal-Mart intends the “3 times better  
6 absorption” claim to make a comparison to regular, unsolubilized CoQ-10 similarly to Qunol, this is also  
7 false because Equate fails the USP dissolution test just as any such “regular,” unsolubilized CoQ-10  
8 supplement inevitably will.

9 **C. Defendants’ Claims of “Clinical Strength” Are False & Misleading**

10 94. When a product is touted as providing “clinical” results or strength, consumers believe that  
11 means the product has been shown, in a clinical trial, to be effective. For example, NAD has ruled even the  
12 statement that “a supplement has been ‘used in several clinical studies’ can be reasonably understood by  
13 consumers to mean that it has been studied *and* shown to be efficacious.”

14 95. There are no clinical studies testing the efficacy of Lang CoQ-10 soft gels, as formulated,  
15 mass-manufactured, and available to consumers on store shelves.

16 96. Instead, Defendants base their “Clinical Strength” claim on *Relative Bioavailability*. But  
17 whatever that study’s results, a substantial body of independent laboratory testing, including testing  
18 commissioned by Lang, shows that because it fails to rupture and adequately dissolve. The Lang CoQ-10  
19 soft gels, as formulated, and as available to consumers on retail shelves after mass-manufacturing and  
20 distribution in the U.S., are not of comparable quality to that tested in *Relative Bioavailability*, and do not  
21 offer the “clinical” results or “strength” otherwise possibly suggested by *Relative Bioavailability*.

22 **D. Defendants’ Benefit Claims Are False & Misleading**

23 97. While Defendants’ benefit claims (like “Helps support Heart Health” and “Promotes healthy  
24 blood pressure levels”) may be literally true since CoQ-10 *can* offer such benefits if supplements are  
25 carefully formulated, manufactured, and handled, defects in Lang’s formulation, manufacturing, or  
26 distribution chain resulting in CoQ-10 soft gels with frequent rupture failures and suboptimal dissolution,  
27 render the statements on each of Lang CoQ-10 Products misleading, especially in combination with other  
28 efficacy and comparative claims.



1           **E. Defendants' Comparison to Qunol is False & Misleading**

2           98. Qunol is a highly-respected, “high end” or “name” brand CoQ-10 supplement, well-known to  
3 CoQ-10 consumers. It's Q-Gel-branded CoQ-10 supplements have been shown to effectively increase  
4 absorption in at least five bioavailability studies, and its “3X” claim has been investigated and upheld by  
5 the NAD. Defendants’ statement comparing Equate to Qunol is false because testing shows that Qunol,  
6 unlike the Lang CoQ-10 soft gels, timely ruptures, and offers substantially more dissolution than Lang: at  
7 most, Lang offers only half the dissolution of Qunol and thus simply cannot, like Qunol, offer “3 times  
8 better absorption” than competing products. The products are also formulated differently and employ  
9 different techniques to solve the CoQ-10 dissolution problem. For example, Qunol includes 150  
10 International Units (IU) of Vitamin E to promote solubility, while Equate contains only 10 IU of Vitamin E  
11 (in the form of d-alpha Tocopherol) (which Defendants do not even disclose).

12           **F. The Lang CoQ-10 Products Are Misbranded**

13           99. Defendants misbrand each of the Lang CoQ-10 Products in violation of the Federal Food,  
14 Drug, and Cosmetic Act, 21 U.S.C. §§ 301 *et seq.*, and the California Sherman Food, Drug, and Cosmetic  
15 Law, Cal. Health & Safety Code §§ 109875 *et seq.*

16           100. Defendants add 10 IU of Vitamin E (33.3% of the RDI) to Equate, for example, for purposes  
17 of supplementation. Defendants also makes a claim about Vitamin E by identifying its presence in Equate’s  
18 ingredient list, as “d-alpha Tocopherol.”

19           101. The FDCA requires a dietary supplement manufacturer who adds any vitamin or mineral  
20 listed in 21 C.F.R. § 101.9(c)(8)(iv) for purposes of supplementation, or makes a claim about any such  
21 vitamin or mineral, to declare the amount per serving and percent daily value. 21 C.F.R. 101.36(b)(2).

22           102. Accordingly, Equate and each of the Lang Co-Q10 Products are misbranded within the  
23 meaning of 21 U.S.C. §§ 343(e)(2) & (f).

24           103. For the reasons set forth herein, the Lang CoQ-10 Products also misbranded because their  
25 “labeling is false or misleading in any particular,” 21 U.S.C. § 343(a).

26           104. The California Sherman Law incorporates FDCA regulations into state law. Cal. Health &  
27 Safety Code § 110100, and also prohibits the sale of dietary supplements deemed misbranded under the  
28

1 federal laws and regulations (and thus under state law). Accordingly, Lang CoQ-10 soft gels are also  
2 misbranded under California state law.

### 3 **PLAINTIFFS' RELIANCE AND INJURY**

#### 4 **Plaintiffs Jackson and Cortina**

5 105. Plaintiffs Jackson and Cortina purchased the Equate CoQ-10 product and relied on  
6 Defendants' representations that Equate provides "clinical strength," "high absorption," and "3 times better  
7 absorption" than competing products, that it is comparable to Qunol, and that it generally supports heart  
8 health, but these claims were false and misleading for the reasons described herein.

9 106. Plaintiffs Jackson and Cortina purchased Equate CoQ-10 instead of competing products based  
10 on the false statements and misrepresentations described herein.

11 107. Plaintiffs Jackson and Cortina would not have purchased Equate CoQ-10 absent Defendants'  
12 misleading benefit, efficacy, and comparative claims, or he would not have paid the price he did for Equate,  
13 which is a little less expensive than Qunol, if he knew that Equate does not rupture at all or timely, does not  
14 dissolve at all or to any substantial degree (and certainly far less than the industry standard as reflected in  
15 the USP CoQ10 Monograph), and does not provide "high" or "3 times better" absorption than other brands  
16 of which he was aware and may have otherwise purchased.

17 108. Plaintiffs Jackson and Cortina would not have paid the price he did for Equate, and may not  
18 have been willing to purchase Equate at all, if he knew that it frequently fails to timely rupture, and provides  
19 substantially less dissolution than the USP CoQ-10 Monograph specifies.

20 109. Plaintiffs Jackson and Cortina paid a price premium due to Defendants' fraudulent conduct,  
21 in that Defendants were able to command a higher price in the marketplace for Equate than it otherwise  
22 could have absent its false and misleading benefit, efficacy, and comparative claims.

#### 23 **Plaintiff Buchannan**

24 110. Plaintiff Buchannan purchased the CVS Ultra CoQ-10 Product and relied on Defendants'  
25 representations that it offers "6x Better Absorption," provides "Heart & Muscle Health," is "Beneficial for  
26 those taking cholesterol-lowering statin drugs," and that "CVS/Health Ultra CoQ-10 uses a proprietary  
27 formula to achieve over 600% better absorption."  
28

111. Because it frequently fails even to rupture, CVS Ultra is actually ineffective, so plaintiff Buchanan did not receive what he paid for, and lost money in the full amount of his CVS Ultra purchases. Even where CVS Ultra ruptures, because it fails to adequately dissolve, CVS Ultra is actually only partially effective, so plaintiff Buchanan did not receive what he paid for, and lost money in amount of his CVS Ultra purchases or some portion thereof.

112. Plaintiff purchased CVS Ultra instead of competing products based on the false statements and misrepresentations described herein.

113. CVS Ultra was unsatisfactory to plaintiff Buchanan because it did not provide the full benefit advertised, and may have provided no benefit.

114. Plaintiff Buchanan would not have purchased CVS Ultra absent Defendants' misleading benefit, efficacy, and comparative claims, or he would not have paid the price he did for Equate, which is a little less expensive than Qunol, if he knew that CVS Ultra does not rupture at all or timely, does not dissolve at all or to any substantial degree (and certainly far less than the industry standard as reflected in the USP CoQ10 Monograph), and does not provide "high" or "6x better" absorption than other brands of which he was aware and may have otherwise purchased.

115. Plaintiff Buchanan would not have paid the price he did for CVS Ultra CoQ10, and may not have been willing to purchase CVS Ultra at all, if he knew that it frequently fails to timely rupture, and provides substantially less dissolution than the USP CoQ10 Monograph specifies.

116. Plaintiff Buchanan paid a price premium due to Defendants' fraudulent conduct, in that Defendants were able to command a higher price in the marketplace for CVS Ultra than they otherwise could have absent its false and misleading benefit, efficacy, and comparative claims.

#### **CLASS ACTION ALLEGATIONS**

117. Plaintiffs seek to represent a nationwide class comprised of all persons in the United States who purchased the Lang CoQ-10 Products primarily for personal, family, or household use, and not for resale from the four years prior to the filing of this complaint until the date notice is disseminated to the class members. Because all of the Lang CoQ-10 soft gels sold in the United States are of identical composition and the retail store brands each made similar false and misleading claims about absorption and performance of the Lang CoQ-10 soft gels, Plaintiffs seek to represent all purchasers of Lang CoQ-10 soft

gels who purchased Equate CoQ-10, CVS Ultra CoQ-10, CVS Enhanced CoQ-10, Walgreens Enhanced CoQ-10 and/or Meijer Ultra CoQ-10 products during the class period.

118. The members in the proposed class and subclass are so numerous that individual joinder of all members is impracticable, and the disposition of the claims of all class members in a single action will provide substantial benefits to the parties and Court.

119. Questions of law and fact common to plaintiffs and the class include:

- A. Whether through Lang CoQ-10 soft gels' packaging claims, Defendants made express or implied warranties to purchasers;
- B. Whether Defendants breached express warranties by failing to provide Lang CoQ-10 soft gels in conformance with promises or descriptions that became a basis for the bargain;
- C. Whether Defendants breached implied warranties by failing to provide merchantable goods in selling Lang CoQ-10 soft gels to the class members, or by selling CoQ-10 soft gels that were not fit for its particular purpose of supplementing the body's natural CoQ-10 production sufficiently to support heart health and benefit statin users;
- D. Whether the Lang CoQ-10 soft gels have actually malfunctioned;
- E. Whether Defendants made statements concerning Lang's absorption and effectiveness that were likely to deceive the public;
- F. Whether Defendants made statements they knew or should have known were false or misleading;
- G. Whether any of Defendants' practices was immoral, unethical, unscrupulous, or substantially injurious to consumers;
- H. Whether the utility of any of Defendants' practices, if any, outweighed the gravity of the harm to its victims;
- I. Whether Defendants' conduct violated public policy as declared by specific constitutional, statutory or regulatory provisions;
- J. Whether the consumer injury caused by Defendants' conduct was substantial, not outweighed by benefits to consumers or competition;
- K. Whether Defendants' conduct or any of its acts or practices violated the California False Advertising Law, Cal. Bus. & Prof. Code §§ 17500 *et seq.*, the California Consumers Legal Remedies Act, Cal. Civ. Code §§ 1750 *et seq.*; the Federal Food,

Drug, and Cosmetic Act, 21 U.S.C. §§ 301 *et seq.*; the California Sherman Law, Cal. Health & Safety Code §§ 109875 *et seq.*; or any other law;

- L. Whether Defendants' policies, acts, and practices with respect to Lang CoQ-10 soft gels were designed to, and did result in the purchase and use of Lang CoQ-10 soft gels by the class members primarily for personal, family, or household purposes;
- M. Whether Defendants misrepresented the source, sponsorship, approval, or certification of Lang CoQ-10 soft gels within the meaning of Cal. Civ. Code § 1770(a)(2);
- N. Whether Defendants misrepresented Lang CoQ-10 soft gels' affiliation, connection, or association with, or certification by, another, within the meaning of Cal. Civ. Code § 1770(a)(3);
- O. Whether Defendants represented that Lang CoQ-10 soft gels have characteristics, uses, or benefits which they do not have, within the meaning of Cal. Civ. Code § 1770(a)(5);
- P. Whether Defendants represented that Lang CoQ-10 soft gels are original or new if they had deteriorated unreasonably or were altered, within the meaning of Cal. Civ. Code § 1770(a)(6);
- Q. Whether Defendants represented Lang CoQ-10 soft gels are of a particular standard, quality, or grade, when they were really of another, within the meaning of Cal. Civ. Code § 1770(a)(7);
- R. Whether Defendants disparaged the goods, services, or business of another by false or misleading representation of fact, within the meaning of Cal. Civ. Code § 1770(a)(8);
- S. Whether Defendants advertised Lang CoQ-10 soft gels with the intent not to sell them as advertised, within the meaning of Cal. Civ. Code § 1770(a)(9);
- T. Whether Defendants represented that Lang CoQ-10 soft gels have been supplied in accordance with a previous representation when it has not, within the meaning of Cal. Civ. Code § 1770(a)(16)
- U. The proper equitable and injunctive relief; and
- V. The proper amount of reasonable litigation expenses and attorneys' fees.

120. Plaintiffs' claims are typical of class members' claims in that they are based on the same underlying facts, events, and circumstances relating to Defendants' conduct.

1 121. Plaintiffs will fairly and adequately represent and protect the interests of the class, have no  
2 interests incompatible with the interests of the class, and has retained counsel competent and experienced  
3 in class action litigation.

4 122. The class is sufficiently numerous, as the class contains at least thousands of members who  
5 purchased the Lang CoQ-10 soft gels at issue in this action.

6 123. Class treatment is superior to other options for resolution of the controversy because the relief  
7 sought for each class member is small such that, absent representative litigation, it would be infeasible for  
8 class members to redress the wrongs done to them.

9 124. Questions of law and fact common to the class predominate over any questions affecting only  
10 individual class members.

11 125. As a result of the foregoing, class treatment is appropriate under California law.

12 **FIRST CAUSE OF ACTION**

13 **VIOLATIONS OF THE CALIFORNIA UNFAIR COMPETITION LAW,**

14 **CAL. BUS. & PROF. CODE §§ 17200 *ET SEQ.***

15 **(By the Nationwide Class Against All Defendants)**

16 126. Plaintiffs reallege and incorporate the allegations elsewhere in the Complaint as if fully set  
17 forth herein.

18 127. The UCL prohibits any “unlawful, unfair or fraudulent business act or practice,” Cal. Bus. &  
19 Prof. Code § 17200.

20 ***Fraudulent***

21 128. Defendants claim that Equate CoQ-10 soft gels provide “clinical strength,” “high absorption,”  
22 and “better absorption” than competitors, that it generally supports heart health and benefits statin users,  
23 and that it is comparable to Qunol, are false and misleading, and fraudulent under the UCL, because Lang  
24 CoQ-10 soft gels are only partially effective, and not comparable to Qunol, as alleged herein. Thus, Equate’s  
25 label is likely to deceive a reasonable consumer.

26 129. Defendants’ claims that CVS Ultra CoQ-10 and CVS Enhanced CoQ-10 provide “high  
27 absorption”, “Enhanced Absorption Formula” and/or “6x Better Absorption” than competitors, and that it  
28 generally supports heart health and benefits statin users, are false and misleading under the UCL, because

CVS Ultra is only partially effective, as alleged herein. Thus CVS Ultra's label is likely to deceive a reasonable consumer.

130. Defendants' claims that Walgreens' Enhanced CoQ-10 provides an "Enhanced Absorption Formula," "May support heart health," is "beneficial for those taking cholesterol-lowering stating drugs," and offers "Heart Health," are false and misleading under the UCL because Walgreens' Enhanced CoQ-10 is only partially effective, as alleged herein. Thus Walgreens' Enhanced CoQ-10's label is likely to deceive a reasonable consumer.

131. Defendants' claims that Meijer's Ultra CoQ-10 offers "5x better absorption" that competitors and that it generally supports heart health, are false and misleading under the UCL, because Meijer's Ultra is only partially effective, as alleged herein. Thus Meijer's Ultra label is likely to deceive a reasonable consumer.

132. Defendants' omissions of material facts are also prohibited by the UCL's "fraudulent" prong.

### *Unfair*

133. Defendants' conduct with respect to the labeling, advertising, and sale of Lang CoQ-10 soft gels was unfair because their conduct was immoral, unethical, unscrupulous, or substantially injurious to consumers and the utility of its conduct, if any, does not outweigh the gravity of the harm to its victims.

134. Defendants' conduct with respect to the labeling, advertising, and sale of Lang CoQ-10 soft gels was also unfair because it violated public policy as declared by specific constitutional, statutory or regulatory provisions, including the False Advertising Law.

135. Defendants' conduct with respect to the labeling, advertising, and sale of Lang CoQ-10 soft gels was also unfair because the consumer injury was substantial, not outweighed by benefits to consumers or competition.

### *Unlawful*

136. The acts alleged herein are "unlawful" under the UCL in that they violate the following laws:

- The Magnuson-Moss Warranty Act, 15 U.S.C. §§ 2103 *et seq.*;
- The Federal Food, Drug, and Cosmetic Act, 21 U.S.C. §§ 301 *et seq.*;
- The Lanham Act, 15 U.S.C. §§ 1501 *et seq.*;
- The False Advertising Law, Cal. Bus. & Prof. Code §§ 17500 *et seq.*;



- The Consumers Legal Remedies Act, Cal. Civ. Code §§ 1750 *et seq.*; and
- The California Sherman Law, Cal. Health & Safety Code §§ 109875 *et seq.*

\* \* \*

137. In accordance with Cal. Bus. & Prof. Code § 17203, plaintiffs seek an order enjoining Defendants from continuing to conduct business through unlawful, unfair, or fraudulent acts and practices, and to commence a corrective advertising campaign.

138. Plaintiffs also seek restitution under the UCL in an amount to be determined at trial.

### **SECOND CAUSE OF ACTION**

#### **VIOLATIONS OF THE CALIFORNIA FALSE ADVERTISING LAW,**

#### **CAL. BUS. & PROF. CODE §§ 17500 *ET SEQ.***

#### **(By the Nationwide Class Against All Defendants)**

139. Plaintiffs reallege and incorporate the allegations elsewhere in the Complaint as if fully set forth herein.

140. The FAL prohibits any statement in connection with the sale of goods “which is untrue or misleading,” Cal. Bus. & Prof. Code § 17500.

141. Defendants’ Representations and Warranties concerning the Lang CoQ-10 soft gels, including that that they are of “clinical strength,” provide “high absorption”, “Enhanced Absorption Formula”, “3 times better absorption”, “5x higher absorption” or even “6x Higher Absorption” than competing products, and that they generally supports heart health and benefits statin users, is untrue or misleading in that Lang CoQ-10 soft gels do not sufficiently dissolve for effectiveness.

142. Defendants knew, or reasonably should have known, that the claims were untrue or misleading.

143. Plaintiffs and members of the subclass seek injunctive relief and restitution for their claims under the FAL.

**THIRD CAUSE OF ACTION**  
**VIOLATIONS OF THE CALIFORNIA CONSUMERS LEGAL REMEDIES ACT, CAL.**  
**CIV. CODE §§ 1750 *ET SEQ.***

**(By the Nationwide Class Against All Defendants)**

144. Plaintiffs reallege and incorporate the allegations elsewhere in the Complaint as if fully set forth herein.

145. The CLRA prohibits deceptive practices in connection with the conduct of a business that provides goods, property, or services primarily for personal, family, or household purposes.

146. Defendants' policies, acts, and practices were designed to, and did, result in the purchase and use of the products primarily for personal, family, or household purposes, and violated and continue to violate the following sections of the CLRA:

- a. § 1770(a)(2): misrepresenting the source, sponsorship, approval, or certification of goods or services;
- b. § 1770(a)(3): misrepresenting the affiliation, connection, or association with, or certification by, another;
- c. § 1770(a)(5): representing that goods have characteristics, uses, or benefits which they do not have;
- d. § 1770(a)(6): representing that goods are original or new if they have deteriorated unreasonably or are altered, reconditioned, reclaimed, used, or secondhand;
- e. § 1770(a)(7): representing that goods are of a particular standard, quality, or grade if they are of another;
- f. § 1770(a)(8): disparaging the goods, services, or business of another by false or misleading representation of fact;
- g. § 1770(a)(9): advertising goods with intent not to sell them as advertised; and
- h. § 1770(a)(16): representing the subject of a transaction has been supplied in accordance with a previous representation when it has not.

147. As a result, plaintiffs and the class members have suffered irreparable harm and are entitled to injunctive relief and attorneys' fees.

148. Plaintiffs do not seek actual damages and punitive damages at this time for their CLRA claim.

#### **FOURTH CAUSE OF ACTION**

## BREACH OF EXPRESS WARRANTY

**(By the Nationwide Class Against All Defendants)**

149. Plaintiffs reallege and incorporate the allegations elsewhere in the Complaint as if fully set forth herein.

150. In selling Lang CoQ-10 soft gels to Plaintiffs and the class members, Defendants made affirmations of fact and promises by way of the Representations and Warranties on the Product labels. These affirmations of fact, promises and descriptions formed part of the basis of the bargain. Defendants thus expressly warranted the goods sold.

151. Lang CoQ-10 soft gels were in the defective condition alleged herein, causing the breach of warranty, when they left defendants, *i.e.*, when plaintiffs and other consumers purchased them. This was the proximate cause of plaintiffs' injuries and those of the class.

152. Plaintiffs, on behalf of themselves and the class, seeks injunctive relief and damages for Defendants' breach of warranty.

## FIFTH CAUSE OF ACTION

## BREACH OF IMPLIED WARRANTY OF MERCHANTABILITY

**(By the Nationwide Class Against All Defendants)**

153. Plaintiffs reallege and incorporate the allegations elsewhere in the Complaint as if fully set forth herein.

154. In selling Lang CoQ-10 soft gels to Plaintiffs and the class members, Defendants impliedly warranted that the goods sold were merchantable, but laboratory testing demonstrates that Lang CoQ-10 soft gels frequently fail to rupture, providing consumers with none of the advertised benefits. Even when Lang CoQ-10 soft gel capsules do rupture, dissolution is negligible, less than 2%, giving consumers virtually no benefit.

155. Plaintiffs and the class members suffered injury as a result of Defendants' breach in that they paid money for a product that does not rupture or adequately dissolve, and therefore does not provide the benefits advertised.

1 156. Plaintiffs, on behalf of themselves and the class, seek injunctive relief and damages for  
2 Defendants' breach of warranty.

3 **PRAYER FOR RELIEF**

4 157. Wherefore, Plaintiffs, on behalf of themselves, all others similarly situated and the public,  
5 pray for judgment against Defendants as to each and every cause of action, and the following remedies:

- 6 A. An Order certifying this as a class action and appointing Plaintiffs and their counsel  
7 to represent the class and subclass;
- 8 B. An Order enjoining Defendants from labeling, advertising, or packaging the Lang  
9 CoQ-10 soft gels with any benefit, efficacy, or comparative claim challenged herein;
- 10 D. An Order compelling Defendants to conduct a corrective advertising campaign to  
11 inform the public that the Lang CoQ-10 Products did not provide the advertised  
12 efficacy or benefits, and were not comparable to Qunol;
- 13 E. Damages in an amount to be determined at trial;
- 14 F. Restitution in an amount to be determined at restitution at trial;
- 15 H. Costs, expenses, and reasonable attorneys' fees; and
- 16 I. Any other and further relief the Court deems necessary, just, or proper.

17 **JURY DEMAND**

18 158. Plaintiffs hereby demand a trial by jury on all issues so triable.

19  
20 Dated: October 27, 2017

/s/ Ronald A. Marron

**LAW OFFICES OF RONALD A. MARRON**

RONALD A. MARRON

*ron@consumersadvocates.com*

MICHAEL T. HOUCHIN

*mike@consumersadvocates.com*

651 Arroyo Drive

San Diego, CA 92103

Phone: (619) 696-9006

Fax: (619) 564-6665

***Attorney for Plaintiffs and the Proposed Class***

# **EXHIBIT 1**

**NEW DIELINES: 2.1875" H X 6" W**



been given.

# **EXHIBIT 2**





PLEASE LEAVE OUR CVS SLUG ON ALL FINAL ART AND CONTRACT PROOFS FOR FINAL APPROVAL

<p><b>CVS Health.</b></p> <p>Store Brands Packaging Group 68 Cumberland Street, Ste. 200 Woonsocket, RI 02895</p> <p>Please direct questions &amp; proofs to: Kate.Sheriff@cvspart.com Phone: 401-531-2314</p> <p>DATE: 06/16/2015</p> <p>VENDOR: LANS</p> <p>BRAND: CVS HEALTHCARE (100880)</p> <p>FILE NAME: E:\SLS_FINAL\ULTRA210\SLUG_C.A</p> <p>ITEM NUMBER: 800746</p> <p>UPCA: 504284281864</p> <p>PAINTER: AMBER COLLADO</p>	<p><b>VENDOR PRE-PRESS RESPONSIBILITIES</b></p> <ul style="list-style-type: none"> <li>• CONFIRMATION OF COLOR SPECS AS REQUESTED FOR PROOF/SEPARATIONS</li> <li>• TRIMMING, BLEED AND MINIMUM TOLERANCE ADJUSTMENTS TO MEET TARGET PRESS REQUIREMENTS</li> <li>• REPLACE UPC WITH PROPER BURN PER PRINTER SPECS, ENSURE UPC SCANS</li> </ul> <p><b>LINKED IMAGE ASSETS</b></p> <ul style="list-style-type: none"> <li>• JQ_2_SHUTTERSTOCK_215804640.PSD</li> </ul>	<p><b>PRODUCTION SPECIFICATIONS</b></p> <p><b>PRINT PROCESS: US OFFSET PRINTING</b></p> <p>CYAN MAGENTA YELLOW BLACK</p> <p>PANTONE CYAN 300 C PANTONE MAGENTA 300 C PANTONE YELLOW 300 C PANTONE BLACK 300 C</p> <p><b>ADDITIONAL INFO (INCLUDE SUBSTRATE &amp; ANY PROCESS ADDITIONAL TO PRINTING HERE)</b></p> <p>WHITE LABEL</p>	<p><b>NOTES AND AREAS OF FOCUS</b></p> <p>ADDITIONAL SPOT COLORS ARE BRAND CRITICAL COLORS AND MUST NOT BE CONVERTED AND PRODUCED WITH PROCESS EQUIVALENT FROM COLORS. COLOR SEPARATION SPECIFICATION SHOULD MATCH OUR PRINT QUOTATION FOR THIS JOB.</p> <p><b>FORMULAS FOR CVS RED (WHEN REQUIRED)</b></p> <p><b>Formulas for CVS PANTONE RED on Coated:</b> PANTONE Yellow 017 31/50 PANTONE Blue 65/50/ PANTONE Black 30</p> <p><b>Formulas for CVS PANTONE RED on Uncoated:</b> PANTONE Yellow 017 37/50 PANTONE Blue 65/50</p> <p><b>CMYK for Uncoated:</b> C: 0, M: 100, Y: 85, K: 0 <b>CMYK for Coated:</b> C: 0, M: 100, Y: 75, K: 3</p> <p><b>VENDOR PROOFING REQUIREMENTS</b></p> <p>PDF CONTENT PROOF AND SUBSEQUENT CONTRACT PROOF PER PROJECT SCHEDULE.</p>
--	---	--	--

Southern Graphic Systems, Inc. (SGS) has made every effort to comply with the FCM and FDA rules and guidelines in the production of this artwork. To the best of our knowledge and experience this artwork adheres to and is compliant with safety labeling product labeling requirements as per FDA regulations. In the event of any trademark non-compliance issue that results in the removal of existing artwork, SGS will, in cooperation with the client, make every effort to replace the artwork and production materials as quickly as possible. The liability of not doing so shall be borne by the client. We will not assume any right or liability responsibility or liability for the contents, preparation or printing of any packaging (artwork) submitted for production. The supplied artwork should be approved by the recipient for all content, layout and copy accuracy. This is a mechanical artwork file only. Color related to be confirmed by printer or printer's proof. The CMYK color values should be provided by your printer or printer's proof. Please ensure that your printer's proof is accurate for the final printing. Refer to Pantone and process matchbooks for color of accurate color as this is not a color proof. Should the supplied artwork be found to be incorrect please contact your representative immediately. © 2015

PA 01

2.125" H x 5.625" W

**CVS Health**

# ULTRA CoQ-10 100 mg

## HEART & MUSCLE HEALTH<sup>\*</sup>

### DIETARY SUPPLEMENT

Beneficial to People Taking  
Cholesterol-lowering  
Statin Drugs

Actual Product  
Size on Ideal Panel  
**60 SOFTGELS**

---

**Directions:** As a dietary supplement, take one (1) softgel daily after meals. Consult your doctor or before taking any supplement, particularly if pregnant or nursing.

<b>Supplement Facts</b>	
Serving Size 1 Softgel	%DV
<b>Coenzyme Q-10</b> 100mg	100%

\*% Daily Value not established

**Other Ingredients:** Gelatin Capsule (Gelatin, Glycerin, Purified Water, Annatto, Titanium Dioxide), Medium Chain Triglycerides, Polysorbate 80, Polyglycerol Esters of Fatty Acids, Citrus Oil Extract (*Citrus sinensis*, peel), d-alpha tocopherol.

**Distributed by: CVS Pharmacy, Inc.**  
© 2015 CVS/pharmacy  
CVS.com™ 1-800-SHOP CVS V-7350  
One CVS Drive, Woonsocket, RI 02895

\*This statement has not been evaluated by the Food and Drug Administration. This product is not intended to diagnose, treat, cure, or prevent any disease.

PLEASE LEAVE OUR CVS SLUG ON ALL FINAL ART AND CONTRACT PROOFS FOR FINAL APPROVAL

<p><b>VENDOR PRE-PRESS RESPONSIBILITIES</b></p> <ul style="list-style-type: none"> <li>• CONFIRMATION OF COLOR SPECS. AS NEEDED FOR PROPER SEPARATIONS.</li> <li>• TRAPPING, BLEED AND MINIMUM TOLERANCE ADJUSTMENTS TO MEET TARGET PRESS REQUIREMENTS.</li> <li>• REPLACE UPC WITH PROPER BWR PER PRINTER SPECS. ENSURE UPC SCANS</li> </ul>	<p><b>PRODUCTION SPECIFICATIONS</b></p> <p><b>PRINT PROCESS:</b> 6/0 OFFSET PRINTING</p> <p>CYAN MAGENTA YELLOW BLACK PMS 186 PMS 300 C</p>	<p><b>NOTES AND AREAS OF FOCUS</b></p> <p>ADDITIONAL SPOT COLORS ARE BRAND CRITICAL. COLORS AND MUST BE CONVERTED AND PRODUCED WITH PROCESS EQUIVALENT PROXY COLORS. COLOR SEPARATION SPECIFICATION SHOULD MATCH CVS PRINT QUOTATION FOR THIS JOB.</p>
<p><b>LINKED IMAGE ASSETS</b></p> <ul style="list-style-type: none"> <li>• JQ_2_SHUTTERSTOCK_215604640.PSD</li> </ul>	<p><b>ADDITIONAL INFO:</b> INCLUDE SUBSTRATE &amp; ANY PROCESS ADDITIONAL TO PRINTING HERE)</p> <p>WHITE LABEL</p>	<p><b>FORMULA FOR CMYK RED (WHEN REQUIRED)</b></p> <p><b>Formula for CVS PANTONE RED on Coated:</b> PANT ONE Yellow 012 31 50 PANT ONE Rubine 65 00 / PANTONE Black .50</p> <p><b>Formula for CVS PANTONE RED on Uncoated:</b> PANT ONE Yellow 012 37 00 PANT ONE Rubine 63 00</p> <p><b>CMYK for Coated:</b> C, U, M: 100, Y: 85, K: 0    C, O, M: 95, Y: 75, K: 3</p>
<p><b>VENDOR PROOFING REQUIREMENTS</b></p> <p>PDF CONTENT PROOF AND SUBSEQUENT CONTRACT PROOF PER PROJECT SCHEDULE.</p>		<p><b>PA 01</b></p> <p>File created at 10:06</p>

---

**Store Brands Packaging Group**  
68 Cumberland Street, Ste: 200  
Woonsocket, RI 02895

Please direct questions & proofs to:  
[Kate.Sheriff@sgintl.com](mailto:Kate.Sheriff@sgintl.com)  
Phone: 401-531-2314

Southern Graphic Systems Intl., (SGS) has made every effort to comply FDA rules and guidelines in the production of this artwork. To the best of our knowledge and experience this artwork adheres to and is compliant with rules governing product labelling requirements as per USA legislation. In the event of an inadvertent non-compliance issue that results in the re-work of existing artwork, SGS will, in cooperation with the client, make every effort to rectify the situation as quickly as possible. The client agrees to indemnify and hold SGS harmless from all claims, damages, costs and expenses incurred by SGS for the contents, prepress or printing of any packaging (unless contracted for prepress). This supplied artwork should be approved by the recipient for all content, layout and copy accuracy. This is a mechanical artwork file only. Color rotation to be confirmed by printer or prepress supplier. Please ensure that your prepress supplier prepares the file for printing. Refer to Pantone and process matchbooks for reflection of accurate color, as this is not a color proof. Should the supplied artwork be found to be incorrect please contact your representative immediately. © 2015

DATE: 06/16/2015	VENDOR: LANG	BRAND: CVS HEALTHCARE / VITAMINS	FILE NAME: 15_HDR_883746_ULTRAC010_600CT_LAI	ITEM NUMBER: 883746	UPC# 050428419748	PA ARTIST: AMBER COLLAZO
------------------	--------------	----------------------------------	--	---------------------	-------------------	--------------------------

[illegible]



PLEASE LEAVE OUR CVS SLUG ON ALL FINAL ART AND CONTRACT PROOFS FOR FINAL APPROVAL

<p><b>CVS Health.</b></p> <p>Store Brands Packaging Group 68 Cumberland Street, Ste: 200 Woonsocket, RI 02895</p> <p>Please direct questions &amp; proofs to: Kate.Sheriff@sgsintl.com Phone: 401-531-2314</p> <p>DATE: 06/16/2015</p> <p>VENDOR: LANG</p> <p>BRAND: CVS_HCR_SB_SUPPLEMENTS</p> <p>FILE NAME: 15_HCR_973799_COQ10_100MG_30CT_LB.AI</p> <p>ITEM NUMBER: 973799</p> <p>UPC# 050428381601</p> <p>PA ARTIST: MICK DAVIS</p>	<p><b>VENDOR PRE-PRESS RESPONSIBILITIES</b></p> <ul style="list-style-type: none"> <li>• CONFIRMATION OF COLOR SPECS AS NEEDED FOR PROPER SEPARATIONS.</li> <li>• TRAPPING, BLEED AND MINIMUM TOLERANCE ADJUSTMENTS TO MEET TARGET PRESS REQUIREMENTS.</li> <li>• REPLACE UPC WITH PROPER BWR PER PRINTER SPECS, ENSURE UPC SCANS</li> </ul> <p><b>LINKED IMAGE ASSETS</b></p> <ul style="list-style-type: none"> <li>• 030_PROBIOTICS_ABSTRACT_110371049.PSD</li> </ul>	<p><b>PRODUCTION SPECIFICATIONS</b></p> <table border="1"> <tr> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>CYAN</td> <td>MAGENTA</td> <td>YELLOW</td> <td>BLACK</td> </tr> </table> <table border="1"> <tr> <td></td> <td></td> <td></td> </tr> <tr> <td>PANTONE 186C</td> <td>PANTONE 1945C</td> <td>PANTONE 7405C</td> </tr> </table> <p>TARGET COLOR: PRINT AS 4C IF NECESSARY</p> <p></p> <p>WHITE CARTON</p>					CYAN	MAGENTA	YELLOW	BLACK				PANTONE 186C	PANTONE 1945C	PANTONE 7405C	<p><b>NOTES AND AREAS OF FOCUS</b></p> <p>ADDITIONAL SPOT COLORS ARE BRAND CRITICAL COLORS AND MUST NOT BE CONVERTED AND PRODUCED WITH PROCESS EQUIVALENT PROXY COLORS. COLOR SEPARATION SPECIFICATION SHOULD MATCH CVS PRINT QUOTATION FOR THIS JOB.</p> <p><b>VENDOR PROOFING REQUIREMENTS</b></p> <p>PDF CONTENT PROOF AND SUBSEQUENT CONTRACT PROOF PER PROJECT SCHEDULE.</p>
CYAN	MAGENTA	YELLOW	BLACK														
PANTONE 186C	PANTONE 1945C	PANTONE 7405C															

Southern Graphic Systems Intl., (SGS) has made every effort to comply FDR and FDA rules and guidelines in the production of this artwork. To the best of our knowledge and experience this artwork adheres to and is compliant with rules governing product-labeling requirements as per USA legislation. In the event of an inadvertent non-compliance issue that results in the re-work of existing artwork, SGS will, in cooperation with the client, make every effort to rectify the problem, and produce replacement artwork files. The liability of SGS shall be limited to correcting its own product. SGS will not assume any legal or financial responsibility or liability for the contents, prepress or printing of any packaging (unless contracted for prepress). The supplied artwork should be approved by the recipient for all content, layout and copy accuracy. This is a mechanical artwork file only. Color rotation to be confirmed by printer or prepress supplier. The UPC code is FPO and must be replaced by your printer or prepress supplier. Please ensure that your prepress supplier prepares the file for printing. Refer to Pantone and process matchbooks for reflection of accurate color, as this is not a color proof. Should the supplied artwork be found to be incorrect please contact your representative immediately.

© 2015

**PA 01**

File created at 100%

# **EXHIBIT 3**



**!! ATTENTION PRINTER !!**  
**INFO from PERISCOPE**

Please DO NOT remove ORG0000 (Periscope internal code) from packaging. It is okay to move this number, but do not remove.

<b>FILE NAME:</b> WAG_VIT_370588_CoQ10_50s_BOX.ai			<b>COLORS:</b> <ul style="list-style-type: none"> <li>● Black</li> <li>● Walgreens_Red</li> <li>● Well_BlueNew</li> <li>● PMS 187 C</li> <li>● Gloss Aqueous Coating</li> </ul>						<b>PDM</b> Doug Oliphant <b>CM</b> Scott Minger																							
<b>PROJECT NAME</b> Health & Wellness <b>WIC NUMBER</b> 370588 <b>SIZE</b> 8.8445 in x 9.2188 in <b>SCALE</b> Created at 100% <b>JOB NUMBER</b> WAL140098 <b>SUBSTRATE/FORMAT</b> White 16pt SBS/BOX <b>PRINTING METHOD</b> Offset <b>Created in ADOBE ILLUSTRATOR CS8 // MAC PLATFORM.</b>						<b>PRINTER</b> Impressions, Inc. <b>PRINTER REP</b> Sandy Carlson <b>EMAIL</b> SandyC@i-i.com <b>PHONE</b> (651) 917-1364																										
<b>WAVE:</b> 4 <b>GROUP:</b>						<b>VENDOR</b> Lang Pharma Nutrition, Inc. <b>VENDOR REP</b> Trevor McCormick <b>EMAIL</b> tmcormick@lanpni.com <b>PHONE</b> 401-324-6151																										
						<b>PERISCOPE®</b> 921 WASHINGTON AVE S MINNEAPOLIS MN 55415 612 399 0500			<b>PM:</b> Justin Odegard <b>ATTENTION: PRE-PRESS</b> PREPRESS-WALGREENS@PERISCOPE.COM																							
<table border="1"> <thead> <tr> <th>DP</th> <th>XX</th> <th>00/00/2014</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>CN/eeef/JL</td> <td>09/03/2014</td> </tr> <tr> <td>2</td> <td>CC</td> <td>10/06/2014</td> </tr> <tr> <td>F</td> <td>JM/CC/JM</td> <td>10/24/2014</td> </tr> <tr> <td>F2</td> <td>XX</td> <td>00/00/2014</td> </tr> <tr> <td>F3</td> <td>XX</td> <td>00/00/2014</td> </tr> <tr> <td>COLLECT</td> <td>JV</td> <td>10/31/2014</td> </tr> </tbody> </table>			DP	XX	00/00/2014	1	CN/eeef/JL	09/03/2014	2	CC	10/06/2014	F	JM/CC/JM	10/24/2014	F2	XX	00/00/2014	F3	XX	00/00/2014	COLLECT	JV	10/31/2014				<b>ATTENTION PRINTER:</b> • Colors on "P" printers are supplied for content only and should not be used as a color match for spot colors. Process color or 4-color process images MUST match the Content Proof (if any). • Spot colors must match the Periscope proof (if any). • Because of the difference in printing equipment, Periscope, Inc. cannot guarantee the separability of UPC bar codes. The Uniform Code Council recommends a UPC printed on printed stock on white at 100% with no truncation. All UPC codes are first scanned and verified before leaving our facility.			<b>ATTENTION PRINTER:</b> • Colors on "P" printers are supplied for content only and should not be used as a color match for spot colors. Process color or 4-color process images MUST match the Content Proof (if any). • Spot colors must match the Periscope proof (if any). • Because of the difference in printing equipment, Periscope, Inc. cannot guarantee the separability of UPC bar codes. The Uniform Code Council recommends a UPC printed on printed stock on white at 100% with no truncation. All UPC codes are first scanned and verified before leaving our facility.		
DP	XX	00/00/2014																														
1	CN/eeef/JL	09/03/2014																														
2	CC	10/06/2014																														
F	JM/CC/JM	10/24/2014																														
F2	XX	00/00/2014																														
F3	XX	00/00/2014																														
COLLECT	JV	10/31/2014																														

# **EXHIBIT 4**



CAD# 013765A

PRINTED SIDE

BLEED:

NO COPY:

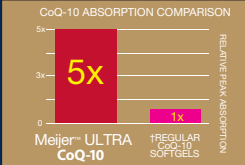
GLUE AREA:

5x better  
absorption

5x better  
absorption

Did you know that  
most CoQ-10 is not  
well absorbed in your  
body?

Meijer™ Ultra CoQ-10  
uses a proprietary  
formula to achieve  
over 500% better  
absorption.



Beneficial for  
people taking  
cholesterol-lowering  
statin drugs

† unsolubilized Ubiquinone in oil suspensions in softgels  
and/or powder filled capsules/tablets

Keep out of reach of children.

Store in a dry place at room temperature  
(59°-86°F).

(Questions or comments? 1-800-352-8674

DIST. BY MEIJER DISTRIBUTION, INC.  
2929 WALKER AVE NW  
GRAND RAPIDS, MI 49544  
www.meijer.com

TAMPER RESISTANT: DO NOT USE IF SEAL UNDER CAP IS  
BROKEN OR MISSING.



meijer

ultra  
CoQ-10  
100 mg

meijer

ultra  
CoQ-10  
100 mg

Heart  
Health

This statement has not been  
evaluated by the Food and Drug  
Administration. This product is  
not intended to diagnose, treat,  
cure, or prevent any disease.

5x better  
absorption

60 SOFTGELS  
DIETARY SUPPLEMENT

No gluten, lactose or artificial flavors.

**Supplement Facts**

Serving Size: 1 Softgel

Amount Per Serving %DV

Coenzyme Q-10 100mg ††

†† Daily Value not established

**Other Ingredients:** Gelatin Capsule  
(Gelatin, Glycerin, Purified Water, Annatto,  
Titanium Dioxide), Medium Chain  
Triglycerides, Polysorbate 80, Polyglycerol  
Esters of Fatty Acids, Citrus Oil Extract  
(*Citrus sinensis*, peel) d-alpha Tocopherol.

**Suggested Use:** As a dietary supplement,  
take one softgel daily with a meal. For adults  
only. Consult your doctor before taking any  
supplement.



part # 21328

LOT CODE  
AREA - NO  
VARNISH/COATING

# **EXHIBIT 5**

Mobile phase, System suitability solution, Sample solution, Chromatographic system, and System suitability: Proceed as directed in the Assay.

#### Analysis

Sample: Sample solution

Calculate the percentage of impurities in the portion of Ubidecarenone taken:

$$\text{Result} = (r_{11}/r_{12}) \times 100$$

$r_{11}$  = sum of all peak responses, other than that for ubidecarenone

$r_{12}$  = sum of all peak responses

Acceptance criteria: NMT 1.0%

#### Procedure 2: Ubidecarenone (2Z)-Isomer and Related Impurities

Mobile phase: *n*-Hexane and ethyl acetate (97:3)

System suitability solution: 1 mg/mL of USP

Ubidecarenone for System Suitability RS in *n*-hexane

Sample solution: 1 mg/mL of Ubidecarenone in *n*-hexane

#### Chromatographic system

(See Chromatography (621), System Suitability.)

Mode: LC

Detector: UV 275 nm

Column: 4.6-mm  $\times$  25-cm; packing L3

Flow rate: 2 mL/min

Injection size: 20  $\mu$ L

#### System suitability

Sample: System suitability solution

[NOTE—The relative retention times for ubidecarenone (2Z)-isomer and ubidecarenone are about 0.85 and 1.0, respectively.]

#### Suitability requirements

Resolution: NLT 1.5 between the ubidecarenone (2Z)-isomer and ubidecarenone

#### Analysis

Sample: Sample solution

Calculate the percentage of impurities in the portion of Ubidecarenone taken:

$$\text{Result} = (r_{11}/r_{12}) \times 100$$

$r_{11}$  = sum of all peak responses, other than that for ubidecarenone

$r_{12}$  = sum of all peak responses

Acceptance criteria: NMT 1.0%

Total impurities: NMT 1.5%, obtained from Chromatographic Purity Procedures 1 and 2

#### SPECIFIC TESTS

- **WATER DETERMINATION**, Method 1 (921): NMT 0.2%

#### ADDITIONAL REQUIREMENTS

- **PACKAGING AND STORAGE**: Preserve in well-closed, light-resistant containers.
- **USP REFERENCE STANDARDS** (11)
  - USP Ubidecarenone RS
  - USP Ubidecarenone Related Compound A RS [coenzyme Q<sub>10</sub>]
  - USP Ubidecarenone for System Suitability RS

### Ubidecarenone Capsules

#### DEFINITION

Ubidecarenone Capsules contain NLT 90.0% and NMT 115.0% of the labeled amount of ubidecarenone (C<sub>59</sub>H<sub>98</sub>O<sub>4</sub>).

#### IDENTIFICATION

- **A**. The retention time of the major peak of either Sample solution 1 or Sample solution 2 corresponds to that of the

Standard solution, as obtained in the Procedure for Strength.

#### STRENGTH

##### PROCEDURE

[NOTE—Conduct this test promptly with minimum exposure to actinic light.]

Solvent: *n*-Hexane and dehydrated alcohol (5:2)

Mobile phase: Acetonitrile, tetrahydrofuran, and water (55:40:5)

Standard stock solution: 1.0 mg/mL of USP Ubidecarenone RS in Solvent

Standard solution: 40  $\mu$ g/mL in dehydrated alcohol, from the Standard stock solution

System suitability stock solution: 1.0 mg/mL of USP Ubidecarenone Related Compound A RS in Solvent. Dilute a portion of this solution with dehydrated alcohol to obtain a concentration of 40  $\mu$ g/mL.

System suitability solution: Standard solution and System suitability stock solution (1:1)

Sample solution 1 (for soft gelatin Capsules): Open a number of Capsules equivalent to 200 mg of ubidecarenone, quantitatively transfer the shells and contents to a container, add 100 mL of Solvent, and shake by mechanical means for 30 min. Using small portions of Solvent, quantitatively transfer this mixture to a 200-mL volumetric flask, and dilute with Solvent to volume. Centrifuge a portion of this solution, transfer 1.0 mL of the supernatant to a 25-mL volumetric flask, add 2.5 mL of a 0.1% solution of anhydrous ferric chloride in alcohol, and dilute with alcohol to volume.

Sample solution 2 (for hard gelatin Capsules): Empty and thoroughly mix the contents of NLT 20 Capsules. Transfer a portion of the powder, equivalent to 100 mg of ubidecarenone, to a 100-mL volumetric flask, add 60 mL of Solvent, and shake by mechanical means for 30 min. Dilute with Solvent to volume. Centrifuge a portion of this solution, transfer 1.0 mL of the supernatant to a 25-mL volumetric flask, add 2.5 mL of a 0.1% solution of anhydrous ferric chloride in alcohol, and dilute with alcohol to volume.

#### Chromatographic system

(See Chromatography (621), System Suitability.)

Mode: LC

Detector: UV 280 nm

Column: 8-mm  $\times$  10-cm; packing L1

Flow rate: 2.5 mL/min

Injection size: 15  $\mu$ L

#### System suitability

Samples: Standard solution and System suitability solution

#### Suitability requirements

Resolution: NLT 2.5 between ubidecarenone and ubidecarenone related compound A, System suitability solution

Tailing factor: NMT 1.5, Standard solution

Relative standard deviation: NMT 2.0% for ubidecarenone, Standard solution

#### Analysis

Samples: Sample solution 1 or Sample solution 2, and Standard solution

Calculate the percentage of the labeled amount of ubidecarenone (C<sub>59</sub>H<sub>98</sub>O<sub>4</sub>) in the portion of Capsules taken:

$$\text{Result} = (r_u/r_s) \times (C_s/C_u) \times 100$$

$r_u$  = peak area of ubidecarenone from Sample solution 1 or Sample solution 2

$r_s$  = peak area of ubidecarenone from the Standard solution

$C_s$  = concentration of USP Ubidecarenone RS in the Standard solution (mg/mL)

$C_U$  = nominal concentration of ubidecarenone in Sample solution 1 or Sample solution 2 (mg/mL)

Acceptance criteria: 90.0%–115.0%

#### PERFORMANCE TESTS

- DISINTEGRATION AND DISSOLUTION (2040):** Meet the requirements of the test for *Disintegration*, except where the product is labeled to contain a water-soluble form of ubidecarenone. Capsules labeled to contain a water-soluble form of ubidecarenone meet the requirements for the test for *Dissolution*, as follows.

Medium: Water; 500 mL

Apparatus 2: 75 rpm

Time: 60 min

Standard solution: Dissolve 25 mg of USP

Ubidecarenone RS in 1 mL of ethyl ether, and dilute with alcohol to obtain a concentration of 2.5 µg/mL. [NOTE—Use a freshly prepared solution only.]

Sample solution: Dilute with alcohol a volume of the solution under test, previously passed through a suitable filter of 0.45-µm pore size, to obtain a concentration of 2.5 µg/mL of ubidecarenone.

Mobile phase and Chromatographic system: Proceed as directed in the Procedure for *Strength*, except for Injection size.

Injection size: 100 µL

Analysis

Samples: Standard solution and Sample solution  
Calculate the percentage of the labeled amount of ubidecarenone ( $C_{59}H_{99}O_4$ ) dissolved:

$$\text{Result} = (r_U/r_S) \times (C_S \times V \times D/L) \times 100$$

$r_U$  = peak area of ubidecarenone from the Sample solution

$r_S$  = peak area of ubidecarenone from the Standard solution

$C_S$  = concentration of USP Ubidecarenone RS in the Standard solution (mg/mL)

$V$  = volume of Medium, 500 mL

$D$  = dilution factor for the Sample solution

$L$  = label claim (mg/Capsule)

Tolerances: NLT 75% of the labeled amount of ubidecarenone ( $C_{59}H_{99}O_4$ ) is dissolved.

#### SPECIFIC TESTS

- WEIGHT VARIATION (2091):** Meet the requirements

#### ADDITIONAL REQUIREMENTS

- PACKAGING AND STORAGE:** Preserve in tight, light-resistant containers.
- LABELING:** Where the product contains a water-soluble form of ubidecarenone, this is so stated on the label.
- USP REFERENCE STANDARDS (11)**
  - USP Ubidecarenone RS
  - USP Ubidecarenone Related Compound A RS
  - Coenzyme Q<sub>9</sub>

### Ubidecarenone Tablets

#### DEFINITION

Ubidecarenone Tablets contain NLT 90.0% and NMT 115.0% of the labeled amount of ubidecarenone ( $C_{59}H_{99}O_4$ ).

#### IDENTIFICATION

- A.** The retention time of the major peak of the Sample solution corresponds to that of the Standard solution, as obtained in the Procedure for *Strength*.

#### STRENGTH

##### PROCEDURE

[NOTE—Conduct this test promptly with minimum exposure to actinic light.]

Solvent: *n*-Hexane and dehydrated alcohol (5:2)

Mobile phase: Acetonitrile, tetrahydrofuran, and water (11:8:1)

Standard stock solution: 1.0 mg/mL of USP Ubidecarenone RS in Solvent

Standard solution: 40 µg/mL from Standard stock solution in dehydrated alcohol

System suitability stock solution: 1.0 mg/mL of USP Ubidecarenone Related Compound A RS in Solvent. Dilute a portion of this solution with dehydrated alcohol to obtain a concentration of 40 µg/mL.

System suitability solution: Standard solution and System suitability stock solution (1:1)

Sample stock solution: Weigh and finely powder NLT 20 Tablets. Transfer a quantity of powder, equivalent to about 100 mg of ubidecarenone, to a 100-mL volumetric flask, add 60 mL of Solvent, and shake by mechanical means for 30 min. Dilute with Solvent to volume, and mix. Centrifuge a portion of this solution, transfer 1.0 mL of the supernatant to a 25-mL volumetric flask, and add 2.5 mL of a 0.1% solution of anhydrous ferric chloride in alcohol. Dilute with alcohol to volume, and mix.

Sample solution: Centrifuge a portion of Sample stock solution, transfer 1.0 mL of the supernatant to a 25-mL volumetric flask, add 2.5 mL of a 0.1% solution of anhydrous ferric chloride in alcohol, and dilute with alcohol to volume.

Chromatographic system

(See Chromatography (621), System Suitability.)

Mode: LC

Detector: UV 280 nm

Column: 8-mm × 10-cm; packing L1

Flow rate: 2.5 mL/min

Injection size: 15 µL

System suitability

Samples: Standard solution and System suitability solution

Suitability requirements

Resolution: NLT 2.5 between ubidecarenone and ubidecarenone related compound A, System suitability solution

Tailing factor: NMT 1.5, Standard solution

Relative standard deviation: NMT 2.0% for ubidecarenone, Standard solution

Analysis

Samples: Standard solution and Sample solution  
Calculate the percentage of the labeled amount of ubidecarenone ( $C_{59}H_{99}O_4$ ) in the portion of Tablets taken:

$$\text{Result} = (r_U/r_S) \times (C_S/C_U) \times 100$$

$r_U$  = peak area of ubidecarenone from the Sample solution

$r_S$  = peak area of ubidecarenone from the Standard solution

$C_S$  = concentration of USP Ubidecarenone RS in the Standard solution (mg/mL)

$C_U$  = nominal concentration of ubidecarenone in the Sample solution (mg/mL)

Acceptance criteria: 90.0%–115.0%

#### PERFORMANCE TESTS

- DISINTEGRATION AND DISSOLUTION (2040):** Meet the requirements of the test for *Disintegration*, except where the product is labeled to contain a water-soluble form of ubidecarenone. Tablets labeled to contain a water-soluble form of ubidecarenone meet the requirements for the test for *Dissolution*, as follows.

# **EXHIBIT 6**



## (2040) DISINTEGRATION AND DISSOLUTION OF DIETARY SUPPLEMENTS

### INTRODUCTION

This general chapter is provided to determine compliance with the disintegration and dissolution standards for dietary supplements where stated in the individual monographs.

For the purposes of this chapter, dietary supplement dosage forms have been divided into three categories: *Vitamin-Mineral Dosage Forms*, *Botanical Dosage Forms*, and *Dietary Supplements Other Than Vitamin-Mineral and Botanical Dosage Forms*. *Vitamin-Mineral Dosage Forms* includes articles prepared with vitamins, minerals, or combinations of these dietary ingredients (e.g., USP dietary supplements Class I to Class VI, described below). *Botanical Dosage Forms* comprises formulations containing ingredients of botanical origin, including plant materials and extracts. *Dietary Supplements Other Than Vitamin-Mineral and Botanical Dosage Forms* encompasses dietary supplements formulated with lawfully recognized dietary ingredients that are different from those pertaining to the two foregoing categories (e.g., amino acids, chondroitin, and glucosamine).

Where a dietary supplement represents a combination of the categories mentioned above, and there is a difference between the requirements for the individual categories, the more stringent requirement applies.

Dissolution testing as described in this chapter is a quality-control tool to enable the performance of dietary supplements to be routinely assessed.

### DISINTEGRATION

This test is provided to determine whether dietary supplement tablets or capsules disintegrate within the prescribed time when placed in a liquid medium at the experimental conditions presented below. Compliance with the limits on *Disintegration* stated in the individual monographs for dietary supplements is required except where the label states that the products are intended for use as troches, are to be chewed, or are designed as extended-release dosage forms. Dietary supplements claiming to be extended-release dosage forms must comply with standards other than disintegration to verify that the release of the dietary ingredients from the dosage form is for a defined period of time. Dietary supplements claiming to be extended-release dosage forms shall not be labeled as in compliance with USP unless a USP monograph exists for such product. Determine the type of units under test from the labeling and from observation, and apply the appropriate procedure to 6 or more units.

For purposes of this test, disintegration does not imply complete solution of the unit or even of its active constituent. Complete disintegration is defined as that state in which any residue of the unit, except fragments of insoluble coating or capsule shell, remaining on the screen of the test apparatus or adhering to the lower surface of the disk, if used, is a soft mass having no palpably firm core.

#### Apparatus

**Apparatus A**—Use the *Apparatus* described under *Disintegration* (701) for tablets or capsules that are not greater than 18 mm long. For larger tablets or capsules, use *Apparatus B*.

**Apparatus B**—The apparatus<sup>1</sup> consists of a basket-rack assembly, a 1000-mL, low-form beaker for the immersion fluid, a thermostatic arrangement for heating the fluid between 35° and 39°, and a device for raising and lowering the basket in the immersion fluid at a constant frequency rate between 29 and 32 cycles per minute through a distance of not less than 53 mm and not more than 57 mm. The volume of the fluid in the vessel is such that at the highest point of the upward stroke the wire mesh remains at least 15 mm below the surface of the fluid and descends to not less than 25 mm from the bottom of the vessel on the downward stroke. At no time should the top of the basket-rack assembly become submerged. The time required for the upward stroke is equal to the time required for the downward stroke, and the change in stroke direction is a smooth transition rather than an abrupt reversal of motion. The basket-rack assembly moves vertically along its axis. There is no appreciable horizontal motion or movement of the axis from the vertical.

**Basket-Rack Assembly**—The basket-rack assembly consists of three open-ended transparent tubes, each  $77.5 \pm 2.5$  mm long and having an inside diameter of 32.0 to 34.6 mm and a wall 2.0 to 3.0 mm thick; the tubes are held in a vertical position by two plastic plates, each about 97 mm in diameter and 7.5 to 10.5 mm in thickness, with three holes, each about 33 to 34 mm in diameter, equidistant from the center of the plate and equally spaced from one another. Attached to the under surface of the lower plate is 10-mesh No. 23 (0.025-inch) W. and M. gauge woven stainless-steel wire cloth having a plain square weave. The parts of the apparatus are assembled and rigidly held by means of three bolts passing through the two plastic plates. A suitable means is provided to suspend the basket-rack assembly from the raising and lowering device using a point on its axis.

The design of the basket-rack assembly may be varied somewhat provided the specifications for the glass tubes and the screen mesh size are maintained.

**Disks**—Each tube is provided with a perforated cylindrical disk  $15.3 \pm 0.15$  mm thick and  $31.4 \pm 0.13$  mm in diameter. The disk is made of a suitable, transparent plastic material having a specific gravity of between 1.18 and 1.20. Seven  $3.15 \pm 0.1$ -mm holes extend between the ends of the cylinder, one of the holes being through the cylinder axis and the others parallel with it and equally spaced on a  $4.2 \pm 0.1$ -mm radius from it. All surfaces of the disk are smooth.<sup>2</sup>

### Procedure

**Uncoated Tablets**—Place 1 tablet in each of the tubes of the basket and, if prescribed, add a disk to each tube. Operate the apparatus, using water or the specified medium as the immersion fluid, maintained at  $37 \pm 2^\circ$ . At the end of 30 minutes, lift the basket from the fluid, and observe the tablets: all of the tablets disintegrate completely. If 1 or 2 tablets fail to disintegrate completely, repeat the test on 12 additional tablets. The requirement is met if not fewer than 16 of the total of 18 tablets tested disintegrate completely.

**Plain Coated Tablets**—Place 1 tablet in each of the tubes of the basket and, if the tablet has a soluble external sugar coating, immerse the basket in water at room temperature for 5 minutes. Then, if prescribed, add a disk to each tube, and operate the apparatus, using water or the specified medium as the immersion fluid, maintained at  $37 \pm 2^\circ$ . At the end of 30 minutes, lift the basket from the fluid, and observe the tablets: all of the tablets disintegrate completely. If 1 or 2 tablets fail to disintegrate completely, repeat the test on 12 additional tablets. The requirement is met if not fewer than 16 of the total of 18 tablets tested disintegrate completely.

**Delayed-Release (Enteric-Coated) Tablets**—Place 1 tablet in each of the six tubes of the basket, and if the tablet has a soluble external sugar coating, immerse the basket in water at room temperature for 5 minutes. Then operate the apparatus using simulated gastric fluid TS maintained at  $37 \pm 2^\circ$  as the immersion fluid. After

<sup>1</sup>An apparatus and disks meeting these specifications are available from Varian Inc., 13000 Weston Parkway, Cary, NC 27513, or from laboratory supply houses.

<sup>2</sup>The use of automatic detection employing modified disks is permitted where the use of disks is specified or allowed. Such disks must comply with the requirements for density and dimensions given in this chapter.



1 hour of operation in simulated gastric fluid TS, lift the basket from the fluid, and observe the tablets: the tablets show no evidence of disintegration, cracking, or softening. Operate the apparatus, using simulated intestinal fluid TS, maintained at  $37 \pm 2^\circ$ , as the immersion fluid for the time specified in the monograph. Lift the basket from the fluid, and observe the tablets: all of the tablets disintegrate completely. If 1 or 2 tablets fail to disintegrate completely, repeat the test on 12 additional tablets; not fewer than 16 of the total of 18 tablets tested disintegrate completely.

**Buccal Tablets**—Apply the test for *Uncoated Tablets*. After 4 hours, lift the basket from the fluid, and observe the tablets: all of the tablets disintegrate completely. If 1 or 2 tablets fail to disintegrate completely, repeat the test on 12 additional tablets; not fewer than 16 of the total of 18 tablets tested disintegrate completely.

**Sublingual Tablets**—Apply the test for *Uncoated Tablets*. At the end of the time limit specified in the individual monograph, all of the tablets disintegrate completely. If 1 or 2 tablets fail to disintegrate completely, repeat the test on 12 additional tablets; not fewer than 16 of the total of 18 tablets tested disintegrate completely.

**Hard Shell Capsules**—Apply the test for *Uncoated Tablets*, using as the immersion fluid, maintained at  $37 \pm 2^\circ$ , a 0.05 M acetate buffer prepared by mixing 2.99 g of sodium acetate trihydrate and 1.66 mL of glacial acetic acid with water to obtain a 1000-mL solution having a pH of  $4.50 \pm 0.05$ . Attach a removable wire cloth, as described under *Basket-Rack Assembly*, to the surface of the upper plate of the basket-rack assembly. At the end of 30 minutes, lift the basket from the fluid, and observe the capsules: all of the capsules disintegrate except for fragments from the capsule shell. If 1 or 2 capsules fail to disintegrate completely, repeat the test on 12 additional capsules; not fewer than 16 of the total of 18 capsules tested disintegrate completely.

**Soft Shell Capsules**—Proceed as directed under *Rupture Test for Soft Shell Capsules*.

#### Use of Disks—

**VITAMIN-MINERAL DOSAGE FORMS**—Add a disk to each tube unless otherwise specified in the individual monograph.

**BOTANICAL DOSAGE FORMS**—Omit the use of disks unless otherwise specified in the individual monograph.

**DIETARY SUPPLEMENTS OTHER THAN VITAMIN-MINERAL AND BOTANICAL DOSAGE FORMS**—Omit the use of disks unless otherwise specified in the individual monograph.

**NOTE**—The use of disks for enteric-coated tablets is not permitted.

### RUPTURE TEST FOR SOFT SHELL CAPSULES

**Medium:** water; 500 mL.

**Apparatus**—Use *Apparatus 2* as described under *Dissolution* (711), operating at 50 rpm.

**Time:** 15 minutes.

**Procedure**—Place 1 capsule in each vessel, and allow the capsule to sink to the bottom of the vessel before starting rotation of the blade. Observe the capsules, and record the time taken for each capsule shell to rupture.

**Tolerances**—The requirements are met if all of the capsules tested rupture in not more than 15 minutes. If 1 or 2 of the capsules rupture in more than 15 but not more than 30 minutes, repeat the test on 12 additional capsules; not more than 2 of the total of 18 capsules tested rupture in more than 15 but not more than 30 minutes.

**Change to read:**

### DISSOLUTION

This test is provided to determine compliance with the *Dissolution* requirements where stated in the individual monograph for di-

etary supplements, except where the label states that tablets are to be chewed.

See *Dissolution* (711) for description of apparatus used, *Apparatus Suitability Test*, and other related information. Of the types of apparatus described in (711), use the one specified in the individual monograph.

Soft gelatin capsule preparations of dietary supplements meet the requirements for *Disintegration*.

Official until May 1, 2010

• (REB 1-May-2009)

For hard or soft gelatin capsules and gelatin-coated tablets that do not conform to the dissolution specification, repeat the test as follows. Where water or a medium with a pH of less than 6.8 is specified as the *Medium* in the individual monograph, the same *Medium* specified may be used with the addition of purified pepsin that results in an activity of 750,000 Units or less per 1000 mL. For media with a pH of 6.8 or greater, pancreatin can be added to produce not more than 1750 USP Units of protease activity per 1000 mL.

This nonspecific dissolution is intended to be diagnostic of known technological problems that may arise as a result of coatings, lubricants, disintegrants, and other substances inherent in the manufacturing process. For dosage forms containing botanical extracts, this dissolution measurement allows an assessment of the extent of decomposition of the extract to polymeric or other nondissoluble compounds that may have been produced by excessive drying or other manipulations involved in the manufacture of botanical extracts. The operative assumption inherent in this procedure is that if the index or marker compound(s) or the extract is demonstrated to have dissolved within the time frame and under conditions specified, the dosage form does not suffer from any of the above formulation or manufacturing related problems.

### Vitamin-Mineral Dosage Forms

All dietary supplements belonging to USP *Classes II to VI*, prepared as tablets or capsules, are subject to the dissolution test and criteria described in this chapter for folic acid (if present) and for index vitamins and index minerals. This test is required because of the importance of the relationship between folate deficiency and the risk of neural tube defects. The accompanying table lists the dissolution requirements for the individual USP classes of dietary supplements. *Class I* dietary supplements are combinations of oil-soluble vitamins for which dissolution standards are not established; hence, dissolution requirements do not apply to the oil-soluble vitamins contained in formulations belonging to *Class IV* or *Class V*. Vitamin-mineral combinations that may not be strictly covered by USP *Class I* to *Class VI* are subject to the dissolution test and criteria specified in the individual monographs.

**Dietary Supplements—Vitamin-Mineral Dosage Forms**

USP Class	Combination of Vitamins or Minerals Present	Dissolution Requirement
I	Oil-Soluble Vitamins	not applicable
II	Water-Soluble Vitamins	one index vitamin; folic acid (if present)
III	Water-Soluble Vitamins with Minerals	one index vitamin and one index element; folic acid (if present)
IV	Oil- and Water-Soluble Vitamins	one index water-soluble vitamin; folic acid (if present)
V	Oil- and Water-Soluble Vitamins with Minerals	one index water-soluble vitamin and one index element; folic acid (if present)
VI	Minerals	one index element

Unless otherwise stated in the individual monograph, test 6 dosage units for dissolution as directed under *Dissolution* (711).



## DISSOLUTION CONDITIONS FOR FOLIC ACID

**NOTE**—Perform this test under light conditions that minimize photo degradation.

**Medium:** water; 900 mL. If the units tested do not meet the requirements for dissolution in water, test 6 additional dosage units for dissolution in a medium of 900 mL of 0.05 M pH 6.0 citrate buffer solution, prepared by mixing 9.5 mL of 0.1 M citric acid monohydrate and 40.5 mL of 0.1 M sodium citrate dihydrate in a 100-mL volumetric flask, diluting with water to volume, mixing, and adjusting to a pH of 6.0 by using either 0.1 M hydrochloric acid or 0.1 M sodium hydroxide solution.

**Apparatus 1:** 100 rpm, for capsules.

**Apparatus 2:** 75 rpm, for tablets.

**Time:** 1 hour.

**NOTE**—Compliance with the dissolution requirements for folic acid does not exempt the product from dissolution testing of the pertinent index vitamin or the corresponding index mineral.

## DISSOLUTION CONDITIONS FOR INDEX VITAMINS AND INDEX MINERALS

**Medium:** 0.1 N hydrochloric acid; 900 mL.

**Apparatus 1:** 100 rpm, for capsules.

**Apparatus 2:** 75 rpm, for tablets.

**Time:** 1 hour.

For formulations containing 25 mg or more of the index vitamin, riboflavin, use the following conditions:

**Medium:** 0.1 N hydrochloric acid; 1800 mL.

**Apparatus 1:** 100 rpm, for capsules.

**Apparatus 2:** 75 rpm, for tablets.

**Time:** 1 hour.

**NOTE**—Compliance with dissolution requirements for the pertinent index vitamin or index mineral does not exempt the product from dissolution testing of folic acid, if present.

## SELECTION OF INDEX VITAMINS AND INDEX ELEMENTS

Compliance with the dissolution requirements for dietary supplements representing combinations of water-soluble vitamins (*Water-Soluble Vitamins Capsules* and *Water-Soluble Vitamins Tablets*) and combinations of oil- and water-soluble vitamins (*Oil- and Water-Soluble Vitamins Capsules* and *Oil- and Water-Soluble Vitamins Tablets*) is determined by measuring the dissolution of a single index vitamin from the water-soluble vitamins present. Riboflavin is the index vitamin when present in the formulation. For formulations that do not contain riboflavin, pyridoxine is the index vitamin. If neither riboflavin nor pyridoxine is present in the formulation, the index vitamin is niacinamide (or niacin), and in the absence of niacinamide (or niacin), the index vitamin is thiamine. If none of the above four water-soluble vitamins is present in the formulation, the index vitamin is ascorbic acid.

Compliance with the dissolution requirements for dietary supplements representing combinations of minerals (*Minerals Capsules* and *Minerals Tablets*) is determined by measuring the dissolution of only one index element. Iron is the index element when present in the formulation. For formulations that do not contain iron, the index element is calcium. If neither iron nor calcium is present, the index element is zinc, and in the absence of all three of these elements, magnesium is the index element.

Compliance with dissolution requirements for dietary supplements representing combinations of water-soluble vitamins and minerals (*Water-Soluble Vitamins with Minerals Capsules* and *Water-Soluble Vitamins with Minerals Tablets*) and combinations of oil- and water-soluble vitamins and minerals (*Oil- and Water-Soluble Vitamins with Minerals Capsules* and *Oil- and Water-Soluble Vitamins with Minerals Tablets*) is determined by measuring the dissolution of one index water-soluble vitamin and one index element, designated according to the respective hierarchies described above.

## PROCEDURES

In the following procedures, combine equal volumes of the filtered solutions of the 6 individual specimens withdrawn, and determine the amount of folic acid or the index vitamin or element dissolved, based on the average of 6 units tested. Make any necessary modifications including concentration of the analyte in the volume of test solution taken. Use the *Medium* for preparation of the Standard solution and dilution, if necessary, of the test solution.

**Folic Acid**—Determine the amount of  $C_{19}H_{19}N_7O_6$  dissolved by employing the procedure set forth in the *Assay for folic acid* under *Oil- and Water-Soluble Vitamins with Minerals Tablets*, in comparison with a Standard solution having a known concentration of USP Folic Acid RS in the same *Medium*.

**Niacin or Niacinamide, Pyridoxine, Riboflavin, and Thiamine**—Determine the amount of the designated index vitamin dissolved by employing the procedure set forth in the *Assay for niacin or niacinamide, pyridoxine, riboflavin, and thiamine* under *Water-Soluble Vitamins Tablets*.

**Ascorbic Acid**—Determine the amount of  $C_6H_8O_6$  dissolved by adding 10 mL of 1.0 N sulfuric acid and 3 mL of starch TS to 100.0 mL of test solution, and titrating immediately with 0.01 N iodine VS. Perform a blank determination, and make any necessary correction.

**Iron, Calcium, Magnesium, and Zinc**—Determine the amount of the designated index element dissolved by employing the procedure set forth in the appropriate *Assay* under *Minerals Capsules*.

## TOLERANCES

The requirements are met if not less than 75% of the labeled content of folic acid and not less than 75% of the labeled content of the index vitamin or the index element from the units tested is dissolved in 1 hour.

## Botanical Dosage Forms

Compliance with dissolution requirements necessitates the testing of 6 dosage units individually, or testing 2 or more dosage units in each of the 6 vessels of the dissolution apparatus, and measuring the dissolution of one or more index/marker compound(s) or the extract specified in the individual monograph.

## PROCEDURES

Combine equal volumes of the filtered solutions of the 6 or more individual specimens withdrawn, and use the pooled sample as the test solution. Determine the average amount of index or marker compound(s) or the extract dissolved in the pooled sample by the *Procedure* specified in the individual monograph. Make any necessary modifications, including concentration of the analyte in the volume of the test solution taken. Use the *Medium* for preparation of the Standard solution and dilution, if necessary, of the test solution.

## INTERPRETATION

**Pooled Sample**—Unless otherwise specified in the individual monograph, the requirements are met if the quantities of the index or marker compound(s) or the extract dissolved from the pooled sample conform to the accompanying acceptance table. The quantity,  $Q$ , is the amount of dissolved index or marker compound(s) or the extract specified in the individual monograph, expressed as a percentage of the labeled content. The 5%, 15%, and 25% values in the acceptance table are percentages of the labeled content so that these values and  $Q$  are in the same terms.

Acceptance Table for a Pooled Sample		
Stage	Number Tested	Acceptance Criteria
S <sub>1</sub>	6	Average amount dissolved is not less than $Q + 10\%$
S <sub>2</sub>	6	Average amount dissolved (S <sub>1</sub> + S <sub>2</sub> ) is equal to or greater than $Q + 5\%$
S <sub>3</sub>	12	Average amount dissolved (S <sub>1</sub> + S <sub>2</sub> + S <sub>3</sub> ) is equal to or greater than $Q$

#### Dietary Supplements Other Than Vitamin–Mineral and Botanical Dosage Forms

Unless otherwise stated in the individual monographs for dietary supplement dosage forms in this category, compliance requires the testing of 6 individual units, measuring the dissolution of the dietary ingredient as the average of the 6 units tested.

#### PROCEDURES

Combine equal volumes of the filtered solutions of the 6 specimens withdrawn, and use the pooled sample as the test solution. Determine the average amount of dietary ingredient dissolved in the pooled sample by the *Procedure* specified in the individual monograph. Make any necessary modifications, including concentration of the analyte in the volume of the test solution taken. Use the *Medium* for preparation of the Standard solution and for dilution, if necessary, of the test solution.

#### TOLERANCES

Because of the diversity of chemical characteristics and solubilities of dietary ingredients pertaining to this category, general tolerances cannot be established. See individual monographs for *Tolerances*.

# **EXHIBIT 7**





# **EXHIBIT 8**



## Supplement Analysis Center

Eurofins Scientific Inc.  
Supplement Analysis Center  
1365 Redwood Way  
Petaluma, CA 94954  
Tel. +1 707 792 7300  
Fax: +1 707 792 7309

July 21, 2014

Jack Fitzgerald  
The Law Office of Jack Fitzgerald, PC  
2870 4th Avenue  
Suite 205  
San Diego, CA 92103

### CERTIFICATE OF ANALYSIS

AR-14-KK-011885-01  
Batch #: EUCAPE-00056352

#### Sample Identification:

Sample #: 740-2014-00011317  
Description: Coenzyme Q-10 100mg Softgel Supplement #1, Lot #G13NM13, Exp. 03/15  
Condition: Softgels in a white plastic bottle received at room temperature.  
Date Received: July 07, 2014

---

#### KK106: Dissolution of Nutritional Supplements by USP/NF

Method Reference: USP

Completed: 07/21/2014

Dissolution

#### Result

Done

#### Theoretical Level

#### KK130: Average content weight

Method Reference: Not applicable

Completed: 07/21/2014

Average content weight

#### Result

540.70 mg/softgel

#### Theoretical Level

#### KK167: Client Supplied Method (HPLC)

Method Reference: Internal Method

Completed: 07/21/2014

Ubidecarenone (Strength Test)

#### Result

96.3 mg/softgel

#### Theoretical Level

Ubidecarenone (Dissolution)(Water)

<2 mg/softgel

Ubidecarenone (Dissolution)(Pepsin)(retest)

45.3 mg/softgel

#### KK169: Client Supplied Method (WT/UV)

Method Reference: Not applicable

Completed: 07/21/2014

Ubidecarenone (Disintegration)(Water)

#### Result

>60 minute

#### Theoretical Level

Ubidecarenone (Disintegration)(Pepsin)(retest)

47 minute



Sample #: 740-2014-00011317

The Law Office of Jack  
Fitzgerald, PC  
2870 4th Avenue  
Suite 205  
San Diego, CA 92103

Results pertain only to the items tested.

Estimation of uncertainty of measurement is available upon request.

Results shall not be reproduced except in full without written permission from Eurofins Scientific, Inc.

A handwritten signature in black ink, appearing to read "Mariel Esguerra", written over a horizontal line.

Mariel Esguerra  
Technical Accounts Manager





## Supplement Analysis Center

Eurofins Scientific Inc.  
Supplement Analysis Center  
1365 Redwood Way  
Petaluma, CA 94954  
Tel.+1 707 792 7300  
Fax:+1 707 792 7309

July 21, 2014

Jack Fitzgerald  
The Law Office of Jack Fitzgerald, PC  
2870 4th Avenue  
Suite 205  
San Diego, CA 92103

### CERTIFICATE OF ANALYSIS

AR-14-KK-011891-01  
Batch #: EUCAPE-00056352

#### Sample Identification:

Sample #: 740-2014-00011318  
Description: Coenzyme Q-10 100mg Softgel Supplement #2, Lot #1341-2121, Exp. 03/2016  
Condition: Softgels in a white plastic bottle received at room temperature.  
Date Received: July 07, 2014

---

#### KK106: Dissolution of Nutritional Supplements by USP/NF

Method Reference: USP

Completed: 07/21/2014

Dissolution

#### Result

Done

#### Theoretical Level

#### KK130: Average content weight

Method Reference: Not applicable

Completed: 07/21/2014

Average content weight

#### Result

943.85 mg/softgel

#### Theoretical Level

#### KK167: Client Supplied Method (HPLC)

Method Reference: Internal Method

Completed: 07/21/2014

Ubidecarenone (Strength Test)

Ubidecarenone (Dissolution)(water)

#### Result

95.4 mg/softgel

92.7 mg/softgel

#### Theoretical Level

#### KK169: Client Supplied Method (WT/UV)

Method Reference: Not applicable

Completed: 07/21/2014

Ubidecarenone (Disintegration)(water)

#### Result

13 minute

#### Theoretical Level

Results pertain only to the items tested.

Estimation of uncertainty of measurement is available upon request.

Results shall not be reproduced except in full without written permission from Eurofins Scientific, Inc.

Mariel Esguerra  
Technical Accounts Manager

All work done in accordance with Eurofins General Terms and Conditions of Sale (USA);  
full text on reverse or [www.eurofinsus.com/Terms\\_and\\_Conditions.pdf](http://www.eurofinsus.com/Terms_and_Conditions.pdf)

# **EXHIBIT 9**



**Advanced Botanical Consulting &  
Testing, Inc.**

1169 Warner Ave., Tustin, CA 92780, Phone: (714) 259-0384 Fax: (714) 259-0385

**Lang Pharma Nutrition Inc.**

20 Silva Lane

Middletown, RI 02842

Tel.: (401) 848-7700/ (401) 848-6211 (E. Kahn, Direct)

Fax: (401) 848-7701

ATTN: Ellen Kahn

P. O. #:

Client Sample ID: CVS Ultra CoQ-10 (60 softgels)

Lot #: F12NM10 (Stability 18M@ 40C/75%RH)

Lab #: 87002

Received Date: 08/08/2012

Date In: 08/08/2012

Date Out: 02/06/2014

Report Date: 02/18/2014

Analyses	Results
Color (Visual)	Orange/red softgels
Odor (Organoleptic)	Citrus/fruity
Coenzyme Q10 (HPLC)	101.72 mg/softgel
Moisture content (Karl Fischer)	2.16 % (content only)
Rupture (USP)	Fail, >30 min
Average fill weight (based on 10)	533.03 mg/softgel

Method: ASTA method manual, ALC151A, USP36/NF31

Analyzed by: \_\_\_\_\_ Approved by: \_\_\_\_\_  
Chemist Wendi Wang, PhD, President

# **EXHIBIT 10**



# Tampa Bay Analytical Research, Inc.

13130 56<sup>th</sup> Court STE 606 Clearwater, FL 33760 USA

Ph: 727-540-0900

Fax: 727-540-0922

## Assay Result Form

Number:	ARF-TM05446	Sample Name:	CoQ10
Control Number:	TM05446	Sample Lot #:	#1
Customer Name:		Address:	San Diego, CA
Date:	11/22/2013	Project #:	PR2124
		Version:	2

Analyte	Method Reference	Specification	Result	Date Tested	Notebook Reference
CoQ10 Capsule 1	TBAR-TM-012 Dissolution	NA	None Detected Notes : a,b	11/18/2013	TBAR-110-95
CoQ10 Capsule 2		NA	None Detected Notes: b		
CoQ10 Capsule 3		NA	27.9 mg Notes: c		
CoQ10 Capsule 4		NA	0.578 mg Notes: b		
CoQ10 Capsule 5		NA	None Detected Notes: b		
CoQ10 Capsule 6		NA	None Detected Notes : b		

### Notes:

- a. Ubidecarenone reference standard: Kaneka lot S376, 99.9% purity
- b. No visible rupture observed after 60 minutes
- c. Approximate rupture time of 50 minutes

Documentation to support these results is on file at Tampa Bay Analytical Research. All quantitative results are rounded to three (3) significant figures. This product analysis is for the benefit of the client only, and results are applicable only to the test material submitted to Tampa Bay Analytical Research, and can not be applied to any other test material or sample. It is the responsibility of the client to determine the suitability of the information provided in this report for their specific use.

File: \\TBAR-Z\Documents (E:)\QualityManual\SOPs\Forms\5.8.01-F2

Written By: **Robert Arce**  
Robert Arce  
Quality Assurance Manager  
Digitally signed by Robert Arce  
DN: cn=Robert Arce, o=US, ou=Tampa Bay Analytical Research, Inc., email=arce@tampabayanalytical.com  
Reason: I am the author of this document  
Location: Clearwater, FL  
Date: 2013.11.22 09:26:05:00

Approved By:

Mark Roman  
President

Digitally signed by Mark C. Roman  
DN: cn=Mark C. Roman, gn=Mark C. Roman, o=United States, ou=US, ou=Tampa Bay Analytical Research, Inc., email=mark@tampabayanalytical.com  
Reason: I am approving this document  
Location: Clearwater, FL  
Date: 2013-11-22 09:40:05:00



# Tampa Bay Analytical Research, Inc.

13130 56<sup>th</sup> Court STE 605 Clearwater, FL 33760 USA

Ph: 727-540-0900

Fax: 727-540-0922

## Assay Result Form

Number:	ARF-TM05447	Sample Name:	CoQ10		
Control Number:	TM05447	Sample Lot #:	#2		
Customer Name:		Address:	San Diego, CA		
Date:	11/22/2013	Project #:	PR2124	Version:	2

Analyte	Method Reference	Specification	Result	Date Tested	Notebook Reference
CoQ10 Capsule 1	TBAR-TM-012 Dissolution	NA	None Detected Notes: a, b	11/18/2013	TBAR-110-95
CoQ10 Capsule 2		NA	None Detected Notes: b		
CoQ10 Capsule 3		NA	27.6 mg Notes: c		
CoQ10 Capsule 4		NA	0.720 mg Notes: b		
CoQ10 Capsule 5		NA	0.564 mg Notes: b		
CoQ10 Capsule 6		NA	None Detected Notes: b		

### Notes:

- a. Ubidecarenone reference standard: Kaneka lot S376, 99.9% purity
- b. No visible rupture observed after 60 minutes
- c. Approximate rupture time c.: 50 minutes

Documentation to support these results is on file at Tampa Bay Analytical Research. All quantitative results are rounded to three (3) significant figures. This product analysis is for the benefit of the client only, and results are applicable only to the test material submitted to Tampa Bay Analytical Research, and can not be applied to any other test material or sample. It is the responsibility of the client to determine the suitability of the information provided in this report for their specific use.

File: \\TBAR-2\Documents (E:)\QualityManual\SOPs\Forms\5.8.01-F2

Written By: **Robert Arce**

Robert Arce  
Quality Assurance Manager

Digitally signed by Robert Arce  
DN: cn=Robert Arce, o=US, ou=Tampa Bay Analytical Research, Inc., email=raarce@tampabayanalytical.com  
Reason: I am the author of this document  
Date: 2013-11-22 10:04:05:00

Approved By:

Mark Roman  
President

Digitally signed by Mark C. Roman  
DN: cn=Mark C. Roman, gn=Mark C. Roman, o=United States, ou=Tampa Bay Analytical Research, Inc., email=mark@tampabayanalytical.com  
Reason: I am approving this document  
Location: Clearwater, FL  
Date: 2013-11-22 10:09:05:00

# EXHIBIT 11





**Advanced Botanical Consulting &  
Testing, Inc.**

1169 Warner Ave., Tustin, CA 92780, Phone: (714) 259-0384 Fax: (714) 259-0385

**Lang Pharma Nutrition Inc.**

20 Silva Lane

Middletown, RI 02842

Tel.: (401) 848-7700/ (401) 848-6211 (E. Kahn, Direct)

Fax: (401) 848-7701

ATTN: Ellen Kahn

P. O. #: 20130905

Client Sample ID: CoQ10 w/ VesiSorb (30 softgels)

Item#: C13NM29

Lot #: 1211031, Exp. 01/15

Lab #: 104609

Received Date: 09/06/2013

Report Date: 09/10/2013

Analyses	Results	%Dissolved
CoQ10 (HPLC)	93.44 mg/ softgel	
Dissolution (500ml H2O, 75RPM, 37.5C)		
CoQ10 (HPLC)--when directly filtered & injected	36.23mg/softgel*	39%
CoQ10 (HPLC)--when using IPA in 5:1 ratio to dilute out the aqueous dissolution medium	110.22 mg/softgel	118%
Average fill weight (based on 10)	539.25 mg/ softgel	

Method: ALC151A, USP36/NF31

\* CoQ10 in the softgels once ruptured was physically suspended in the dissolution medium, not chemically solubilized. If the solution is directly filtered and injected, the unsolubilized portion is removed by the filtration step, which lead to low result. The dissolution sample needs to be properly diluted with organic solvent like isopropyl alcohol to assure complete solubilization of the CoQ10, prior to injection into the HPLC. The above 2 results are firm confirmation of the concept. Results are based on one pooled dissolution sample from 6 vessels. Result is based on one trial only

Analyzed by: \_\_\_\_\_ Approved by: \_\_\_\_\_  
Chemist Wendi Wang, PhD, President



# **EXHIBIT 12**



## Certificate of Analysis

: 852626-0

Report Date: 12-Aug-2013

Report Status: Final

Supersedes : 850236-0



Sample Name:		Covance Sample: 2304502	
Project ID	-20130802-0001	Receipt Date	02-Aug-2013
PO Number	Charge/VISA	Receipt Condition	Ambient temperature
Lot Number	Lot 1	Login Date	02-Aug-2013
Sample Serving Size	1 Softgel	Storage Condition	5 (+/- 3) degrees Celsius
		Number Compositing	20
		Online Order	20

Analysis	Result
Calculated Sample Weight	
Entity Weight	0.7441 g
Coenzyme Q10 Dissolution	
Coenzyme Q10	48.2 mg/g
Coenzyme Q10	56.3 mg/g
Coenzyme Q10	54.5 mg/g
Coenzyme Q10	59.2 mg/g
Coenzyme Q10	57.5 mg/g
Coenzyme Q10	56.2 mg/g
Coenzyme Q10	35.9 mg/Serving Size
% of Claim (100 mg/softgel)	35.9 %
Coenzyme Q10	41.9 mg/Serving Size
% of Claim (100 mg/softgel)	41.9 %
Coenzyme Q10	40.6 mg/Serving Size
% of Claim (100 mg/softgel)	40.6 %
Coenzyme Q10	44.1 mg/Serving Size
% of Claim (100 mg/softgel)	44.1 %
Coenzyme Q10	42.8 mg/Serving Size
% of Claim (100 mg/softgel)	42.8 %
Coenzyme Q10	41.8 mg/Serving Size
% of Claim (100 mg/softgel)	41.8 %
Dissolution	
Disintegrated in Specified Time Frame	yes

Method References	Testing Location
Calculated Sample Weight (PREP:8)	Covance Laboratories - Madison
Coenzyme Q10 Dissolution (Q10_S:4)	Covance Laboratories - Madison
Official Methods of Analysis of AOAC INTERNATIONAL, (2005) 18th ED., AOAC INTERNATIONAL Gaithersburg, MD, USA, Official Method 2008.07.	



## Certificate of Analysis

852627-0  
Report Date: 12-Aug-2013  
Report Status: Final  
Supersedes: 850237-0



Sample Name:		Covance Sample:	2304503
Project ID	-20130802-0001	Receipt Date	02-Aug-2013
PO Number	Charge/VISA	Receipt Condition	Ambient temperature
Lot Number	Lot 2	Login Date	02-Aug-2013
Sample Serving Size	1 Softgel	Storage Condition	5 (+/- 3) degrees Celsius
		Number Compositd	20
		Online Order	20

Analysis	Result
Calculated Sample Weight	
Entity Weight	0.7435 g
Coenzyme Q10 Dissolution	
Coenzyme Q10	65.5 mg/g
Coenzyme Q10	55.7 mg/g
Coenzyme Q10	56.2 mg/g
Coenzyme Q10	53.9 mg/g
Coenzyme Q10	49.5 mg/g
Coenzyme Q10	52.4 mg/g
Coenzyme Q10	48.7 mg/Serving Size
% of Claim (100 mg/softgel)	48.7 %
Coenzyme Q10	41.4 mg/Serving Size
% of Claim (100 mg/softgel)	41.4 %
Coenzyme Q10	41.8 mg/Serving Size
% of Claim (100 mg/softgel)	41.8 %
Coenzyme Q10	40.1 mg/Serving Size
% of Claim (100 mg/softgel)	40.1 %
Coenzyme Q10	36.8 mg/Serving Size
% of Claim (100 mg/softgel)	36.8 %
Coenzyme Q10	39.0 mg/Serving Size
% of Claim (100 mg/softgel)	39.0 %
Dissolution	
Disintegrated in Specified Time Frame	Yes

Method References	Testing Location
Calculated Sample Weight (PREP:8)	Covance Laboratories - Madison
Coenzyme Q10 Dissolution (Q10_S:4)	Covance Laboratories - Madison
Official Methods of Analysis of AOAC INTERNATIONAL, (2005) 18th ED., AOAC INTERNATIONAL Gaithersburg, MD, USA, Official Method 2008.07.	