	Case 5:14-cv-00158-EJD Document	98 Filed 10/29/16 Page 1 of 24	
1	Michael W. Sobol (State Bar No. 194857)		
2	Roger N. Heller (State Bar No. 215348) LIEFF CABRASER HEIMANN & BERNSTEIN LLP 275 Battery Street, 29th Floor San Francisco, CA 94111		
3			
4	Telephone: (415) 956-1000		
5	Facsimile: (415) 956-1008 msobol@lchb.com		
6	Daniel M. Hattis (State Bar No. 232141)		
7	HATTIS LAW 9221 NE 25 <sup>th</sup> Street		
8	Clyde Hill, WA 98004 Telephone: (650) 980-1990		
9	dan@hattislaw.com		
10	Tina Wolfson (State Bar No. 174806) Robert Abdoot (State Bar No. 172008)		
11	Robert Ahdoot (State Bar No. 172098) Theodore W. Maya (State Bar No. 223242) AHDOOT & WOLFSON, P.C. 10850 Wilshire Boulevard, Suite 370 Los Angeles, California 90024 Telephone: (310) 474-9111 Facsimile: (310) 474-8585 twolfson@ahdootwolfson.com		
12			
13			
14			
15	Class Counsel		
16			
17			
18	UNITED STAT	ES DISTRICT COURT	
19	NORTHERN DIS	TRICT OF CALIFORNIA	
20			
21	SAM WILLIAMSON, individually and on behalf of all others similarly situated,	Case No. 5:14-cv-00158-EJD	
22	Plaintiff,	NOTICE OF MOTION AND MOTION FOR FINAL APPROVAL OF CLASS	
23	v.	SETTLEMENT; MEMORANDUM OF POINTS AND AUTHORITIES	
24	MCAFEE, INC.,		
25	Defendant.	Date: January 26, 2017 Time: 10:00 a.m.	
26	200000000	Honorable Edward J. Davila	
27			
28			

	Case 5:14-cv-00158-EJD Document 98 Fil	ed 10/29/16 Page 2 of 24
1 2	2 behalf of all others similarly situated, Plaintiff,	No. 5:14-cv-02475-EJD
3	3 v.	
4		
5	5 Defendant.	
6	6	
7		
8		
9		
10		
11		
12 13		
15 14		
14		
15		
10		
18		
19		
20		
21		
22	2	
23	3	
24	4	
25	5	
26	6	
27	7	
28	8	
		NOTICE OF MOT. AND MOT. FOR FINAL APPRO OF CLASS SETTLEMENT; CASE NOS. 5:14-CV-00158-FID: 5:14-CV-02475

	Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 3 of 24	
1	TABLE OF CONTENTS	
2	Page	)
3	NOTICE OF MOTION 1	
4	MEMORANDUM OF POINTS AND AUTHORITIES	;
5	I. INTRODUCTION	5
5	II. BACKGROUND	
6	A. Procedural History	
7	B. Class Counsel's Investigation and Discovery	
8	C. Settlement Negotiations	,
9	D. Preliminary Settlement Approval and Implementation of the Notice Program	)
	III. THE SETTLEMENT	,
10	A. The Settlement Classes	,
11	B. Benefits to Class Members7	,
12	1. \$11.50 Settlement Benefit for All Auto-Renewal Class Members	,
	2. Practice Changes	,
13	C. Opt-Out Procedure	)
14	D. Objection Procedure	)
15	E. Separate Payment of Attorneys' Fees, Costs, and Service Awards	)
16	F. Separate Payment of Administrative Costs	)
16	G. Release	)
17	IV. NOTICE HAS BEEN DISSEMINATED TO CLASS MEMBERS PURSUANT TO THE COURT-APPROVED NOTICE PROGRAM	)
18	A. Direct Notice to Class Members	)
19	B. Settlement Website and Toll-Free Number	)
20	V. THE RESPONSE FROM THE CLASS HAS BEEN VERY POSITIVE 11	
	VI. THE COURT SHOULD GRANT FINAL APPROVAL OF THE SETTLEMENT 11	
21	A. The Class Action Settlement Approval Process	
22	B. Final Approval of the Settlement Is Appropriate	
23	1. The Strength of Plaintiffs' Case and the Risk, Expense, Complexity, and Likely Duration of Further Litigation	
24	2. The Risk of Maintaining Class Action Status Throughout the Trial 14	ļ
25	3. The Benefits of the Settlement	Ļ
	4. The Extent of Discovery and the Stage of Proceedings	,
26	5. The Experience and Views of Counsel	)
27	6. The Presence of a Government Participant	1
28	7. The Class Response to Date Favors Final Approval	'
~	8. Lack of Collusion Between the Parties	,

	Ca	se 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 4 of 24	
1		TABLE OF CONTENTS	
2		(continued) Pag	ge
3	VII.	CONCLUSION1	
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			
26			
27			
28			
		- ii - NOTICE OF MOT. AND MOT. FOR FINAL APPROVAL OF CLASS SETTLEMENT; MPA CASE NOS. 5:14-CV-00158-EJD; 5:14-CV-02475-EJD	A

	Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 5 of 24
1	TABLE OF AUTHORITIES
2	Page
3	Cases
4	<i>Churchill Village, L.L.C. v. Gen. Elec.,</i> 361 F.3d 566 (9th Cir. 2004)
5	Class Plaintiffs v. City of Seattle
6	955 F.2d 1268 (9th Cir. 1992) 12, 18 Garner v. State Farm Mut. Auto Ins. Co.,
7	2010 WL 1687832 (N.D. Cal. Apr. 22, 2010)
8	Hanlon v. Chrysler Corp., 150 F.3d 1011 (9th Cir. 1998)
9	<i>In re Heritage Bond Litig.</i> , 2005 WL 1594403 (C.D. Cal. June 10, 2005)
10	<i>In re Mego Fin. Corp. Sec. Litig.</i> , 213 F.3d 454 (9th Cir. 2000)
11 12	In re Netflix Privacy Litig., 2013 WL 1120801 (N.D. Cal. Mar. 18, 2013)
13	<i>In re Syncor ERISA Litigation,</i> 516 F.3d 1095 (9th Cir. 2008)
14	<i>Knight v. Red Door Salons, Inc.,</i> 2009 WL 248367 (N.D. Cal. Feb. 2, 2009)
15	Linney v. Cellular Alaska Partnership, 1997 WL 450064 (N.D. Cal. July 18, 1997)
16	Perkins v. LinkedIn Corp.,
17 18	No. 13-CV-04303-LHK, 2016 WL 613255 (N.D. Cal. 2016) 17 Staton v. Boeing Co.,
10	327 F.3d 938 (9th Cir. 2003)
	28 U.S.C. § 1715
20	Treatises
21	4 Newberg on Class Actions (4th ed. 2002), § 11.22 <i>et seq.</i>
22 23	4 Newberg on Class Actions, § 11.41
23 24	4 Newberg on Class Actions, § 11.50
25	Manual for Complex Litigation (Fourth)
26	§§ 21.63 et seq. (Fed. Jud. Center 2004) 11
27	
28	
	- iii - NOTICE OF MOT. AND MOT. FOR FINAL APPROVAL OF CLASS SETTLEMENT; MPA CASE NOS. 5:14-CV-00158-EJD; 5:14-CV-02475-EJD

TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:

PLEASE TAKE NOTICE that on January 26, 2017, at 10:00 a.m., in the Courtroom of
the Honorable Edward J. Davila, United States District Judge for the Northern District of
California, 280 South 1st Street, San Jose, CA 95113, plaintiffs Sam Williamson and Samantha
Kirby ("Plaintiffs"), will and hereby do move the Court, in accordance with Federal Rule of Civil
Procedure 23, for an Order:

**NOTICE OF MOTION** 

a. Approving the proposed Corrected Class Action Settlement Agreement and
Release (the "Settlement")<sup>1</sup> as fair, reasonable, and adequate to Plaintiffs and the class members,
and directing the Settlement's consummation according to its terms;

Finding that the form and manner of class notice implemented pursuant to b. 11 the Settlement: (i) constitutes reasonable and the best practicable notice; (ii) constitutes notice 12 reasonably calculated, under the circumstances, to apprise class members of the pendency of the 13 litigation, the terms of the proposed Settlement, the right to object to the proposed Settlement or 14 exclude themselves from the Auto-Renewal Class and the Reference Price Class, and the right to 15 appear at the Final Fairness Hearing; (iii) constitutes due, adequate, and sufficient notice to all 16 persons entitled to receive notice; and (iv) meets the requirements of state and federal due 17 process, the Federal Rules of Civil Procedure, and any other applicable state and/or federal laws; 18 Finding that all class members shall be bound by the Settlement as it relates c. 19 to the class(es) in which each is a member, including the release provisions and covenant not to 20 sue; 21

d. Directing that judgment be entered dismissing with prejudice all individual
and class claims asserted in the litigation and ruling that no costs or fees be assessed on either
party other than as expressly provided in the Settlement;

e. Incorporating the release and related provisions set forth in the Settlement
and barring any Released Claims against the McAfee Released Parties;

27 28

<sup>1</sup> The Settlement is on file at *Williamson* Dkt. 95.

1	f. Approving payment of the benefits to the class members consistent with	
2	the Settlement; and	
3	g. Retaining jurisdiction of all matters relating to the interpretation,	
4	administration, implementation, and enforcement of the Settlement.	
5	As discussed in the accompanying memorandum, approval of the Settlement and the	
6	related relief requested herein is appropriate under applicable law and well justified under the	
7	circumstances of this matter.	
8	This motion is based on this notice of motion and motion; the accompanying	
9	memorandum of points and authorities; the Settlement, including all exhibits thereto, and all	
10	papers filed in support thereof; the accompanying declarations of Sam Williamson ("Williamson	
11	Decl."), Samantha Kirby ("Kirby Decl."), Roger N. Heller ("Heller Decl."), Daniel M. Hattis	
12	("Hattis Decl."), Tina Wolfson ("Wolfson Decl."), and Brian Devery ("Devery Decl."); the	
13	argument of counsel; all papers and records on file in these cases; and such other matters as the	
14	Court may consider.	
15		
16	Dated: October 29, 2016By: <u>/s/ Roger N. Heller</u>	
17	Michael W. Sobol Roger N. Heller	
18	LIEFF, CABRASER, HEIMANN & BERNSTEIN, LLP 275 Battery Street, 29 <sup>th</sup> Floor	
19	San Francisco, CA 94111-3336 Telephone: (415) 956-1000	
20	Daniel M. Hattis	
21	HATTIS LAW 9221 NE 25th Street	
22	Clyde Hill, WA 98004 Telephone: (650) 980-1990	
23	Tina Wolfson	
24	Robert Ahdoot Theodore W. Maya	
25	AHDOOT & WOLFSON, P.C.	
26	10850 Wilshire Boulevard, Suite 370 Los Angeles, California 90024	
27	Telephone: (310) 474-9111	
28	Class Counsel	
	NOTICE OF MOT. AND MOT. FOR FINAL APPROVAL	

#### MEMORANDUM OF POINTS AND AUTHORITIES

#### I. <u>INTRODUCTION</u>

The Court has preliminarily approved the proposed Settlement reached by the parties in this litigation, and approved the parties' proposed notice program. *See Williamson* Dkt. 96. Notice has been, and continues to be, disseminated to the class members in accordance with the notice program approved by the Court. By this motion, Plaintiffs respectfully request that the Court conduct a final review of the Settlement, and approve the Settlement as fair, reasonable and adequate.

The Settlement is the product of extensive arms-length negotiations between the parties 9 and their experienced and informed counsel, and is absolutely fair, reasonable, and adequate 10 given the claims and relief sought, the alleged harm, and the parties' respective litigation risks. 11 Pursuant to the Settlement, McAfee will provide an \$11.50 Settlement Benefit to all 12 members of the Auto-Renewal Class-each of whom may choose to receive such benefit as cash 13 by submitting a simple cash election form, or otherwise will receive it as a McAfee value 14 certificate to use toward the purchase of McAfee or Intel Security consumer products. The 15 \$11.50 amount represents approximately one-half of the average alleged overcharge for auto-16 renewal transactions during the class period, as estimated by Plaintiffs. In the aggregate, the 17 \$11.50 Settlement Benefits total approximately \$86 million. In addition, McAfee has agreed to 18 implement important changes regarding both auto-renewal transactions and its advertising of 19 reference prices. 20

Moreover, the Settlement provides for a robust, multi-pronged notice program and userfriendly cash election process, which have been, and are being, implemented by the Settlement Administrator. The costs of class notice and the other costs of the Settlement Administrator, and any Court-awarded attorneys' fees, costs, and service awards, will be paid separately by McAfee and will thus not reduce the other benefits for the class members under the Settlement.

Notably, the reaction from class members thus far has been very positive. The deadline
for class members to opt-out or object is November 28, 2016. As of October 28, 2016, only 143
persons have asked to be excluded, and just 1 objection has been submitted. By contrast, as of

#### Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 9 of 24

October 28, 2016, 143,384 cash elections have already been submitted by Auto-Renewal Class
 members, with nearly two months to go until the cash election deadline of December 23, 2016.<sup>2</sup>
 For the foregoing reasons and the others detailed below, the Settlement meets the
 standards for final settlement approval and should be approved.

5

II.

#### **BACKGROUND**

6

#### A. <u>Procedural History</u>

7 This litigation began in early 2014. The first-filed *Williamson* case was filed in this Court 8 on January 10, 2014, alleging claims on behalf of two nationwide classes of McAfee customers: 9 (1) a "Class" of customers who incurred charges for the automatic renewal of their McAfee 10 software ("auto-renewal"); and (2) a "Reference Price Class" consisting of customers who made 11 purchases of McAfee software where McAfee advertised a "reference price" for the product. 12 Plaintiff Williamson alleged that the auto-renewal prices McAfee charged were higher than its 13 disclosures indicated they would be, and that McAfee's advertised reference prices were 14 misleading in that they did not represent McAfee's true former selling prices. Plaintiff sought 15 damages, restitution, and injunctive relief on behalf of the Class of auto-renewal customers, and 16 injunctive relief on behalf of the Reference Price Class. (Williamson Dkt. 1.) 17 On March 7, 2014, McAfee filed a motion to dismiss the initial complaint in the 18 Williamson case. (Williamson Dkt. 21.) Plaintiff Williamson filed an opposition to McAfee's 19 motion on April 11, 2014, and McAfee filed its reply on May 2, 2014. (Williamson Dkt. 25, 27.) 20 The Kirby case was filed on May 29, 2015 on behalf of a nationwide class of McAfee 21 auto-renewal customers, alleging claims that overlapped in significant part with certain of the

22 claims alleged in the *Williamson* case. (*Kirby* Dkt. 1.) On July 1, 2015, the *Kirby* case was

23 formally related to the *Williamson* case, and assigned to this Court, pursuant to Civil Local Rule

24 3-12. (*Kirby* Dkt. 16.)

25

On August 22, 2014, the Court entered an Order in the Williamson case granting in part

26

 <sup>&</sup>lt;sup>2</sup> Devery Decl.,¶¶ 17-18. The final numbers of timely cash elections, opt-outs, and objections will be reported to the Court in advance of the January 26, 2017 Final Fairness Hearing. The parties and Class Counsel will address in their reply papers any timely objections that may be submitted before the November 28, 2016 objection deadline.

#### Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 10 of 24

and denying in part McAfee's motion to dismiss. (*Williamson* Dkt. 40.) On September 8, 2014,
 Plaintiff Williamson filed a First Amended Complaint ("FAC"). (*Williamson* Dkt. 42.) On
 October 9, 2014, McAfee filed a motion to dismiss the FAC. (*Williamson* Dkt. 48.) Plaintiff
 Williamson filed an opposition to McAfee's motion on November 4, 2014, and McAfee filed its
 reply on November 25, 2014. (*Williamson* Dkt. 54, 59.) On March 2, 2015, the Court took
 McAfee's motion to dismiss the FAC under submission. (*Williamson* Dkt. 66.)

On July 8, 2015, the parties in the *Williamson* and *Kirby* cases notified the Court that they
had reached an agreement in principle on certain deal terms for a class settlement and, on July 9,
2015, at the parties' request, the Court entered an Order staying proceedings in the two cases
pending the filing of a proposed class settlement. (*Williamson* Dkt. 69, 70.)

11

#### B. <u>Class Counsel's Investigation and Discovery</u>

12 Class Counsel here conducted a particularly extensive investigation prior to filing suit. 13 Among other efforts, counsel used a sophisticated, self-developed tracking mechanism to compile 14 daily pricing and discount information directly from McAfee's and other retailers' websites. 15 Every day for approximately two years before the initial *Williamson* complaint was filed, and 16 continuing throughout the litigation, Class Counsel collected daily screenshots and pricing and 17 discount information from McAfee's website for the software products at issue in this litigation, 18 as well as similar information from the websites of other retailers. Hattis Decl., ¶¶ 10-11. Class 19 Counsel also spoke with numerous McAfee customers about their experiences, carefully analyzed 20 McAfee's consumer agreements and pertinent disclosures, and conducted extensive legal research 21 regarding potential legal claims. Class Counsel's investigation and research continued after 22 Plaintiffs filed suit, including continuing to track the information from McAfee's website and ongoing extensive legal research. Heller Decl., ¶ 5; Hattis Dec., ¶¶ 9-11. 23

Further, Class Counsel engaged in significant discovery, including: reviewing and
conducting a detailed analysis of McAfee's class-wide transactional data for the products at issue
for the class period (which included millions of individual transaction records); reviewing
McAfee's historical consumer agreements and other important documents; propounding and
responding to written discovery requests; and engaging in numerous meet and confer sessions

- 5 -

regarding McAfee's electronic document and data systems and Plaintiffs' requests for production
 of documents. Heller Decl., ¶ 6; Hattis Decl., ¶ 11.

3

C.

#### Settlement Negotiations

4 The Settlement Agreement here is the product of hard-fought, arms-length negotiations between the parties. The parties engaged in a full-day mediation session with Professor Eric D. 5 6 Green of Resolutions, LLC on April 8, 2015. Following that session, the parties continued to 7 negotiate through Prof. Green and, with his assistance, were able to reach an agreement in 8 principle on certain terms in July 2015. During the subsequent months, the parties continued to 9 negotiate through the mediator, holding numerous teleconferences with Prof. Green. With Prof. 10 Green's assistance, the parties ultimately were able to reach an agreement in principle on deal 11 terms. After an agreement in principle was reached on the merits, the parties, with the further 12 assistance of Prof. Green, reached an agreement regarding Class Counsel's request for attorneys' 13 fees and costs. Subsequently, the parties worked hard on finalizing the settlement papers, 14 including the forms of notice and other exhibits, and selecting a proposed Settlement 15 Administrator. Heller Decl., ¶ 7.

16

#### D. Preliminary Settlement Approval and Implementation of the Notice Program

17 Plaintiffs filed a motion for preliminary approval of the Settlement on July 14, 2016, and 18 the Court held a hearing on that motion on August 18, 2016. (Williamson Dkt. 91, 94.) The 19 parties filed a corrected version of the Settlement on August 22, 2016, which corrected a 20 scrivener's error as described in the notice accompanying the corrected document. (Williamson 21 Dkt. 95.) On August 30, 2016, the Court granted preliminary approval of the Settlement. 22 (Williamson Dkt. 96.) ("Preliminary Approval Order"). On September 30, 2016, the parties filed 23 a joint notice clarifying the meaning of a phrase in the Settlement. (*Williamson* Dkt. 97.) 24 Following the entry of the Preliminary Approval Order, Class Counsel have worked closely with 25 the Settlement Administrator and counsel for McAfee regarding implementation of the notice 26 program and cash election process. Heller Decl., ¶ 14.

- 27
- 28

	Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 12 of 24	
1	III. <u>THE SETTLEMENT</u>	
2	A. <u>The Settlement Classes</u>	
3	In the Preliminary Approval Order, the Court certified two classes for settlement purposes	
4	only. The "Auto-Renewal Class" is defined as:	
5	All persons in the United States who paid McAfee for the automatic	
6	renewal of a subscription license for any McAfee software (including software branded under the "McAfee" or "Intel	
7	Security" names) from January 10, 2010 to February 10, 2015, and whose first auto-renewal charge was at a price greater than the price	
8	paid to McAfee for the initial subscription license. The Auto Renewal Class shall not include any person whose charges as	
9	described above were fully refunded by McAfee or fully charged back through such person's credit or debit card issuer.	
10	The "Reference Price Class" is defined as:	
11	All persons in the United States (1) who initially purchased from	
12	McAfee or manually renewed through McAfee a subscription license for any McAfee software (including software branded under	
13	the "McAfee" or "Intel Security" names) from January 10, 2010 to February 10, 2015, and (2) whose subscription license was initially	
14	purchased or manually renewed at a discounted price.	
15	Excluded from both classes are employees of McAfee and its parents and affiliates, counsel for	
16	all parties, the Court, and the Court's staff. (Settlement, ¶¶ 1-2; Williamson Dkt. 96 at p. 14)	
17	B. <u>Benefits to Class Members</u>	
18	1. <u>\$11.50 Settlement Benefit for All Auto-Renewal Class Members</u>	
19	Under the terms of the Settlement, all class members in the Auto-Renewal Class will	
20	receive an \$11.50 Settlement Benefit. They each will have the option of receiving the \$11.50	
21	benefit as: (a) cash; or (b) an \$11.50 McAfee value certificate. They can choose the cash option	
22	by submitting a simple cash election form, and may submit cash election forms electronically via	
23	the Settlement Website or by mail. The deadline to submit cash elections is December 23, 2016.	
24	As of October 28, 2016, 143,384 cash elections have already been filed. <sup>3</sup> Class members in the	
25	Auto-Renewal Class who do not submit a timely cash election will still receive the Settlement	
26	Benefit, in the form of an \$11.50 McAfee value certificate to use toward the purchase of McAfee	
27 28	<sup>3</sup> Devery Decl., ¶ 17. For cash elections submitted online, class members have the choice of receiving their cash payment either as a check or as a direct credit to their PayPal account. For cash elections submitted by mail, payments will be by check. - 7 - NOTICE OF MOT. AND MOT. FOR FINAL APPROVAL OF CLASS SETTLEMENT; MPA CASE NOS. 5:14-CV-00158-EID; 5:14-CV-02475-EID	

#### Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 13 of 24

or Intel Security consumer products. (Settlement, ¶¶ 28-35)

The \$11.50 benefit amount represents approximately one-half of the average alleged
overcharge for auto-renewal transactions during the class period—a strong result, particularly
given the substantial challenges and risks these class members faced in the litigation. *See infra*sections VI.B.1-3. In the aggregate, the \$11.50 Settlement Benefits that McAfee will provide
total approximately \$86 million for the approximately 7.53 million members of the Auto-Renewal
Class.

8

1

#### 2. <u>Practice Changes</u>

9 McAfee also agreed to implement important changes addressing both the auto-renewal
10 pricing and reference price allegations in the litigation. Specifically, McAfee agreed to
11 implement the following for two years after the Settlement receives final approval by the Court:

12 (1) McAfee will include at the point of sale in any sales process involving sales made by 13 McAfee at a discount off a reference price and subject to automatic renewal (including for sales 14 made through the McAfee website and through the in-software purchase path), the following or 15 materially similar language: "Your subscription(s) will be automatically renewed at the 16 undiscounted subscription price in effect at the time of renewal. The subscription price is subject 17 to change." A hyperlink will be provided that links to a webpage that includes the current 18 undiscounted subscription price for the applicable McAfee software or McAfee will display the 19 undiscounted subscription price (labeled the "undiscounted subscription price") for the applicable 20 McAfee software on the point of sale webpage. Substantially similar disclosures will be added to 21 a FAQ or informational page on McAfee's website, to notices sent by McAfee to subscribers in 22 connection with automatic-renewal, and to McAfee's End User License Agreement.

- (2) Where McAfee includes a reference price in its promotions, notices, advertisements or
  at the point-of-sale (including through the McAfee homepage and through the in-software
  purchase path), for any McAfee software product offered by McAfee to United States consumers:
  (a) McAfee will use as such reference price only a price at which McAfee has offered that
  software product on the McAfee homepage to the public for at least 45 days within the preceding
  calendar quarter; and (b) McAfee will offer that software product on the McAfee homepage to the
  - NOTICE OF MOT. AND MOT. FOR FINAL APPROVAL OF CLASS SETTLEMENT; MPA CASE NOS. 5:14-CV-00158-EJD; 5:14-CV-02475-EJD

- 8 -

1 public at a non-sale price for at least 45 days within the current calendar quarter.

(Settlement, ¶ 37; *Williamson* Dkt. 97 (clarifying notice))

3

2

#### C. <u>Opt-Out Procedure</u>

Any person within the Auto-Renewal Class definition may request to be excluded from
the Auto-Renewal Class by sending a written request stating their desire to be excluded to the
Settlement Administrator. Likewise, any person within the Reference Price Class definition may
request to be excluded from the Reference Price Class by sending a written request stating their
desire to be excluded to the Settlement Administrator. The deadline to request exclusion is
November 28, 2016. (Settlement, § IV; *Williamson* Dkt. 96)

10

#### D. <u>Objection Procedure</u>

Any class member may object to the Settlement (except to the extent it relates only to a class in which the class member in question is not included or from which the class member in question has timely and validly requested exclusion), Class Counsel's application for attorneys' fees and costs, and/or the request for Plaintiff service awards. To be considered, an objection must be in writing, must be filed with or mailed to the Court, and mailed to Class Counsel and McAfee's counsel, at the addresses listed in the Long Form Class Notice, and must include the information proscribed by the Long Form Class Notice. The deadline to object is November 28, 2016. (Settlement, § IV; *Williamson* Dkt. 96)

- 18
- 19

20

21

22

23

24

25

#### E. <u>Separate Payment of Attorneys' Fees, Costs, and Service Awards</u>

Concurrently with this motion, Class Counsel are filing an application for an award of reasonable attorneys' fees and costs. Class Counsel are requesting attorneys' fees in the amount of \$2,321,225.92, plus reimbursement of \$78,774.08 in litigation costs. Class Counsel's fee application also requests service awards of \$1,250 for each of the two Plaintiffs. Any such attorneys' fees, costs, and service awards that are granted by the Court will be paid by McAfee in addition to (*i.e.*, on top of) the settlement benefits provided to the class members (Settlement, § VII)

- 26
- 27

F.

28

#### Separate Payment of Administrative Costs

Notice costs and the other fees and costs of the Settlement Administrator will likewise be

#### Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 15 of 24 1 paid by McAfee in addition to (*i.e.*, on top of) the settlement benefits provided to the class 2 members. (Settlement, $\P 5$ ) 3 G. Release 4 In exchange for the benefits provided by the Settlement, class members will release 5 McAfee and related entities from any claims they may have related to the issues in these cases. 6 (Settlement, § VIII) 7 IV. NOTICE HAS BEEN DISSEMINATED TO CLASS MEMBERS PURSUANT TO THE COURT-APPROVED NOTICE PROGRAM 8 9 The robust notice program approved by the Court in the Preliminary Approval Order has 10 been, and continues to be, implemented by the parties and the Settlement Administrator. This 11 program—which includes direct email/mail notice to all class members, a dedicated Settlement 12 Website, and an informational Toll-Free Number—is well-designed to give the best notice 13 practicable. See generally Devery Decl. 14 A. **Direct Notice to Class Members** 15 Pursuant to the Court-approved notice program, McAfee's customer records were utilized 16 to provide direct email and mailed notice to the class members. McAfee provided the Settlement 17 Administrator with a Class List that included the last known email address and mailing address 18 for each class member. The Settlement Administrator then sent the appropriate email notices to 19 class members at the email addresses included in the Class List. For any class member with 20 respect to whom the Settlement Administrator received notice that the email notice was not 21 received, the Settlement Administrator updated the mailing address for that class member in the 22 Class List, through the U.S. Postal Service National Change of Address Database, and then 23 mailed the appropriate notice to that class member at their mailing address as updated. For 24 mailed notices returned with forwarding address information, the Settlement Administrator is 25 promptly re-mailing the appropriate notice to the new address indicated. Devery Decl., ¶¶ 7-14; 26 Settlement, § III. 27 **B. Settlement Website and Toll-Free Number** 28 As directed by the Court, the Settlement Administrator established and is maintaining a

#### Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 16 of 24

1	Settlement Website, www.McafeeWilliamsonSettlement.com, where cash elections can be		
2	submitted electronically and where class members can obtain additional information and access		
3	copies of the Settlement, the Long Form Class Notice, the operative complaints, Class Counsel's		
4	application for attorneys' fees and costs, and other case documents. The Settlement Administrator		
5	also established and is maintaining a toll-free telephone number where class members can obtain		
6	additional information. Devery Decl., ¶¶ 15-16; Settlement, ¶ 9.		
7	V. <u>THE RESPONSE FROM THE CLASS HAS BEEN VERY POSITIVE</u>		
8	The response from class members thus far has been very positive. The deadline for class		
9	members to opt-out or object is November 28, 2016. As of October 28, 2016, only 143 persons		
10	have asked to be excluded and just 1 objection has been submitted. Devery Decl., $\P 18$ . <sup>4</sup>		
11	By contrast, the Settlement Administrator reports that, as of October 28, 2016, 143,384		
12	cash elections already have been submitted by Auto-Renewal Class members, with nearly two		
13	months to go until the December 23, 2016 cash election deadline. Devery Decl., $\P$ 17.		
14	VI. <u>THE COURT SHOULD GRANT FINAL APPROVAL OF THE SETTLEMENT</u>		
15	A. <u>The Class Action Settlement Approval Process</u>		
16	Proceedings under Federal Rule of Civil Procedure 23 have led to a defined three-step		
17	procedure for approval of class action settlements:		
18 19	(1) Certification of a settlement class and preliminary approval of the proposed settlement after submission to the Court of a written motion for preliminary approval.		
20	(2) Dissemination of notice of the proposed settlement to the		
21	affected class members.		
22	(3) A formal fairness hearing, or final settlement approval hearing, at which evidence and argument concerning the fairness,		
23	adequacy, and reasonableness of the settlement are presented.		
24	See Manual for Complex Litigation (Fourth) §§ 21.63 et seq. (Fed. Jud. Center 2004). This		
25	procedure safeguards class members' procedural due process rights and enables the Court to		
26	fulfill its role as guardian of class interests. See 4 Newberg on Class Actions, § 11.22 et seq. (4th		
27			
28	<sup>4</sup> The parties will address all timely submitted objections in advance of the Final Fairness Hearing.		
	- 11 - NOTICE OF MOT. AND MOT. FOR FINAL APPROVAL OF CLASS SETTLEMENT; MPA CASE NOS. 5:14-CV-00158-EJD; 5:14-CV-02475-EJD		

ed. 2002) (hereinafter "Newberg").

The Court completed the first step in the settlement approval process when it issued the Preliminary Approval Order (*Williamson* Dkt. 96), and the second step—dissemination of notice to the class members—has been, and continues to be, implemented by the Settlement Administrator. *See* Devery Decl. By this motion, Plaintiffs respectfully request that the Court take the third and final step and grant final approval of the Settlement.

7

1

#### B. <u>Final Approval of the Settlement Is Appropriate</u>

Public policy "strong[ly] . . . favors settlements, particularly where complex class action
litigation is concerned." *In re Syncor ERISA Litigation*, 516 F.3d 1095, 1101 (9th Cir. 2008); *Churchill Village, L.L.C. v. Gen. Elec.*, 361 F.3d 566, 576 (9th Cir. 2004); *Class Plaintiffs v. City of Seattle*, 955 F.2d 1268, 1276 (9th Cir. 1992); *In re Netflix Privacy Litig.*, 2013 WL 1120801, at
\*3 (N.D. Cal. Mar. 18, 2013).

13 In weighing final approval of a class settlement, the Court's role is to determine whether 14 the settlement, taken as a whole, is fair, reasonable, and adequate. Staton v. Boeing Co., 327 F.3d 15 938, 952 (9th Cir. 2003) (citing Hanlon v. Chrysler Corp., 150 F.3d 1011, 1026 (9th Cir. 1998)). 16 The Ninth Circuit has established a list of factors to consider when assessing whether a proposed 17 settlement is fair, reasonable and adequate: (1) the strength of the plaintiffs' case; (2) the risk, 18 expense, complexity, and likely duration of further litigation; (3) the risk of maintaining class 19 action status throughout the trial; (4) the benefits offered in the settlement; (5) the extent of 20 discovery completed and the stage of the proceedings; (6) the experience and views of counsel; 21 (7) the presence of a governmental participant; and (8) the reaction of the class members to the 22 proposed settlement. See Churchill Village, 361 F.3d at 575; Hanlon, 150 F.3d at 1026. 23 Application of these factors here supports the conclusion that the Settlement is fundamentally 24 fair, reasonable, and adequate, and should be finally approved.

- 25
- 26

#### 1. <u>The Strength of Plaintiffs' Case and the Risk, Expense, Complexity,</u> and Likely Duration of Further Litigation

27 The Settlement here appropriately balances the costs, risks, and likely delay of further
28 litigation, on the one hand, against the benefits provided, on the other hand. *See* 4 Newberg on

#### Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 18 of 24

Class Actions § 11.50 at 155 ("In most situations, unless the settlement is clearly inadequate, its
 acceptance and approval are preferable to lengthy and expensive litigation with uncertain
 results."). The result here is a strong one, given the significant challenges and risks that Plaintiffs
 and the class members continue to face in the litigation—risks that Plaintiffs and Class Counsel
 were well-positioned to evaluate based on their extensive investigation, discovery, and analysis of
 the pertinent pricing and sales data.

7 With respect to the auto-renewal claims, among other arguments that McAfee has made 8 and/or indicated it would make if the litigation proceeded are: (a) its disclosures regarding auto-9 renewal pricing would not mislead a reasonable consumer; (b) the prices charged for auto-10 renewals were charged to millions of auto-renewal customers and to a significant number of non-11 auto-renewal customers as well; (c) its policies regarding auto-renewal pricing (and, specifically, 12 the exclusion of "promotional" and "discount" pricing) were disclosed in its form consumer 13 agreements and elsewhere; and (d) auto-renewal customers were sent advance notice of the prices 14 they would be charged and could cancel their renewed subscriptions within 60 days after being 15 charged. With respect to the reference price claims, among other arguments that McAfee has 16 made and/or indicated it would make if the litigation proceeded are: (a) its advertised reference 17 prices were sufficiently based on millions of actual auto-renewal sales and on non-auto-renewal 18 sales as well; (b) customers got software products worth what they paid for them; and (c) its 19 reference prices would not mislead a reasonable customer. Moreover, McAfee's second motion 20 to dismiss was pending in the *Williamson* case when the Settlement was reached.

While Plaintiffs believe they could overcome these challenges, they are indicative of the
serious risks that Plaintiffs and the proposed classes would face if the litigation were to continue.
Indeed, several of McAfee's arguments, if successful, would threaten class members' entitlement
to any relief at all. The Settlement provides considerable relief while allowing class members to
avoid the risks of unfavorable, and in some cases dispositive, rulings on these and other issues.

Having conducted a thorough investigation and analysis of the pricing and class-wide
sales data for the products at issue, and of other pertinent facts, and Class Counsel having
significant experience litigating cases involved alleged misleading pricing and other alleged

#### Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 19 of 24

misleading advertising, Plaintiffs and Class Counsel were well-positioned to evaluate the
strengths and challenges of their claims in this matter and to make an informed decision that the
favorable settlement here represented a good deal for the class members in light of the real
challenges faced. The Settlement also provides another significant benefit not available if the
litigation were to go to trial—prompt relief. Proceeding to trial, even if successful, would likely
add years to the resolution of this litigation, given the legal and factual issues raised and
likelihood of appeals.

8

#### 2. The Risk of Maintaining Class Action Status Throughout the Trial

McAfee disputes whether a class trial would be manageable, and has made clear that it
would likely oppose a motion for class certification on that basis. While Plaintiffs believe they
would have a strong argument for certifying litigation classes here, obtaining and maintaining
class action status throughout the trial is always a challenge, and is far from guaranteed, in a
complex case like this one.

14

#### 3. <u>The Benefits of the Settlement</u>

The Settlement provides substantial, valuable relief, including the \$11.50 Settlement
Benefit to all members of the Auto-Renewal Class and important changes that will benefit the
class members and millions of McAfee customers going forward.

18 All members of the Auto-Renewal Class will receive an \$11.50 Settlement Benefit 19 without having to take any action. They each have the choice of receiving the \$11.50 as cash by 20 filing a Cash Election form, or, if they do not file a cash election form, they will still receive the 21 benefit in the form of an \$11.50 McAfee value certificate. The cash election form and 22 submission process are straightforward and user-friendly, and are designed to make submitting 23 forms and receiving payments convenient, including by providing an online claim submission 24 option and the option of receiving payments in the form of a check or a direct PayPal credit. As 25 of October 28, 2016, 143,384 cash elections have already been submitted, with nearly two months 26 remaining until the submission deadline.

The \$11.50 Settlement Benefit amount represents approximately one-half (1/2) of the
average alleged overcharge for auto-renewal transactions during the class period as estimated by

#### Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 20 of 24

Plaintiffs,<sup>5</sup> which is a particularly strong result when one considers the relative strengths and 1 2 weaknesses of the parties' positions and the substantial risks and uncertainty of ongoing 3 litigation. See supra section VI.B.1. Given the not insubstantial risk that the members of the 4 Auto-Renewal Class might have received nothing at all had the litigation proceeded to trial, a 5 50% recovery of the average overcharge is a very good achievement here, and certainly 6 represents a reasonable compromise warranting Court approval. That is particularly so given that 7 the Settlement would provide prompt payment, while further litigation, even if successful, would 8 likely require years before these class members saw any benefits.

9 Moreover, the Settlement includes important changes by McAfee addressing both the 10 auto-renewal pricing and reference price claims in the Litigation. See supra section III.B.2. For 11 the auto-renewal claims, the improved disclosures provided for in the Settlement will make 12 McAfee's auto-renewal pricing policies significantly clearer, helping customers to make informed 13 choices regarding their software subscriptions. With respect to the reference price claims, the Settlement provides clear, objective terms governing the circumstances in which McAfee may 14 15 advertise a reference price, helping to ensure that McAfee will not advertise reference prices 16 without an appropriate basis in its actual prices, and thus closely tracking the relief sought with respect to these claims.<sup>6</sup> 17

18

#### 4. <u>The Extent of Discovery and the Stage of Proceedings</u>

For this factor, courts look to whether the parties have sufficient information to make an
informed decision with respect to the settlement. *See In re Mego Fin. Corp. Sec. Litig.*, 213 F.3d

- 15 -

<sup>21</sup> 

 <sup>&</sup>lt;sup>5</sup> While some members of the Auto-Renewal Class had their annual subscriptions auto-renewed more than once during the class period, and while Plaintiffs believe they would have a credible basis for seeking, at trial, damages based on all such auto-renewals, McAfee has argued that even if Plaintiffs' auto-renewal claims had merit (which McAfee disputes), customers would have at least been on notice of McAfee's auto-renewal pricing after incurring their first auto-renewal charge. Thus, there is uncertainty regarding whether Plaintiffs could have recovered damages period.

customer beyond a single auto-renewal transaction even in the proverbial "home run" scenario.
 Plaintiffs estimate that, on average, class members in the Auto-Renewal Class had their

subscriptions auto-renewed a little over two times during the class period. Even if every one of
 these transactions were hypothetically subject to recovery, the \$11.50 benefit amount would still
 represent approximately one-quarter (1/4) of the average alleged total overpayments per class
 member, still a very reasonable result under the circumstances.

 <sup>&</sup>lt;sup>6</sup> The claims pled regarding McAfee's reference prices sought injunctive relief only. (*Williamson* Dkt. 42, ¶¶ 7, 150, 160.) The practice changes obtained for the Reference Price Class pursuant to the Settlement Agreement appropriately track the relief sought for these claims.

1 454, 459 (9th Cir. 2000).

2 This factor weighs particularly heavily in favor of approving the Settlement here. As 3 explained in more detail above (see supra section II.B), Class Counsel conducted a particularly 4 extensive factual investigation in this matter, including, *inter alia*: (a) tracking on a daily basis 5 and analyzing—for approximately two years before filing suit, and continuing throughout the 6 litigation—the pricing and discount information for the products at issue from McAfee's website 7 and the websites of other retailers; (b) analyzing class-wide transactional data, produced by 8 McAfee in discovery, for the products at issue (which included millions of transactional records); 9 and (c) reviewing and analyzing the pertinent disclosures and other documents. As a result of 10 these extensive efforts, Plaintiffs were well-informed in evaluating their claims and in negotiating 11 the Settlement that is presented to the Court for approval. Moreover, the two fully-briefed 12 motions to dismiss filed by McAfee significantly informed Plaintiffs' evaluation of the relative 13 strength of their legal claims. Accordingly, Plaintiffs and Class Counsel had sufficient 14 information to make an informed decision about the Settlement and to determine that it represented a favorable and fair result for the class members. 15

16

#### 5. <u>The Experience and Views of Counsel</u>

17 In considering a class settlement, "[t]he recommendations of plaintiffs' counsel should be 18 given a presumption of reasonableness." Knight v. Red Door Salons, Inc., 2009 WL 248367, at 19 \*4 (N.D. Cal. Feb. 2, 2009); see also Perkins v. LinkedIn Corp., No. 13-CV-04303-LHK, 2016 20 WL 613255, at \*3 (N.D. Cal. 2016) ("[T]he views of Plaintiffs' counsel, [including some of Class 21 Counsel in this action,] who are experienced in litigating and settling complex consumer class 22 actions, weigh in favor of final approval.") (citing Linney v. Cellular Alaska Partnership, 1997 23 WL 450064, at \*5 (N.D. Cal. July 18, 1997)). Here, counsel for all parties endorse the Settlement 24 Agreement as fair, adequate, and reasonable. Heller Decl., ¶ 29; Hattis Decl., ¶ 29; Wolfson 25 Decl., ¶ 26.

Class Counsel have extensive experience litigating and settling consumer class actions and
 other complex matters.<sup>7</sup> They have conducted an extensive investigation into the factual and

28

<sup>7</sup> Heller Decl., ¶¶ 2-4, 15-18; Hattis Decl., ¶¶ 3-7; Wolfson Decl., ¶¶ 3-4, Ex. A. NOTICE OF MOT. AND MOT. FOR FINAL APPROVAL

OF CLASS SETTLEMENT; MPA

CASE NOS. 5:14-CV-00158-EJD; 5:14-CV-02475-EJD

#### Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 22 of 24

legal issues raised in this litigation. The fact that qualified and well-informed counsel endorse the
 Settlement as being fair, reasonable, and adequate weighs heavily in favor of the Court approving
 the Settlement.

4

#### 6. <u>The Presence of a Government Participant</u>

5 Notice has been issued pursuant to the Class Action Fairness Act, 28 U.S.C. § 1715 (*see*6 *Williamson* Dkt. 92). To date, no governmental entity has intervened.

7

#### 7. <u>The Class Response to Date Favors Final Approval</u>

8 While it is premature to fully evaluate this factor, the response thus far has been very
9 positive. The deadline for class members to opt-out or object is November 28, 2016. As of
10 October 28, 2016, only 143 persons have asked to be excluded and just 1 objection has been
11 submitted. Devery Decl., ¶ 18. By contrast, the Settlement Administrator reports that as of
12 October 28, 2016, 143,384 cash elections have already been submitted, with nearly two months to
13 go until the December 23, 2016 cash election deadline. Devery Decl., ¶ 17.<sup>8</sup>

14

#### 8. <u>Lack of Collusion Between the Parties</u>

15 "Before approving a class action settlement, the district court must reach a reasoned 16 judgment that the proposed agreement is not the product of fraud or overreaching by, or collusion 17 among, the negotiating parties." City of Seattle, 955 F.2d at 1290 (citations omitted). "Where a 18 settlement is the product of arms-length negotiations conducted by capable and experienced 19 counsel, the court begins its analysis with a presumption that the settlement is fair and 20 reasonable." Garner v. State Farm Mut. Auto Ins. Co., 2010 WL 1687832, \*13 (N.D. Cal. Apr. 21 22, 2010); see also See 4 Newberg § 11.41; In re Heritage Bond Litig., 2005 WL 1594403, at \*2-22 3 (C.D. Cal. June 10, 2005). 23 The Settlement here is the product of hard-fought, arms-length negotiations between the

- 24 parties and their well-qualified counsel. The parties participated in a full-day mediation session
- and extensive ongoing negotiations through an experienced and well-respected mediator,
- 26

<sup>&</sup>lt;sup>8</sup> The final numbers of timely cash elections, opt-outs, and objections will be reported to the Court in advance of the January 26, 2017 Final Fairness Hearing. The parties and Class Counsel will address in their reply papers any timely objections that may be submitted before the November 28, 2016 objection deadline.

#### Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 23 of 24

Professor Eric D. Green of Resolutions LLC, and were able to reach an agreement with the help
 of Prof. Green. Subsequently, the parties worked hard to finalize the settlement papers and to
 select a proposed Settlement Administrator. Heller Decl., ¶ 7. Throughout these negotiations, the
 parties were represented by counsel experienced in the prosecution, defense and settlement of
 complex class actions.

6

#### VII. <u>CONCLUSION</u>

For the foregoing reasons, Plaintiffs respectfully request that the Court enter an Order:
a. Approving the proposed Settlement as fair, reasonable, and adequate to
Plaintiffs and the class members, and directing the Settlement's consummation according to its
terms;

11 Finding that the form and manner of class notice implemented pursuant to b. 12 the Settlement: (i) constitutes reasonable and the best practicable notice; (ii) constitutes notice 13 reasonably calculated, under the circumstances, to apprise class members of the pendency of the 14 litigation, the terms of the proposed Settlement, the right to object to the proposed Settlement or 15 exclude themselves from the Auto-Renewal Class and the Reference Price Class, and the right to 16 appear at the Final Fairness Hearing; (iii) constitutes due, adequate, and sufficient notice to all 17 persons entitled to receive notice; and (iv) meets the requirements of state and federal due 18 process, the Federal Rules of Civil Procedure, and any other applicable state and/or federal laws; 19 Finding that all class members shall be bound by the Settlement as it relates c. 20 to the class(es) in which each is a member, including the release provisions and covenant not to 21 sue; 22 d. Directing that judgment be entered dismissing with prejudice all individual 23 and class claims asserted in the litigation and ruling that no costs or fees be assessed on either

24 party other than as expressly provided in the Settlement;

e. Incorporating the release and related provisions set forth in the Settlement
and barring any Released Claims against the McAfee Released Parties;

f. Approving payment of the benefits to the class members consistent with
the Settlement; and

- 18 -

	Case 5:14-cv-00158-EJD Document 98 Filed 10/29/16 Page 24 of 24	
1	g. Retaining jurisdiction of all matters relating to the interpretation,	
2	administration, implementation, and enforcement of the Settlement.	
3		
4		
5	Dated: October 29, 2016By: <u>/s/ Roger N. Heller</u>	
6	Michael W. Sobol Roger N. Heller	
7	LIEFF, CABRASER, HEIMANN & BERNSTEIN, LLP 275 Battery Street, 29 <sup>th</sup> Floor	
8	San Francisco, CA 94111-3336 Telephone: (415) 956-1000	
9	Daniel M. Hattis	
10	HATTIS LAW 9221 NE 25 <sup>th</sup> Street	
11	Clyde Hill, WA 98004 Telephone: (650) 980-1990	
12		
13	Tina Wolfson Robert Ahdoot	
14	Theodore W. Maya	
	AHDOOT & WOLFSON, P.C. 10850 Wilshire Boulevard, Suite 370	
15	Los Angeles, California 90024	
16	Telephone: (310) 474-9111	
17	Class Counsel	
18		
19	1326974.3	
20		
21		
22		

UNITED STATES	
NORTHERN DISTR SAM WILLIAMSON, individually and on behalf of all others similarly situated, Plaintiff, v. MCAFEE, INC., Defendant.	S DISTRICT COURT RICT OF CALIFORNIA Case No. 5:14-cv-00158-EJD DECLARATION OF BRIAN DEVERY IN SUPPORT OF PLAINTIFFS' MOTION FOR FINAL APPROVAL OF CLASS SETTLEMENT AND MOTION FOR AWARD OF ATTORNEYS' FEES, COSTS, AND SERVICE AWARDS Judge: Honorable Edward J. Davila
SAMANTHA KIRBY, individually and on behalf of all others similarly situated, Plaintiff, v. MCAFEE, INC., Defendant.	Case No. 5:14-cv-02475-EJD
<ul> <li>I, Brian Devery, pursuant to section 1746 of title 28 of the United States Code, declare as for</li> <li>I am a Project Manager with Angeion Group ("Angeion"), the claims administrator in this matter. Angeion's office is located at 1801 Market Street, Suite 660, Philadelphia, PA</li> <li>I am over 21 years of age and am not a party to this action. I have personal knowledge of t set forth herein and, if called as a witness, could and would testify competently thereto.</li> <li>Angeion was appointed by the Court to serve as Settlement Administrator and to other tasks, send Notice to class members via Email and USPS where applicable; establ maintain a case specific website and email address; establish and maintain a toll-free</li> </ul>	
	and on behalf of all others similarly situated, Plaintiff, v. MCAFEE, INC., Defendant. Brian Devery, pursuant to section 1746 of title I am a Project Manager with Angeion Ge this matter. Angeion's office is located at 180 am over 21 years of age and am not a party to et forth herein and, if called as a witness, could Angeion was appointed by the Court to her tasks, send Notice to class members via

L

#### Case 5:14-cv-00158-EJD Document 98-1 Filed 10/29/16 Page 2 of 23

respond to Class Member inquiries; and perform other duties as specified in the Settlement
 Agreement, following the Court's August 30, 2016 Order Certifying a Settlement Class,
 Preliminarily Approving the Class Action Settlement, and Directing Notice to the Settlement Class
 (*Williamson* Dkt. 96).

5 3. Angeion has administrated class action settlements involving millions of class members. A 6 representative list of the settlements administered Angeion is available by at 7 http://www.angeiongroup.com/cases.htm and attached hereto as Exhibit "A". Through the 8 administration of the settlements referenced above, Angeion has received, processed and secured 9 data from defendants and other sources. Angeion has analyzed settlement class member data 10 including performing deduplication, National Change of Address Searches ("NCOA") and skip 11 traces. Angeion has successfully implemented noticing campaigns involving direct mail notice, 12 email notice, text noticing, printed media and digital media for millions of potential class members. 13 Further, Angeion has analyzed and reported on class member data obtained through claim forms submitted via mail and through online claims filing, class member correspondence, objections to 14 15 settlements, exclusion requests and other means. Angeion is experienced in the application of 16 complex claim calculations and, where applicable, tax withholding and reporting, as required by 17 federal, state, and local taxing authorities, as well as in reviewing settlement agreements and court 18 orders. Angeion has been responsible for the management of Qualified Settlement Funds and has 19 served as escrow agent for numerous settlement distributions

20
4. Angeion is not related to or affiliated with any of the attorneys comprising Class Counsel or
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
21
<li

22

#### **Dissemination of CAFA Notice**

5. On July 22, 2016, pursuant to the Settlement in this case and as required by 28 U.S.C. §§
1715(a) and (b), Angeion mailed notice of the proposed settlement of this action ("CAFA Notice")
via USPS Priority Mail to the Attorneys General of each of the fifty states, the District of Columbia,
the U.S. territories, and the Attorney General of the United States. The mailings included the items
set forth in the CAFA Notice. A copy of the CAFA Notice was attached to my prior declaration
filed on July 25, 2016 (*Williamson* Dkt. 92-1).

#### <u>Class List</u>

2 6. Between August 18, 2016 and September 14, 2016, Angeion received from the Defendant 3 Excel spreadsheets containing names, mailing addresses, and email addresses for the Auto-Renewal Class Members (AUT) Reference Price Class Members (REF), and the Class Members in both the 4 5 Auto-Renewal and Reference Price classes (BOT). Collectively, these spreadsheets comprised the data for the entire Classes. Angeion reviewed the spreadsheets and compiled them into a final Class 6 7 Member list ("Class List") containing 11,391,016 Class Members to which the settlement notices 8 would be sent. The Class List consisted of 3,854,020 REF Class Members, 2,490,466 AUT Class 9 Members and 5,046,530 BOT Class Members.

10

1

#### **Dissemination of the Settlement Notice**

#### 11 Email Notices

12 7. Beginning on October 10, 2016 and continuing through October 14, 2016, Angeion caused
13 the appropriate email notices to be sent via email to Class Members who had an email address listed
14 in the data provided by Defendant. In total, 11,391,016 email notices were sent via email, however
15 I note that 656 emails were attempted but were ultimately not sent because the Class List did not
16 contain a valid email address for this small number of Class Members. Copies of the email notices
17 that were emailed are attached hereto as Exhibit "B".

18
8. Of the emails sent, 3,806,916 emails were not delivered to the class members either due to
bounce-backs, technical errors, invalid email addresses or blocks. Of these, 2,538,939 of these
emails were blocked.

9. Beginning on October 17, 2016 and continuing through October 18, 2016, Angeion caused
the appropriate email notices to be re-sent via email to the 2,538,939 Class Members whose initial
email notices were blocked, as a second attempt to deliver the notice via email.

24 10. Of the emails re-sent to the blocked email addresses, 2,430,665 emails were not delivered to
25 the Class Members either due to bounce-backs, technical errors, or invalid email addresses, or they
26 were blocked again.

- 27
- 28

After completing the initial email campaign described in paragraphs 7-10 above, a total of
 3,698,642 emails were not successfully delivered, and 656 were not sent due to a lack of any valid
 email address in the Class List.

#### 4 Mailed Notice

5 12. Angeion, together with Defendant, identified 21,379 Class Members for whom the email address on the Class List appeared to be potentially invalid. As such it was determined that these 6 7 Class Members would be sent both the appropriate email notice and the appropriate postcard notice. 8 On or about October 12, 2016, Angeion caused the mailing addresses information for these 21,379 9 Class Members to be updated utilizing the National Change of Address ("NCOA") database, which 10 provides updated addresses for all individuals who have moved during the previous four years and filed a change of address with the United States Postal Service ("USPS"). The appropriate postcard 11 12 notices were mailed to these Class Members on the October 14, 2016. (As noted above, they were 13 also included in the email notice campaign)

14 13. Beginning October 17, 2016 and continuing through October 21, 2016, Angeion caused the
address information to be updated utilizing the NCOA database for the 3,698,642 Class Members
for whom email notice was attempted but not successful, and for the 656 Class Members who were
not sent an email notice due to a lack of any valid email address in the Class List.

14. The appropriate postcard notices for the Class Members described in paragraph 13, above,
were printed and, between October 24<sup>th</sup> and October 25<sup>th</sup>, 2016, were delivered in batches to the
USPS for mailing on October 25, 2016. Copies of the postcard notices that were mailed are attached
hereto as Exhibit "C". Pursuant to the Settlement, for any postcard notices that are returned
undeliverable with forwarding address information, Angeion will promptly re-mail the appropriate
postcard notice to the updated address.

24

#### Settlement Website

25 15. On October 10, 2016, Angeion established the following website devoted to this Settlement:
 26 <u>http://www.McAfeeWilliamsonSettlement.com</u>. The Settlement Website contains general
 27 information about the Settlement, allows Class Members to submit a Cash Election Form online,
 28 and contains Court documents (including the Long-Form Class Notice and the Settlement
 26 <u>A</u>
 27 DECLARATION OF BRIAN DEVERY IN SUPPORT OF PLAINTIFFS' MOTION FOR FINAL APPROVAL
 28 OF CLASS SETTLEMENT AND MOTION FOR ATTORNEYS' FEES, COSTS AND SERVICE AWARDS

1 Agreement) and important dates and deadlines pertinent to this matter. The Settlement Website also 2 has a "Contact Us" page whereby Class Members can contact Angeion via email to submit 3 additional questions regarding the Settlement. Angeion worked with Class Counsel and counsel for 4 Defendants to formulate the information provided on the Settlement Website. As of October 28, 5 2016, the homepage has received 420,976 website visits by 377,857 unique users totaling 6 1,054,819 page views and the online Cash Election portal has received visits by 243,145 unique 7 users for a total of 616,839 page views. 8 **Toll Free Number** 9 16. On October 10, 2016, Angeion established the following toll-free telephone line: 844-343-1478. The toll-free line utilizes an interactive voice response ("IVR") system to provide Class 10 Members with responses to frequently asked questions and important information regarding the 11 settlement. The toll-free line is accessible 24 hours a day, 7 days a week. As of October 27, 2016, 12 the toll-free line has received 5,990 calls totaling 29,072 minutes. 13 14 **Cash Election Submissions** 17. Class Members in the Auto-Renewal Class can file Cash Election Forms by mail or via an 15 16 online portal on the Settlement Website. As of October 28, 2016, Angeion has received and processed a total of 143,384 Cash Election Forms, (142,390 online,<sup>1</sup> 994 by mail). 17 18 19 **Requests for Exclusion and Objections** 2018. As of the date of this declaration, Angeion had received and processed 143 requests for 21 exclusion. All requests for exclusion will be forwarded to the parties. Angeion has been made aware of 1 objection to the Settlement. Angeion will forward any additional requests for exclusion and/or 22 23 objections it receives to the parties on an ongoing basis. 24 25 26 27 <sup>1</sup> Of the 142,390 Cash Election Forms submitted online, 126,077 of these Class Members elected to receive payment by check, while 16,313 elected to receive payment by direct credit to their PayPal account. 28 DECLARATION OF BRIAN DEVERY IN SUPPORT OF PLAINTIFFS' MOTION FOR FINAL APPROVAL **OF CLASS SETTLEMENT AND MOTION FOR ATTORNEYS' FEES, COSTS AND SERVICE AWARDS** 

#### **Distribution and Remaining Tasks**

2 19. The deadline for Class Members to file a request for exclusion or object to the Settlement is 3 November 28, 2016. The deadline for Class Members to submit a Cash Election Form is December 23, 2016. Angeion will continue to accept and process requests for exclusion and Cash Election 4 5 Forms (and will forward any objections received to the parties), reply to Class Member inquiries and perform the other administrative duties through the deadline dates. Angeion will also continue 6 7 to maintain the Settlement Website and Toll-Free Number until they are to be discontinued under 8 the terms of the Settlement Agreement, and keep the parties apprised of exclusion requests, 9 objections and Cash Election Forms received, as well as any documentation received or postmarked 10 after the deadline dates.

Upon the expiration of the deadlines, or upon the request from counsel, Angeion will provide
a supplemental declaration updating the Court on the total number of requests for exclusion Angeion
has received, objections Angeion has received or been made aware of, and the total number of Cash
Election Forms received. Upon the issuance of a final order form this Court and the achievement
of the Effective Date, Angeion will cause the distribution of Settlement benefits to take place in
accordance with the terms of the Settlement Agreement or as otherwise directed by this Court.

I declare under penalty of perjury under the laws of the United States that the foregoing is
true and correct to the best of my knowledge. Executed this 28<sup>th</sup> day of October, 2016 at Oakdale,
NY.

20Brian Devery 21 22 23 24 25 26 27 28 DECLARATION OF BRIAN DEVERY IN SUPPORT OF PLAINTIFFS' MOTION FOR FINAL APPROVAL **OF CLASS SETTLEMENT AND MOTION FOR ATTORNEYS' FEES, COSTS AND SERVICE AWARDS** 

Case 5:14-cv-00158-EJD Document 98-1 Filed 10/29/16 Page 7 of 23

### Exhibit A

#### Case 5:14-cv-00158-EJD Document 98-1 Filed 10/29/16 Page 8 of 23

#### EMPLOYMENT

- Stallard and Strong, et al. v. Fifth Third Bank, et al.
- Tanner et al. v. TPUSA, Inc.
- Golovko, et al. v. 230 Fifth Avenue
- Pinto et al. v. Felidia Restaurant
- Amador v. The Brickman Group, LTD., LLC
- Flores, et al. v. One Hanover, LLC, d/b/a Harry's Café and Steak
- Guttentag et al. v. Ruby Tuesday, Inc.
- Krapf et al. v. Fourth Wall Restaurants, LLC, et al.
- MacArthur v. Allendale Community for Mature Living of New Jersey, et al.
- Manuel Lizondro Garcia v. Kefi LLC
- Diombera, et al. v. The Riese Organization, Inc.
- Chhab, et al. v. Darden Restaurants, Inc. d/b/a The Capital Grille
- Maxcimo Scott and Jay Ensor, et al. v. Chipotle Mexican Grill, Inc.
- Huber, et al. v. Lovin' Oven Catering of Suffolk, Inc. et al.
- Clem, et al. v. Key Bank
- Santos, et al. v. Goode, et al.
- Orakwue, et al. v. Selman & Associates Ltd., et al.
- Thompson v. Peak Energy Services USA, Inc.
- Perez, et al. v. Isabella Geriatric Center, Inc.
- Frank Koehler, et al. v. First Student Management LLC et al.
- Juhani, et al. v. Crown Group Hospitality, LLC, et al.
- Schear, et al. v. Food Scope America, Inc.
- Flynn, et al. v. NY Dolls Gentlemen's Club
- Shemika Carter, et al. v. Youth Services, Int'l, Inc.
- Jantz, et al. v. Social Security Administration
- Monzon, et al. v. 103W77 Partners, LLC, et al. and Galvez, et al. v. 103W77 Partners, LLC et al.
- Gentry & Smith v. Scientific Drilling, Int'l, Inc.
- Anwar v. Executive Transportation Group, et al.
- Sheppard v. Weatherford International, LLC, et al.
- Monet Eliastam, et al., v. NBC Universal Media, LLC
- Ballinger, et al. v. Advance Magazine Publishers Inc. d/b/a/ Condé Nast Publications
- Carter v. Youth Services International, et. al.
- Puglisi et al v. TD Bank
- Ciamillo, et al. v. Baker Hughes Incorporated
- Hernandez, et al., v. UBS AG, et al.
- Gittens, et al. v. RM HQ, LLC d/b/a "Chevy's Fresh Mex"
- Henriquez, et al. v. Kelco Landscaping Inc., et al.
- Pollock et al v. Legends Hospitality, LLC et al.
- Hartford v. NTN Driveshaft, Inc.
- Carpenter, et al., v. Paige Hospitality Group, LLC, et al.
- Alexander Gurevich v. Royal Ambulance, Inc. and Kevin Dickens, e al. v. Royal Ambulance, Inc.
- Niver, et al. v. Specialty Oilfield Solutions, Ltd., et al.

#### SECURITIES

- Silverstrand Investments, et. al. v. AMAG Pharmaceuticals, Inc. et. al.
- In re Fuqi International, Inc. Securities Litigation
- In re Miller Energy Resources, Inc. Securities Litigatiion
- Anderson, et. al. v. Polymedix, Inc. et. al.
- In re China Integrated, Inc. Securities Litigation
- In re Star Scientific, Inc. Securities Litigation
- United Bancorp Merger Litigation
- Furiex Pharmaceuticals, Inc. Merger Litigation
- W2007 Grace Securities Settlement
- China Biotics Securities Settlement
- Larson v. Insys Therapeutics Incorporated et al. In re ITT Educational Services, Inc. Securities Litigation (Indiana)

#### CONSUMER

- William and Virginia McCurdy, et al. v. Wilkinson Enterprises, Inc.
- Ebin v. Kangadis Food, Inc.
- Nelson v. Ledgewood B.K. Inc., et al.
- Citizens Bank Forced Placed Insurance-Cook v. RBS Citizens, N.A, and Richards v. RBS Citizens, N.A.
- Orakwue, et al. v. Selman & Associates Ltd., et al.
- Helmer, et al. v. Goodyear Tire & Rubber Company
- Volz v. The Coca-Cola Company
- Roma Pizzeria, et al. v. Harbortouch
- Carrera v. Bayer Corporation and Bayer Healthcare, LLC
- Zyburo v. NCSPlus Inc.
- David Case, et al. v. French Quarter Group III, LLC, et al. (aka Southwind)
- Harriet K Gordon and Neil Raynor v. Briad Restaurant Group, LLC d/b/a The Briad Group
- Pierluigi Mancuso v. Crystal Title Agency, LLC and Robert M. Sebia
- Remington Firearms Class Action Settlement
- Weller HSBC Flood Insurance Settlement
- In Re: Colgate-Palmolive Soft Soap Antibacterial Hand Soap Marketing & Sales Practices Litigation
- Body Recovery Clinic v. Concentra, Inc., et al.
- In re Canon Ink Jet Printer Litigation
- Jeffrey Zink v. First Niagara Bank, N.A
- Theodore Schall et al. v. Windermere Court Apartments et al
- Soto, et. al. v The Gallup Organization, Inc.
- Ott v. Mortgage Investors Corporation
- Kokobaeva, et. al. v. Eddie Bauer, LLC
- Corona, et. al. v. United Bank Card, Inc.
- Speers v. Pre-Employ.com, Inc.
- Ferrera et al. v. Snyder's-Lance, Inc.
- In re: Glaceau VitaminWater Marketing and Sales Practice Litigation (No. II)

#### Case 5:14-cv-00158-EJD Document 98-1 Filed 10/29/16 Page 9 of 23

- Milton v. Bells Nurses Registry & Employment Agency, Inc.
- McCarthy et al. v. Valero Energy Corp., et al.,
- Edison Lopez et al v The Dinex Group et al
- Gonzalez v. Ferraro Foods, Inc. et al.
- Aken Gonqueh v. Leros Point To Point Inc. et al.
- Taipe, et al. v. MC&O Contracting, Inc., et al.
- Caprarola v. Wells Fargo Bank, N.A.
- Sizemore, et. al. v. Scientific Drilling International, Inc.
- Avila et al v. Da Silvano Corp. et al
- Calderone et al v. Michael Scott
- Bravo v. Palm West Corp. et al
- Blair et al v. TransAm Trucking, Inc.
- Drummond v. Hartford Fire Insurance Co.
- Oates v. Quality Integrated Services, Inc.

- Demchak Partners et al. v. Chesapeake Appalachia, LLC
- Rodriguez v. Calvin Klein, Inc. et. al.
- Peel et. al. v BrooksAmerica
- Daisy, Inc. v. Pollo Operations, Inc.
- Dennis Petersen, et al. v. CJ America, Inc. d.b.a. CJ Foods Inc.
- Jacqueline Johnson, et al. v. Casey's Marketing Company and Casey's Retail Company
- Sophia Krivy v. Jean Madeline Education Center of Cosmetology, Inc. d/b/a "The Jean Madeline Aveda Institute" ("JMEC"); Jean Madeline Inc., and Samuel Lehman
- Parker v. Logitech, Inc.

#### ANTITRUST

- Allan, et al. v. Realcomp II Ltd., et al.
- In re: Pool Products Distribution Market Antitrust Litigation (Hayward/Zodiac)
- Missouri Milk Consumers
- In re: Pool Products Distribution Market Antitrust Litigation (Pentair)

Case 5:14-cv-00158-EJD Document 98-1 Filed 10/29/16 Page 10 of 23

## Exhibit B

From: Sent: To: Subject: McAfee Class Action Settlement Claims Administrator <administrator@qgemail.com> Monday, October 10, 2016 9:05 AM

Notice of McAfee Class Action Settlement.

Personal Identification #: Confirmation Code:

AUT	
419	

**Class Action Settlement Notice** 

If you bought McAfee or Intel Security software between January 10, 2010 and February 10, 2015, you may be entitled to benefits from a class action settlement.

You must file a Cash Election Form to receive a cash payment. To file a Cash Election Form, click <u>here</u>.

Read this notice carefully, as it affects your rights. For more information, visit <u>www.McAfeeWilliamsonSettlement.com</u> or call **1-844-343-1478** 

**WHAT IS THIS CASE ABOUT?** Two consumers filed class action lawsuits saying that McAfee engaged in certain unfair practices about auto-renewal charges and the advertising of discounts and reference prices. McAfee denies that it did anything wrong. The settlement is not an admission of wrongdoing.

**WHO IS INCLUDED?** You are in the "Auto-Renewal Class" if you are in the United States and you: (1) were charged by McAfee for the auto-renewal of any McAfee or Intel Security software from January 10, 2010 to February 10, 2015; (2) your first auto-renewal charge was at a higher price than the price you paid McAfee for your initial software subscription; and (3) that auto-renewal charge was not fully refunded to you by McAfee or fully credited to you on your credit or debit card.

You are in the "Reference Price Class" if you are in the United States and: (1) you purchased from McAfee or manually renewed through McAfee a subscription for any McAfee or Intel Security software from January 10, 2010 to February 10, 2015, and (2) you paid a discounted price for that purchase or manual renewal.

If you received this notice, you have been identified as being in the Auto-Renewal Class, based on McAfee's records.

WHAT DOES THE SETTLEMENT PROVIDE? McAfee has agreed to provide an \$11.50 settlement benefit to all class members in the Auto-Renewal Class. You can elect to receive the \$11.50 settlement benefit as cash, but only if you file a <u>Cash Election Form</u> by no later than December 23, 2016. Eligible class members who don't file a Cash Election Form will instead receive an \$11.50 McAfee value certificate good towards the purchase of McAfee or Intel Security consumer products. In addition, McAfee has agreed to implement certain practice changes concerning auto-renewal transactions and pricing advertisements. For more information, visit www.McAfeeWilliamsonSettlement.com.

HOW DO I RECEIVE A CASH PAYMENT? You must file a Cash Election Form to receive a cash payment. There are two ways to file a Cash Election Form: (1) File <u>online</u>, at <u>www.McAfeeWilliamsonSettlement.com</u>; or (2) <u>Print</u> a Cash Election Form, available at <u>www.McAfeeWilliamsonSettlement.com</u>, fill it out, and mail it (with postage) to the address listed on the Cash Election Form. **Cash Election Forms must be filed online or postmarked by December 23, 2016.** If

#### Case 5:14-cv-00158-EJD Document 98-1 Filed 10/29/16 Page 12 of 23

you file online, you can choose to receive the cash payment as a check or as a direct credit to your PayPal account. For Cash Election Forms filed by mail, cash payments will be made by check. Only eligible class members will receive payments.

**YOUR OTHER OPTIONS.** If you don't want to receive a cash payment or other settlement benefits and don't want to be bound by the settlement and any judgment in this case, you must send a written request to exclude yourself from one or both classes, postmarked no later than **November 28, 2016**. If you exclude yourself, you will not receive benefits from the settlement. If you don't exclude yourself, you will give up the right to sue McAfee and related entities about any of the issues related to this case. If you don't exclude yourself, you may object to the settlement or to the request for fees and costs by Class Counsel. The detailed class notice, available at <u>www.McAfeeWilliamsonSettlement.com</u>, explains how to exclude yourself or object. The Court will hold a hearing in the case (*Williamson v. McAfee, Inc.*, Case No. 14 cv 158 EJD; *Kirby v. McAfee, Inc.*, Case No. 14 cv 2475 EJD) on **January 26, 2017 at 10:00 a.m.**, to consider whether to approve: (1) the settlement; (2) attorneys' fees and costs of up to \$2,400,000 for Class Counsel, to be paid by McAfee in addition to the benefits provided to class members; and (3) service awards of \$1,250 each for the two class representatives in this case. You may appear at the hearing, but you don't have to. The Court has appointed attorneys (called "Class Counsel") to represent the class members. These attorneys are listed in the detailed class notice. You may hire your own attorney to appear for you, but if you do so, it will be at your own expense.

WHERE CAN I GET MORE INFORMATION? For more information, visit <u>www.McAfeeWilliamsonSettlement.com</u> or call 1-844-343-1478.

A federal court authorized this notice. This isn't a solicitation from a lawyer. You aren't being sued.

Unsubscribe

From: Sent: To: Subject: McAfee Class Action Settlement Claims Administrator <administrator@qgemail.com> Friday, October 14, 2016 12:14 PM

Test Notice of McAfee Class Action Settlement.

Personal Identification #: Confirmation Code:

BOT	
042	

**Class Action Settlement Notice** 

If you bought McAfee or Intel Security software between January 10, 2010 and February 10, 2015, you may be entitled to benefits from a class action settlement.

You must file a Cash Election Form to receive a cash payment. To file a Cash Election Form, click <u>here</u>.

Read this notice carefully, as it affects your rights. For more information, visit <u>www.McAfeeWilliamsonSettlement.com</u> or call **1-844-343-1478** 

**WHAT IS THIS CASE ABOUT?** Two consumers filed class action lawsuits saying that McAfee engaged in certain unfair practices about auto-renewal charges and the advertising of discounts and reference prices. McAfee denies that it did anything wrong. The settlement is not an admission of wrongdoing.

**WHO IS INCLUDED?** You are in the "Auto-Renewal Class" if you are in the United States and you: (1) were charged by McAfee for the auto-renewal of any McAfee or Intel Security software from January 10, 2010 to February 10, 2015; (2) your first auto-renewal charge was at a higher price than the price you paid McAfee for your initial software subscription; and (3) that auto-renewal charge was not fully refunded to you by McAfee or fully credited to you on your credit or debit card.

You are in the "Reference Price Class" if you are in the United States and: (1) you purchased from McAfee or manually renewed through McAfee a subscription for any McAfee or Intel Security software from January 10, 2010 to February 10, 2015, and (2) you paid a discounted price for that purchase or manual renewal.

If you received this notice, you have been identified as being in both the Auto-Renewal Class and the Reference Price Class, based on McAfee's records.

WHAT DOES THE SETTLEMENT PROVIDE? McAfee has agreed to provide an \$11.50 settlement benefit to all class members in the Auto-Renewal Class. You can elect to receive the \$11.50 settlement benefit as cash, but only if you file a <u>Cash Election Form</u> by no later than December 23, 2016. Eligible class members who don't file a Cash Election Form will instead receive an \$11.50 McAfee value certificate good towards the purchase of McAfee or Intel Security consumer products. In addition, McAfee has agreed to implement certain practice changes concerning auto-renewal transactions and pricing advertisements. For more information, visit www.McAfeeWilliamsonSettlement.com.

HOW DO I RECEIVE A CASH PAYMENT? You must file a Cash Election Form to receive a cash payment. There are two ways to file a Cash Election Form: (1) File <u>online</u>, at <u>www.McAfeeWilliamsonSettlement.com</u>; or (2) <u>Print</u> a Cash Election Form, available at <u>www.McAfeeWilliamsonSettlement.com</u>, fill it out, and mail it (with postage) to the address listed on the Cash Election Form. **Cash Election Forms must be filed online or postmarked by December 23, 2016.** If

#### Case 5:14-cv-00158-EJD Document 98-1 Filed 10/29/16 Page 14 of 23

you file online, you can choose to receive the cash payment as a check or as a direct credit to your PayPal account. For Cash Election Forms filed by mail, cash payments will be made by check. Only eligible class members will receive payments.

**YOUR OTHER OPTIONS.** If you don't want to receive a cash payment or other settlement benefits and don't want to be bound by the settlement and any judgment in this case, you must send a written request to exclude yourself from one or both classes, postmarked no later than **November 28, 2016**. If you exclude yourself, you will not receive benefits from the settlement. If you don't exclude yourself, you will give up the right to sue McAfee and related entities about any of the issues related to this case. If you don't exclude yourself, you may object to the settlement or to the request for fees and costs by Class Counsel. The detailed class notice, available at <u>www.McAfeeWilliamsonSettlement.com</u>, explains how to exclude yourself or object. The Court will hold a hearing in the case (*Williamson v. McAfee, Inc.*, Case No. 14 cv 158 EJD; *Kirby v. McAfee, Inc.*, Case No. 14 cv 2475 EJD) on **January 26, 2017 at 10:00 a.m.**, to consider whether to approve: (1) the settlement; (2) attorneys' fees and costs of up to \$2,400,000 for Class Counsel, to be paid by McAfee in addition to the benefits provided to class members; and (3) service awards of \$1,250 each for the two class representatives in this case. You may appear at the hearing, but you don't have to. The Court has appointed attorneys (called "Class Counsel") to represent the class members. These attorneys are listed in the detailed class notice. You may hire your own attorney to appear for you, but if you do so, it will be at your own expense.

WHERE CAN I GET MORE INFORMATION? For more information, visit <u>www.McAfeeWilliamsonSettlement.com</u> or call 1-844-343-1478.

A federal court authorized this notice. This isn't a solicitation from a lawyer. You aren't being sued.

Unsubscribe

From: Sent: To: Subject: McAfee Class Action Settlement Claims Administrator <administrator@qgemail.com> Thursday, October 13, 2016 4:14 PM

Test Notice of McAfee Class Action Settlement.

Personal Identification #:

**REF0** 

Class Action Settlement Notice

#### If you bought McAfee or Intel Security software between January 10, 2010 and February 10, 2015, you may be entitled to benefits from a class action settlement.

Read this notice carefully, as it affects your rights. For more information, visit <u>www.McAfeeWilliamsonSettlement.com</u> or call **1-844-343-1478** 

**WHAT IS THIS CASE ABOUT?** Two consumers filed class action lawsuits saying that McAfee engaged in certain unfair practices about auto-renewal charges and the advertising of discounts and reference prices. McAfee denies that it did anything wrong. The settlement is not an admission of wrongdoing.

**WHO IS INCLUDED?** You are in the "Reference Price Class" if you are in the United States and: (1) you purchased from McAfee or manually renewed through McAfee a subscription for any McAfee or Intel Security software from January 10, 2010 to February 10, 2015, and (2) you paid a discounted price for that purchase or manual renewal.

If you received this notice, you have been identified as being in the Reference Price Class, based on McAfee's records

**WHAT DOES THE SETTLEMENT PROVIDE?** McAfee has agreed to implement certain practice changes concerning auto-renewal transactions and pricing advertisements. For more information, visit <u>www.McAfeeWilliamsonSettlement.com</u>.

**YOUR OPTIONS.** If you don't want to be bound by the settlement and any judgment in this case, you must send a written request to exclude yourself, postmarked no later than **November 28, 2016**. If you exclude yourself, you will not receive benefits from the settlement. If you don't exclude yourself, you will give up the right to sue McAfee and related entities about any of the issues related to this case. If you don't exclude yourself, you may object to the settlement or to the request for fees and costs by Class Counsel. The detailed class notice, available at <u>www.McAfeeWilliamsonSettlement.com</u>, explains how to exclude yourself or object. The Court will hold a hearing in the case (*Williamson v. McAfee, Inc.*, Case No. 14 cv 158 EJD; *Kirby v. McAfee, Inc.*, Case No. 14 cv 2475 EJD) on **January 26, 2017 at 10:00 a.m.**, to consider whether to approve: (1) the settlement; (2) attorneys' fees and costs of up to \$2,400,000 for Class Counsel, to be paid by McAfee in addition to the benefits provided to class members; and (3) service awards of \$1,250 each for the two class representatives in this case. You may appear at the hearing, but you don't have to. The Court has appointed attorneys (called "Class Counsel") to represent the class members. These attorneys are listed in the detailed class notice. You may hire your own attorney to appear for you, but if you do so, it will be at your own expense.

#### Case 5:14-cv-00158-EJD Document 98-1 Filed 10/29/16 Page 16 of 23

WHERE CAN I GET MORE INFORMATION? For more information, visit www.McAfeeWilliamsonSettlement.com or call 1-844-343-1478.

A federal court authorized this notice. This isn't a solicitation from a lawyer. You aren't being sued.

**Unsubscribe** 

Case 5:14-cv-00158-EJD Document 98-1 Filed 10/29/16 Page 17 of 23

## Exhibit C

Medice Williamson Clambo 1980 EUD Document 98-1 Filed 10/29/16 Page Rest-Class Mal 1801 Market Street, Suite 660 Philadelphia, PA 19103

#### **Electronic Service Requested**

#### **CLASS ACTION SETTLEMENT NOTICE**

If you bought McAfee or Intel Security software between January 10, 2010 and February 10, 2015, you may be entitled to benefits from a class action settlement.



#### Page

## To file a Cash Election Form, visit <u>www.McAfeeWilliamsonSettlement.com</u> You must file a Cash Election Form to receive a cash payment

Keed this notice carefully, as it affects your rights. For more information, visit www.McAfeeWilliamsonSettlement.com or call 1-844-343-1478.

WEAT IS THIS CASE ABOUT? Two consumers filed class action lawsuits swang that McAfee engaged in certain unfair practices about auto-renewal charges acount advertising of discounts and reference prices. McAfee denies that it did anything wrong. The settlement is not an admission of wrongdoing.

WGO IS INCLUDED? You are in the "Auto-Renewal Class" if you are in the (Jaced States and you: (1) were charged by McA/ice for the auto-renewal of any McA/ice or Intel Security software from January 10, 2010 to February 10, 2015, (2) your first auto-renewal charge was at a higher price than the price you paid McA/ice for your initial software subscription; and (3) that auto-renewal charge was not fully relinded to you by McA/ice or fully credited to you on your credit or debit card.

Source in the "Reference Price Class" if you are in the United States and: (1) you pucchased from McAfee or manually renewed through McAfee a subscription for an AcAfee or Intel Security software from January 10, 2010 to February 10, 2015, and 20 you paid a discounted price for that purchase or manual renewal.

If you received this notice, you have been identified as being in the Auto-Repreval Class, based on McAfee's records.

w@AT DOES THE SETTLEMENT PROVIDE? McAfee has agreed to poold an \$11.50 settlement benefit to all class members in the Auto-Renewal Class. You can elect to receive the \$11.50 settlement benefit as cash, but **only** if you file a <u>Cash Election Form</u> by no later than December 23, 2016. Eligible case members who don't file a Cash Election Form will instead receive an \$11.50 McAfee value certificate good towards the purchase of McAfee or Intel Security consumer products. In addition, McAfee has agreed to implement certain practice copges concerning auto-renewal transactions and pricing advertisements. For nutry information, visit <u>www.McAfeeWilliamsonSettlement.com</u>.

HOW DO I RECEIVE A CASH PAYMENT? You must file a Cash Election Form to receive a cash payment. There are two ways to file a Cash Election

.4-

Form: (1) File online, at <u>www.McAfeeWiliamsonSettlement.com</u>, fil it Cash Election Form, available at <u>www.McAfeeWiliamsonSettlement.com</u>, fill it out, and mail it (with postage) to the address listed on the Cash Election Form. **Cash Election Forms must be filed online or postmarked by December 23**, **2016**. If you file online, you can choose to receive the cash payment as a check or as a direct credit to your PayPal account. For Cash Election Forms filed by mail, cash payments will be made by check. Only eligible class members will receive payments.

if you do so, it will be at your own expense in the detailed class notice. You may hire your own attorney to appear for you, bu (called "Class Counsel") to represent the class members. These attorneys are lister appear at the hearing, but you don't have to. The Court has appointed attorneys awards of \$1,250 each for the two class representatives in this case. You may by McAfee in addition to the benefits provided to class members; and (3) service (2) attorneys' fees and costs of up to \$2,400,000 for Class Counsel, to be paid January 26, 2017 at 10:00 a.m., to consider whether to approve: (1) the settlement Case No. 14 cv 158 EJD; Kirby v. McAfee, Inc., Case No. 14 cv 2475 EJD) or object. The Court will hold a hearing in the case (Williamson v. McAfee, Inc., at www.McAfeeWilliamsonSettlement.com, explains how to exclude yourself or the request for fees and costs by Class Counsel. The detailed class notice, available to this case. If you don't exclude yourself, you may object to the settlement or to give up the right to sue McAfee and related entities about any of the issues related will not receive benefits from the settlement. If you don't exclude yourself, you will classes, postmarked no later than November 28, 2016. If you exclude yourself, you in this case, you must send a written request to exclude yourself from one or both settlement benefits and don't want to be bound by the settlement and any judgment YOUR OTHER OPTIONS. If you don't want to receive a cash payment or other

WHERE CAN I GET MORE INFORMATION? For more information, visit www.McAfeeWilliamsonSettlement.com or call 1-844-343-1478. You received this postcard because we do not have a valid email address on file. Please visit www.McAfeeWilliamsonSettlement.com to update your email address and receive future communication via email.

A federal court authorized this notice. This isn't a solicitation from a lawyer. You aren't being sued.

Medice Willing to Clambo 1980 Document 98-1 Filed 10/29/16 Page Prest-Class Mal 1801 Market Street, Suite 660 Philadelphia, PA 19103

#### PAID PITTSBURGH, PA PERMIT NO. 35

#### **Electronic Service Requested**

#### **CLASS ACTION SETTLEMENT NOTICE**

If you bought McAfee or Intel Security software between January 10, 2010 and February 10, 2015, you may be entitled to benefits from a class action settlement.



#### Page

## To file a Cash Election Form, visit <u>www.McAfeeWilliamsonSettlement.com</u> You must file a Cash Election Form to receive a cash payment

King this notice carefully, as it affects your rights. For more information, visit www.McAfeeWilliamsonSettlement.com or call 1-844-343-1478.

WAAT IS THIS CASE ABOUT? Two consumers filed class action lawsuits soung that McAfee engaged in certain unfair practices about auto-renewal charges action advertising of discounts and reference prices. McAfee denies that it did anything wrong. The settlement is not an admission of wrongdoing.

WWO IS INCLUDED? You are in the "Auto-Renewal Class" if you are in the Underd States and you: (1) were charged by McAfee for the auto-renewal of any McAfee or Intel Security software from January 10, 2010 to February 10, 2015, (2) your first auto-renewal charge was at a higher price than the price you and McAfee for your initial software subscription; and (3) that auto-renewal charge was not fully remained to you by McAfee or fully credited to you on your credit or debit card.

Seare in the "Reference Price Class" if you are in the United States and: (1) you purchased from ReAfee or manually renewed through McAfee a subscription for anometric and the security software from January 10, 2010 to February 10, 2015, and 2) you paid a discounted price for that purchase or manual renewal.

If some values of the second s

WQAT DOES THE SETTLEMENT PROVIDE? McAfee has agreed to provide an \$11.50 settlement benefit to all class members in the Auto-Recent Class. You can elect to receive the \$11.50 settlement benefit as cash, but only if Class. Flection Form by no later than December 23, 2016. Eligible cash members who don't file a Cash Election Form will instead receive an \$11.50 why file who certificate good towards the purchase of McAfee or Intel Security computer products. In addition, McAfee has agreed to implement certain practice who get concerning auto-renewal transactions and pricing advertisements. For nut information, visit www.McAfeeWilliamsonSettlement.com

 $\frac{1}{100}$  DO I RECEIVE A CASH PAYMENT? You must file a Cash Election Form to receive a cash payment. There are two ways to file a Cash Election

.4-

Form: (1) File online, at www.McAfeeWiliamsonSettlement.com; or (2) Print a Cash Election Form, available at www.McAfeeWiliamsonSettlement.com, fill it out, and mail it (with postage) to the address listed on the Cash Election Form. **Cash Election Forms must be filed online or postmarked by December 23**, 2016. If you file online, you can choose to receive the cash payment as a deck or as a direct credit to your PayPal account. For Cash Election Forms filed by mali, cash payments will be made by check. Only eligible class members will receive payments.

if you do so, it will be at your own expense. in the detailed class notice. You may hire your own attorney to appear for you, bu (called "Class Counsel") to represent the class members. These attorneys are listed appear at the hearing, but you don't have to. The Court has appointed attorneys awards of \$1,250 each for the two class representatives in this case. You may by McAfee in addition to the benefits provided to class members; and (3) service (2) attorneys' fees and costs of up to \$2,400,000 for Class Counsel, to be paid January 26, 2017 at 10:00 a.m., to consider whether to approve: (1) the settlement Case No. 14 cv 158 EJD; Kirby v. McAfee, Inc., Case No. 14 cv 2475 EJD) or object. The Court will hold a hearing in the case (Williamson v. McAfee, Inc., at www.McAfeeWilliamsonSettlement.com, explains how to exclude yourself or the request for fees and costs by Class Counsel. The detailed class notice, available to this case. If you don't exclude yourself, you may object to the settlement or to give up the right to sue McAfee and related entities about any of the issues related will not receive benefits from the settlement. If you don't exclude yourself, you will classes, postmarked no later than November 28, 2016. If you exclude yourself, you in this case, you must send a written request to exclude yourself from one or both settlement benefits and don't want to be bound by the settlement and any judgmen YOUR OTHER OPTIONS. If you don't want to receive a cash payment or other

WHERE CAN I GET MORE INFORMATION? For more information, visit www.McA.feeWilliamson/Settlement.com or call 1-844-343-1478. You received this postcard because we do not have a valid email address on file. Please visit www.McA.feeWilliamson/Settlement.com to update your email address and receive future communication via email.

A federal court authorized this notice. This isn't a solicitation from a lawyer. You aren't being sued.

Medice Williamson Clamon 198 Document 98-1 Filed 10/29/16 Page Prest-Class Mal 1801 Market Street, Suite 660 Philadelphia, PA 19103

#### PAID PITTSBURGH, PA PERMIT NO. 35

#### **Electronic Service Requested**

#### **CLASS ACTION SETTLEMENT NOTICE**

If you bought McAfee or Intel Security software between January 10, 2010 and February 10, 2015, you may be entitled to benefits from a class action settlement.



#### Page

# Read this notice carefully, as it affects your rights. For more information, visit www.McAfeeWilliamsonSettlement.com or call 1-844-343-1478

WHAT IS THIS CASE ABOUT? Two consumers filed class agion lawsuits saying that McAfee engaged in certain unfair factices about auto-renewal charges and the advertising opdiscounts and reference prices. McAfee denies that it find anything wrong. The settlement is not an admission of woongdoing.

WHO IS INCLUDED? You are in the "Reference Price Class" if you are in the United States and: (1) you purchased from McAfee or manually renewed through McAfee a sobscription for any McAfee or Intel Security software from January 10, 2010 to February 10, 2015, and (2) you paid a discounted price for that purchase or manual renewal. If you relevant the Reference Price Class, based on McAfee's records.

WEAT DOES THE SETTLEMENT PROVIDE? McAfee has agreed to implement certain practice changes concerning auto-renewal transactions and pricing advertisements. For more information, visit <u>www.McAfeeWilliamsonSettlement</u>.

YGUR OPTIONS. If you don't want to be bound by the surfiement and any judgment in this case, you must send a vorten request to exclude yourself, postmarked no later than November 28, 2016. If you exclude yourself, you will not

request for fees and costs by Class Counsel. The detailed class exclude yourself, you may object to the settlement or to the entities about any of the issues related to this case. If you don't own expense attorney to appear for you, but if you do so, it will be at your are listed in the detailed class notice. You may hire your own Counsel") to represent the class members. These attorneys have to. The Court has appointed attorneys (called "Class in this case. You may appear at the hearing, but you don't service awards of \$1,250 each for the two class representatives in addition to the benefits provided to class members; and (3) up to \$2,400,000 for Class Counsel, to be paid by McAfee approve: (1) the settlement; (2) attorneys' fees and costs of on January 26, 2017 at 10:00 a.m., to consider whether to cv 158 EJD; Kirby v. McAfee, Inc., Case No. 14 cv 2475 EJD) a hearing in the case (Williamson v. McAfee, Inc., Case No. 14 explains how to exclude yourself or object. The Court will hold notice, available at www.McAfeeWilliamsonSettlement.com, yourself, you will give up the right to sue McAfee and related receive benefits from the settlement. If you don't exclude

WHERE CAN I GET MORE INFORMATION? For more information, visit <u>www.McAfeeWilliamsonSettlement.com</u> or call 1-844-343-1478.

A federal court authorized this notice. This isn't a solicitation from a lawyer. You aren't being sued.

4-