	Case 4:16-cv-01708-JSW Document	1 Filed 04/06/16 Page 1 of 5	
1	CHRISTOPHER VAN GUNDY - SBN 152359 vangundy@khlaw.com		
2	ROBERT S. NIEMANN - SBN 87973		
3	niemann@khlaw.com KELLER AND HECKMAN, LLP		
4	Three Embarcadero Center, Suite 1420 San Francisco, CA 94111		
5	Telephone: 415 948 2800		
6	Facsimile: 415 948 2808		
7	Attorneys for Defendant CLIF BAR & COMPANY		
8 9			
10	UNITED STATES I	DISTRICT COURT	
11	NORTHERN DISTRIC	CT OF CALIFORNIA	
12			
13	GREG PERIEFF and LINDA CHESLOW, on	Case No.	
14	behalf of themselves and all others similarly situated,	NOTICE OF REMOVAL PURSUANT TO	
15	Plaintiffs,	28 U.S.C. SECTION 1441(a) – ORIGINAL FEDERAL QUESTION JURISDICTION	
16	vs.		
17	CLIF BAR & COMPANY, a California corporation,		
18	Defendant.		
19			
20	TO THE CLERK OF THE ABOVE-ENTITL		
21		Clif Bar & Company ("Defendant" or "Clif Bar")	
22	hereby removes to this Court the state court action de		
23		menced in the Superior Court of the State of	
24	California in and for the County of San Francisco, en		
25	themselves and all others similarly situated v. Clif Bar & Company, a California corporation, and		
26	assigned the case number CGC-16-550801. The Con	•	
27	2. The first date upon which Defendant received a copy of the Summons and Complaint was		
28	March 8, 2016, when Defendant was served by perso	nal delivery with the Complaint, two versions of the	
	Case No. 1 NOTICE OF REMOVAL PURSUANT TO 28 U.S.C. SECTION 1441(a) ORIGINAL FEDERAL QUESTION JURISDICTION		
	OKIOIIVAL FEDERAL QU		

# Case 4:16-cv-01708-JSW Document 1 Filed 04/06/16 Page 2 of 5

Summons (one version dated "3/8/16," and the other version undated and in a different handwriting), and
 "Other Service Documents" consisting of: a Civil Case Cover Sheet; a Notice To Plaintiff; an Alternative
 Dispute Resolution Notice Information Package; a blank form Stipulation To Alternative Dispute
 Resolution (ADR); and a blank form Case Management Statement. A copy of both versions of the
 Summons are attached hereto as Exhibit B; a copy of the Other Service Documents are attached as
 Exhibit C. Because Defendant received the Complaint and Summonses on March 8, 2016, removal is
 therefore timely pursuant to 28 U.S.C. Section 1446(b).

3. 8 This action is a civil action over which this Court has original jurisdiction pursuant to 28 9 U.S.C. Section 1331, and is one which may be removed to this Court by Defendant pursuant to the 10 provisions of 28 U.S.C. Section 1441(a) regarding original (federal question) jurisdiction. Plaintiffs' 11 state-law claims necessarily raise stated federal issues, actually disputed and substantial, which a federal 12 forum may entertain without disturbing any congressionally approved balance of federal and state 13 judicial responsibilities. Grable & Sons Metal Products, Inc. v. Darue Engineering & Mfg., 545 U.S. 14 308, 314 (2005). The gravamen of plaintiffs' state-law consumer deception claims is whether Clif Bar's 15 alleged "essential to health" and "nutritious," "wholesome," or "good for your body" label claims 16 associated with nutrient content statements fail to comply with the federal Food, Drug, and Cosmetic Act 17 of 1938 ("FDCA"), 21 U.S.C. §§ 301-399f, and applicable U.S. Food and Drug Administration's 18 ("FDA") regulations, including advisory statements by FDA in 1994 regarding its then interpretation of 19 applicable regulations. See Complaint, ¶2, citing Food Labeling: Nutrient Content Claims, Definition of 20 Term: Healthy, 59 Fed. Reg. 24232 (May 10, 1994).

21 4. Regarding Clif Bar's supposed use of the term "health" in connection with nutrient 22 content label statements (e.g., "essential for women's health" used in association with "12g Protein"), for 23 example, Plaintiffs allege violations of no fewer than 13 separate FDA regulations [(21 C.F.R. §§ 24 101.9(c)(8)(ii), 101.9(c)(8)(iv), 101.12(b), 101.13(b), 101.13(b)(2)(ii), 101.62(b)(2), 101.62(c)(2), 101.62(c)(25 101.65(d), 101.65(d)(1)(i-ii), 101.65(d)(2), 101.65(d)(2)(i), 101.65(d)(2)(i)(A-E), and26 101.65(d)(2)(i)(F)]; and five distinct United States Code provisions (21 U.S.C. §§ 331(a), 343(a)(1), 27 343(q)(1)(D), 343(r), and 343(r)(1)(A)). Plaintiffs claim deception in that had they known that levels of 28 total fat and saturated fat allegedly exceeded levels permitted by these FDA regulations for use of the Case No.

# Case 4:16-cv-01708-JSW Document 1 Filed 04/06/16 Page 3 of 5

1 challenged "health" nutrient content claims, they allegedly would not have bought the product, would 2 have bought fewer items, etc. These regulations were promulgated as part of the federal Nutrition 3 Labeling and Education Act of 1990 ("NLEA"), as amendments to the FDCA.

5. Congress enacted NLEA to "provide for *national uniformity* in nutritional labeling on food products supplied to consumers." See Remarks of Rep. McMillan, 136 Cong. Rec. 5836-01, 1990 WL 107635 at 23 (daily ed. July 30, 1990) (debate on H.R. No. 3562, 101st Cong., 2d Sess.) (emphasis supplied). Moreover, NLEA expressly preempts any state law that "is not identical to" the aforementioned FDCA provisions and FDA "healthy" nutrient content regulations. 21 U.S.C. § 343-1(r). Together, the plain language of NLEA evinces Congress' intent to create a "national," "uniform" set of *federal* law governing nutrient content declarations on food labels, since one obviously cannot know whether state law is "identical to" federal law without interpreting and applying federal law.

12 6. For purposes of determining original jurisdiction in this Court, therefore, Plaintiffs' claims 13 necessarily "arise under" federal law, actually stated and disputed, as evidenced by the many federal law 14 references in Plaintiffs' Complaint. Congress' decision to ensure that federal law effectively governs 15 nutrient content labeling is the necessary result of its desire for "national uniformity" in the regulation of 16 nutrition labeling on food products. Indeed, there is no California state law governing nutrient content labeling other than the one-sentence adoption of federal law in the California Health & Safety Code. Cal. 18 Health & Safety Code §110670.

7. 19 Clif Bar products – including the ones challenged here – enjoy nationwide distribution in 20 every sales channel. A California state court's interpretation and application of complex federal nutrient 21 content regulations could serve as precedent for all food products nationwide, which hardly promotes 22 "national uniformity." Such state-law claims indisputably require reference exclusively to federal law to 23 ensure that state law is "identical to" federal law.

24 8. Put another way, Plaintiffs' state-law claims cannot be resolved without interpretation and application of federal law. Therefore, Plaintiffs' claims "arise under" federal law, and should be heard in 25 26 a federal court to ensure "national uniformity." See Cnty. Of Santa Clara v. Astra USA, Inc., 401 F. 27 Supp. 2d 1022, 1025 (N.D. Cal. 2005) (denying motion to remand involving Section 17200 state-law claims based on violation of federal price limitations on prescription medications because "federal law 28

Case No.

4

5

6

7

8

9

10

11

## Case 4:16-cv-01708-JSW Document 1 Filed 04/06/16 Page 4 of 5

undergirds a claim otherwise based in state law"). The exercise of "arising under" original jurisdiction in this Court thus hardly would constitute "disturbing any congressionally approved balance of federal and state judicial responsibilities," since national uniformity is promoted with the interpretation and application of federal law *in a federal forum*. *See Grable & Sons, supra*, 545 U.S. at 314.

9. A closer examination of Plaintiffs' state-law claims supports the foregoing conclusions. For example, as the predicate acts for their claims under Sections 17200 and 17500 of California Unfair Competition Law, and Section 1770 of California's Consumer Legal Remedies Act, Plaintiffs aver that Clif Bar's use of the term "health" associated with nutrient content claims as to certain products violates numerous FDCA statutory provisions and FDA regulations. However, more than half of the nutrient content claims challenged by Plaintiffs do not use the term "health" or "healthy" at all, as alleged by Plaintiffs, but rather "wholesome," "nutritious," and/or "good for the body" that are not addressed in any federal statute or regulation.

13 10. Instead, Plaintiffs claim that these "wholesome" claims violate FDA "healthy" nutrient content regulations because in 1994, in an advisory statement to the final rule on "healthy" nutrient 14 15 content claims, FDA in the Federal Register equated such "wholesome" terms with "healthy." Such 16 advisory statements do not have the force of law, were not incorporated into California law, and will 17 require a California state court to declare whether this advisory statement applies to the products 18 challenged here, or even reflects the agency's current interpretation of the "healthy" nutrient content 19 regulations. A federal court can and should exercise its "arising under" original jurisdiction over these 20 claims to divine current agency interpretation, if that is relevant at all, particularly where such a 21 determination could serve as a national precedent for the national food distribution system.

11. Venue is proper in this Court pursuant to 28 U.S.C. Sections 1441 (a) and 1446(a) because
the U.S. District Court for the Northern District of California is the federal judicial district embracing the
Superior Court for the State of California, San Francisco County, where the state court action was
originally filed.

26 12. Defendant Clif Bar & Company is the only Defendant named in the operative complaint;
27 thus, there is no disagreement among Defendants about the decision to remove this case to the United
28 States District Court.

Case No.

1

2

3

4

5

6

7

8

9

10

11

# Case 4:16-cv-01708-JSW Document 1 Filed 04/06/16 Page 5 of 5

1	13.	Pursuant to 28 U.S.C. Section 144	6(a),	a true and correct copy of all the process,
2	pleadings, and orders served upon Defendant Clif Bar & Company in the state court action are being			
3	filed with this Notice of Removal.			
4	14.	Attached as Exhibit D are the Proc	of of	Service – Summons, and Proof of Service – By
5	mail, served o	n Clif Bar on March 8, 2016.		
6	15.	Attached as Exhibit E is Plaintiffs'	' App	lication for Complex Case Designation, filed in
7	the Superior C	Court in and for the County of San F	Franc	isco on April 5, 2016, with exhibits.
8	Dated: April	6, 2016		KELLER AND HECKMAN, LLP
9				
10			By:	/s/
11				Christopher Van Gundy Attorneys for Defendant Clif Bar & Company
12				
13	4834-6854-6351, v.	1		
14				
15				
16				
17				
18				
19				
20 21				
21 22				
23				
24				
25				
26				
27				
28				
	Case No.		5	
				L PURSUANT TO FEDERAL QUESTION JURISDICTION
1	1			

# Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 1 of 67

2)	Case 4:16-cv-01708-JSW Documer	it 1-1 Filed 04/06/16 Page 1 of 67
	PAYNE & KRAVEC, LLC	ENDORSED FILEED Superior Court of California County of San Francisco MAR - 4 2016 CLERK OF THE COURT BY: BOWMAN LIU Deputy Clerk
8	Allegheny Building, Suite 1705 Pittsburgh, PA 15219 Tel : 412-281-8400	
9 10	Fax: 412-281-1007 Email: jkravec@fdpklaw.com	
11 12	Jason B. Adkins (pro hac to be filed) ADKINS, KELSTON & ZAVEZ, P.C.	
13	90 Canal Street, 5th Floor Boston, MA 02114	
14	Tel.: (617) 367-1040 Fax: (617) 742-8280 Fmail: iadking@skalamaaa	
15	Email: jadkins@akzlaw.com	
16	ATTORNEYS FOR PLAINTIFFS AND THE PROPOSED CLASSES	
17		
18	SUPERIOR COURT OF T	HE STATE OF CALIFORNIA
19	FOR THE COUNTY	OF SAN FRANCISCO
20 21	GREG PERIEFF and LINDA CHESLOW, on behalf of themselves and all others	CASE NO.: C G C - 16 - 550801
21	similarly situated,	CLASS ACTION
23	Plaintiffs,	CLASS ACTION COMPLAINT FOR DAMAGES, DECLARATORY AND
24	VS.	INJUNCTIVE RELIEF
25	CLIF BAR & COMPANY, a California corporation,	DEMAND FOR JURY TRIAL
26	Defendant.	
27		
28		
	CLASS ACTION COMPLAINT FOR DAMAGE Cas	ES, DECLARATORY AND INJUNCTIVE RELIEF e No.:

Plaintiffs Greg Perieff and Linda Cheslow ("Plaintiffs"), by their attorneys, bring this class 2 action against Defendant Clif Bar & Company ("Defendant" or "Clif") individually and on behalf of themselves and all others similarly situated and allege as follows based upon the investigation of 4 their counsel:

#### **INTRODUCTION**

1. This is a consumer class action seeking damages, restitution, declaratory, and injunctive relief on behalf of California consumers against Clif based on Clif's unlawful labeling, sales, and distribution of mislabeled and misbranded LUNA brand energy and protein bars (collectively, "LUNA Bars")<sup>1</sup> and CLIF brand energy and protein bars (collectively, "CLIF Bars")<sup>2</sup>

10

1

3

5

6

7

8

9

- 11
- 12

1

Plaintiffs' counsel's pre-suit investigation identified the following LUNA Bars currently being offered for sale that are subject to this action: LUNA Whole Nutrition Bars in these varieties 13 (Blueberry Bliss, Caramel Nut Brownie, Chocolate Cupcake, Chocolate Dipped Coconut, Chocolate Peppermint Stick, Honey Salted Peanut, Iced Oatmeal Raisin, Lemon Zest, Nutz over Chocolate, 14 Peanut Butter Cookie, S'mores, Vanilla Almond, and White Chocolate Macadamia) identified in Exhibit A hereto and LUNA Protein Bars in these varieties (Chocolate Chip Cookie Dough, 15 Chocolate Coconut Almond, Chocolate Peanut Butter, Chocolate Salted Caramel, Lemon Vanilla, Mint Chocolate Chip, and Berry Greek Yogurt) identified in Exhibit B hereto. The LUNA Bars are 16 offered for sale individually and in differently sized multicount boxes (e.g., 6-count boxes or 12count boxes). The LUNA Bars' label statements as discussed in this Complaint are uniform on both 17 the LUNA Bars' individual labels and the differently sized multicount boxes. Furthermore, the multicount boxes contain LUNA Bars bearing the individual LUNA Bars' labels identified in 18 Exhibits A and B. Plaintiffs reserve the right to amend this Complaint to add additional Clif products that violate California law in the same ways alleged herein that Plaintiffs identify through 19 further investigation or discovery.

<sup>20</sup> <sup>2</sup> Plaintiffs' counsel's pre-suit investigation identified the following CLIF Bars currently being offered for sale that are subject to this action: CLIF Kid Organic Zbars in these varieties (Chocolate 21 Brownie, Chocolate Chip, Iced Lemon Cookie, Iced Oatmeal Cookie, and S'mores) identified in Exhibit C hereto, CLIF Kid Protein Zbars in these varieties (Chocolate Chip, Chocolate Mint, and 22 Peanut Butter Chocolate) identified in Exhibit D hereto, CLIF MOJO Sweet and Salty Trail Mix Bars (Dark Chocolate Almond Coconut, Mountain Mix, and Peanut Butter Pretzel) identified in 23 Exhibit E hereto, and CLIF Organic Trail Mix Bars (Dark Chocolate Almond Sea Salt, Dark Chocolate Cherry Almond, Dark Chocolate Peanut Butter, Dark Chocolate Pomegranate Raspberry, 24 Cranberry Almond, Wild Blueberry Almond, and Coconut Almond Peanut) identified in Exhibit F hereto. The CLIF Bars are offered for sale individually and in differently sized multicount boxes 25 (e.g., 6-count boxes or 12-count boxes). The CLIF Bars' label statements as discussed in this Complaint are uniform on both the CLIF Bars' individual labels and the differently sized multicount 26 boxes. Furthermore, the multicount boxes contain CLIF Bars bearing the individual CLIF Bars' labels identified in Exhibits C, D, E, and F. Plaintiffs reserve the right to amend this Complaint to 27 add additional Clif products that violate California law in the same ways alleged herein that Plaintiffs identify through further investigation or discovery. 28

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 3 of 67

in California. Clif holds itself out as the leading purveyor of "*health* and lifestyle bars,"<sup>3</sup> but the 2 reality is that Clif misleads consumers by misrepresenting that its LUNA Bars and CLIF Bars are 3 healthy and contain a nutrient profile consistent with dietary recommendations when, in fact, they do not meet the requirements for making such claims in violation of the Federal Food, Drug, and 4 5 Cosmetic Act of 1938 ("FDCA"), 21 U.S.C. §§ 301–399f, the U.S. Food and Drug Administration's ("FDA") regulations implementing the FDCA, and California's Sherman Food, Drug and Cosmetic 6 7 Law ("Sherman Law"), Cal. Health & Safety Code §§ 109875–111915. Notably, the Sherman Law 8 adopts all FDA food-labeling regulations promulgated pursuant to the FDCA. Cal. Health & Safety 9 Code § 110100(a).

10 2. The FDA, after extensive fact-finding, determined that labeling claims representing 11 that foods are healthy and contain beneficial nutrients (as defined herein, "Healthy Nutrient Content 12 Claims") convey a potent message to consumers that the products are useful in maintaining a healthy 13 diet. See 59 Fed. Reg. 24,232-01, 24,233. In other words, based on its examination of consumer 14 data, the FDA determined that consumers interpret Healthy Nutrient Content Claims to mean that a 15 food has a nutrient profile consistent with dietary recommendations. To ensure that consumers are 16 not deceived or misled by labeling that represents food as being healthy, the FDA promulgated 17 regulations including, *inter alia*, 21 C.F.R. § 101.65(d), that allow Healthy Nutrient Content Claims 18 to be made only on food products that satisfy a specific nutrient profile. None of Clif's LUNA Bars 19 or CLIF Bars at issue satisfy that required nutrient profile.

3. 20 Specifically, 21 C.F.R. § 101.65(d) requires that foods whose labels make Healthy 21 Nutrient Content Claims contain no more than minimal levels of total fat and saturated fat. Foods 22 with Healthy Nutrient Content Claims in violation of this regulation by extension violate the FDCA, 23 21 U.S.C. §§ 343(a)(1) & (r), and the Sherman Law, Cal. Health & Safety Code §§ 109930, 24 110100(a) & 110670.

25 26

27

28

1

Clif Bar & Co., The Clif Bar & Company Story, http://www.clifbar.com/article/ clif\_bar\_company\_story (emphasis added).

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 4 of 67

4. This case concerns Clif's ongoing use of Healthy Nutrient Content Claims on the
 labels of its LUNA Bars and CLIF Bars containing higher levels of total fat and/or saturated fat than
 is consistent with dietary recommendations in violation of 21 C.F.R. § 101.65(d), the FDCA, and the
 Sherman Law.

5

6

5. The representations that Clif's LUNA Bars and CLIF Bars are healthy are false, misleading, and material to consumers' decisions to purchase Clif's LUNA Bars and CLIF Bars.

7 6. Clif's conduct alleged herein is false, misleading, and unlawful in violation of the 8 following provisions of California's Sherman Law: Cal. Health & Safety Code § 110660 9 (prohibiting false and misleading labeling), § 110670 (prohibiting nutrient content claims not 10 allowable under FDCA and FDA regulations), § 110760 (prohibiting manufacture, sale, delivery, 11 etc. of misbranded food), and §§ 109930 and 110100(a) (adopting FDCA regulations violated as 12 described below), as well as other applicable state consumer laws. Clif's identical conduct that 13 violates the Sherman Law and other applicable state laws also violates the FDCA, 21 U.S.C. 14 § 343(a)(1) (prohibiting false and misleading labeling), § 343(r) (prohibiting nutrient content claims 15 not defined by FDA regulations), and § 331(a) (prohibiting introduction or delivery for introduction 16 into interstate commerce of misbranded food). This identical conduct serves as the sole factual basis 17 for each state-law cause of action brought by this Complaint, and Plaintiffs do not seek to enforce 18 any of the state-law claims raised herein to impose any standard of conduct that exceeds that which 19 would violate the FDCA and regulations adopted pursuant thereto. Thus, Plaintiffs' state-law claims 20 for state-law violations seek to enforce the same standard of conduct required by federal law, and 21 Plaintiffs' state-law claims are based upon Clif's breach of that standard of conduct. For any of 22 Plaintiffs' state-law causes of action, the allegations supporting those causes of action and any forms 23 of relief sought for those state-law causes of action, Plaintiffs expressly disclaim any attempt to hold 24 Clif to a higher standard of conduct than what is required under federal law and do not seek any 25 form of relief based on conduct exceeding that which is required under federal law. All state-law 26 causes of action asserted in this Complaint, the allegations supporting those state-law causes of 27 action asserted herein, and any forms of relief sought for those state-law causes of action asserted 28 herein shall be read consistent with the limitations set forth in this paragraph.

7. 1 Accordingly, Plaintiffs bring this action on behalf of California consumers to declare 2 that Clif's LUNA Bars and CLIF Bars are misbranded and to enjoin Clif from continuing to sell the 3 LUNA Bars and CLIF Bars in California in a manner that violates 21 C.F.R. § 101.65(d), the FDCA, 4 and the Sherman Law, and mislead consumers, through their improper use of Healthy Nutrient 5 Content Claims (as more fully defined herein, the "LUNA Bars Declaratory/Injunctive Class" and 6 the "CLIF Bars Declaratory/Injunctive Class"), and to recover damages and restitution on behalf of 7 California consumers who purchased Clif's LUNA Bars and CLIF Bars in California (as more fully 8 defined herein, the "LUNA Bars Damages Class" and the "CLIF Bars Damages Class"). 9 **THE PARTIES** 10 8. Plaintiff Greg Perieff is a natural person and a citizen of the State of California, 11 residing in San Francisco County. Between February 2013 and September 2015, Plaintiff Perieff 12 purchased the following CLIF Bars: 13 a. CLIF Kid Organic Chocolate Brownie Zbar; 14 CLIF Kid Organic Chocolate Chip Zbar; b.

- c. CLIF Kid Organic Iced Lemon Cookie Zbar;
- d. CLIF Kid Organic Iced Oatmeal Cookie Zbar;
  - e. CLIF Kid Organic S'mores Zbar;

15

16

17

18

19

20

21

22

23

- f. CLIF Kid Chocolate Chip Protein Zbar;
  - g. CLIF Kid Chocolate Mint Protein Zbar;
- h. CLIF Kid Peanut Butter Chocolate Protein Zbar;
  - i. CLIF MOJO Sweet and Salty Dark Chocolate Almond Coconut Trail Mix Bar;
    - j. CLIF MOJO Sweet and Salty Mountain Mix Trail Mix Bar; and
  - k. CLIF MOJO Sweet and Salty Peanut Butter Pretzel Trail Mix Bar.

Plaintiff Perieff purchased these CLIF Bars from retail stores, including Target, near his home in San
Francisco, California; Target in Colma, California; and Walmart in Sonora, California. Plaintiff
Perieff purchased approximately one to two multicount boxes of Clif's CLIF MOJO Sweet and Salty
Trail Mix Bars for himself every month between February 2013 and September 2013. In addition,
Plaintiff Perieff purchased approximately one to two multicount boxes of Clif's CLIF Kid Organic

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 6 of 67

Zbars and CLIF Kid Protein Zbars every month between February 2013 and September 2013. 1 2 Furthermore, Plaintiff Perieff purchased approximately one to two multicount boxes of Clif's CLIF 3 Kid Organic Zbars and CLIF Kid Protein Zbars every two months between January 2014 and September 2015. Plaintiff Perieff purchased Clif's CLIF Kid Organic Zbars and CLIF Kid Protein 4 5 Zbars for his young cousins, whom he regularly babysat in 2013, and with whom he regularly attends family events. Clif's CLIF MOJO Sweet and Salty Trail Mix Bars that Plaintiff Perieff 6 7 purchased all bore Healthy Nutrient Content Claims because they were labeled as containing 8 nutrients that are "good for . . . our bodies," together with one or more nutrient statements (e.g., "8g Protein" or "3g Fiber"). Clif's CLIF Kid Organic Zbars and CLIF Kid Protein Zbars that Plaintiff 9 10 Perieff purchased all bore Healthy Nutrient Content Claims because they were labeled as being 11 "nutritious" and/or "wholesome," and/or containing ingredients "to keep kids ... growing," together 12 with one or more nutrient statements (e.g., "3g Fiber," "5g Protein," or "Excellent Source of 13 Calcium"). Plaintiff Perieff read and relied on the Healthy Nutrient Content Claims displayed on the 14 labeling of the CLIF Bars that he purchased and understood the Healthy Nutrient Content Claims as 15 indicating that the CLIF Bars would help him or his young cousins maintain healthy dietary 16 practices because of their nutrient content. In fact, the labeling of Clif's CLIF Bars reinforces the 17 impression that the product lines are all healthy and nutritious when, in reality, they all fail to meet 18 the regulatory requirements to make such claims. Had Plaintiff Perieff known the truth that the 19 CLIF Bars' Healthy Nutrient Content Claims violated the FDA's requirements because the CLIF Bars had more total fat and/or saturated fat than permitted for products making Healthy Nutrient 20 21 Content Claims, this would have materially affected his purchasing considerations in at least one of 22 the following ways, namely Plaintiff Perieff: (i) would not have purchased the CLIF Bars; (ii) would 23 have been willing to pay less for the CLIF Bars that he purchased; (iii) would have purchased a 24 lesser quantity of the CLIF Bars that he purchased: (iv) would have purchased alternative products 25 that he felt were truly useful in maintaining healthy dietary practices; and/or (v) would have 26 purchased a similar product that was less expensive.

- 27
- 28

CLASS ACTION COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF Case No.:

# Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 7 of 67

1	9. Plaint	iff Linda Cheslow is a natural person and a citizen of the State of California,
2	residing in Sonoma	County. Between February 2013 and December 2015, Plaintiff Cheslow
3	purchased the follow	ing LUNA Bars and CLIF Bars:
4	a.	LUNA Whole Nutrition Bars Caramel Nut Brownie;
5	b.	LUNA Whole Nutrition Bars Chocolate Cupcake;
6	с.	LUNA Whole Nutrition Bars Chocolate Dipped Coconut;
7	d.	LUNA Whole Nutrition Bars Honey Salted Peanut;
8	e.	LUNA Whole Nutrition Bars Iced Oatmeal Raisin;
9	f.	LUNA Whole Nutrition Bars Nutz over Chocolate;
10	g.	LUNA Whole Nutrition Bars S'mores;
11	h.	LUNA Whole Nutrition Bars Vanilla Almond;
12	i.	LUNA Whole Nutrition Bars White Chocolate Macadamia;
13	j.	LUNA Protein Bars Chocolate Chip Cookie Dough;
14	k.	LUNA Protein Bars Chocolate Coconut Almond;
15	1.	LUNA Protein Bars Chocolate Salted Caramel;
16	m.	LUNA Protein Bars Mint Chocolate Chip;
17	n.	CLIF MOJO Sweet and Salty Dark Chocolate Almond Coconut Trail Mix
18		Bar;
19	0.	CLIF MOJO Sweet and Salty Mountain Mix Trail Mix Bar;
20	p.	CLIF Dark Chocolate Almond Sea Salt Organic Trail Mix Bar;
21	q.	CLIF Dark Chocolate Cherry Almond Organic Trail Mix Bar;
22	r.	CLIF Dark Chocolate Pomegranate Raspberry Organic Trail Mix Bar;
23	S.	CLIF Cranberry Almond Organic Trail Mix Bar; and
24	t.	CLIF Coconut Almond Peanut Organic Trail Mix Bar.
25	Plaintiff Cheslow pu	rchased these LUNA Bars and CLIF Bars from retail stores including Target,
26	Whole Foods, Safew	vay, Raley's, and Grocery Outlet near her home in Santa Rosa, California, as
27	well as from retail st	ores including Safeway in San Francisco, California. When shopping near her
28	home in Santa Rosa	California, Plaintiff Cheslow purchased approximately two to three of Clif's
	CLASS ACTION COMP Case No.:	6 LAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 8 of 67

LUNA Bars every week between February 2013 and September 2015, and approximately one of 1 2 Clif's CLIF Bars every one to two weeks between February 2013 and December 2015. When 3 shopping in San Francisco, California, Plaintiff Cheslow purchased approximately one of Clif's 4 LUNA Bars every two months between February 2013 and December 2015, and approximately three 5 of Clif's CLIF Bars every year between February 2013 and December 2015. In addition, when shopping near her home in Santa Rosa, California, Plaintiff Cheslow purchased one multicount box 6 7 of Clif's LUNA Bars approximately every year between February 2013 and September 2015, and 8 approximately one to two multicount boxes of Clif's CLIF Bars every year between February 2013 and December 2015. Clif's LUNA Bars that Plaintiff Cheslow purchased all bore Healthy Nutrient 9 10 Content Claims because they were labeled as being "Whole Nutrition Bar[s] for Women," 11 containing nutrients "essential to women's health" and/or "importan[t] to many women seeking to 12 maintain their health," and/or providing "the nutrition we [women] need to stay strong," together 13 with one or more nutrient statements (e.g., "9g Protein" or "4g Fiber"). Clif's CLIF Bars that 14 Plaintiff Cheslow purchased all bore Healthy Nutrient Content Claims because they were labeled as 15 being "good for . . . our bodies," together with one or more nutrient statements (e.g., "8g Protein" or 16 "3g Fiber"). Plaintiff Cheslow read and relied on the Healthy Nutrient Content Claims displayed on 17 the labeling of the LUNA Bars and CLIF Bars that she purchased and understood the Healthy 18 Nutrient Content Claims as indicating that the LUNA Bars and CLIF Bars would help her maintain 19 healthy dietary practices because of their nutrient content. In fact, the labeling of Clif's LUNA Bars 20 and CLIF Bars reinforces the impression that the product lines are all healthy and nutritious when, in 21 reality, they all fail to meet the regulatory requirements to make such claims. Had Plaintiff Cheslow 22 known the truth that the LUNA Bars' and CLIF Bars' Healthy Nutrient Content Claims violated the 23 FDA's requirements because the LUNA Bars and CLIF Bars had more total fat and/or saturated fat 24 than permitted for products making Healthy Nutrient Content Claims, this would have materially 25 affected her purchasing considerations in at least one of the following ways, namely Plaintiff 26 Cheslow: (i) would not have purchased the LUNA Bars and CLIF Bars; (ii) would have been willing 27 to pay less for the LUNA Bars and CLIF Bars that she purchased; (iii) would have purchased lesser 28 quantities of the LUNA Bars and CLIF Bars that she purchased: (iv) would have purchased

# Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 9 of 67

alternative products that she felt were truly useful in maintaining healthy dietary practices; and/or (v) would have purchased a similar product that was less expensive.

1

2

3

4

5

6

7

8

9

10

11

12

13

10. Defendant Clif Bar & Company is and at all material times has been a California corporation with its headquarters in Emeryville, California.<sup>4</sup> Clif manufacturers a variety of energy and protein bars and touts itself as "a category leader among *health* and lifestyle bars," having experienced "double-digit, compounded annual growth for the past 10 years."<sup>5</sup> Apart from the LUNA Bars' labeling as described throughout this Complaint, Clif promotes its LUNA Bars as providing nutrients, including calcium, iron, folic acid, and Vitamin D, that are essential to women's health.<sup>6</sup> In addition, apart from the CLIF Bars' labeling as described throughout this Complaint, Clif promotes its CLIF Kid Organic Zbars and CLIF Kid Protein Zbars as containing nutrients particularly beneficial to children to help them grow.<sup>7</sup> Finally, apart from the CLIF Bars' labeling as described throughout this Complaint, Clif promotes its MOJO Sweet and Salty Trail Mix Bars and CLIF Organic Trail Mix Bars as being good for consumers' bodies.<sup>8</sup> Clif was reported to be valued at \$340 million in April 2013.<sup>9</sup> 14

15

16

Cal. Sec'y of State, Business Search, http://kepler.sos.ca.gov/ (search "Corporation Name" for "Clif Bar & Company"; then follow "CLIF BAR & COMPANY" hyperlink).

<sup>17</sup> Clif Bar & Co., The Clif Bar & Company Story, http://www.clifbar.com/article/ clif\_bar\_company\_story (emphasis added). 18

<sup>&</sup>lt;sup>6</sup> See, e.g., Clif Bar & Co., LUNA Feeds Women's Need for a Nutritious and Indulgent Snack with 19 New LUNA Protein Flavors, Jan. 14, 2014, http://www.clifbar.com/text/lunar-feeds-womens-needfor-a-nutritious-and-indulgent-snack-with-new-luna-protein-flavors ("LUNA nourishes women with 20 delicious snacks made from 70 percent organic ingredients and with the CORE 4 vitamins and minerals *essential to women's health*—calcium, iron, folic acid and vitamin D.") (emphasis added). 21

<sup>&</sup>lt;sup>7</sup> See, e.g., Clif Bar & Co., Clif Kid Zbar, http://www.clifbar.com/products/clif-kid/zbar ("An 22 organic baked whole grain snack made with a *nutritious blend of carbohydrates, fiber, protein, and* fat to maintain kids' energy ... so kids can keep zipping and zooming along."); Clif Bar & Co., 23 Zbar Protein, http://www.clifbar.com/products/clif-kid/zbar-protein ("A delicious whole grain protein snack made with *nutritious building blocks for kids' growing bodies* ... so kids can keep 24 zipping and zooming along.") (All emphasis added).

<sup>25</sup> <sup>8</sup> See, e.g., Clif Bar & Co., CLIF Mojo Sweet & Salty Trail Mix Bar, http://www.clifbar.com/ products/mojo/sweet-salty ("Ready to go whenever and wherever you are, CLIF MOJO combines 26 simple and organic ingredients that are good for both our bodies and the planet."); Clif Bar & Co., CLIF Organic Trail Mix Bar - Dark Chocolate, http://www.clifbar.com/ products/organic-trail-mix-27 bar/dark-chocolate ("CLIF Organic Trail Mix Bar is made for mixing it up and discovering all the good stuff the day has to offer. Combining simple and organic ingredients that are good for both 28 our bodies and the planet, our trail mix bar comes in flavors for just about every occasion no matter

7

8

9

11

12

#### JURISDICTION AND VENUE

11. This Court has jurisdiction over the parties, and venue is proper in San Francisco County Superior Court.

12. This Court has jurisdiction and venue pursuant to Cal. Civ. Proc. Code §§ 395.5 & 410.10 and Cal. Civ. Code § 1780(d) over the claims raised in this Complaint for the following reasons: (i) Clif regularly sells, advertises, markets, and/or distributes the LUNA Bars and CLIF Bars in San Francisco County and throughout the State of California; (ii) a substantial portion of the underlying transactions and events complained of herein occurred and affected persons and entities that reside in San Francisco County; (iii) Plaintiffs are citizens and residents of California; 10 (iv) Plaintiff Perieff resides in San Francisco County; and (v) Plaintiffs each purchased Clif products at issue in this Complaint in California, including San Francisco County. Attached hereto as Exhibit G are Plaintiffs' declarations establishing that venue is appropriate in San Francisco County pursuant 13 to Cal. Civ. Code § 1780(d).

14 13. There is no jurisdiction in any U.S. District Court over the claims raised in this 15 Complaint. There is no "federal question" U.S. District Court jurisdiction because Plaintiffs' claims 16 do not arise under the Constitution, laws, or treaties of the United States. 28 U.S.C. § 1331. There 17 is no U.S. District Court jurisdiction under the general "diversity of citizenship" statute because 18 Plaintiffs, members of the Classes, and Clif are, and at the times of the transactions at issue were, 19 California citizens. 28 U.S.C. § 1332(a)(1). There is no U.S. District Court jurisdiction under the 20 Class Action Fairness Act of 2005 ("CAFA"), Pub. Law No. 109-2, 119 Stat. 4 (2005), because no 21 member of the Classes is a citizen of a state different from Clif since Clif, Plaintiffs, and members of 22 the Classes are, and at the times of the transactions at issue were, California citizens. 28 U.S.C.

- 23
- 24

25

26

where the trail takes you."); Clif Bar & Co., CLIF Organic Trail Mix Bar - Fruit & Nut, http://www.clifbar.com/products/organic-trail-mix-bar/fruit-nut ("CLIF Organic Trail Mix Bar is made for mixing it up and discovering all the good stuff the day has to offer. Combining simple and organic ingredients that are good for both our bodies and the planet, our trail mix bar comes in flavors for just about every occasion no matter where the trail takes you.") (All emphasis added).

27 <sup>9</sup> Matt Villano, "Clif Bar Founders Get into Venture Capital," Entrepreneur Media, Inc., Apr. 15, 2013, http://www.entrepreneur.com/article/225819. 28

9

§ 1332(d)(2). If Clif contends that jurisdiction is appropriate under the CAFA as a threshold matter, 1 2 U.S. District Court jurisdiction still is lacking because the mandatory exceptions codified at 28 3 U.S.C.  $\S$  1332(d)(4)(A) and (B) apply because (a) greater than two-thirds of the members of the 4 Classes are California citizens (and were California citizens at the times of the transactions at issue), 5 Clif is the sole defendant from whom significant relief is sought by members of the Classes, Clif's 6 alleged conduct forms a significant basis for the claims asserted by the Classes, Clif is a California 7 citizen (and was a California citizen at the times of the transactions at issue), and no other class 8 action has been filed concerning Clif's use of Healthy Nutrient Content Claims in the last three 9 years; and/or (b) at least two-thirds of the members of the Classes and Clif are California citizens 10 (and were California citizens at the times of the transactions at issue).

- 11
- 12

13

#### CALIFORNIA AND FEDERAL LAW DEFINE NUTRIENT CONTENT CLAIMS AND PROHIBIT THEIR USE ON FOODS' LABELS EXCEPT WHERE EXPRESSLY APPROVED BY THE FDA

14 14. The FDCA provides that a food is "misbranded," among other things, "if its labeling
15 is false or misleading in any particular," or if it bears an unauthorized "nutrient content claim." 21
16 U.S.C. § 343 (a)(1) & (r). The FDCA and California law prohibit selling or distributing misbranded
17 food. *Id.* § 331(a); Cal. Health & Safety Code § 110760. California's Sherman Law incorporates all
18 of the FDA's food-labeling regulations promulgated pursuant to the FDCA. Cal. Health & Safety
19 Code § 110100(a).

20 15. The FDCA and California Law prohibit all nutrient content claims on foods' labels or 21 labeling, except those that the FDA has expressly authorized by regulation (or which are otherwise 22 exempted). 21 U.S.C. § 343(r)(1)(A); see also 56 Fed. Reg. 60,421-01, 60,422 ("This provision [21 23 U.S.C. § 343(r)(1)(A)] states that a food is misbranded if it bears a claim in its label or labeling that 24 either expressly or implicitly characterizes the level of any nutrient of the type required to be 25 declared as part of nutrition labeling, unless such claim has been specifically defined (or otherwise 26 exempted) by regulation."); Cal Health & Safety Code § 110670 ("Any food is misbranded if its 27 labeling does not conform with the requirements for nutrient content or health claims as set forth in 28 Section 403(r) (21 U.S.C. Sec. 343(r)) of the [FDCA] and the regulations adopted pursuant thereto.") 10

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 12 of 67

16. A "nutrient content claim," whether express or implied, is any statement on a food's label or labeling that characterizes the level of certain nutrients. 21 C.F.R. § 101.13(b). One type of "implied nutrient content claim" is a statement suggesting that a food, because of its nutrient content, may be useful in maintaining healthy dietary practices and made in association with an explicit claim or statement about a nutrient (e.g., "healthy, contains 3 grams of fat"). Id. § 101.13(b)(2)(ii).

17. This case is about one specific type of implied nutrient content claim that utilizes the term "healthy," its derivative "health," or synonyms of "healthy" ("Healthy Nutrient Content Claims"). A Healthy Nutrient Content Claim is an implied nutrient content claim that: (1) is made in connection with an express or implied statement about a nutrient; (2) utilizes the term "healthy," a derivative thereof, or a synonym thereof; and (3) suggests that a food may help consumers maintain healthy dietary practices because of its nutrient content (e.g., "healthy, contains 3 grams of fat"). Id. § 101.65(d)(1)(i)–(ii).

13 18. Derivatives of "healthy" that may be used in Healthy Nutrient Content Claims include "health," "healthful," "healthfully," "healthfulness," "healthier," "healthiest," "healthily," and 14 15 "healthiness." *Id.* § 101.65(d)(2).

16 19. Synonyms of "healthy" that signify Healthy Nutrient Content Claims include "wholesome," "nutritious," "good for you," and "food for today's diet." See 59 Fed. Reg. 24,232-18 01, 24, 236.

19 20. Pursuant to its statutory authority, the FDA, after notice and comment, promulgated 20 21 C.F.R. § 101.65(d) governing Healthy Nutrient Content Claims. While its "under the hood" 21 minutiae vary, the FDA's rule is straightforward in application: Healthy Nutrient Content Claims 22 may be made only by foods, among other things, not exceeding established maximum levels of total 23 fat and saturated fat. 21 C.F.R. § 101.65(d)(2)(i).

24 21. The minutiae of the Healthy Nutrient Content Claim requirements depend on the type 25 of food making the claim. All of Clif's LUNA Bars and CLIF Bars at issue in this case are subject 26 to the FDA's "catch-all" category (id. § 101.65(d)(2)(i)(F)) because they do not fall within any other 27 specific category identified in 21 C.F.R. § 101.65(d)(2)(i)(A)–(E), i.e., they are not raw fruits or 28 vegetables (*id.* at subsection (A)), frozen or canned fruits or vegetables (*id.* at subsection (B)), 11 CLASS ACTION COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF

1

17

Case No.:

# Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 13 of 67

enriched cereal-grain products (*id.* at subsection (C)), seafood or game meat (*id.* at subsection (D)),
 or "meal products" or "main dish products"<sup>10</sup> (*id.* at subsection (E)).

3 22. As foods subject to the FDA's "catch-all" category (*id.* § 101.65(d)(2)(i)(F)), the
4 Healthy Nutrient Content Claim requirements applicable to Clif's LUNA Bars and CLIF Bars and
5 relevant to Plaintiffs' claims are as follows:

6	a. Each LUNA Bar and CLIF Bar must meet the FDA's definition for "low fat"
7	defined in 21 C.F.R. § 101.62(b)(2). Specifically, Clif's LUNA Bars and CLIF
8	Bars must contain no more than 3 grams of fat per 40 grams of total weight. See
9	id. § 101.65(d)(2)(i)(F) (requiring "catch-all" foods to be "[1]ow fat as defined in
10	§ 101.62(b)(2)"); id. § 101.62(b)(2) (defining "low fat" for foods whose reference
11	amounts customarily consumed ("RACCs") are greater than 30 grams, in part, as
12	"contain[ing] 3 g or less of fat per reference amount customarily consumed"); id.
13	§ 101.12(b) (providing RACC of 40 grams for "[g]rain-based bars with or without
14	filling or coating, e.g., breakfast bars, granola bars, rice cereal bars"); and
15	b. Each LUNA Bar and CLIF Bar must meet the FDA's definition for "low saturated

b. Each LUNA Bar and CLIF Bar must meet the FDA's definition for "low saturated fat" defined in 21 C.F.R. § 101.62(c)(2). Specifically, Clif's LUNA Bars and CLIF Bars must contain no more than 1 gram of saturated fat per 40 grams of total weight. *See id.* § 101.65(d)(2)(i)(F) (requiring "catch-all" foods to be "[1]ow saturated fat as defined in § 101.62(c)(2)"); *id.* § 101.62(c)(2) (defining "low saturated fat," except for meal products and main dish products, as "contain[ing] 1

21

16

17

18

19

20

<sup>22</sup> <sup>10</sup> None of Clif's LUNA Bars or CLIF Bars are "meal products" or "main dish products" because no LUNA Bar or CLIF Bar weighs at least six ounces per labeled serving. 21 C.F.R. § 101.13(l)(1)(i) 23 & (m)(1)(i); see Exhibit A, LUNA Whole Nutrition Bars' Labels (identifying grams per labeled serving in Nutrition Facts on back labels); Exhibit B, LUNA Protein Bars' Labels (identifying grams 24 per labeled serving in Nutrition Facts on back labels); Exhibit C, CLIF Kid Organic Zbars' Labels (identifying grams per labeled serving in Nutrition Facts on back labels); Exhibit D, CLIF Kid 25 Protein Zbars' Labels (identifying grams per labeled serving in Nutrition Facts on back labels); Exhibit E, CLIF MOJO Sweet and Salty Trail Mix Bars' Labels (identifying grams per labeled 26 serving in Nutrition Facts on back labels); Exhibit F, CLIF Organic Trail Mix Bars' Labels (identifying grams per labeled serving in Nutrition Facts on back labels); see also Wight Hat Ltd., 27 Metric Conversions: Grams to Ounces, http://www.metric-conversions.org/weight/grams-toounces.htm (stating that one ounce is equal to 28.349 grams). 28

1

2

3

4

5

6

7

8

9

10

11

12

13

14

g or less of saturated fatty acids per reference amount customarily consumed"); *id.* § 101.12(b) (providing RACC of 40 grams for "[g]rain-based bars with or without filling or coating, e.g., breakfast bars, granola bars, rice cereal bars").

#### THE FDA, AFTER FACT-FINDING, DETERMINED THAT HEALTHY NUTRIENT CONTENT CLAIMS ARE MATERIAL TO CONSUMERS AND CONVEY THE MESSAGE THAT FOOD CONTRIBUTES TO A HEALTHY DIET

23. Prior to promulgating 21 C.F.R. § 101.65(d) governing Healthy Nutrient Content Claims, the FDA conducted extensive research and fact-finding. The FDA published an initial proposal for defining the term "healthy" (56 Fed. Reg. 60,421), a proposed rule (58 Fed. Reg. 2944), and a final rule (59 Fed. Reg. 24,232-01). The FDA solicited, received, and considered comments in response to its initial proposal for defining the term "healthy" and the proposed rule. In response to the proposed rule, the FDA received comments from approximately fifty (50) sources including consumers, consumer organizations, professional organizations, state and local government agencies, industry, and industry trade associations. 59 Fed. Reg. 24,232-01, 24,232.

The FDA considered polls and surveys evaluating consumers' understanding about 15 24. 16 Healthy Nutrient Content Claims. Id. at 24,232–33. The FDA found that this data showed that 17 "healthy" conveys a strong message to consumers about the nutrient content of a food and, further, that consumers associate "healthy" with generally recommended nutrient levels. Id. at 24,233. 18 19 Thus, the FDA found the comments that it received in response to its proposal to define the term 20 "healthy" supported the finding that foods labeled as "healthy" "should be those that can be 21 consumed to assist consumers in maintaining healthy dietary practices, that is, in achieving a total 22 diet that conforms to current dietary recommendations." Id. Accordingly, the FDA found that 23 Healthy Nutrient Content Claims are distinguishable from other nutrient content claims because they 24 uniquely characterize "both the level of the nutrient in a food and, derivatively, the food itself." Id.

25 25. The FDA also concluded, based on the information submitted with comments in 26 response to its proposed rule, that consumers understand the term "healthy" to carry particular 27 weight when it is made in conjunction with a specific claim about a nutrient. The FDA found that 28 consumers understand "healthy" to mean "that the food that bears the term necessarily has a nutrient

13

## Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 15 of 67

profile that would be helpful to consumers in structuring a diet that conforms to dietary guidelines" when the term "healthy" is "presented in a context that explicitly or implicitly suggests that the food has a particular nutrient profile." Id. at 24,235. Thus, the FDA found that a Healthy Nutrient Content Claim is one where the term "healthy" "appears in association with an explicit or implicit claim or statement about a nutrient[.]" Id.

6

7

8

9

1

2

3

4

5

26. Importantly, the FDA also found that "consumers may tend to rely on the 'healthy' *claim rather than reading specific information on the label.*" Id. at 24,236 (emphasis added). Thus, the FDA emphasized that it was defining the requirements for Healthy Nutrient Content Claims "in such a way that ensures that, even if consumers do not read the full label, foods that *bear the term will be useful in structuring a healthy diet*." *Id.* (emphasis added).

10

11 27. The FDA also found that Healthy Nutrient Content Claims can arise even where the "healthy" statement and the nutrient claim do not necessarily appear adjacent or in direct relation to 12 13 each other. See id. at 24,235 (finding nutrient statement on label of food with "healthy" in brand 14 name would give rise to Healthy Nutrient Content Claim).

16

15 28. Furthermore, the FDA found that "derivatives of 'healthy' have the same general meaning and connotation as this term and, thus, when used in food labeling may be construed by 17 consumers to imply that the products on which they appear will be helpful in maintaining healthy dietary practices." Id. The FDA therefore chose to regulate derivatives of "healthy," including 18 19 "health," when they are used in a nutritional context in food labeling, in the same manner as "healthy." Id.<sup>11</sup> 20

<sup>21</sup> 

<sup>&</sup>lt;sup>11</sup> The FDA has sent multiple warning letters to packaged food manufacturers for violations of the 22 Healthy Nutrient Content Claim requirements based on statements using the derivative "health" alone, and not "healthy." See, e.g., FDA, Warning Letter to CAW Industries, Inc., Jan. 31, 2012, 23 http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/2012/ucm290046.htm (citing statements, "ha[ve] 19 trace minerals such as iron, manganese, copper, iodine, zinc, calcium, 24 potassium, and selenium and many others that are essential to maintaining optimum health," and, "has the same concentration of the 19 trace minerals found in the [product]. These trace minerals 25 such as iron, manganese, copper, iodine, zinc, calcium, potassium, and selenium and many others found in the [product] are essential to maintaining optimum health"); FDA, Warning Letter to North 26 Dallas Big Honey Company, June 24. 2013. http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/ 2013/ucm358641.htm (citing 27 statements, "Many people are looking for the health benefits of RAW honey ... full of antioxidants ... vitamins, minerals and enzymes," and, "(L]ove its health benefits ... honey is loaded with 28 antioxidants . . . vitamins . . . minerals . . . ").

# Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 16 of 67

1	29. The FDA also found that terms such as "wholesome," "nutritious," and "good for
2	you" can be synonymous with "healthy" and thus constitute Healthy Nutrient Content Claims when
3	used in connection with a nutrient statement. Id. at 24,236. Despite "recogniz[ing] that terms such
4	as 'nutritious,' 'wholesome,' and 'good for you' can be implied nutrient content claims when they
5	appear in a nutritional context on a label or in labeling," the FDA did not find that these terms "are
6	necessarily synonymous with 'healthy.'" Id. The FDA therefore declined to expressly define these
7	terms as synonymous with "healthy" under all circumstances because "[t]he comments to the
8	'healthy' proposal have not provided the agency with the information that it would need to develop
9	definitions or to establish these terms as synonyms for the term 'healthy.'" Id. Nevertheless, the
10	FDA's interpretation that such terms can be synonymous with its definition of "healthy" and thus
11	subject to the requirements for Healthy Nutrient Content Claims is demonstrated by the FDA's
12	recent regulatory action against a food manufacturer for labeling its product with the " <i>implied</i>
13	nutrient content claim 'good for you,' which is an unauthorized synonym for 'healthy." Exhibit
14	H, FDA, Warning Letter to Minerva Dairy, Inc., Oct. 9, 2014 (emphasis added), available at
15	http://www.fda.gov/ICECI/ EnforcementActions/WarningLetters/2014/ucm418768.htm.
16	30. In sum, the FDA's factual findings provide in pertinent part as follows:
17 18	Taken together, establish not only that "healthy" conveys a strong message about the nutrient content of a food, but that consumers associate it with the nutrient levels that have generally been recommended over the past few years.
19	The agency finds, therefore, that the fundamental purpose of a "healthy" claim is
20	to highlight those foods that, based on their nutrient levels, are particularly useful in constructing a diet that confirms to current dietary guidelines.
21	59 Fed. Reg. 24,232-01, 24,233.
22	31. The FDA also made findings with regard to the levels of total fat and saturated fat
23	that consumers expect foods bearing Healthy Nutrient Content Claims to contain. The FDA found
24	requiring foods bearing Healthy Nutrient Content Claims to be low in fat and low in saturated fat to
25	be appropriate "because these restrictions recognize the need to reduce dietary intake of fat and
26	saturated fat [and] will assist consumers in constructing a total diet that is consistent with dietary
27	recommendations." Id. at 24,238.
28	
	15

32. Furthermore, while the FDA declined to extend its "healthy" definition applicable to 1 Healthy Nutrient Content Claims to all uses of "healthy" on a food's label where not made in 2 3 conjunction with a nutrient content claim, such "healthy" statements still would render a food misbranded if they render the food's labeling false or misleading. 21 U.S.C. § 343(a)(1) (providing 4 5 food is misbranded if its labeling is false or misleading "in any particular"); see also id. at 24,235 6 ("FDA finds that under section 403(a) of the act, it has ample authority to ensure that 'healthy' is not 7 used in a misleading manner, even when it is not used in a nutritional context."). 8 CONGRESS AND THE FDA DETERMINED THAT ALL NUTRIENT 9 **CONTENT CLAIMS ARE MATERIAL TO CONSUMERS BECAUSE CONSUMERS RELY ON THEM IN MAKING FOOD CHOICES** 10 11 33. Congress amended the FDCA by enacting the Nutrition Labeling and Education Act 12 of 1990 ("NLEA"), Pub. Law No. 101-535, 104 Stat. 2353 (1990), in part, to govern nutrient content 13 claims to help consumers make good nutrition choices and to protect them from being misled by the 14 many confusing and contradictory nutrient content claims then appearing on foods' labels. NLEA 15 made "the most significant changes in food labeling law since the passage of the [FDCA]." 56 Fed. 16 Reg. 60,421-01, 60,422. The FDA described the impetus behind the NLEA: 17 With the provisions of the 1990 amendments that govern nutrient content claims, 18 Congress sought to put an end to the proliferation of confusing and contradictory nutrient content claims. . . . In order to assist consumers in improving their eating 19 habits, Congress devised a scheme to ensure that nutrient content claims in food labeling will help consumers to make good nutrition choices, not mislead them. 20 21 58 Fed. Reg. 2302-01, 2393 (citations to Congressional Record omitted). 22 34. Congress acted because it considered the confusing, contradictory, ambiguous, and 23 unregulated nutrient content claims appearing on foods' labels prior to the NLEA to be harmful to 24 the public. As the FDA described: Congress considered existing labeling practices to be harmful to the public because of 25 the "confusing" and "misleading" nutrient content claims made by many manufacturers. 26 27 By enacting the 1990 amendments, Congress sought to ensure that food labeling, 28 including express and implied nutrient content claims, would be accurate, uniform, 16 CLASS ACTION COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF Case No.:

# Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 18 of 67

and "based on science." ... With respect to nutrient content claims, the principal problem that Congress sought to correct was the use of ambiguous, undefined claims like "light" and "low." . . . Experience had shown that consumers were being misled because these terms were being used differently by different manufacturers.... Congress recognized that consumers were being hampered in their attempts to achieve a healthy diet by confusing implied nutrient content claims like "light."

Particular attributes of unregulated nutrition claims on the food label make them inherently misleading. Because nutrition claims are of great importance to the public, they have a greater potential to be deceptive: Representations relating a product to an issue of public concern as a means to induce purchases may take on exaggerated importance in the public mind and thus be more likely to mislead.

7 8

1

2

3

4

5

6

- 9 Id. at 2393 & 2394 (citations to Congressional Record omitted).
- 10

35. With the NLEA, Congress chose to address the problem of harmful, confusing, and 11 misleading nutrient content claims and ensure that nutrient content claims are not confusing, 12 contradictory, or misleading by empowering the FDA to regulate nutrient content claims on foods' 13 labels. Only nutrient content claims defined by the FDA would be permitted on foods' labels:

14

15

16

Case No.:

Because of the misleading character of unregulated, nonstandardized nutrient content claims, Congress legislated that any claim that is not consistent with FDA regulations misbrands a food.... By taking this approach, Congress chose to permit only those nutrient content claims that FDA defines or approves, effectively recognizing that unregulated claims mislead the public.

17 Id. at 2394; see also 21 U.S.C. § 343(r)(1)(A) (providing that a food is misbranded if its label or 18 labeling bears a nutrient content claim, except those that the FDA has expressly authorized by 19 regulation or which are otherwise exempted); Open Letter to Industry from Dr. Margaret A. 20 Hamburg, FDA Commissioner (Mar. 3, 2010) [hereinafter "Hamburg Letter"], available at 21 http://www.fda.gov/ Food/IngredientsPackagingLabeling/LabelingNutrition/ucm202733.htm ("[W]e 22 urged food manufacturers to examine their product labels in the context of the provisions of the 23 [FDCA] that prohibit false or misleading claims and restrict nutrient content claims to those defined 24 in FDA regulations.").

25 36. Charged with this mission, the FDA carefully crafted its regulations to ensure that nutrient content claims are meaningful to consumers. See 59 Fed. Reg. 47,072-01, 47,077 ("In 26 27 defining terms for specific nutrient content claims, the agency carefully considered each claim to 28 ensure that it would be meaningful to consumers."); 58 Fed. Reg. 2448-01, 2448 ("FDA has

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 19 of 67

carefully considered each nutrient content claim to ensure that these definitions will be meaningful
 to consumers.").

37. Even before the NLEA, the FDA "ha[d] a long history of interest in prescribing label statements concerning the dietary properties of food," including nutrient content claims. 56 Fed. Reg. 60,421-01, 60,421.

38. The FDA has described nutrient content claims as one of the "<u>the most important</u> <u>pieces of information on the PDP</u> [principal display panel]," along with the statement of identity. 58 Fed. Reg. 2302-01, 2306 (emphasis added); *see also* 21 C.F.R. § 101.1 (defining "principal display panel" as "the part of a label that is most likely to be displayed, presented, shown, or examined under customary conditions of display for retail sale").

11 39. The FDA recognizes that nutrient content claims affect consumers' food choices by 12 inducing them to adopt more restrictive dietary patterns that will help them maintain healthy dietary 13 practices. See 58 Fed. Reg. 2302-01, 2319 ("[T]he agency's [FDA's] approach to developing a 14 system of nutrient content claims emphasizes three objectives: (1) Consistency among definitions, 15 (2) claims that are in keeping with public health goals, and (3) claims that can be used by consumers 16 to maintain healthy dietary practices"); 56 Fed. Reg. 60,478-01, 60,478 (stating in pertinent part that 17 FDA had proposed "requirements for label statements relating to oils, fats, and fatty foods used as a 18 means of reducing the dietary intake of fatty acids").

19 40. The FDA also recognizes that food manufacturers make nutrient content claims on 20 foods' labels to market their products to consumers and induce consumers to buy them. That is, the 21 FDA recognizes that for food manufacturers, nutrient content claims are a powerful marketing tool. 22 See 79 Fed. Reg. 23,262-01, 23,271 ("Manufacturers are not likely to voluntarily make nutrient 23 content claims if the addition would confuse consumers and negatively impact sales."); 54 Fed. Reg. 24 32,610-01, 32,614 (observing that prior to NLEA, "[b]ecause of the growing public interest in eating 25 healthy foods, manufacturers began to place statements on their labels that described their products 26 in ways such as 'fresh,' 'natural,' 'low in salt,' 'reduced fat,' and 'no cholesterol'").

27 41. The FDA moreover recognizes the fundamental premise of the provisions of the
28 NLEA governing nutrient content claims: namely, that consumers read nutrient content claims on

18

-3 4

5

6

7

8

9

10

# Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 20 of 67

foods' labels and, in turn, rely on them in making food choices. See 58 Fed. Reg. 2302-01, 2394 1 2 (noting in reference to nutrient content claims, "consumers place great reliance on the portions of the 3 food label that they believe to be regulated by the Government"); 56 Fed. Reg. 60,421-01, 60,433 4 ("FDA surveys have shown that consumers want nutrient content claims and use them in making 5 food selections ....."). Underscoring this point, the FDA has cited the U.S. Supreme Court for the proposition that, "[t]here is an 'immediate connection,' ... between nutrient content claims on 6 7 food labels and consumers' food choices." 58 Fed. Reg. 2302-01, 2394 (citing Cent. Hudson Gas 8 & Elec. Corp. v. Pub. Serv. Comm'n of New York, 447 U.S. 557, 569 (1980)) (emphasis added). 9 42. Since the enactment of the NLEA and the promulgation of the FDA's implementing 10 regulations, Congress has continued to regard nutrient content claims as an important regulatory 11 arena. In 2005, the U.S. Senate Committee on Appropriations directed the FDA to continue 12 focusing on potentially misleading nutrient content claims: 13 Given the important nature of the information provided on the food label and in light of the New Dietary Guidelines for Americans 2005, the Committee is interested in 14 ensuring that food labels can be easily understood and reflect information that is factual. The Committee is concerned that consumers may be faced with misleading 15 information on caloric and nutrient content and health-related claims, and believes it is vital that consumers are able to trust the accuracy of food labels. Therefore, 16 the Committee directs the FDA to continue to apply resources to efforts that ensure the accuracy of the Nutrition Facts panel and address potentially misleading health 17 and nutrition statements on the food label and to report to the Committee by February 1, 2006 on the types of labeling violations discovered and actions taken in 18 response to such violations. 19 S. Rep. No. 109-92 (June 27, 2005) (emphasis added), available at 2005 WL 6407430. 20 43. In response to the U.S. Senate Committee on Appropriations' directive, the FDA 21 reported in April 2006 that between October 1, 2004 and December 6, 2005 it conducted field 22 examinations of approximately 28,000 food labels, collected 543 samples for nutrient analysis and/or 23 label review, and issued fifty-six (56) warning letters for misbranding violations, including improper 24 nutrient content claims. Exhibit I at p. 3, Andrew C. von Eschenbach, U.S. Food & Drug Admin., 25 Report to Congress on Compliance with Food Label Regulations Under the Food and Drug Administration's Purview, S. Rep. No. 109-92 (Apr. 19, 2006). 26 27 44. In 2010, in an open letter to the food industry, former FDA Commissioner Margaret 28 A. Hamburg, MD expressed concern "about the number and variety of label claims that may not help 19 CLASS ACTION COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF

Case No.:

# Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 21 of 67

consumers distinguish healthy food choices from less healthy ones and, indeed, may be false or 1 2 misleading." Hamburg Letter. To address these concerns, the FDA sent warning letters to many 3 food manufacturers notifying them that "their labels are in violation of the law and subject to legal proceedings to remove misbranded products from the marketplace." Id. The FDA's warning letters 4 5 "cover[ed] a range of concerns about how false or misleading labels can undermine the intention of 6 Congress to provide consumers with labeling information that enables consumers to make informed 7 and healthy food choices," including "[m]isleading 'healthy' claims continu[ing] to appear on 8 foods that do not meet the long- and well-established definition for use of that term." Id. 9 (emphasis added). 10 45. In 2011, the U.S. Government Accountability Office reiterated many of the same 11 points that Congress and the FDA had made earlier concerning nutrient content claims, including 12 that consumers increasingly are looking for healthy foods, consumers rely on federally regulated 13 nutrient content claims in making food choices, and food manufacturers use nutrient content claims 14 to market their products to consumers: 15 Consumers increasingly seek information on food labels to help them make healthy food choices, and they rely on federal agencies to ensure that such information is 16 truthful. Food companies may use health- and/or nutrient-related claims on food labels to appeal to consumers, distinguish their products from their competitors', and 17 otherwise increase their sales. However, when those claims are false or misleading, consumers' efforts to select healthy food may be undermined. 18 19 U.S. Gov't Accountability Office, GAO-11-102, Food Labeling: FDA Needs to Reassess Its 20 Approach to Protecting Consumers from False or Misleading Claims at 1 (2011), available at 21 http://www.gao.gov/assets/320/314473.pdf. 22 46. Additionally, the Federal Trade Commission ("FTC"), whose mission includes 23 eliminating unfair or deceptive acts or practices in advertising and marketing, has had a long-24 standing view that claims involving health in advertising are "presumptively material" to consumers. 25 See FTC Policy Statement on Deception, 103 F.T.C. 110, 1984 WL 565319, at \*49 (1984). After 26 enactment of the NLEA, the FTC reinforced its position that claims involving health made in 27 relation to food are "presumptively material," and are likely to affect a consumer's choice or use of

the food. See FTC Enforcement Policy Statement on Food Advertising, 1994 WL 642482, at \*4 1 (F.T.C. May 1994).

- 2
- 3

4

#### CLIF'S LABELING OF ITS LUNA BARS AND CLIF BARS **UNIFORMLY VIOLATES THE FDA'S REGULATIONS**

5 47. Clif's LUNA Bars and CLIF Bars are uniformly mislabeled for at least two reasons. 6 First, each LUNA Bar and CLIF Bar bears a Healthy Nutrient Content Claim because each LUNA 7 Bar's and CLIF Bar's label uses the term "health" or another synonym of "healthy" in connection 8 with a nutrient statement. Second, each LUNA Bar and CLIF Bar fails to comply with the FDA's requirements for Healthy Nutrient Content Claims because each LUNA Bar and CLIF Bar has more 9 10 total fat and/or saturated fat than is permitted for a food bearing a Healthy Nutrient Content Claim. 11 Each LUNA Bar and CLIF Bar bears a Healthy Nutrient Content Claim that may be ascertained by 12 reference to statements on each LUNA Bar's and CLIF Bar's labeling. See Exhibit A, LUNA Whole 13 Nutrition Bars' Labels; Exhibit B, LUNA Protein Bars' Labels; Exhibit C, CLIF Kid Organic Zbars' 14 Labels; Exhibit D, CLIF Kid Protein Zbars' Labels; Exhibit E, CLIF MOJO Sweet and Salty Trail Mix Bars' Labels; Exhibit F, CLIF Organic Trail Mix Bars' Labels. Moreover, each LUNA Bar's 15 16 and CLIF Bar's violation(s) of California's and the FDA's requirements for Healthy Nutrient 17 Content Claims may be ascertained by comparing the specific requirements to the Nutrition Facts 18 information on the each LUNA Bar's and CLIF Bar's labeling.

19 48. As reflected in ¶¶ 54–55, *infra*, each LUNA Bar's label states "health," which is a 20 derivative of "healthy." See ¶¶ 18, 28, supra. Additionally, as reflected in ¶¶ 54–55, infra, each 21 LUNA Bar's label also states "Whole Nutrition" and/or "nutrition," which are synonyms of 22 "healthy" as used on the LUNA Bars' labels. See ¶ 19, 29, supra.

23

49. As reflected in ¶¶ 54–55, infra, each LUNA Bar's label bears an express or implied statement that it contains one or more of the following nutrients:

25

24

26

27

28

- "protein" (see 21 U.S.C. § 343(q)(1)(D)); a.
- "fiber" (see 21 U.S.C. § 343(q)(1)(D)); b.
- "calcium" (see 21 C.F.R. § 101.9(c)(8)(ii)); c.
  - d. "iron" (see 21 C.F.R. § 101.9(c)(8)(ii));

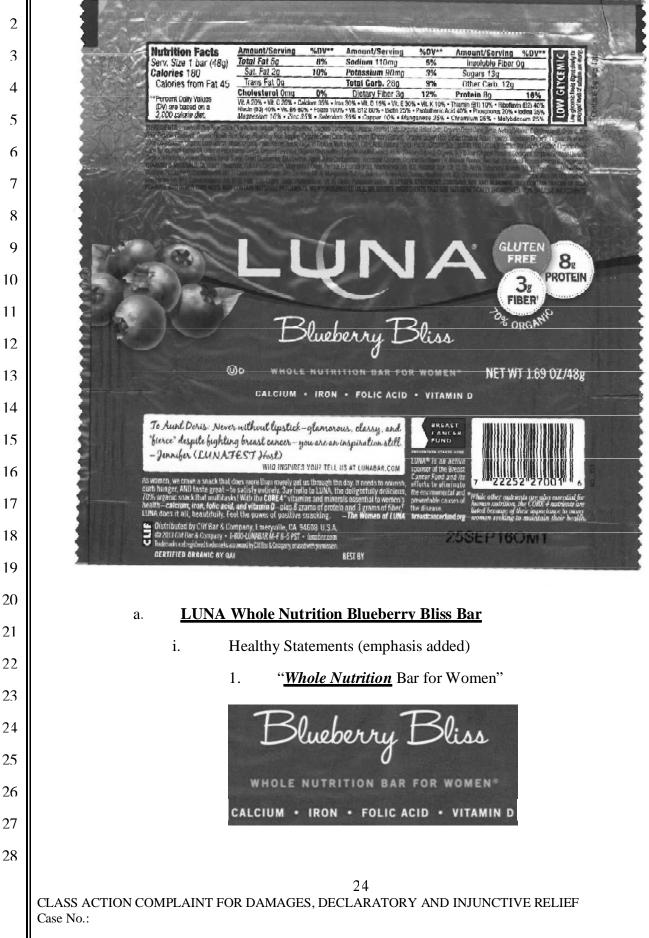
21

	Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 23 of 67	
1	e. "folic acid" ( <i>see</i> 21 C.F.R. § 101.9(c)(8)(iv));	
2	f. "vitamin D" ( <i>see</i> 21 C.F.R. § 101.9(c)(8)(iv)); and	
3	g. "total fat" ( <i>see</i> 21 U.S.C. § 343(q)(1)(D)).	
4	50. As reflected in $\P\P$ 54–55, <i>infra</i> , each LUNA Bar violates the FDA's regulation	
5	governing Healthy Nutrient Content Claims for both of the following reasons:	
6	a. High in fat ( <i>see</i> 21 C.F.R. § 101.62(b)(2)); and	
7	b. High in saturated fat ( <i>see</i> 21 C.F.R. § 101.62(c)(2)).	
8	51. As reflected in $\P$ 56–59, <i>infra</i> , each CLIF Bar's label states "wholesome,"	
9	"nutritious," that it is "good for our bodies," and/or that it contains ingredients "to keep kids	
10	growing," which are synonyms of "healthy" as used on the CLIF Bars' labels. See ¶¶ 19, 29, supra.	
11	52. As reflected in $\P\P$ 56–59, <i>infra</i> , each CLIF Bar's label bears an express or implied	
12	statement that it contains one or more of the following nutrients:	
13	a. "fiber" ( <i>see</i> 21 U.S.C. § 343(q)(1)(D));	
14	b. "protein" ( <i>see</i> 21 U.S.C. § 343(q)(1)(D));	
15	c. "total fat" ( <i>see</i> 21 U.S.C. § 343(q)(1)(D)); and	
16	d. "sodium" ( <i>see</i> 21 U.S.C. § 343(q)(1)(D)).	
17	53. As reflected in $\P\P$ 56–59, <i>infra</i> , each CLIF Bar violates the FDA's regulation	
18	governing Healthy Nutrient Content Claims for one or more of the following reasons:	
19	a. High in fat ( <i>see</i> 21 C.F.R. § 101.62(b)(2)); and	
20	b. High in saturated fat ( <i>see</i> 21 C.F.R. § 101.62(c)(2)).	
21	54. Each of Clif's LUNA Whole Nutrition Bars identified in ¶1 n.1 bears a Healthy	
22	Nutrient Content Claim and fails to comply with the FDA's requirements for Healthy Nutrient	
23	Content Claims for the reasons stated in ¶¶ 47–50, <i>supra</i> . To illustrate this uniform mislabeling for	
24	each LUNA Whole Nutrition Bar, included in Exhibit A is a list detailing each LUNA Whole	
25	Nutrition Bar's: (1) label's healthy statement(s) subject to 21 C.F.R. § 101.65(d) ("Healthy	
26	Statements"); (2) label's express or implied nutrient content statements ("Nutrient Content	
27	Statements"); and (3) violations of the FDA's requirements for Healthy Nutrient Content Claims,	
28	i.e., 21 C.F.R. § 101.65(d). As an example of Clif's unlawful and misleading Healthy Nutrient	
	22 CLASS ACTION COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF Case No.:	

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 24 of 67

Content Claims on its LUNA Whole Nutrition Bars' labels, a scan of the LUNA Whole Nutrition Blueberry Bliss Bar's label is provided below, in addition to being included with the other LUNA Whole Nutrition Bars' labels in Exhibit A. By way of example, the Healthy Statements and Nutrient Content Statements for the LUNA Whole Nutrition Blueberry Bliss Bar giving rise to the Healthy Nutrient Content Claim are enlarged to make them easier to read in this Complaint. The other LUNA Whole Nutrition Bars identified in ¶1 n.1, supra, and included in Exhibit A also bear Healthy Nutrient Content Claims because their labels included the same Healthy Statements as Clif's LUNA Whole Nutrition Blueberry Bliss Bar and materially similar Nutrient Content Statements. CLASS ACTION COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF Case No.:

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 25 of 67



	Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 26 of 67
1	2. "With the CORE 4 vitamins and minerals essential to women's
2	<u>health</u> – calcium, iron, folic acid, and vitamin D – plus 8 grams
3	of protein and 3 grams of fiber, LUNA does it all, beautifully."
4	70% organic snack that multitasks! With the CORE 4* vitamins and minerals essential to women's health calcium iron folic acid, and vitamin D - plus 8 grams of protein and 3 grams of fiber
5	health – <b>calcium, iron, folic acid, and vitamin D</b> – plus 8 grams of protein and 3 grams of fiber, <sup>†</sup> LUNA does it all, beautifully. Feel the power of positive snacking. – <b>The Women of LUNA</b>
6	3. "[T]he CORE 4 nutrients are listed because of their importance
7	to many women seeking to maintain their <i>health</i> ."
8	* White all an anti-internet and all a second of Car
9	*While other nutrients are also essential for human nutrition, the CORE 4 nutrients are
10	listed because of their importance to many women seeking to maintain their health.
11	ii. Nutrient Content Statements
12	
13	1. "8g Protein"
14	2. "3g Fiber"
15	SILE STILE
16	CLUTEN
17	
18	BINEL Og
19	<b>PROTEIN</b>
20	Jg
21	FIBER
22	-do-
23	ORGAN
24	3. "Calcium · Iron · Folic Acid · Vitamin D"
25	
26	
27	
28	
	25 CLASS ACTION COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF Case No.:

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

	w	Blueberry Bliss HOLE NUTRITION BAR FOR WOMEN* HUM • IRON • FOLIC ACID • VITAMIN D
	4.	"5g total fat"
	TCI	ontains 5g total tat
iii.	Viola	ations of FDA Regulations
	1.	Exceeds the maximum 3 grams of fat per 40 grams of total
		weight requirement outlined at $\P 22(a)$ herein because it
		contains 4.17 grams of fat per 40 grams of total product. See
		21 C.F.R. § 101.62(b)(2).

 Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.67 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

55. Each of Clif's LUNA Protein Bars identified in ¶1 n.1 bears a Healthy Nutrient 17 Content Claim and fails to comply with the FDA's requirements for Healthy Nutrient Content 18 Claims for the reasons stated in ¶¶ 47–50, supra. To illustrate this uniform mislabeling for each 19 LUNA Protein Bar, included in Exhibit B is a list detailing each LUNA Protein Bar's: (1) label's 20 healthy statement(s) subject to 21 C.F.R. § 101.65(d) ("Healthy Statements"); (2) label's express or 21 implied nutrient content statements ("Nutrient Content Statements"); and (3) violations of the FDA's 22 requirements for Healthy Nutrient Content Claims, i.e., 21 C.F.R. § 101.65(d). As an example of 23 Clif's unlawful and misleading Healthy Nutrient Content Claims on its LUNA Protein Bars' labels, a 24 scan of the LUNA Chocolate Chip Cookie Dough Protein Bar's label is provided below, in addition 25 to being included with the other LUNA Protein Bars' labels in Exhibit B. By way of example, the 26 Healthy Statements and Nutrient Content Statements for the LUNA Chocolate Chip Cookie Dough 27 Protein Bar giving rise to the Healthy Nutrient Content Claim are enlarged to make them easier to 28 26

# Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 28 of 67

read in this Complaint. The other LUNA Protein Bars identified in ¶ 1 n.1, supra, and included in Exhibit B also bear Healthy Nutrient Content Claims because their labels included the same Healthy Statements and the same Nutrient Content Statements as Clif's LUNA Chocolate Chip Cookie Dough Protein Bar.

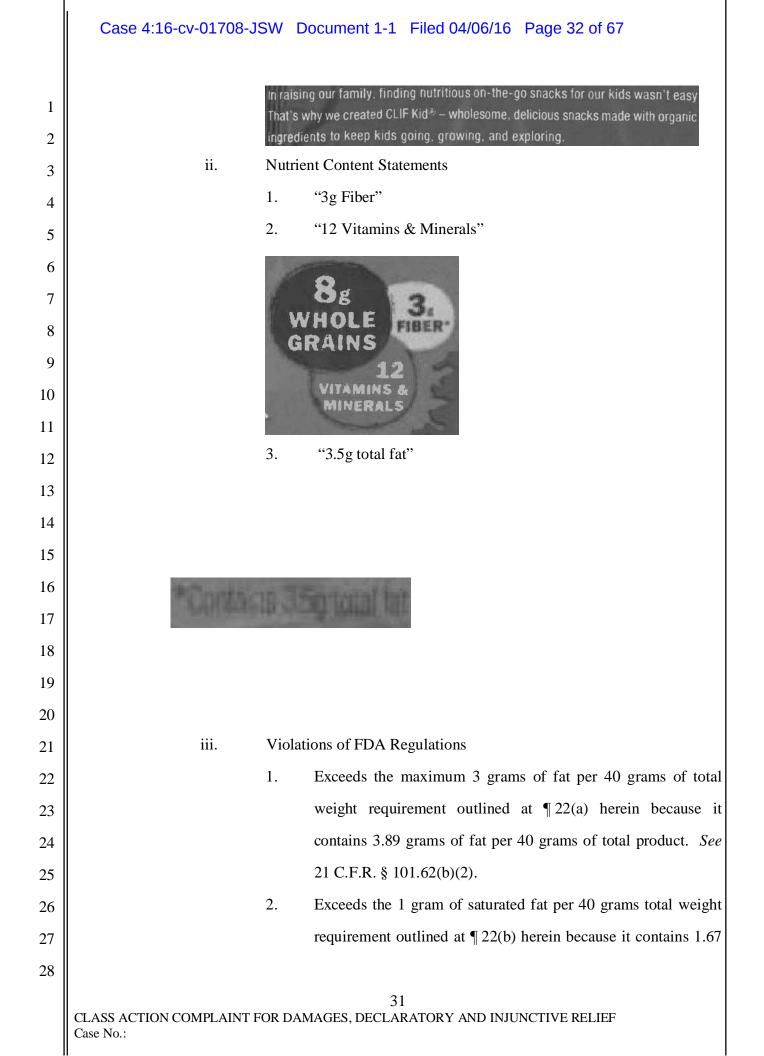
6	
7	
8	Nutrition Facts         Amount/Serving         %DV*
9	Calories from Fat 50 - terret Daily values (M) are - terret
10	NGREDIENTS: Soy Protein bolle: Organic Care Syup, Organic Eried Care Syrup, Plann Kernel DI: Vegetabe Bycorn; hulin (Chory Edited), Coocer, Unid Care Syrup, Macadame Nrd, Side: Natural Bitors, Whey Potein Concentrate, Unawedured Chocolater, Organic Fibe Fiber, Sall, Soy Locitini, Occas Buller I, Organic Makelese Cooce, Organic Vanila Bottazi, VirtaNins & A MinteRALS: Calcium Carborate, Ascordic Actic Nrt, D, Tocopher J Acetaza (Vit. D), Ferrici Officio Officio Synta (Marine), Naturational Synta, Macadamide (Vit. BD), Senceocatemin (Vit. B12), Eroscale ford (Vit. B2), Telenate Minor Macada (Vit. B1), Bottavin (Vit. B2), Pyritoline Evideo: Clored (Vit. B1), Rate Acetaza (Vit. B1), Naturation (Vit. B12), Eroscale ford (Vit. B1), Telenate Minor Macada (Vit. B1), Bottavin (Vit. B2), Pyritoline Evideo: Clored (Vit. B1), Alter Gites I), Tarrier Netric Contraintis Soft, Mitta, Xuo Macada Analia, Nuts. Anar Contraint Trackes (Or Dittere) There Tates Nutrs, Wei Source: Integrationers Tater Arie Mort Generatical (Vit. B1), Alter Gites I), Sort Analia, Sort, Mitta, Xuo Macada Analia, Nuts. Anar Contraint Trackes (Vit. B1), Rottere Tates, Nutrs, Wei Source: Integrationers Tater Arie Mort Generatical (Vit. B1), Alter Gites I), Sort Analia, Sort, Mitta, Xuo Macada Analia, Nuts. Anar Contraint Rackes Office (Vit. B1), Alter Gites I), Sort Analia, Nuts. Anar Contraint Rackes Office (Vit. B1), Alter Gites I), Sort Analia, Nuts. Anar Contraint Auteroa (Vit. B1), Sort Analia, Nuts. Anar Contraint Rackes Office (Vit. B1), Alter Gites I), Sort Analia, Nuts. Anar Contraint Rackes Office (Vit. B1), Sort Analia, Nuts. Anar Contraint Rackes Office (Vit. B1), Sort Analia, Nuts. Anar Contraint Rackes Office (Vit. B1), Sort Analia, Nuts. Anar Contraint Rackes Office (Vit. B1), Sort Analia, Nuts. Anar Contraint Rackes Office (Vit. B1), Sort Analia, Nuts. Anar Contraint Auteroa (Vit. B
11	
12	
13	
14	GLYCEMIC GLYCEMIC
15	Chocolate Chip Cookie Dough
16	D HIGH PROTEIN BAR NET WT 1.59 02/45g
17	As women, we sometimes feel like our daily routine is a sprint to the
18	finish line. With decadent nougat, 12 grams of protein, and vitamins and minerals essential to women's health, LUNA* Protein helps us keep pace with the day. Gluten free and boosted by calcium, iron,
19	folic acid, and vitamin D, it's a delicious snack that has the nutrition Cocok 7 22252 23303 5
20	Distributed by Clif Bar & Company, Emergville, CA 94508 U.S.A.
21	CANCER     FUND     Gardemarks and registered trademarks are owned by ClifBar & Company, or used with permission.     Fund
22	a. <u>LUNA Chocolate Chip Cookie Dough Protein Bar</u>
23	i. Healthy Statements (emphasis added)
24	1. "With decadent nougat, 12 grams of protein, and vitamins and
25	minerals essential to women's <i>health</i> , LUNA Protein helps us
26	keep pace with the day."
27	
28	
	27 CLASS ACTION COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF
	Case No.:

and

	Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 29 of 67
1	2. "Gluten free and boosted by calcium, iron, folic acid, and
2	vitamin D, it's a delicious snack that has the <i><u>nutrition</u></i> we need
3	to stay strong."
4	finish line. With decadent nougat, 12 grams of protein, and vitamins
5	and minerals essential to women's health, LUNA <sup>®</sup> Protein helps us keep pace with the day. Gluten free and boosted by calcium, iron,
6	folic acid, and vitamin D, it's a delicious snack that has the nutrition
7	we need to stay strong The Women of LUNA
8	ii. Nutrient Content Statements
9	1. "12g Protein"
10	10100
11	
12	GLUTEN
13	FREE 12
14	
15	LOW PROTEIN
16	GLYCEMIC
17	······································
18	2. "Calcium · Iron · Folic Acid · Vitamin D"
19	3. "High Protein Bar"
20	$\rho \rightarrow \rho \rho \rightarrow \rho$
21	Chocolate Chip Cookie Dough
22	HIGH PROTEIN BAR
23	CALCIUM + IRON + FOLIC ACID + VITAMIN D
24	4. "vitamins and minerals"
25	5. "boosted by calcium, iron, folic acid, and vitamin D"
26	
27	
28	
	28 CLASS ACTION COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF Case No.:

finish line. With decadent nougat, 12 grams of protein, and vitamins 1 and minerals essential to women's health, LUNA® Protein helps us keep pace with the day. Gluten free and boosted by calcium, iron, 2 folic acid, and vitamin D, it's a delicious snack that has the nutrition 3 we need to stay strong. - The Women of LUNA 4 iii. Violations of FDA Regulations 5 Exceeds the maximum 3 grams of fat per 40 grams of total 1. 6 weight requirement outlined at  $\P 22(a)$  herein because it 7 contains 5.33 grams of fat per 40 grams of total product. See 8 21 C.F.R. § 101.62(b)(2). 9 2. Exceeds the 1 gram of saturated fat per 40 grams total weight 10 requirement outlined at  $\P 22(b)$  herein because it contains 3.11 11 grams of saturated fat per 40 grams of total product. See 21 12 C.F.R. § 101.62(c)(2). 13 56. Each of Clif's CLIF Kid Organic Zbars identified in ¶1 n.2 bears a Healthy Nutrient 14 Content Claim and fails to comply with the FDA's requirements for Healthy Nutrient Content 15 Claims for the reasons stated in  $\P$  47, 51–53, *supra*. To illustrate this uniform mislabeling for each 16 CLIF Kid Organic Zbar, included in Exhibit C is a list detailing each CLIF Kid Organic Zbar's: 17 (1) label's healthy statement(s) subject to 21 C.F.R. § 101.65(d) ("Healthy Statements"); (2) label's 18 express or implied nutrient content statements ("Nutrient Content Statements"); and (3) violations of 19 the FDA's requirements for Healthy Nutrient Content Claims, i.e., 21 C.F.R. § 101.65(d). As an 20 example of Clif's unlawful and misleading Healthy Nutrient Content Claims on its CLIF Kid 21 Organic Zbars' labels, scans of the CLIF Kid Organic Chocolate Brownie ZBar's label are provided 22 below, in addition to being included with the other CLIF Kid Organic ZBars' labels in Exhibit C. 23 By way of example, the Healthy Statement and Nutrient Content Statements for the CLIF Kid 24 Organic Chocolate Brownie ZBar giving rise to the Healthy Nutrient Content Claim are enlarged to 25 make them easier to read in this Complaint. The other CLIF Kid Organic Zbars identified in ¶1 n.2, 26 supra, and included in Exhibit C also bear Healthy Nutrient Content Claims because their labels 27 included the same Healthy Statement as Clif's CLIF Kid Organic Chocolate Brownie ZBar and 28 materially similar Nutrient Content Statements. 29

D NET WT 1.27 OZ/36g	RURISHING KIDS IN MOTION BURISHING KIDS IN MOTION BURISHING KIDS IN MOTION BURISHING KIDS IN MOTION CHOCOLATE BROWNIE BAKED WHOLE GRAIN ENERGY SNACK
That's why we created CLL ingredients to keep kids Kit & Gary Parents and Co-ow Dembared by till dem 5 Corpus, The year S2011 Clill be 5 Composed, The JACCI reference wings - 5 Contractor overday CERTIFIED ORSANIC BY DAI	FBAN MAY SO TOT - Athenant FOUNDATION.ORG
Autrition Facts Serv. Size 1 bar (36g) Calories 130 Calories from Fail 30 Emergin Dark Wales (40) are Trans Enclosed Total Server of Weats - W For MTS. Organic Server of Server Server Server Software Software Corporate Corporate Control Server of Corporate Corporate Control Server of Corporate Corporate Server Server Serve	WServing       %DV** Amount/Serving       %DV** Amount/Serving       %DV** Amount/Serving         WServing       %DV** Amount/Serving       %DV** Amount/Serving       %DV** Amount/Serving         It 3 5g       5%       Cholesterol Omg       0%       Total Carb. 23.6       %DV** Amount/Serving         It 3 5g       5%       Cholesterol Omg       0%       Total Carb. 23.6       %DV** Amount/Serving         It 3 5g       5%       Cholesterol Omg       0%       Total Carb. 23.6       %DV** Amount/Serving         It 3 5g       5%       Cholesterol Omg       0%       Total Carb. 23.6       %DV** Amount/Serving         It 3 5g       5%       Cholesterol Omg       0%       Total Carb. 23.6       %DV** Amount/Serving         It 3 5g       6%       Sodium 140mg       6%       Dietary Fiber 3g       12%       Other Carb. 3%         G33**Description       Maint March March Bitt Stription       Maint March March Bitt Stription       Other Carb. 4% Bit 20% * Response 2% * 2m 15%         Total Carb. 2000       Maint March March March Carb Stription       Maint March Marc March Marc March March March Marc March March March Ma
a. <u>CLIF</u>	Kid Organic Chocolate Brownie Zbar
i.	<ul> <li>Healthy Statements (emphasis added)</li> <li>"In raising our family, finding <i><u>mutritious</u></i> on-the-go snacks for</li> </ul>
	our kids wasn't easy. That's why we created CLIF Kid—
	wholesome, delicious snacks made with organic ingredients to
	<u>keep kids</u> going, <u>growing</u> , and exploring."
CLASS ACTION COMPLAINT I Case No.:	30 FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF



grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

1 2 3

27

28

3 57. Each of Clif's CLIF Kid Protein Zbars identified in ¶ 1 n.2 bears a Healthy Nutrient Content Claim and fails to comply with the FDA's requirements for Healthy Nutrient Content 4 5 Claims for the reasons stated in ¶¶ 47, 51–53, *supra*. To illustrate this uniform mislabeling for each CLIF Kid Protein Zbar, included in Exhibit D is a list detailing each CLIF Kid Protein Zbar's: 6 7 (1) label's healthy statement(s) subject to 21 C.F.R. § 101.65(d) ("Healthy Statements"); (2) label's 8 express or implied nutrient content statements ("Nutrient Content Statements"); and (3) violations of 9 the FDA's requirements for Healthy Nutrient Content Claims, i.e., 21 C.F.R. § 101.65(d). As an 10 example of Clif's unlawful and misleading Healthy Nutrient Content Claims on its CLIF Kid Protein 11 Zbars' labels, a scan of the CLIF Kid Chocolate Chip Protein ZBar's label is provided below, in 12 addition to being included with the other CLIF Kid Protein ZBars' labels in Exhibit D. By way of 13 example, the Healthy Statement and Nutrient Content Statements for the CLIF Kid Chocolate Chip 14 Protein ZBar giving rise to the Healthy Nutrient Content Claim are enlarged to make them easier to 15 read in this Complaint. The other CLIF Kid Protein Zbars identified in ¶ 1 n.2, supra, and included 16 in Exhibit D also bear Healthy Nutrient Content Claims because their labels included the same 17 Healthy Statement as Clif's CLIF Kid Chocolate Chip Protein ZBar and materially similar Nutrient 18 Content Statements. 19 20 21 22 23 24 25 26

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 34 of 67

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

%DV\* Amount/Serving Amount/Serving Amount/Serving Nutrition Facts Amount/Serving %DV %**DV**\* %DV Serv. Size 1 bar (36g) Calories 130 Total Fat 2.5g Total Carb. 230 4% Cholesterol 5mg 25 8% Sugars 9g Sat. Fat 1c 5% Sodium 95mg 403 Dietary Fiber 3g 12% Other Carb. 11c Calories from Fat 20 Trans Fat 0g Potassium 85mg 2% Insoluble Fiber 1g Protein 5g 10% Percent Daily Values (DV) are based on a 2,000 calorie diet Vitamin A 0% + vitar m 20% • Iron 10% • Vitamin D 10% • Zinc 10% Description and the second sec 3 ROTEIN (D) D CHOCOLATE CHIP WHOLE GRAIN PROTEIN SNACK NET WT 1.27 OZ/36g In raising our family, finding nutritious on-the-go snacks for our kids wasn't easy. That's why we created CLIF Kid® - wholesome, delicious snacks made with organic whole grains to keep kids going, growing, and exploring. Kit & Gary, Parents and Co-owners of Clif Bar & Company ] Distributed by Clif Ber & Compony Emeryville, CA. 94606–0.5 A. | 1-800-CLIFBAK: M.F. 8-5 PST + clifber.com + MADE IN CAMADA | 1922013 Clif Ber & Compony Clif CLIF Kid, CUF Kid Zhar, and logos are trademerks of Clif Ber & Compo 164 146132 CERTIFIED DRGANIC BY GAI CLIF Kid Chocolate Chip Protein ZBar a. i. Healthy Statements (emphasis added) 1. "In raising our family, finding *nutritious* on-the-go snacks for our kids wasn't easy. That's why we created CLIF Kid*wholesome*, delicious snacks made with organic whole grains to keep kids going, growing, and exploring." In raising our family, finding nutritious on-the-go snacks for our kids wasn't easy. That's why we created CLIF Kid® – wholesome, delicious snacks made with organic whole grains to keep kids going, growing, and exploring. ii. Nutrient Content Statements "5g Protein" 1. 2. "3g Fiber" 33



iii.

1.

#### Violations of FDA Regulations

Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.11 grams of saturated fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(c)(2).

58. Each of Clif's CLIF MOJO Sweet and Salty Trail Mix Bars identified in ¶ 1 n.2 bears a Healthy Nutrient Content Claim and fails to comply with the FDA's requirements for Healthy Nutrient Content Claims for the reasons stated in ¶¶ 47, 51–53, supra. To illustrate this uniform mislabeling for each CLIF MOJO Sweet and Salty Trail Mix Bar, included in Exhibit E is a list detailing each CLIF MOJO Sweet and Salty Trail Mix Bar's: (1) label's healthy statement(s) subject to 21 C.F.R. § 101.65(d) ("Healthy Statements"); (2) label's express or implied nutrient content statements ("Nutrient Content Statements"); and (3) violations of the FDA's requirements for Healthy Nutrient Content Claims, i.e., 21 C.F.R. § 101.65(d). As an example of Clif's unlawful and misleading Healthy Nutrient Content Claims on its CLIF MOJO Sweet and Salty Trail Mix Bars' labels, a scan of the CLIF MOJO Sweet and Salty Dark Chocolate Almond Coconut Trail Mix Bar's label is provided below, in addition to being included with the other CLIF MOJO Sweet and Salty Trail Mix Bars' labels in Exhibit E. By way of example, the Healthy Statement and Nutrient Content Statements for the CLIF MOJO Sweet and Salty Dark Chocolate Almond Coconut Trail Mix Bar giving rise to the Healthy Nutrient Content Claim are enlarged to make them easier to read in this Complaint. The other CLIF MOJO Sweet and Salty Trail Mix Bars identified in ¶ 1 n.2, supra, and included in Exhibit E also bear Healthy Nutrient Content Claims because their labels

28

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

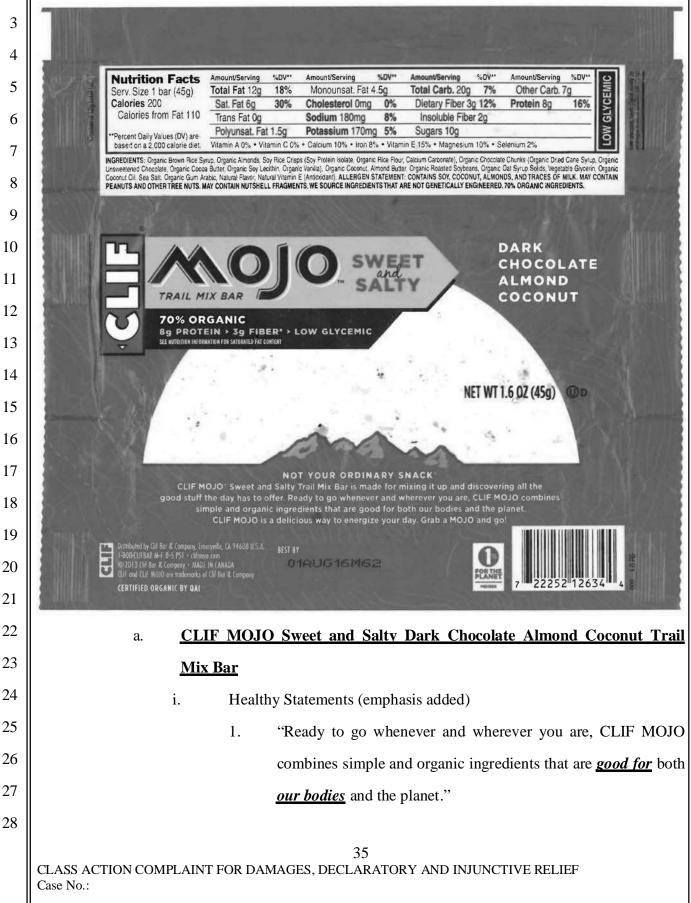
27

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 36 of 67

1

2

included the same Healthy Statement as Clif's CLIF MOJO Sweet and Salty Dark Chocolate Almond Coconut Trail Mix Bar and materially similar Nutrient Content Statements.



	Case 4:16-cv-01708-	JSW [	Document 1-1 Filed 04/06/16 Page 37 of 67
1	ii.	simple	ay has to offer. Ready to go whenever and wherever you are, CLIF MOJO combines and organic ingredients that are good for both our bodies and the planet. ent Content Statements
2	11.		
3		1.	"8g Protein"
4		2.	"3g Fiber"
5 6		8g See Nu	PROTEIN > 39 FIBER* TRITION INFORMATION FOR SATURATED FAT CONTENT
7		3.	"12g total fat"
8		G	a matter in harry station half.
9	iii.	Viola	tions of FDA Regulations
10		1.	Exceeds the maximum 3 grams of fat per 40 grams of total
11			weight requirement outlined at $\P 22(a)$ herein because it
12			contains 10.67 grams of fat per 40 grams of total product. See
13			21 C.F.R. § 101.62(b)(2).
14		2.	Exceeds the 1 gram of saturated fat per 40 grams total weight
15			requirement outlined at ¶ 22(b) herein because it contains 5.33
16 17			grams of saturated fat per 40 grams of total product. See 21
17			C.F.R. § 101.62(c)(2).
18	59. Each of Clif	s CLII	F Organic Trail Mix Bars identified in ¶1 n.2 bears a Healthy
20	Nutrient Content Claim an	nd fails	to comply with the FDA's requirements for Healthy Nutrient
20	Content Claims for the reas	ons stat	ed in ¶¶ 47, 51–53, <i>supra</i> . To illustrate this uniform mislabeling
21	for each CLIF Organic Tra	il Mix	Bar, included in Exhibit F is a list detailing each CLIF Organic
22	Trail Mix Bar's: (1) label	's heal	thy statement(s) subject to 21 C.F.R. § 101.65(d) ("Healthy
23	Statements"); (2) label's	express	or implied nutrient content statements ("Nutrient Content
25	Statements"); and (3) viola	tions of	the FDA's requirements for Healthy Nutrient Content Claims,
26	i.e., 21 C.F.R. § 101.65(d)	. As a	n example of Clif's unlawful and misleading Healthy Nutrient
27	Content Claims on its CLI	F Orga	nic Trail Mix Bars' labels, a scan of the CLIF Dark Chocolate
28	Almond Sea Salt Organic T	rail Mix	Bar's label is provided below, in addition to being included with
	CLASS ACTION COMPLAINT Case No.:	FOR DA	36 MAGES, DECLARATORY AND INJUNCTIVE RELIEF

### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 38 of 67

the other CLIF Organic Trail Mix Bars' labels in Exhibit F. By way of example, the Healthy Statement and Nutrient Content Statements for the CLIF Dark Chocolate Almond Sea Salt Organic Trail Mix Bar giving rise to the Healthy Nutrient Content Claim are enlarged to make them easier to read in this Complaint. The other CLIF Organic Trail Mix Bars identified in ¶1 n.2, *supra*, and included in Exhibit F also bear Healthy Nutrient Content Claims because their labels included the same Healthy Statement as Clif's CLIF Dark Chocolate Almond Sea Salt Organic Trail Mix Bar and materially similar Nutrient Content Statements.

8	
9	Nutrition Facts Amount/Serving "DV" Amount/Serving "DV" Amount/Serving "DV" Amount/Serving "DV" Amount/Serving "DV"
10	Serv: Size 1 bar (40g) Calories 200 Calories from Fat 120 Sat. Fat 3g 15% Monounsat. Fat 7g Potassium 170mg 5% Insoluble Fiber 3g 12% Other Carb. 7g
11	"Percent Daily Values (DV) are based on a 2,000 calore det. Vitamin A 0% + Vitamin C 0% + Calorum 4% + Iron 6% + Vitamin E 15% + Magnesium 10% + Selenium 2%
12	INGREDIENTS: ORGANIC ALMONDS, ORGANIC TAPIOCA SYRUP, ORGANIC PECANS, ORGANIC DARK CHOCOLATE (ORIED CANE SYRUP', UNSWEETENED CHOCOLATE', COCOA BUTTER', SOY LECITHIN, VANILLA EXTRACT'I, ORGANIC COATING (ORIED CANE SYRUP', PALM KERNEL OIL', COCOA', SOY LECITHIN', VANILLA EXTRACT'I, ORGANIC CASHEWS, OHGANIC ROASTED SOYBEANS, ORGANIC RICE CRISPS IRICE FLOUR*, DIRED CANE SYRUP', SAIT, CALCIUM CARBONATEL, ORGANIC INULIN, ORGANIC ALMOND BUTTER, ORGANIC SUNLEINER OIL SEA SALT, MIXED TOCOPHEROLS IANTIONIDANTI. ALLERGEN STATEMENT: CONTAINS SOY, ALMONDS, CASHEWS, AND PECANS, MAY CONTAIN TRACES OF MILK AND OTHER TREE NUTS, MAY CONTAIN UNSHELL FRAGMENTS' WE SOURCE INGREDIENTS SOY ALMONDS, CASHEWS, AND PECANS. MAY CONTAIN TRACES OF MILK AND OTHER TREE NUTS, MAY CONTAIN UNSHELL FRAGMENTS' WE SOURCE INGREDIENTS THAT ARE NOT GENERICLY PROMILER. ORGANIC
13	
14	COLLE ORGANIC
15	TRAIL WIX BAR-
16	Gluten Free Low Glycemic
17	3g Fiber SEE NUTRITION INFORMATION FOR TOTAL FATCONTENT DARK CHOCOLATE ALMOND SEA SALT
18	NET WT 1.41 OZ (40g) @D
19	
20	A delicious way to energize your day, CLIF® Organic Trail Mix Bar is made for mixing it up and discovering all the good stuff the day has to offer. Combining simple and organic ingredients that are good for both our bodies and the planet, our trail mix bar comes
21	in flavors for just about every occasion-no matter where the trail takes you.
22	Berthoted by Gif Ban & Company, Emeryelie, CA 94608 U.S.A. 1-890-CH742 Mar 4-2-751 - efforct con MO2 IN CAMARA (© 2014 CH7 Kar & Company, Indemotis and registrand Indemotis, and registrand Indemotis and registrand Independent Inde
23	CERTIFIED ORGANIC BY OAI
24	a. <u>CLIF Dark Chocolate Almond Sea Salt Organic Trail Mix Bar</u>
25	i. Healthy Statements (emphasis added)
26	1. "Combining simple and organic ingredients that are <u>good for</u>
27	both our bodies and the planet, our trail mix bar comes in
28	
	37

	Case 4:16-cv-01708-JSW	Document 1-1 Filed 04/06/16 Page 39 of 67	
1		flavors for just about every occasion—no matter where the trail	
2		takes you."	
3		nd discovering all the good stuff the day has to offer. Combining simple and organic	
4		edients that are good for both our bodies and the planet, our trail mix bar comes avors for just about every occasion-no matter where the trail takes you.	
5	ii. Nu	trient Content Statements	
6	1.	"3g Fiber"	
7		3g Fiber	
8	iii. Vio	plations of FDA Regulations	
9	1.	Exceeds the maximum 3 grams of fat per 40 grams of total	
10		weight requirement outlined at $\P 22(a)$ herein because it	
11		contains 13 grams of fat per 40 grams of total product. See 21	
12		C.F.R. § 101.62(b)(2).	
13	2.	Exceeds the 1 gram of saturated fat per 40 grams total weight	
14		requirement outlined at $\P 22(b)$ herein because it contains 3	
15		grams of saturated fat per 40 grams of total product. See 21	
16		C.F.R. § 101.62(c)(2).	
17	60. Clif's labels port	ray the false and misleading impression that the LUNA Bars and	
18	CLIF Bars have a nutrient profile	e consistent with dietary recommendations. Further, Clif's abuse of	
19	Healthy Nutrient Content Claim	s parallels conduct giving rise to enforcement action by the FDA	
20	against other packaged food man	ufacturers. Since 2007, the FDA has sent warning letters to at least	
21	twenty (20) packaged food ma	nufacturers including nine in 2015 alone stating that each	
22	manufacturer's products were a	misbranded under 21 U.S.C. § 403(r)(1)(A) for bearing Healthy	
23	Nutrient Content Claims but fail	ing to meet the FDA's requirements for use of such claims. <sup>12</sup> The	
24			
25	<sup>12</sup> See FDA, Warning Letter to E	verything Spelt Company, Inc., Mar. 30, 2007, http://www.fda.gov/ ningLetters/2006/ucm076345.htm: FDA, Warning Letter to Ken's	
26	Foods, Inc., Feb. 22, 2010	, http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/	
27 28	ucm202830.htm; FDA, Warning Letter to Nestle Nutrition, Feb. 22, 2010, http://www.fda.gov/ ICECI/EnforcementActions/WarningLetters/2010/ucm202821.htm; FDA, Warning Letter to CytoSport, Inc., June 29, 2011, http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/ ucm261684.htm; FDA, Warning Letter to CAW Industries, Inc., Jan. 31, 2012, http://www.fda.gov/ 38		
	CLASS ACTION COMPLAINT FOR D Case No.:	DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF	

# Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 40 of 67

FDA identified as Healthy Nutrient Content Claims statements in parallel with those that Clif makes 1 on its products such as, "deliciously sweet and healthy diced plums . . .,"<sup>13</sup> "A Healthy Butter!",<sup>14</sup> 2 "HEALTHY EVERYDAY SNACK!",<sup>15</sup> and, "healthy and tasty."<sup>16</sup> 3 61. Moreover, Clif's LUNA Bars and CLIF Bars are mislabeled with improper Healthy 4 5 Nutrient Content Claims in nearly identical fashion to four similar snack bars manufactured by Kind, LLC ("Kind"), which the FDA identified in a warning letter to Kind dated March 17, 2015, attached 6 7 hereto as Exhibit J. The FDA identified as Healthy Nutrient Content Claims on Kind's products and 8 its website the statements, "Healthy and tasty, convenient and wholesome," "There's healthy. 9 ICECI/EnforcementActions/WarningLetters/2012/ucm290046.htm; FDA, Warning Letter to Hail 10 Merry, LLC, Oct. 23, 2012, http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/2012/ ucm326550.htm; FDA, Warning Letter to North Dallas Big Honey Company, June 24, 2013, 11 http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/2013/ucm358641.htm; FDA. Warning Letter to Waterwheel Premium Foods Pty Limited, July 26, 2013, http://www.fda.gov/ 12 ICECI/EnforcementActions/WarningLetters/2013/ucm364729.htm; FDA, Warning Letter to Premier Organics Inc., Aug. 12, 2014, http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/2014/ 13 ucm417246.htm; FDA, Warning Letter to Sunsweet Growers Inc., Oct. 2, 2014, http://www.fda.gov/ ICECI/EnforcementActions/WarningLetters/ucm428002.htm; FDA, Warning Letter to Minerva 14 Dairy, Inc., Oct. 9, 2014, http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/2014/ ucm418768.htm; FDA, Warning Letter to Carrington Farms, Jan. 13, 2015, http://www.fda.gov/ 15 ICECI/EnforcementActions/WarningLetters/ucm433444.htm; FDA, Warning Letter to Bragg Live Food Products, Inc., Mar. 4, 2015, http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/ 16 ucm437839.htm; FDA, Warning Letter to Kind, LLC, Mar. 17, 2015, http://www.fda.gov/ICECI/ EnforcementActions/WarningLetters/ucm440942.htm; FDA, Warning Letter to Yummy Earth Inc., 17 http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/2015/ Apr. 2. 2015. ucm442130.htm; FDA, Warning Letter to Laughing Giraffe Organics, May 12, 2015, 18 http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/2015/ucm453687.htm; FDA. Warning Letter to Wonder Natural Foods Corp., July 13, 2015, http://www.fda.gov/ICECI/ 19 EnforcementActions/WarningLetters/2015/ucm460910.htm; FDA, Warning Letter to Ouest Nutrition, LLC, July 29, 2015, http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/ 20 2015/ucm460915.htm; FDA, Warning Letter to Red Mill Farms LLC, Sept. 17, 2015, http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/2015/ucm463598.htm; FDA. 21 Warning Letter to Ribon Company, Ltd., Oct. 5, 2015, http://www.fda.gov/ICECI/ EnforcementActions/WarningLetters/2015/ucm465792.htm. 22 <sup>13</sup> FDA, Warning Letter to Sunsweet Growers Inc., Oct. 2, 2014, http://www.fda.gov/ICECI/ 23 EnforcementActions/WarningLetters/ucm428002.htm. 24 <sup>14</sup> FDA, Warning Letter to Minerva Dairy, Inc., Oct. 9, 2014, http://www.fda.gov/ICECI/ EnforcementActions/WarningLetters/2014/ucm418768.htm. 25 <sup>15</sup> FDA, Warning Letter to Laughing Giraffe Organics, May 12, 2015, http://www.fda.gov/ICECI/ 26 EnforcementActions/WarningLetters/2015/ucm453687.htm. 27 <sup>16</sup> FDA, Warning Letter to Ribon Company, Ltd., Oct. 5, 2015, http://www.fda.gov/ICECI/ EnforcementActions/WarningLetters/2015/ucm465792.htm. 28 39 CLASS ACTION COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF Case No.:

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 41 of 67

There's tasty. Then there's healthy and tasty," "all of our snacks are pretty much the nirvana of 1 2 healthful tastiness," and, "KIND Peanut Butter Dark Chocolate + Protein is a healthy and satisfying 3 blend of peanuts and antioxidant-rich dark chocolate." Id. at p. 2 The FDA stated that Kind's 4 products did not meet the requirements for use of Healthy Nutrient Content Claims because they 5 exceeded the maximum saturated fat requirements for use of such claims, i.e., each Kind snack bar contained more than 1 gram of saturated fat per 40 grams of total weight. Id. at pp. 2–3; see also 6 7 ¶ 22(b) (describing maximum saturated fat requirement). Just as with Kind, Clif's LUNA Bars and 8 CLIF Bars similarly do not meet the requirements for use of Healthy Nutrient Content Claims 9 because they exceed the maximum saturated fat requirements (in addition to the maximum total fat 10 requirements) to allow Clif to make use of such claims.

11 62. According to FDA policy and practice, it issues warning letters such as those 12 identified in ¶¶ 60–61 "only for violations of regulatory significance." FDA, Regulatory Procedures 13 Manual at 4-2 (July 2012), available at http://www.fda.gov/downloads/ICECI/ComplianceManuals/ 14 RegulatoryProceduresManual/UCM074330.pdf. Warning letters "communicate[] the agency's 15 position on a matter" and are intended "to correct violations of the statutes or regulations." Id.; see 16 also Hamburg Letter (stating that warning letters "convey our [FDA's] regulatory intentions"). In its 17 regulatory capacity over food labeling, the FDA issues warning letters to clarify food-labeling 18 requirements and provide labeling instruction to food manufacturers. See Hamburg Letter ("I will 19 close with the hope that these warning letters will give food manufacturers further clarification about 20 what is expected of them as they review their current labeling.").

21 63. Independent of the FDA's requirements for Healthy Nutrient Content Claims, each 22 LUNA Bar's and CLIF Bar's labeling violates the FDCA and California law because its label is false 23 and misleading under the general standard. As alleged throughout this Complaint, Clif utilizes 24 "health" and/or synonyms of "healthy" in conjunction with claims that the LUNA Bars and CLIF 25 Bars contain nutrients that consumers understand as beneficial and healthful such as protein, fiber, 26 calcium, iron, folic acid, and vitamin D. The FDA's factual findings outlined above confirm that 27 consumers reasonably expect foods representing themselves as being "healthy" or supporting 28 "health" because they contain these perceived beneficial nutrients are actually healthy and do not

40

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 42 of 67

contain unhealthy levels of fat and saturated fat. Thus, each LUNA Bar's and CLIF Bar's label is 2 false and misleading under the general standard because each LUNA Bar and CLIF Bar 3 misrepresents to consumers that it is healthy when, in fact, each product contains large quantities of 4 unhealthy substances. *See* 21 U.S.C. § 343(a)(1).

#### **CLIF REFUSED TO CEASE ITS WRONGDOING**

64. On October 20, 2015, Plaintiff Perieff and his counsel notified Clif of the allegations in this Complaint concerning its CLIF Bars and LUNA Bars via letter pursuant to the CLRA, Cal. Civ. Code §§ 1750–85. A copy of Plaintiff Perieff's CLRA letter to Clif (labels omitted) is attached hereto as Exhibit K. Clif's agents signed the U.S. mail return receipt form confirming that Clif received Plaintiff Perieff's CLRA letter by October 26, 2015. Id. at pp. 15-16.

11 65. Plaintiff Perieff's CLRA letter put Clif on notice of the allegations in this Complaint 12 concerning its CLIF Bars and LUNA Bars and demanded, inter alia, that Clif cease selling in 13 California the CLIF Bars and LUNA Bars bearing the unlawful, false, and misleading labels 14 described herein. *Id.* at pp. 1–14.

15 66. Clif responded to Plaintiff Perieff's CLRA letter by letter dated November 20, 2015. 16 A copy of Clif's response to Plaintiff Perieff's CLRA letter is attached here as Exhibit L. In its 17 response, Clif denied that its CLIF Bars and LUNA Bars were mislabeled, indicated that it would not 18 change its CLIF Bars' or LUNA Bars' labels, and advised Plaintiff Perieff and his counsel to "not 19 throw good money after bad." Id. at p. 4.

20 67. On December 21, 2015, Plaintiff Cheslow and her counsel provided Clif with greater 21 detail about the allegations in this Complaint concerning its LUNA Bars via letter pursuant to the 22 CLRA, Cal. Civ. Code §§ 1750–85. A copy of Plaintiff Cheslow's CLRA letter to Clif (labels 23 omitted) is attached hereto as Exhibit M. Clif's agents signed the U.S. mail return receipt forms 24 confirming that Clif received Plaintiff Cheslow's CLRA letter by December 28, 2015. Id. at pp. 24-25 25.

26 68. Plaintiff Cheslow's CLRA letter put Clif on notice of the same allegations in this 27 Complaint concerning its LUNA Bars as were previously made in Plaintiff Perieff's CLRA letter,

41

28

1

5

6

7

8

9

10

# Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 43 of 67

and demanded, *inter alia*, that Clif cease selling in California the LUNA Bars bearing the unlawful,
 false, and misleading labels described herein. *Id.* at pp. 1–23.

69. Clif never responded to Plaintiff Cheslow's CLRA letter, presumably standing by its
prior response to Plaintiff Perieff's CLRA letter indicating that it would not change its LUNA Bars'
labels.

70. Although Clif now has had ample notice of Plaintiffs' allegations that its LUNA
Bars' and CLIF Bars' labeling is unlawful, false, and misleading as described herein and had an
opportunity to address Plaintiffs' demands, Clif has failed to do so.

- 9
- 10

11

# Class Action Allegations Relating to Purchases of Clif's LUNA Bars

CLASS ACTION ALLEGATIONS

71. This action asserts claims relating to purchases of Clif's LUNA Bars on behalf of two

12 California Classes pursuant to Cal. Civ. Proc. Code § 382, defined as follows:

13

# The LUNA Bars Damages Class

All California citizens who purchased Clif's LUNA Bars packaged food products<sup>17</sup> that were labeled with derivatives of "healthy" such as "health," and/or synonyms thereof, i.e., "Whole Nutrition" and/or "nutrition," and a statement about the level of protein, fiber, calcium, iron, folic acid, vitamin D, and/or fat that did not meet the nutrient levels required by the FDA when making a Healthy Nutrient Content Claim.

17

18

The LUNA Bars Declaratory/Injunctive Class

All California citizens.

I.

19 72. Excluded from the LUNA Bars Damages and Declaratory/Injunctive Classes are:
20 (i) Clif Bar & Company, including any entity in which Clif Bar & Company has a controlling
21 interest, is a parent or subsidiary, or which is controlled by Clif Bar & Company, as well as its
22 officers, directors, affiliates, legal representatives, heirs, predecessors, successors, and assigns;
23 (ii) any person who is not a California citizen as of the date of the filing of this action; (iii) all
24 purchases of Clif's LUNA Bars made outside the State of California by any California citizen; and
25 (iv) the judges to whom this action is assigned and any members of their immediate families.

26

27

<sup>&</sup>lt;sup>17</sup> Plaintiffs identified the Clif LUNA Bars identified in ¶ 1 n.1, *supra*, and reserve the right to amend the class definition to include additional LUNA Bars subsequently identified through discovery.

1	73.	Certification of Plaintiff Cheslow's claims for class-wide treatment is appropriate
2	because Plain	tiff Cheslow can prove the elements of her claims on a class-wide basis using the same
3	evidence as w	yould be used to prove those elements in individual actions alleging the same claims.
4		A. The Parties Are Numerous and Ascertainable
5	74.	There are thousands of members of the LUNA Bar Classes who are geographically
6	dispersed thro	bughout California. Therefore, individual joinder of the LUNA Bar Classes' members
7	in order to bri	ing them all before the Court is impracticable.
8		B. There Is a Well-Defined Community of Interest
9	75.	In order to determine if there is a well-defined community of interest such that the
10	question is o	ne of a common or general interest, a court should consider: (1) whether common
11	questions of l	aw and facts predominate; (2) whether the class representative's claims or defenses are
12	typical of the	class; and (3) whether the class representatives can adequately represent the class.
13		i. Common Questions of Law and Fact Predominate
14	76.	Common questions of law or fact exist as to all members of the LUNA Bar Damages
15	Class and pre	edominate over any questions affecting only individual members of that Class. These
16	common lega	l or factual questions include:
17	a.	Whether Clif's LUNA Bars as described herein were sold in California;
18	b.	Whether Clif's LUNA Bars' labels make Healthy Nutrient Content Claims;
19	c.	Whether Clif's LUNA Bars' labels comply with the FDA's requirements for Healthy
20		Nutrient Content Claims;
21	d.	Whether Clif's mislabeling of the LUNA Bars as described herein is unlawful;
22	e.	Whether Clif's mislabeling of the LUNA Bars as described herein is material to
23		consumers; and
24	f.	The amount of damages or restitution due to Plaintiff Cheslow and members of the
25		LUNA Bars Damages Class.
26	77.	Common questions of law or fact exist as to all members of the LUNA Bars
27	Declaratory/I	njunctive Class and predominate over any questions affecting only individual members
28	of that Class.	These common legal or factual questions include:
	CLASS ACTIO Case No.:	43 N COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF

# Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 45 of 67

1	a.	Whether Clif's LUNA Bars as described herein are currently being offered for sale in
2		California;
3	b.	Whether Clif's LUNA Bars' labels make Healthy Nutrient Content Claims;
4	с.	Whether Clif's LUNA Bars' labels comply with the FDA's requirements for Healthy
5		Nutrient Content Claims;
6	d.	Whether Clif's mislabeling of the LUNA Bars as described herein is unlawful;
7	e.	Whether Clif's mislabeling of the LUNA Bars as described herein is material to
8		consumers; and
9	f.	Whether Plaintiff Cheslow and members of the LUNA Bars Declaratory/Injunctive
10		Class are entitled to declaratory and injunctive relief.
11		ii. Plaintiff's Claims Are Typical of the Classes
12	78.	Plaintiff Cheslow's claims are typical of the claims of the LUNA Bars Damages
13	Class. Plaint	tiff Cheslow is a California citizen who purchased Clif LUNA Bars in California as
14	described in	9, <i>supra</i> . Therefore, Plaintiff Cheslow is no different in any material respect from any
15	other membe	r of the LUNA Bars Damages Class, and the relief sought by Plaintiff Cheslow is
16	common to the relief sought by the LUNA Bars Damages Class.	
17	79.	Plaintiff Cheslow's claims are typical of the claims of the LUNA Bars
18	Declaratory/I	njunctive Class. Plaintiff Cheslow is a California citizen who continues to be exposed
19	to Clif's misl	abeling as described in ¶ 9, <i>supra</i> . Therefore, Plaintiff Cheslow is no different in any
20	material resp	ect from any other member of the LUNA Bars Declaratory/Injunctive Class, and the
21	relief sought	by Plaintiff Cheslow is common to the relief sought by the LUNA Bars
22	Declaratory/I	njunctive Class.
23		iii. The Class Representative Can Adequately Represent the Class
24	80.	Plaintiff Cheslow is an adequate representative of the LUNA Bars Classes because
25	her interests	are neither antagonistic to nor in conflict with the interests of the members of the
26	LUNA Bars	Classes that she seeks to represent, and she has retained counsel competent and
27	experienced i	n conducting complex class-action litigation. Plaintiff Cheslow and her counsel will
28	adequately pr	otect the interests of the LUNA Bars Classes.
		44

1 2

#### **C**. A Class Action Is Superior to All Other Available Methods for the Fair and Efficient Adjudication of Plaintiff's and Class Members' Claims

81. A class action is superior to other available means for the fair and efficient 3 adjudication of this dispute. It would be virtually impossible for members of the LUNA Bars 4 Classes individually to obtain the relief sought in this Complaint. With respect to the LUNA Bars 5 Damages Class, the damages suffered by each individual member of that Class will likely be relatively small, especially given the relatively small cost of Clif's LUNA Bars at issue and the 6 7 burden and expense of individual prosecution of the complex litigation necessitated by Clif's 8 misconduct. With respect to the LUNA Bars Declaratory/Injunctive Class, because this action seeks 9 declaratory and injunctive relief to restrain Clif from selling or making available for sale the 10 mislabeled LUNA Bars throughout California, this action is only appropriate as a class action 11 because it affects all California consumers by the nature of the relief sought.

12 82. Even if members of the LUNA Bars Classes could afford individual actions, a 13 multitude of such individual actions still would not be preferable to class-wide litigation. Individual 14 actions also present the potential for inconsistent or contradictory judgments, which would be 15 dispositive of at least some of the issues and hence interests of the other members not party to the 16 individual actions, would substantially impair or impede their ability to protect their interests, and 17 would establish incompatible standards of conduct for the party opposing the LUNA Bars Classes.

83. A class action presents far fewer litigation management difficulties and provides the benefits of single adjudication, economies of scale, and comprehensive supervision by a single court.

20 84. With respect to the LUNA Bars Declaratory/Injunctive Class, the Class also may be certified because Clif acted or refused to act on grounds generally applicable to the Class, thereby 22 making preliminary and final injunctive relief and corresponding declaratory relief appropriate.

23 85. Also, in the alternative, the LUNA Bars Classes may be certified with respect to 24 particular issues.

25

18

19

21

#### II. **Class Action Allegations Relating to Purchases of Clif's CLIF Bars**

26 86. This action asserts claims relating to purchases of Clif's CLIF Bars on behalf of two 27 California Classes pursuant to Cal. Civ. Proc. Code § 382, defined as follows:

45

28

#### The CLIF Bars Damages Class

All California citizens who purchased Clif's CLIF Bars packaged food products<sup>18</sup> that were labeled synonyms of "healthy," i.e., "wholesome," "nutritious," being "good for ... our bodies," and/or containing ingredients "to keep kids ... growing," and a statement about the level of fiber, protein, fat, and/or sodium that did not meet the nutrient levels required by the FDA when making a Healthy Nutrient Content Claim.

5

6

1

2

3

4

The CLIF Bars Declaratory/Injunctive Class

All California citizens.

7 87. Excluded from the CLIF Bars Damages and Declaratory/Injunctive Classes are: 8 (i) Clif Bar & Company, including any entity in which Clif Bar & Company has a controlling 9 interest, is a parent or subsidiary, or which is controlled by Clif Bar & Company, as well as its 10 officers, directors, affiliates, legal representatives, heirs, predecessors, successors, and assigns; 11 (ii) any person who is not a California citizen as of the date of the filing of this action; (iii) all 12 purchases of Clif's CLIF Bars made outside the State of California by any California citizen; and 13 (iv) the judges to whom this action is assigned and any members of their immediate families.

14 88. Certification of Plaintiffs' claims for class-wide treatment is appropriate because 15 Plaintiffs can prove the elements of their claims on a class-wide basis using the same evidence as 16 would be used to prove those elements in individual actions alleging the same claims.

17

#### A. The Parties Are Numerous and Ascertainable

89. 18 There are thousands of members of the CLIF Bar Classes who are geographically 19 dispersed throughout California. Therefore, individual joinder of the CLIF Bar Classes' members in 20 order to bring them all before the Court is impracticable.

21

#### В. **There Is a Well-Defined Community of Interest**

90. 22 In order to determine if there is a well-defined community of interest such that the question is one of a common or general interest, a court should consider: (1) whether common 24 questions of law and facts predominate; (2) whether the class representative's claims or defenses are typical of the class; and (3) whether the class representatives can adequately represent the class.

26

25

23

<sup>27</sup> <sup>18</sup> Plaintiffs identified the Clif CLIF Bars identified in ¶ 1 n.2, *supra*, and reserve the right to amend the class definition to include additional CLIF Bars subsequently identified through discovery. 28

1		i. Common Questions of Law and Fact Predominate
2	91.	Common questions of law or fact exist as to all members of the CLIF Bar Damages
3	Class and pro	edominate over any questions affecting only individual members of that Class. These
4	common lega	al or factual questions include:
5	g.	Whether Clif's CLIF Bars as described herein were sold in California;
6	h.	Whether Clif's CLIF Bars' labels make Healthy Nutrient Content Claims;
7	i.	Whether Clif's CLIF Bars' labels comply with the FDA's requirements for Healthy
8		Nutrient Content Claims;
9	j.	Whether Clif's mislabeling of the CLIF Bars as described herein is unlawful;
10	k.	Whether Clif's mislabeling of the CLIF Bars as described herein is material to
11		consumers; and
12	1.	The amount of damages or restitution due to Plaintiffs and members of the CLIF Bars
13		Damages Class.
14	92.	Common questions of law or fact exist as to all members of the CLIF Bars
15	Declaratory/I	injunctive Class and predominate over any questions affecting only individual members
16	of that Class.	These common legal or factual questions include:
17	g.	Whether Clif's CLIF Bars as described herein are currently being offered for sale in
18		California;
19	h.	Whether Clif's CLIF Bars' labels make Healthy Nutrient Content Claims;
20	i.	Whether Clif's CLIF Bars' labels comply with the FDA's requirements for Healthy
21		Nutrient Content Claims;
22	j.	Whether Clif's mislabeling of the CLIF Bars as described herein is unlawful;
23	k.	Whether Clif's mislabeling of the CLIF Bars as described herein is material to
24		consumers; and
25	l.	Whether Plaintiffs and members of the CLIF Bars Declaratory/Injunctive Class are
26		entitled to declaratory and injunctive relief.
27		
28		
	CLASS ACTIO Case No.:	47 ON COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF

2 3

4

5

6

7

1

# ii. Plaintiffs' Claims Are Typical of the Classes

93. Plaintiffs' claims are typical of the claims of the CLIF Bars Damages Class. Plaintiff Cheslow is a California citizen who purchased Clif CLIF Bars in California as described in ¶ 9, *supra*. Plaintiff Perieff is a California citizen who purchased Clif CLIF Bars in California as described in ¶ 8, *supra*. Therefore, Plaintiffs are no different in any material respect from any other member of the CLIF Bars Damages Class, and the relief sought by Plaintiffs is common to the relief sought by the CLIF Bars Damages Class.

94. Plaintiffs' claims are typical of the claims of the CLIF Bars Declaratory/Injunctive
Class. Plaintiff Cheslow is a California citizen who continues to be exposed to Clif's mislabeling as
described in ¶ 9, *supra*. Plaintiff Perieff is a California citizen who continues to be exposed to Clif's
mislabeling as described in ¶ 8, *supra*. Therefore, Plaintiffs are no different in any material respect
from any other member of the CLIF Bars Declaratory/Injunctive Class, and the relief sought by
Plaintiffs is common to the relief sought by the CLIF Bars Declaratory/Injunctive Class.

14

### iii. The Class Representatives Can Adequately Represent the Class

95. Plaintiffs are adequate representatives of the CLIF Bars Classes because their
interests are neither antagonistic to nor in conflict with the interests of the members of the CLIF Bars
Classes that they seek to represent, and they have retained counsel competent and experienced in
conducting complex class-action litigation. Plaintiffs and their counsel will adequately protect the
interests of the CLIF Bars Classes.

20 21

# C. A Class Action Is Superior to All Other Available Methods for the Fair and Efficient Adjudication of Plaintiffs' and Class Members' Claims

22 96. A class action is superior to other available means for the fair and efficient 23 adjudication of this dispute. It would be virtually impossible for members of the CLIF Bars Classes 24 individually to obtain the relief sought in this Complaint. With respect to the CLIF Bars Damages 25 Class, the damages suffered by each individual member of that Class will likely be relatively small, 26 especially given the relatively small cost of Clif's CLIF Bars at issue and the burden and expense of 27 individual prosecution of the complex litigation necessitated by Clif's misconduct. With respect to 28 the CLIF Bars Declaratory/Injunctive Class, because this action seeks declaratory and injunctive 48

### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 50 of 67

relief to restrain Clif from selling or making available for sale the mislabeled CLIF Bars throughout California, this action is only appropriate as a class action because it affects all California consumers by the nature of the relief sought.

97. Even if members of the CLIF Bars Classes could afford individual actions, a multitude of such individual actions still would not be preferable to class-wide litigation. Individual actions also present the potential for inconsistent or contradictory judgments, which would be dispositive of at least some of the issues and hence interests of the other members not party to the individual actions, would substantially impair or impede their ability to protect their interests, and would establish incompatible standards of conduct for the party opposing the CLIF Bars Classes.

98. A class action presents far fewer litigation management difficulties and provides the
benefits of single adjudication, economies of scale, and comprehensive supervision by a single court.

99. With respect to the CLIF Bars Declaratory/Injunctive Class, the Class also may be
certified because Clif acted or refused to act on grounds generally applicable to the Class, thereby
making preliminary and final injunctive relief and corresponding declaratory relief appropriate.

15 100. Also, in the alternative, the CLIF Bars Classes may be certified with respect to
16 particular issues.

17

18

1

2

3

4

5

6

7

8

9

FIRST CAUSE OF ACTION

("Unlawful" Business Practices in Violation of the Unfair Competition Law ("UCL"), Cal. Bus. & Prof. Code §§ 17200, et seq.)

19 20

### A. First Cause of Action Relating to Purchases of Clif's LUNA Bars

21 101. Plaintiff Cheslow hereby incorporates all other paragraphs of this Complaint and
22 restates them as if fully set forth herein.

23 102. Plaintiff Cheslow brings this claim on behalf of herself, the LUNA Bars Damages
24 Class, and the LUNA Bars Declaratory/Injunctive Class.

103. The UCL defines unfair business competition to include any "unlawful, unfair or
fraudulent" act or practice, as well as any "unfair, deceptive, untrue or misleading" advertising. Cal.
Bus. Prof. Code § 17200.

### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 51 of 67

104. A business act or practice is "unlawful" if it violates any established state or federal 1 2 law.

105. As described throughout this Complaint, Clif's LUNA Bars' labeling violates California's Sherman Law, the FDCA, and FDA regulations, including amongst other laws, those identified in ¶¶ 6, 14-22, supra. Moreoever, the labeling of Clif's LUNA Bars is false and misleading in violation of Sherman Law § 110660, and FDCA § 343(a).

106. Clif continues to violate the UCL through its ongoing violation of California and federal law as described herein.

107. As described herein, Clif obtained money from Plaintiff Cheslow through its unlawful 10 acts and practices because Plaintiff Cheslow purchased the LUNA Bars relying on the accuracy of Clif's labeling and Clif's mislabeling was material to Plaintiff Cheslow's decision to purchase the LUNA Bars. As a result of Clif's alleged conduct, Plaintiff Cheslow has lost money.

13 108. Clif has obtained and continues to obtain money from members of the LUNA Bars 14 Damages Class through its unlawful acts and practices alleged in this Complaint. As such, Plaintiff 15 Cheslow requests that this Court cause Clif to restore this money to Plaintiff Cheslow and the LUNA 16 Bars Damages Class members and to disgorge the profits that Clif made on these transactions. 17 Otherwise, Plaintiff Cheslow and the LUNA Bars Damages Class may be irreparably harmed and/or 18 denied an effective and complete remedy if such an order is not granted.

19 109. Plaintiff Cheslow also requests that the Court declare Clif's LUNA Bars' labeling 20 unlawful and enjoin Clif from continuing to violate the UCL by selling, offering for sale, or 21 distributing the mislabeled LUNA Bars in California, or causing the mislabeled LUNA Bars to be 22 distributed, sold, or offered for sale in California. Unless Clif is permanently enjoined from 23 continuing to engage in such violations of the UCL, future consumers of Clif's LUNA Bars will be 24 harmed by Clif's acts and practices in the same way as Plaintiff Cheslow.

25

3

4

5

6

7

8

9

11

12

**B**. First Cause of Action Relating to Purchases of Clif's CLIF Bars

26 110. Plaintiffs hereby incorporate all other paragraphs of this Complaint and restate them 27 as if fully set forth herein.

1 111. Plaintiffs bring this claim on behalf of themselves, the CLIF Bars Damages Class,
 2 and the CLIF Bars Declaratory/Injunctive Class.

112. The UCL defines unfair business competition to include any "unlawful, unfair or fraudulent" act or practice, as well as any "unfair, deceptive, untrue or misleading" advertising. Cal. Bus. Prof. Code § 17200.

6 113. A business act or practice is "unlawful" if it violates any established state or federal
7 law.

8 114. As described throughout this Complaint, Clif's CLIF Bars' labeling violates
9 California's Sherman Law, the FDCA, and FDA regulations, including amongst other laws, those
10 identified in ¶¶ 6, 14-22, *supra*. Moreoever, the labeling of Clif's CLIF Bars is false and misleading
11 in violation of Sherman Law § 110660, and FDCA § 343(a).

12 115. Clif continues to violate the UCL through its ongoing violation of California and13 federal law as described herein.

14 116. As described herein, Clif obtained money from Plaintiffs through its unlawful acts
15 and practices because Plaintiffs purchased the CLIF Bars relying on the accuracy of Clif's labeling
16 and Clif's mislabeling was material to Plaintiffs' decisions to purchase the CLIF Bars. As a result of
17 Clif's alleged conduct, Plaintiffs have lost money.

18 117. Clif has obtained and continues to obtain money from members of the CLIF Bars 19 Damages Class through its unlawful acts and practices alleged in this Complaint. As such, Plaintiffs 20 request that this Court cause Clif to restore this money to Plaintiffs and the CLIF Bars Damages 21 Class members and to disgorge the profits that Clif made on these transactions. Otherwise, Plaintiffs 22 and the CLIF Bars Damages Class may be irreparably harmed and/or denied an effective and 23 complete remedy if such an order is not granted.

24 25

26

27

3

4

5

118. Plaintiffs also request that the Court declare Clif's CLIF Bars' labeling unlawful and enjoin Clif from continuing to violate the UCL by selling, offering for sale, or distributing the mislabeled CLIF Bars in California, or causing the mislabeled CLIF Bars to be distributed, sold, or offered for sale in California. Unless Clif is permanently enjoined from continuing to engage in

28

such violations of the UCL, future consumers of Clif's CLIF Bars will be harmed by Clif's acts and
 practices in the same way as Plaintiffs.

SECOND CAUSE OF ACTION ("Unfair" Business Practices in Violation of the Unfair Competition Law ("UCL"), Cal. Bus. & Prof. Code §§ 17200, et seq.)

A. Second Cause of Action Relating to Purchases of Clif's LUNA Bars

119. Plaintiff Cheslow hereby incorporates all other paragraphs of this Complaint and restates them as if fully set forth herein.

Plaintiff Cheslow brings this claim on behalf of herself, the LUNA Bars Damages
Class, and the LUNA Bars Declaratory/Injunctive Class.

121. The UCL defines unfair business competition to include any "unlawful, unfair or fraudulent" act or practice, as well as any "unfair, deceptive, untrue or misleading" advertising. Cal.
Bus. Prof. Code § 17200.

A business act or practice is "unfair" under the UCL if the reasons, justifications, and
motives of the alleged wrongdoer are outweighed by the gravity of the harm to the alleged victims.

123. As described throughout this Complaint, Clif's LUNA Bars' labeling violates FDA 123. regulations, the FDCA, the Sherman Law, and is false and misleading. Clif's practices constitute 138 unfair business practices in violation of the UCL because, among other things, they are immoral, 149 unethical, oppressive, unscrupulous, or substantially injurious to consumers and/or any utility of 120 such practices is outweighed by the harm caused to consumers. Clif's practices violate the 131 legislative policies of the underlying statutes alleged herein: namely, protecting consumers and 122 preventing persons from being injured by false and misleading advertising. Clif's practices caused 123 substantial injury to Plaintiff Cheslow and LUNA Bars Class members and are not outweighed by 144 any benefits, and Plaintiff Cheslow and LUNA Bars Class members could not have reasonably 145 avoided their injuries.

26 124. Clif continues to violate the UCL through its unfair acts and practices as described
27 herein.

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 54 of 67

125. As described herein, Clif obtained money from Plaintiff Cheslow through its unfair acts and practices because Plaintiff Cheslow purchased Clif's LUNA Bars relying on the accuracy of Clif's labeling and Clif's labeling was material to Plaintiff Cheslow's decision to purchase the LUNA Bars. As a result of Clif's alleged conduct, Plaintiff Cheslow has lost money.

126. Clif has obtained and continues to obtain money from members of the LUNA Bars Damages Class through its unlawful acts and practices alleged in this Complaint. As such, Plaintiff Cheslow requests that this Court cause Clif to restore this money to Plaintiff Cheslow and the LUNA Bars Damages Class members and to disgorge the profits Clif made on these transactions. Otherwise, Plaintiff Cheslow and the LUNA Bars Damages Class may be irreparably harmed and/or denied an effective and complete remedy if such an order is not granted.

12 127. Plaintiff Cheslow also requests that the Court declare Clif's LUNA Bars' labeling 12 unlawful and enjoin Clif from continuing to violate the UCL by selling, offering for sale, or 13 distributing the mislabeled LUNA Bars in California, or causing the mislabeled LUNA Bars to be 14 distributed, sold, or offered for sale in California. Unless Clif is permanently enjoined from 15 continuing to engage in such violations of the UCL, future consumers of Clif's LUNA Bars will be 16 harmed by Clif's acts and practices in the same way as Plaintiff Cheslow.

17

1

2

3

4

5

6

7

8

9

10

#### B. Second Cause of Action Relating to Purchases of Clif's CLIF Bars

18 128. Plaintiffs hereby incorporate all other paragraphs of this Complaint and restate them19 as if fully set forth herein.

20 129. Plaintiffs bring this claim on behalf of themselves, the CLIF Bars Damages Class,
21 and the CLIF Bars Declaratory/Injunctive Class.

130. The UCL defines unfair business competition to include any "unlawful, unfair or
fraudulent" act or practice, as well as any "unfair, deceptive, untrue or misleading" advertising. Cal.
Bus. Prof. Code § 17200.

131. A business act or practice is "unfair" under the UCL if the reasons, justifications, and
motives of the alleged wrongdoer are outweighed by the gravity of the harm to the alleged victims.

27 132. As described throughout this Complaint, Clif's CLIF Bars' labeling violates FDA
28 regulations, the FDCA, the Sherman Law, and is false and misleading. Clif's practices constitute

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 55 of 67

unfair business practices in violation of the UCL because, among other things, they are immoral, 1 2 unethical, oppressive, unscrupulous, or substantially injurious to consumers and/or any utility of 3 such practices is outweighed by the harm caused to consumers. Clif's practices violate the 4 legislative policies of the underlying statutes alleged herein: namely, protecting consumers and 5 preventing persons from being injured by false and misleading advertising. Clif's practices caused 6 substantial injury to Plaintiffs and CLIF Bars Class members and are not outweighed by any 7 benefits, and Plaintiffs and CLIF Bars Class members could not have reasonably avoided their 8 injuries.

9 133. Clif continues to violate the UCL through its unfair acts and practices as described
10 herein.

11 134. As described herein, Clif obtained money from Plaintiffs through its unfair acts and
12 practices because Plaintiffs purchased Clif's CLIF Bars relying on the accuracy of Clif's labeling
13 and Clif's labeling was material to Plaintiffs' decisions to purchase the CLIF Bars. As a result of
14 Clif's alleged conduct, Plaintiffs have lost money.

15 135. Clif has obtained and continues to obtain money from members of the CLIF Bars 16 Damages Class through its unlawful acts and practices alleged in this Complaint. As such, Plaintiffs 17 request that this Court cause Clif to restore this money to Plaintiffs and the CLIF Bars Damages 18 Class members and to disgorge the profits Clif made on these transactions. Otherwise, Plaintiffs and 19 the CLIF Bars Damages Class may be irreparably harmed and/or denied an effective and complete 20 remedy if such an order is not granted.

136. Plaintiffs also request that the Court declare Clif's CLIF Bars' labeling unlawful and
enjoin Clif from continuing to violate the UCL by selling, offering for sale, or distributing the
mislabeled CLIF Bars in California, or causing the mislabeled CLIF Bars to be distributed, sold, or
offered for sale in California. Unless Clif is permanently enjoined from continuing to engage in
such violations of the UCL, future consumers of Clif's CLIF Bars will be harmed by Clif's acts and
practices in the same way as Plaintiffs.

- 27
- 28

#### THIRD CAUSE OF ACTION ("Fraudulent" Business Practices in Violation of the Unfair Competition Law ("UCL"), Cal. Bus. & Prof. Code §§ 17200, et seq.)

#### A. Third Cause of Action Relating to Purchases of Clif's LUNA Bars

137. Plaintiff Cheslow hereby incorporates all other paragraphs of this Complaint and restates them as if fully set forth herein.

138. Plaintiff Cheslow brings this claim on behalf of herself, the LUNA Bars Damages Class, and the LUNA Bars Declaratory/Injunctive Class.

139. The UCL defines unfair business competition to include any "unlawful, unfair or fraudulent" act or practice, as well as any "unfair, deceptive, untrue or misleading" advertising. Cal. Bus. Prof. Code § 17200.

12

140. A business act or practice is "fraudulent" under the UCL if it actually deceives or is likely to deceive members of the consuming public.

141. As described throughout this Complaint, Clif's LUNA Bars' labeling with Healthy Nutrient Content Claims when they contain too much fat and saturated fat to qualify for such a claim actually deceives and is likely to deceive members of the consuming public into believing the products are something they are not.

142. Clif continues to violate the UCL through its ongoing fraudulent acts and practices as described herein.

143. As described herein, Clif obtained money from Plaintiff Cheslow through its unlawful acts and practices because Plaintiff Cheslow purchased the LUNA Bars relying on the accuracy of Clif's labeling and Clif's mislabeling was material to Plaintiff Cheslow's decision to purchase the LUNA Bars. As a result of Clif's alleged conduct, Plaintiff Cheslow has lost money.

144. Clif has obtained and continues to obtain money from members of the LUNA Bars Damages Class through its unlawful acts and practices alleged in this Complaint. As such, Plaintiff 26 Cheslow requests that this Court cause Clif to restore this money to Plaintiff Cheslow and the LUNA 27 Bars Damages Class members and to disgorge the profits Clif made on these transactions.

28

Otherwise, Plaintiff Cheslow and the LUNA Bars Damages Class may be irreparably harmed and/or
 denied an effective and complete remedy if such an order is not granted.

145. Plaintiff Cheslow also requests that the Court declare Clif's LUNA Bars' labeling unlawful and enjoin Clif from continuing to violate the UCL by selling, offering for sale, or distributing the mislabeled LUNA Bars in California, or causing the mislabeled LUNA Bars to be distributed, sold, or offered for sale in California. Unless Clif is permanently enjoined from continuing to engage in such violations of the UCL, future consumers of Clif's LUNA Bars will be harmed by Clif's acts and practices in the same way as Plaintiff Cheslow.

9

3

4

5

6

7

8

# B. Third Cause of Action Relating to Purchases of Clif's CLIF Bars

10 146. Plaintiffs hereby incorporate all other paragraphs of this Complaint and restate them
11 as if fully set forth herein.

12 147. Plaintiffs bring this claim on behalf of themselves, the CLIF Bars Damages Class,
13 and the CLIF Bars Declaratory/Injunctive Class.

14 148. The UCL defines unfair business competition to include any "unlawful, unfair or
15 fraudulent" act or practice, as well as any "unfair, deceptive, untrue or misleading" advertising. Cal.
16 Bus. Prof. Code § 17200.

17 149. A business act or practice is "fraudulent" under the UCL if it actually deceives or is18 likely to deceive members of the consuming public.

19 150. As described throughout this Complaint, Clif's CLIF Bars' labeling with Healthy
20 Nutrient Content Claims when they contain too much fat and/or saturated fat to qualify for such a
21 claim actually deceives and is likely to deceive members of the consuming public into believing the
22 products are something they are not.

23 151. Clif continues to violate the UCL through its ongoing fraudulent acts and practices as
24 described herein.

152. As described herein, Clif obtained money from Plaintiffs through its unlawful acts
and practices because Plaintiffs purchased the CLIF Bars relying on the accuracy of Clif's labeling
and Clif's mislabeling was material to Plaintiffs' decisions to purchase the CLIF Bars. As a result of
Clif's alleged conduct, Plaintiffs have lost money.

56

### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 58 of 67

153. Clif has obtained and continues to obtain money from members of the CLIF Bars 1 2 Damages Class through its unlawful acts and practices alleged in this Complaint. As such, Plaintiffs 3 request that this Court cause Clif to restore this money to Plaintiffs and the CLIF Bars Damages 4 Class members and to disgorge the profits Clif made on these transactions. Otherwise, Plaintiffs and 5 the CLIF Bars Damages Class may be irreparably harmed and/or denied an effective and complete 6 remedy if such an order is not granted.

7 154. Plaintiffs also request that the Court declare Clif's CLIF Bars' labeling unlawful and 8 enjoin Clif from continuing to violate the UCL by selling, offering for sale, or distributing the 9 mislabeled CLIF Bars in California, or causing the mislabeled LUNA Bars to be distributed, sold, or 10 offered for sale in California. Unless Clif is permanently enjoined from continuing to engage in such violations of the UCL, future consumers of Clif's CLIF Bars will be harmed by Clif's acts and 12 practices in the same way as Plaintiffs.

#### FOURTH CAUSE OF ACTION (False Advertising in Violation of the False Advertising Law ("FAL") Cal. Bus. & Prof. Code §§ 17500, et seq.)

#### **B**. Fourth Cause of Action Relating to Purchases of Clif's LUNA Bars

17 155. Plaintiff Cheslow hereby incorporates all other paragraphs of this Complaint and 18 restates them as if fully set forth herein.

19 156. Plaintiff Cheslow brings this claim on behalf of herself, the LUNA Bars Damages Class, and the LUNA Bars Declaratory/Injunctive Class. 20

21 157. Clif uses advertising on the LUNA Bars' packaging to sell the LUNA Bars. Clif 22 disseminates advertising concerning the LUNA Bars which is deceptive, untrue, or misleading 23 within the meaning of the FAL because Clif's mislabeling is misleading, likely to deceive, and 24 continues to deceive members of the LUNA Bars Classes and the general public as described 25 throughout this Complaint.

26 158. In making or disseminating the LUNA Bars' labeling described herein, Clif knew or 27 should have known that the LUNA Bars' labeling was misleading and acted in violation of the FAL.

57

28

11

13

14

15

16

1

2

3

4

5

6

7

8

9

10

11

12

159. Clif's material nondisclosures and misrepresentations as described throughout this Complaint constitute false and misleading advertising and therefore violate the FAL.

160. As described herein, Clif obtained money from Plaintiff Cheslow through its unlawful acts and practices because Plaintiff Cheslow purchased the LUNA Bars relying on the accuracy of Clif's labeling, and Clif's mislabeling was material to Plaintiff Cheslow's decision to purchase the LUNA Bars. As a result of Clif's conduct, Plaintiff Cheslow has lost money.

161. Clif has obtained and continues to obtain money from members of the LUNA Bars Damages Class through its unlawful acts and practices alleged in this Complaint. As such, Plaintiff Cheslow requests that this Court cause Clif to restore this money to Plaintiff Cheslow and LUNA Bars Damages Class members and to disgorge the profits Clif made on these transactions. Otherwise, the LUNA Bars Damages Class may be irreparably harmed and/or denied an effective and complete remedy if such an order is not granted.

13 162. Plaintiff Cheslow also requests the Court declare Clif's LUNA Bars' labeling 14 unlawful and enjoin Clif from continuing to violate the UCL by selling, offering for sale, or 15 distributing the mislabeled LUNA Bars in California, or causing the mislabeled LUNA Bars to be 16 distributed, sold, or offered for sale in California. Unless Clif is permanently enjoined from 17 continuing to engage in such violations of the UCL, future consumers of Clif's LUNA Bars will be 18 harmed by Clif's acts and practices in the same way as Plaintiff Cheslow.

19

20

21

# A. Fourth Cause of Action Relating to Purchases of Clif's CLIF Bars

163. Plaintiffs hereby incorporate all other paragraphs of this Complaint and restate them as if fully set forth herein.

22 164. Plaintiffs bring this claim on behalf of themselves, the CLIF Bars Damages Class,
23 and the CLIF Bars Declaratory/Injunctive Class.

165. Clif uses advertising on the CLIF Bars' packaging to sell the CLIF Bars. Clif
disseminates advertising concerning the CLIF Bars which is deceptive, untrue, or misleading within
the meaning of the FAL because Clif's mislabeling is misleading, likely to deceive, and continues to
deceive members of the CLIF Bars Classes and the general public as described throughout this
Complaint.

58

1

2

4

166. In making or disseminating the CLIF Bars' labeling described herein, Clif knew or should have known that the CLIF Bars' labeling was misleading and acted in violation of the FAL.

3

167. Clif's material nondisclosures and misrepresentations as described throughout this Complaint constitute false and misleading advertising and therefore violate the FAL.

168. As described herein, Clif obtained money from Plaintiffs through its unlawful acts
and practices because Plaintiffs purchased the CLIF Bars relying on the accuracy of Clif's labeling,
and Clif's mislabeling was material to Plaintiffs' decisions to purchase the CLIF Bars. As a result of
Clif's conduct, Plaintiffs have lost money.

9 169. Clif has obtained and continues to obtain money from members of the CLIF Bars
10 Damages Class through its unlawful acts and practices alleged in this Complaint. As such, Plaintiffs
11 request that this Court cause Clif to restore this money to Plaintiffs and CLIF Bars Damages Class
12 members and to disgorge the profits Clif made on these transactions. Otherwise, the CLIF Bars
13 Damages Class may be irreparably harmed and/or denied an effective and complete remedy if such
14 an order is not granted.

15 170. Plaintiffs also request the Court declare Clif's CLIF Bars' labeling unlawful and 16 enjoin Clif from continuing to violate the UCL by selling, offering for sale, or distributing the 17 mislabeled CLIF Bars in California, or causing the mislabeled CLIF Bars to be distributed, sold, or 18 offered for sale in California. Unless Clif is permanently enjoined from continuing to engage in 19 such violations of the UCL, future consumers of Clif's CLIF Bars will be harmed by Clif's acts and 20 practices in the same way as Plaintiffs.

#### FIFTH CAUSE OF ACTION (Violation of the Consumers Legal Remedies Act ("CLRA"), Cal. Civ. Code §§ 1750, *et seq.*)

23

21

22

24

- A. Fifth Cause of Action Relating to Purchases of Clif's LUNA Bars
- 25 171. Plaintiff Cheslow hereby incorporates all other paragraphs of this Complaint and
  26 restates them as if fully set forth herein.

27 172. Plaintiff Cheslow brings this claim on behalf of herself, the LUNA Bars Damages
28 Class, and the LUNA Bars Declaratory/Injunctive Class.

59

#### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 61 of 67

173. Plaintiff Cheslow and each member of the LUNA Bars Classes are "consumers" 1 2 within the meaning of Cal. Civ. Code § 1761(d).

174. Plaintiff Cheslow's purchases of Clif's LUNA Bars are "transactions" within the meaning of Cal. Civ. Code § 1761(e), and Clif's LUNA Bars are "goods" within the meaning of Cal. Civ. Code § 1761(a).

3

4

5

6

7

175. Clif violated and continues to violate the CLRA in at least the following respects:

a. In violation of Cal. Civ. Code § 1770(a)(5), Clif represented that the LUNA Bars had 8 characteristics which they did not have;

9 In violation of Cal. Civ. Code § 1770(a)(7), Clif represented that the LUNA Bars b. 10 were of a particular standard, quality, or grade, which they were not; and

11 In violation of Cal. Civ. Code § 1770(a)(9), Clif advertised the LUNA Bars with the c. 12 intent not to provide what it advertised.

13 176. Clif knew or should have known that its LUNA Bars' mislabeling as alleged 14 throughout this Complaint violated consumer-protection laws and that the LUNA Bars' mislabeling 15 was presumptively material to Plaintiff Cheslow and the members of the LUNA Bars Classes. Clif's 16 mislabeling of the LUNA Bars as described herein is willful, wanton, and done with reckless 17 disregard for the interests of Plaintiff Cheslow and the LUNA Bars Classes.

18 177. As alleged throughout this Complaint, Plaintiff Cheslow purchased Clif's LUNA 19 Bars relying on the accuracy of the LUNA Bars' labeling, and Clif's mislabeling of the LUNA Bars 20 was material to Plaintiff Cheslow's decision to purchase the LUNA Bars.

178. As described herein, Clif obtained money from Plaintiff Cheslow through its unlawful 22 acts and practices because Plaintiff Cheslow purchased the LUNA Bars relying on the accuracy of 23 Clif's labeling and Clif's mislabeling was material to Plaintiff Cheslow's decision to purchase the 24 LUNA Bars. As a result of Clif's alleged conduct, Plaintiff Cheslow has lost money.

25 179. Because Clif failed to respond to Plaintiff Cheslow's CLRA letter as detailed in 26 ¶ 67–70, supra, and stated in response to a prior letter that it would not change its LUNA Bars 27 labeling to address the mislabeling at issue in the Complaint as detailed in ¶ 64–66, 70, supra,

28

Plaintiff Cheslow is entitled under the CLRA to actual damages, statutory damages, and/or punitive 1 2 damages.

180. Plaintiff Cheslow also requests that the Court declare Clif's LUNA Bars' labeling unlawful and enjoin Clif from continuing to violate the CLRA by selling, offering for sale, or distributing the mislabeled LUNA Bars in California, or causing the mislabeled LUNA Bars to be sold or offered for sale in California. Unless Clif is permanently enjoined from continuing to engage in such violations of the CLRA, future consumers of Clif's LUNA Bars will be harmed by Clif's acts 8 and practices in the same way as Plaintiff Cheslow.

9 181. Plaintiff Cheslow requests that the Court award Plaintiff Cheslow court costs and 10 attorneys' fees pursuant to the CLRA, Cal. Civ. Code § 1780(e).

11

3

4

5

6

7

#### B. Fifth Cause of Action Relating to Purchases of Clif's CLIF Bars

12 182. Plaintiffs hereby incorporate all other paragraphs of this Complaint and restate them 13 as if fully set forth herein.

14 183. Plaintiffs bring this claim on behalf of themselves, the CLIF Bars Damages Class, 15 and the CLIF Bars Declaratory/Injunctive Class.

16 184. Plaintiffs and each member of the CLIF Bars Classes are "consumers" within the 17 meaning of Cal. Civ. Code § 1761(d).

18 Plaintiffs' purchases of Clif's CLIF Bars are "transactions" within the meaning of 185. 19 Cal. Civ. Code § 1761(e), and Clif's CLIF Bars are "goods" within the meaning of Cal. Civ. Code § 1761(a). 20

21

186. Clif violated and continues to violate the CLRA in at least the following respects:

22 d. In violation of Cal. Civ. Code § 1770(a)(5), Clif represented that the CLIF Bars had 23 characteristics which they did not have;

24 e. In violation of Cal. Civ. Code § 1770(a)(7), Clif represented that the CLIF Bars were 25 of a particular standard, quality, or grade, which they were not; and

f. 26 In violation of Cal. Civ. Code § 1770(a)(9), Clif advertised the CLIF Bars with the 27 intent not to provide what it advertised.

### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 63 of 67

187. Clif knew or should have known that its CLIF Bars' mislabeling as alleged 2 throughout this Complaint violated consumer-protection laws and that the CLIF Bars' mislabeling 3 was presumptively material to Plaintiffs and the members of the CLIF Bars Classes. Clif's 4 mislabeling of the CLIF Bars as described herein is willful, wanton, and done with reckless 5 disregard for the interests of Plaintiffs and the CLIF Bars Classes.

188. As alleged throughout this Complaint, Plaintiffs purchased Clif's CLIF Bars relying on the accuracy of the CLIF Bars' labeling, and Clif's mislabeling of the CLIF Bars was material to Plaintiffs' decisions to purchase the CLIF Bars.

9 As described herein, Clif obtained money from Plaintiffs through its unlawful acts 189. 10 and practices because Plaintiffs purchased the CLIF Bars relying on the accuracy of Clif's labeling 11 and Clif's mislabeling was material to Plaintiffs' decisions to purchase the CLIF Bars. As a result of 12 Clif's alleged conduct, Plaintiffs have lost money.

13 190. Because Clif failed to provide, or agree to provide within a reasonable time after 14 receiving Plaintiff Perieff's CLRA letter, an appropriate correction, repair, replacement, or other 15 remedy as detailed in ¶¶ 64–66, 70, supra, Plaintiff Perieff is entitled under the CLRA to actual 16 damages, statutory damages, and/or punitive damages.

17 191. Plaintiffs also request that the Court declare Clif's CLIF Bars' labeling unlawful and 18 enjoin Clif from continuing to violate the CLRA by selling, offering for sale, or distributing the 19 mislabeled CLIF Bars in California, or causing the mislabeled CLIF Bars to be sold or offered for 20 sale in California. Unless Clif is permanently enjoined from continuing to engage in such violations 21 of the CLRA, future consumers of Clif's CLIF Bars will be harmed by Clif's acts and practices in 22 the same way as Plaintiffs.

23 192. Plaintiffs request that the Court award Plaintiffs court costs and attorneys' fees 24 pursuant to the CLRA, Cal. Civ. Code § 1780(e).

#### SIXTH CAUSE OF ACTION (Quasi Contract)

Sixth Cause of Action Relating to Purchases of Clif's LUNA Bars A.

1

6

7

8

28

25

26

27

193. Plaintiff Cheslow hereby incorporates all other paragraphs of this Complaint and 1 restates them as if fully set forth herein. 2

3 194. Plaintiff Cheslow brings this claim on behalf of herself and the LUNA Bars Damages Class. 4

195. Clif's conduct in enticing Plaintiff Cheslow and the LUNA Bars Damages Class to purchase its LUNA Bars through the false and misleading labeling as described throughout this Complaint is unlawful because the statements contained on the LUNA Bars' labels are false, misleading, and violate the FDCA, the Sherman Law, and FDA regulations. Clif obtained money from Plaintiff Cheslow and members of the LUNA Bars Damages Class for LUNA Bars labeled with Healthy Nutrient Content Claims that did not comply with the FDA's and California's parallel requirements for such claims.

12

5

6

7

8

9

10

11

196. Clif has been unjustly enriched at the expense of Plaintiff Cheslow and the LUNA 13 Bars Damages Class as result of its unlawful conduct alleged herein, thereby creating a quasi-14 contractual obligation on Clif to restore these ill-gotten gains to Plaintiff Cheslow and the LUNA 15 Bars Damages Class.

16 197. As a direct and proximate result of Clif's breach of its quasi-contractual obligation by 17 unjustly enriching itself at Plaintiff Cheslow's and the LUNA Bars Damage Class' expense, Plaintiff 18 Cheslow and the LUNA Bars Damages Class are entitled to restitution or restitutionary 19 disgorgement in an amount to be proved at trial.

20

#### **B**. Sixth Cause of Action Relating to Purchases of Clif's CLIF Bars

21 198. Plaintiffs hereby incorporate all other paragraphs of this Complaint and restate them 22 as if fully set forth herein.

23

199. Plaintiffs bring this claim on behalf of themselves and the CLIF Bars Damages Class.

24 200. Clif's conduct in enticing Plaintiffs and the CLIF Bars Damages Class to purchase its 25 CLIF Bars through the false and misleading labeling as described throughout this Complaint is 26 unlawful because the statements contained on the CLIF Bars' labels are false, misleading, and 27 violate the FDCA, the Sherman Law, and FDA regulations. Clif obtained money from Plaintiffs and

members of the CLIF Bars Damages Class for CLIF Bars labeled with Healthy Nutrient Content Claims that did not comply with the FDA's and California's parallel requirements for such claims.

3 4

5

6

7

8

9

10

1

2

201. Clif has been unjustly enriched at the expense of Plaintiffs and the CLIF Bars Damages Class as result of its unlawful conduct alleged herein, thereby creating a quasi-contractual obligation on Clif to restore these ill-gotten gains to Plaintiffs and the CLIF Bars Damages Class.

202. As a direct and proximate result of Clif's breach of its quasi-contractual obligation by unjustly enriching itself at Plaintiffs' and the CLIF Bars Damage Class' expense, Plaintiffs and the CLIF Bars Damages Class are entitled to restitution or restitutionary disgorgement in an amount to be proved at trial.

### PRAYER

11 Plaintiffs, on behalf of themselves and all members of the CLIF Bars Classes, and Plaintiff 12 Cheslow, on behalf of herself and all members of the LUNA Bars Classes, request that the Court 13 order the following relief and enter judgment against Clif as follows:

14 A. An order certifying that this action is properly brought and may be maintained as a 15 class action, that Plaintiff Cheslow be appointed Class Representative for the LUNA Bars Classes 16 and CLIF Bar Classes, that Plaintiff Perieff be appointed Class Representative for the CLIF Bars 17 Classes, and that Plaintiffs' counsel be appointed Class Counsel for the LUNA Bars and CLIF Bars 18 Classes.

19 B. An order on behalf of Plaintiffs and the CLIF Bars Declaratory/Injunctive Class, and 20 Plaintiff Cheslow and the LUNA Bars Declaratory/Injunctive Class, declaring that Clif's mislabeling 21 of the LUNA Bars and CLIF Bars as described herein is unlawful.

22 C. An order on behalf of Plaintiffs and the CLIF Bars Declaratory/Injunctive Class, and 23 Plaintiff Cheslow and the LUNA Bars Declaratory/Injunctive Class, enjoining Clif from selling, 24 distributing, or offering for sale the mislabeled LUNA Bars and CLIF Bars in California, or causing 25 the mislabeled LUNA Bars and CLIF Bars to be distributed, sold, or offered for sale in California.

26 D. An order awarding Plaintiffs their costs of suit, including reasonable attorneys' fees 27 and expenses under the CLRA (Cal. Civ. Code § 1780(e)), Cal. Civ. Proc. Code § 1021.5, or as 28 otherwise provided by law.

### Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 66 of 67

E. Restitution in such amount that Plaintiffs and the CLIF Bars Damages Class
 members, and Plaintiff Cheslow and the LUNA Bars Damages Class members, paid to purchase
 Clif's LUNA Bars and CLIF Bars, paid as a premium over similar products that did not bear Healthy
 Nutrient Content Claims, or restitutionary disgorgement of the profits Clif obtained from these
 transactions, for Causes of Action for which they are available.

F. Compensatory damages on behalf of Plaintiffs and the CLIF Bars Damages Class,
and Plaintiff Cheslow and the LUNA Bars Damages Class, for Causes of Action for which they are
available.

9 G. Statutory damages on behalf of Plaintiffs and the CLIF Bars Damages Class, and
10 Plaintiff Cheslow and the LUNA Bars Damages Class, allowable under Cal. Civ. Code § 1780.

H. Other statutory penalties on behalf of Plaintiffs and the CLIF Bars Damages Class,
and Plaintiff Cheslow and the LUNA Bars Damages Class, for Causes of Action for which they are
available.

I. Punitive damages on behalf of Plaintiffs and the CLIF Bars Damages Class, and
Plaintiff Cheslow and the LUNA Bars Damages Class, for Causes of Action for which they are
available.

J. Such other and further relief as may be available as part of the statutory claims
asserted herein or otherwise as may be deemed necessary or appropriate for any of the claims
asserted.

20 DATED: March 4, 2016

21

22

23

24

25

26

27

28

**FINKELSTEIN THOMPSON LLP** One California Street, Suite 900 San Francisco, CA 94111 Tel.: (415) 398-8700 Fax: (415) 398-8704

Joseph N. Kravec, Jr. (*pro hac* to be filed) Wyatt A. Lison (*pro hac* to be filed) **FEINSTEIN DOYLE PAYNE & KRAVEC, LLC** 429 Forbes Avenue Allegheny Building, Suite 1705 Pittsburgh, PA 15219 Tel.: 412-281-8400 Fax: 412-281-1007 Email: jkravec@fdpklaw.com

CLASS ACTION COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF Case No.:

	Case 4:16-cv-01708-JSW Document 1-1 Filed 04/06/16 Page 67 of 67
	wlison@fdpklaw.com
1	
2	Jason B. Adkins ( <i>pro hac</i> to be filed) ADKINS, KELSTON & ZAVEZ, P.C. 90 Canal Street, 5th Floor
3	Boston, MA 02114 Tel.: (617) 367-1040
4	Fax: (617) 742-8280 Email: jadkins@akzlaw.com
5	ATTORNEYS FOR PLAINTIFFS
6	AND THE PROPOSED CLASSES
7	
8	
9	
10	
11 12	
12	
13	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
	66 CLASS ACTION COMPLAINT FOR DAMAGES, DECLARATORY AND INJUNCTIVE RELIEF Case No.:

Case 4:16-cv-01708-JSW Document 1-2 Filed 04/06/16 Page 1 of 25

# EXHIBIT A

#### 1. LUNA Whole Nutrition Blueberry Bliss Bar

- a. Healthy Statements (emphasis added)
  - i. "*Whole Nutrition* Bar for Women"
  - ii. "With the CORE 4 vitamins and minerals essential to women's <u>health</u> calcium, iron, folic acid, and vitamin D plus 8 grams of protein and 3 grams of fiber, LUNA does it all, beautifully."
  - iii. "[T]he CORE 4 nutrients are listed because of their importance to many women seeking to maintain their *health*."
- b. Nutrient Content Statements
  - i. "8g Protein"
  - ii. "3g Fiber"
  - iii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iv. "5g total fat"
- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 4.17 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.67 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

#### 2. <u>LUNA Whole Nutrition Caramel Nut Brownie Bar</u>

- a. Healthy Statements (emphasis added)
  - i. "<u>Whole Nutrition</u> Bar for Women"
  - ii. "With the CORE 4 vitamins and minerals essential to women's <u>health</u> calcium, iron, folic acid, and vitamin D plus 8 grams of protein and 3 grams of fiber, LUNA does it all, beautifully."

- iii. "[T]he CORE 4 nutrients are listed because of their importance to many women seeking to maintain their <u>health</u>."
- b. Nutrient Content Statements
  - i. "8g Protein"
  - ii. "3g Fiber"
  - iii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iv. "6g total fat"
- c. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 5 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 2.5 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

# 3. <u>LUNA Whole Nutrition Chocolate Cupcake Bar</u>

- a. Healthy Statements (emphasis added)
  - i. "<u>Whole Nutrition</u> Bar for Women"
  - ii. "With the CORE 4 vitamins and minerals essential to women's <u>health</u> calcium, iron, folic acid, and vitamin D plus 9 grams of protein and 4 grams of fiber, LUNA does it all, beautifully."
  - iii. "[T]he CORE 4 nutrients are listed because of their importance to many women seeking to maintain their <u>health</u>."
- b. Nutrient Content Statements
  - i. "9g Protein"
  - ii. "4g Fiber"
  - iii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iv. "5g total fat"

- c. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 4.17 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 2.08 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

#### 4. <u>LUNA Whole Nutrition Chocolate Dipped Coconut Bar</u>

- a. Healthy Statements (emphasis added)
  - i. "<u>Whole Nutrition</u> Bar for Women"
  - ii. "With the CORE 4 vitamins and minerals essential to women's <u>health</u> calcium, iron, folic acid, and vitamin D plus 9 grams of protein and 3 grams of fiber, LUNA does it all, beautifully."
  - iii. "[T]he CORE 4 nutrients are listed because of their importance to many women seeking to maintain their *health*."
- b. Nutrient Content Statements
  - i. "9g Protein"
  - ii. "3g Fiber"
  - iii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iv. "7g total fat"
- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 5.83 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 3.75 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

#### 5. <u>LUNA Whole Nutrition Chocolate Peppermint Stick Bar</u>

- a. Healthy Statements (emphasis added)
  - i. "*Whole Nutrition* Bar for Women"
  - "With the CORE 4 vitamins and minerals essential to women's <u>health</u> calcium, iron, folic acid, and vitamin D plus 8 grams of protein and 4 grams of fiber, LUNA does it all, beautifully."
  - iii. "[T]he CORE 4 nutrients are listed because of their importance to many women seeking to maintain their *health*."
- b. Nutrient Content Statements
  - i. "8g Protein"
  - ii. "4g Fiber"
  - iii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iv. "5g total fat"
- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 4.17 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 2.08 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

#### 6. LUNA Whole Nutrition Honey Salted Peanut Bar

- a. Healthy Statements (emphasis added)
  - i. "<u>Whole Nutrition</u> Bar for Women"
  - ii. "With the CORE 4 vitamins and minerals essential to women's <u>health</u> calcium, iron, folic acid, and vitamin D plus 10 grams of protein and 3 grams of fiber, LUNA does it all, beautifully."

- iii. "[T]he CORE 4 nutrients are listed because of their importance to many women seeking to maintain their *health*."
- b. Nutrient Content Statements
  - i. "10g Protein"
  - ii. "3g Fiber"
  - iii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iv. "7g total fat"
- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 5.83 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 2.08 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

# 7. <u>LUNA Whole Nutrition Iced Oatmeal Raisin Bar</u>

- a. Healthy Statements (emphasis added)
  - i. "*Whole Nutrition* Bar for Women"
  - ii. "With the CORE 4 vitamins and minerals essential to women's <u>health</u> calcium, iron, folic acid, and vitamin D plus 9 grams of protein and 3 grams of fiber, LUNA does it all, beautifully."
  - iii. "[T]he CORE 4 nutrients are listed because of their importance to many women seeking to maintain their *health*."
- b. Nutrient Content Statements
  - i. "9g Protein"
  - ii. "3g Fiber"
  - iii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iv. "4.5g total fat"

- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 3.75 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.67 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

#### 8. <u>LUNA Whole Nutrition Lemon Zest Bar</u>

- a. Healthy Statements (emphasis added)
  - i. "*Whole Nutrition* Bar for Women"
  - ii. "With the CORE 4 vitamins and minerals essential to women's <u>health</u> calcium, iron, folic acid, and vitamin D plus 9 grams of protein and 3 grams of fiber, LUNA does it all, beautifully."
  - iii. "[T]he CORE 4 nutrients are listed because of their importance to many women seeking to maintain their *health*."
- b. Nutrient Content Statements
  - i. "9g Protein"
  - ii. "3g Fiber"
  - iii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iv. "5g total fat"
- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 4.17 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 2.08 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

#### 9. <u>LUNA Whole Nutrition Nutz over Chocolate Bar</u>

- a. Healthy Statements (emphasis added)
  - i. "*Whole Nutrition* Bar for Women"
  - "With the CORE 4 vitamins and minerals essential to women's <u>health</u> calcium, iron, folic acid, and vitamin D plus 9 grams of protein and 4 grams of fiber, LUNA does it all, beautifully."
  - iii. "[T]he CORE 4 nutrients are listed because of their importance to many women seeking to maintain their *health*."
- b. Nutrient Content Statements
  - i. "9g Protein"
  - ii. "4g Fiber"
  - iii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iv. "6g total fat"
- c. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 5 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 2.08 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

#### 10. LUNA Whole Nutrition Peanut Butter Cookie Bar

- a. Healthy Statements (emphasis added)
  - i. "<u>Whole Nutrition</u> Bar for Women"
  - ii. "With the CORE 4 vitamins and minerals essential to women's <u>health</u> calcium, iron, folic acid, and vitamin D plus 9 grams of protein and 3 grams of fiber, LUNA does it all, beautifully."

- iii. "[T]he CORE 4 nutrients are listed because of their importance to many women seeking to maintain their <u>health</u>."
- b. Nutrient Content Statements
  - i. "9g Protein"
  - ii. "3g Fiber"
  - iii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iv. "6g total fat"
- c. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 5 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.67 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

# 11. LUNA Whole Nutrition S'mores Bar

- a. Healthy Statements (emphasis added)
  - i. "<u>Whole Nutrition</u> Bar for Women"
  - ii. "With the CORE 4 vitamins and minerals essential to women's <u>health</u> calcium, iron, folic acid, and vitamin D plus 9 grams of protein and 3 grams of fiber, LUNA does it all, beautifully."
  - iii. "[T]he CORE 4 nutrients are listed because of their importance to many women seeking to maintain their *health*."
- b. Nutrient Content Statements
  - i. "9g Protein"
  - ii. "3g Fiber"
  - iii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iv. "5g total fat"

#### c. Violations of FDA Regulations

- i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 4.17 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
- ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 2.08 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

#### 12. LUNA Whole Nutrition Vanilla Almond Bar

- a. Healthy Statements (emphasis added)
  - i. "*Whole Nutrition* Bar for Women"
  - ii. "With the CORE 4 vitamins and minerals essential to women's <u>health</u> calcium, iron, folic acid, and vitamin D plus 10 grams of protein and 3 grams of fiber, LUNA does it all, beautifully."
  - iii. "[T]he CORE 4 nutrients are listed because of their importance to many women seeking to maintain their *health*."
- b. Nutrient Content Statements
  - i. "10g Protein"
  - ii. "3g Fiber"
  - iii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iv. "6g total fat"
- c. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 5 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.67 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

#### 13. LUNA Whole Nutrition White Chocolate Macadamia Bar

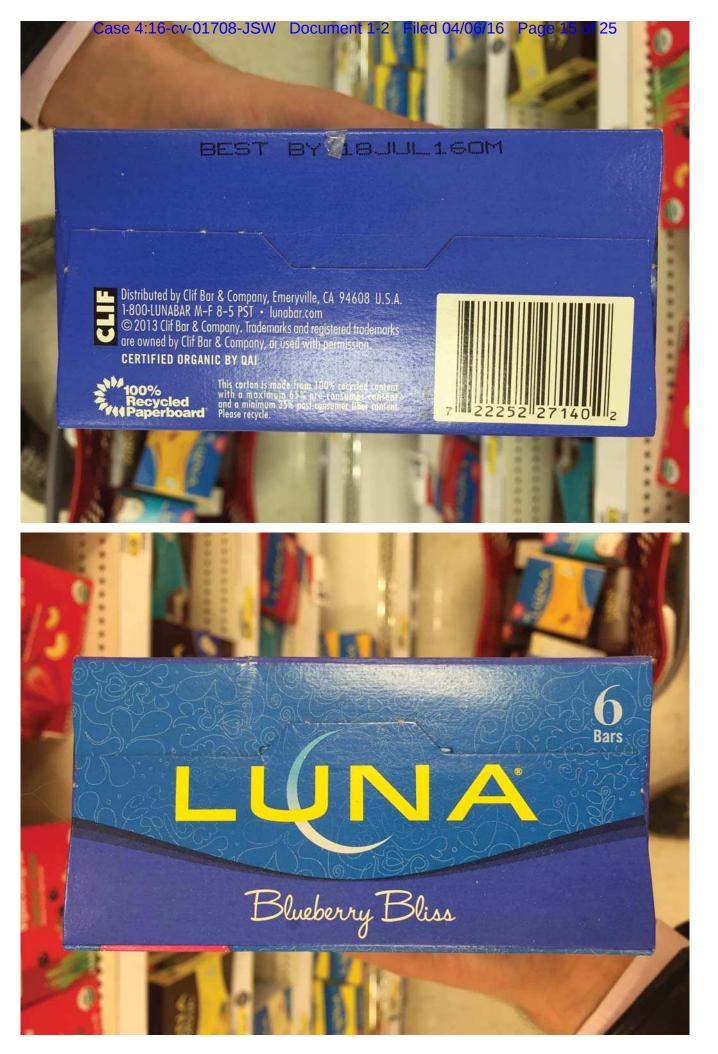
- a. Healthy Statements (emphasis added)
  - i. "<u>Whole Nutrition</u> Bar for Women"
  - ii. "With the CORE 4 vitamins and minerals essential to women's <u>health</u> calcium, iron, folic acid, and vitamin D plus 9 grams of protein and 3 grams of fiber, LUNA does it all, beautifully."
  - iii. "[T]he CORE 4 nutrients are listed because of their importance to many women seeking to maintain their *health*."
- b. Nutrient Content Statements
  - i. "9g Protein"
  - ii. "3g Fiber"
  - iii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iv. "7g total fat"
- c. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 5.83 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.67 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).





Bluebery Bliss	And    And<	and the second second
	<text><text><text><text><text><text></text></text></text></text></text></text>	
	gabe: We all need a friend t furnier, smarter, and mo being around them. Thank griend to me for going on 35 who who who who who who whe hunge, AND taste great-to satisfy enti- 70% organic snack that multitasks! With the 70% organic snack that multitasks! With the 20% organic snack that multitasks! 20% organic snack the constant in the second of the organic start become of their inportance to mark wowen evelving to maintain their health.	「こうろう

-

















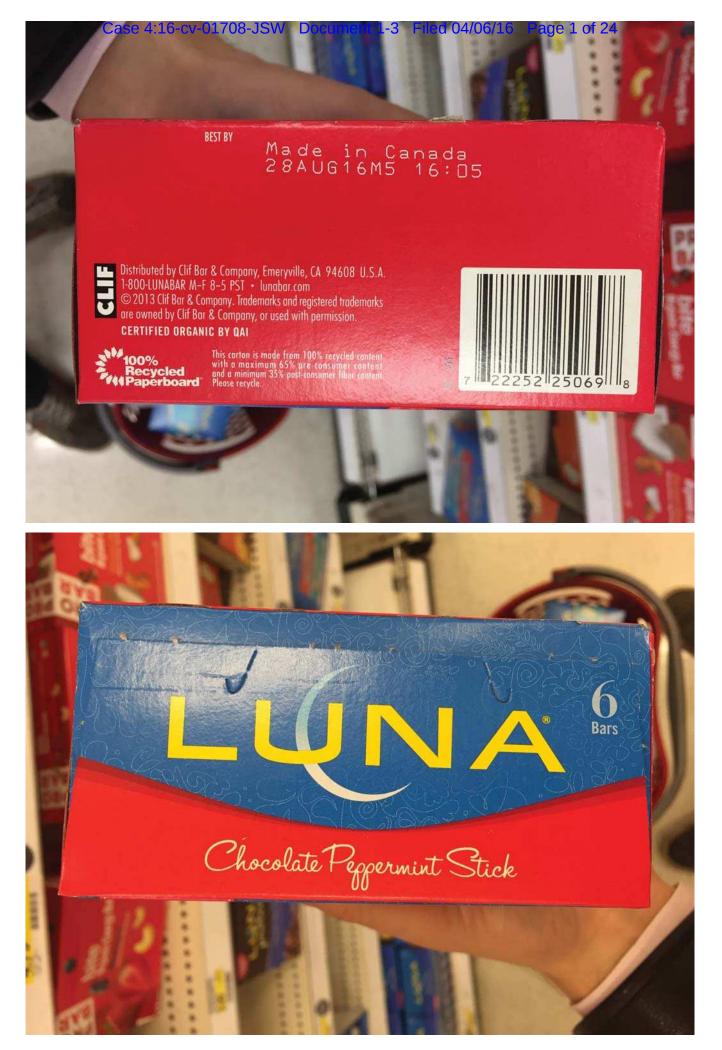








Case		
Chocolate Pegermint St	Nutrition         Facts           Serv. Size 1 bar (490)         Calories from Fat 45           Serv. Per Container 6         Calories from Fat 45           Serv. Per Container 6         Calories from Fat 45           Therent Daily Values (DV) are based on a 2,000 calories from Fat 45         Calories from a 2,000 calories from a 2,000 calories for 45           Therent Daily Values (DV) are based on a 2,000 calories for 45         Calories for 47           There 71         Soft         Amount/Serving           Satt Fat 25         13%         Dietary Fiber 49           Than Fat 0g         misoluble Fiber 19         Colories for 47           Colories 55%         Other Carb, 13g         Colories 66           Satt Fat 25         13%         Dietary Fiber 49         Fish           Satt Fat 25         13%         Other Carb, 13g         Colories 66           Satt Fat 25         13%         Other Carb, 13g         Colories 66           Satt Fat 25         10%         Satt 20%         Fish         Colories 66           Colories 00%         Fish         Satt 20%         Calories 67         Colories 67           Colories 01%         Satt 20%         Fish         Colories 67         Colories 67           Colories 01%         Colories 01%         Colories 67	
	inspire all of us beautiful woman. again when LUNA Cun when LUNA Cun when LUNA Cun the stant and stant the deright up dericions virtuals easential to women's fram is and minerals easential to women's grams of protein and 4 grams of the, derived and stants of the, derived and stants of the derived stants of protein and 4 grams of the derived with the derived and the derived with the derived and the derived with the derived and the derived and the derived with the derived and the derived and the derived and the derived with the derived and the derived and the derived and the derived between the derived and the derive	
	life by the horns and is. You are a strong, iad you are healthy and the Boulder Te who INSPIRES voi and the Boulder Te who INSPIRES voi stating the Boulder Te who INSPIRES voi stating the Boulder Te who INSPIRES voi stating the Boulder Te viation and the Boulder Te stating t	
4.79	Kim: You take on a daily bas and we are g Love, Kristin As women, we crave a curb hunger, AND tas 70% organic snack ti health - calcium, iron UUNA does it all, bea -The Women of LUNA Munin nutrition, the CORE listed because of their ingroot normen seeking to maintai	





on a daily basis. You are a strong, beautiful woman Kim: You take life by the horns and inspire all of us Love, Kristin and the Boulder Jeam LUNA C and we are glad you are healthy again.

99

WHO INSPIRES YOU? TELL US

health – calcium, iron, folic acid, and vitamin D – plus 8 grams of protein and 4 gra 70% organic snack that multitasks! With the CORE4\* vitamins and minerals esse curb hunger, AND taste great – to satisfy entirely. Say hello to LUNA, the delig As women, we crave a snack that does more than merely get us through the day. LUNA does it all, beautifully. Feel the power of positive snacking.

-The Women of LUNA

\*While other nutrients are also essential for human nutrition, the CONE 4 nutrients are listed because of their importance to many usomen seeking to maintain their health. **L**WNA

BREAST ION-STARTS HIM FUND

LUNAP is an active sponsor of the Breast concer fund and its efforts to eliminate the environmental and preventable causes of the disease. breastcancerfund org

# Chocolate Pepermint Stick Nutrition Facts

cv-0

	-						1100	_		and the second s
45	orie diet.	**N0%	8%	16%				16%	R E 30% B3 40% A64 464 B1 25%	in Isolate, Organic mic Miled ganic Sorth ganic Sorther B Cookes
Calories from Fat 45	n a 2,000 cal	Amount/Serving	Fotal Carb. 28g	Dietary Fiber 4g	Insoluble Fiber 1g	s 11g	Other Carb. 13g	1 89	- Vit. D 15%     - Vit. D 15%     - A0%     - Nuacin     - Nuacin     - Nie- Pantothenic     - Silo     - Zinc 35%     - Silo     - Silo	isps (Soy Prot Xium Carbonasi Soy Flour, Organic Injaanic Dhed C nic Soy Flour, O nic Soy Flour, O tract), Chocola
Calori	e based or	Amoun	Total C	Dietar	Insolu	Sugars 11g	Other	Protein 8g	<ul> <li>Iron 30%</li> <li>Iromium 2</li> </ul>	by Rice Cr Cocos, Calc ns, Organic Coating (0 Solids, Organic (Chicory Ev
1Ro	is (DV) are	**N0%	8%	13%		%0	5%	3%	Calcium 35% 10% Rit Vit 812 80 5% Magne 58 C5% C	10% (Cocca So inic Alkalized C isted Soybeans n Rice Synup, ( Palm Kernel So Stract), Inulin (
Serv. Per Container 7	"Percent Daily Values (DV) are based on a 2,000 calorie diet	Amount/Serving	at 5g	Sat. Fat 2.5g	Trans Fat 0g	Cholesterol Omg	Sodium 115mg	Potassium 120mg	VIL A 20% - VIL C 20% - Calcium 35% - Iron 30% - Viz. D 15% - Viz. E 30% VIX. E 70% - Thiamini B1, 10% - Rhothanial (B2) 40% - Niacin (B3) 40% VIX. B 80% - Foata 100% - VIL 812 80% - Blohn 25% - Parchithence, 404 407 Mit B8 00% - Foata 100% - VIL 812 80% - Blohn 25% - Parchitence, 404 407 Mit B8 00% - Foata 100% - VIL 812 80% - Blohn 25% - Parchitence, 404 407 Mit B8 00% - Foata 25% - Maynesum 10% - Zinc 25% - Molytoferium F55 Copper 10% - Manganese 25% - Chromium 25% - Molytoferium F55	MGREDIENTS: LunaPros (Cocca Sty Rice Crists [Sty Protein Iseine Ingaric Rice Flour, Organic Akalated Cocca, Caloum Carbonate) Organic Miled Oats, Organic Roested Soybears, Organic Sty Flour, Organic Miled arsseot, Organic Brown Rice Synch, Coating Organic Dried Care Sync, ann Kernel OI, Organic Paim Kernel Solids, Organic Soy Flour, Organic Paim Kernel Solids, Organic Soy Flour, Organic Soy esthin, Organic Vanila Edited, Inuln (Chicory Edited), Corocidate Cookes Conting, Organic Vanila Edited, Inuln (Chicory Edited), Chocoldate Cookes
Serv. P	"Percet	Amoun	Total Fat 5g	Sat. F	Trans	Choles	Sodiun	Potass	Vit. K 109 Vit. K 109 Vit. B6 80% Phosphorus Copper 10	NGREDIE Inganic Ric biled Oats larseed), (C alm Keme ecithin, On

Magnesium Oxide, Ferrous Furmarate (from), Nacimamidie (Nt. BS), Ascorbic Acid (Nt. C., D.-Alpha Tocopheryl Acetate (Nt. E), Zinc Oxide, Molydenum Gyrinate, Pyndoxine Hydrochtoride (Nt. BB), D.-Calcium Pantoherate, Beta Carotene (Nt. A), Manganese Sulfate, Selenium Antino Acid Chelate, Biboffavin (Nt. B2), Chromium Amino Acid Chelate, Ergocatolferol Mt. D2), Thamine Mononitrate (Nt. B1), Opanocobalamin (Nt. B12), Folio Acid, Cupric Oxide, Phytonadione (Nt. K), Biotin, Potassium lodide. Lectifrin), Vegetable Glycerin, Organic Cocca, Organic Unsweetened Orocotate, Organic Sunflower Oli, Peppermint Candy (Dried Cane Syrup, Natural Flavors, Beet Powder [for Color]), Natural Flavors, Sea Satt, Urganic Rice Flour, Organic Dried Cane Syrup, Sunflower Oli, Tapioca Starch, Alkalized Cocca, Unsweetened Chocolate, Salt, Baking Sola, Soy VITAMINS & MINERALS: Dicalcium Phosphate, Calcium Carbonate

ALLERGEN STATEMENT: CONTAINS SOY, MAY CONTAIN TRACES

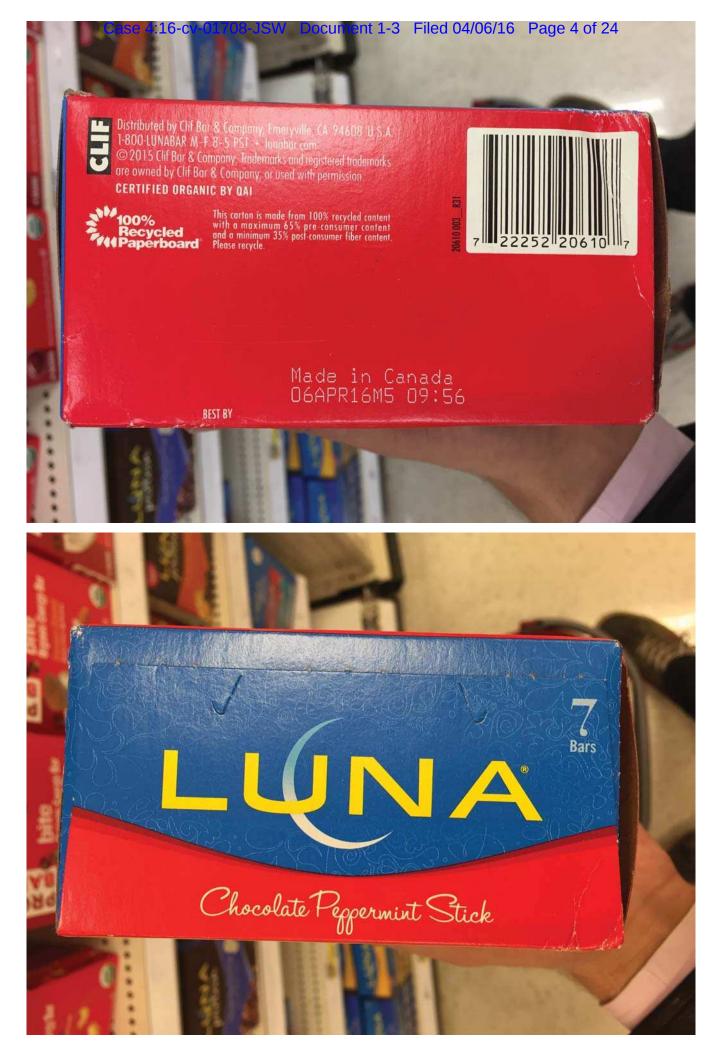
OF MILK, PEANUTS, AND TREE NUTS. NO HYDROGENATED OILS. WE SOURCE INGREDIENTS THAT ARE NOT GENETICALLY ENGINEERED. 70% ORGANIC INGREDIENTS.

LOW GLYCEMIC

Low-glycemic foods digest stor protroped levels of satisfion and

\*Contains 5g total fat.

1-3 mer

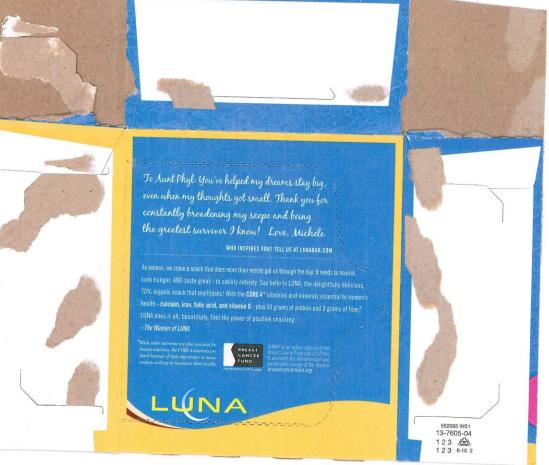






### Case 4:16-cv-01708-JSW Document 1-3 Filed 04/06/16 Page 7 of 24









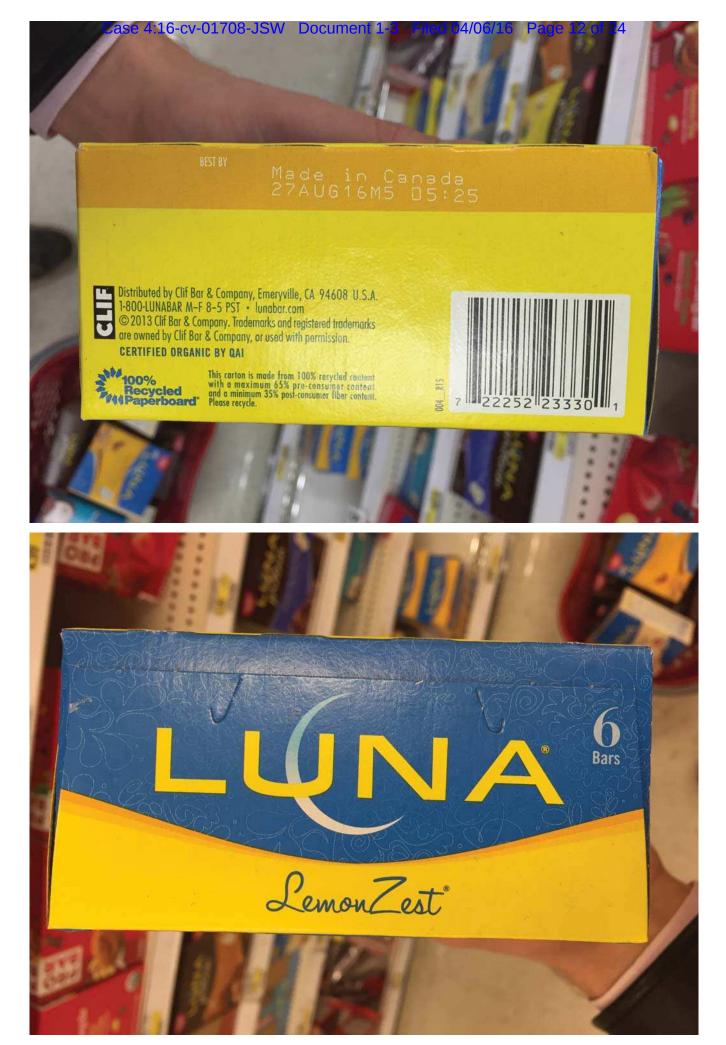


Case 4	P16-cv-01708-JSW Document 1-3' Filed 04/06/16 Page 11 of 21
Lemon Zet	Nutrition Factor         Calories from Fat 45           Ever Nize 1 bar (48)         Calories from Fat 45           Ever Nize 1 bar (48)         Calories from Fat 45           Percent Daily Values (DV) are based on a 2,000 calorie from Fat 45         Dever Size 1 bar (48)           Percent Daily Values (DV) are based on a 2,000 calorie from Fat 45         Dever Size 1 bar (48)           Percent Daily Values (DV) are based on a 2,000 calorie from Fat 45         Dever Size 1 bar (48)           Procent Daily Values (DV) are based on a 2,000 calorie from Value (DV)         Development (DV)           Procent Daily Values (DV)         Development (DV)         Development (DV)           Procent Daily Value (DV)         Development (DV)         Development (DV)           Distrint
	The Town
	<text><text><text><text><text><text><text><text></text></text></text></text></text></text></text></text>

16 - 017

1-2 Eiled 0//06/16 Dage 11

10







38

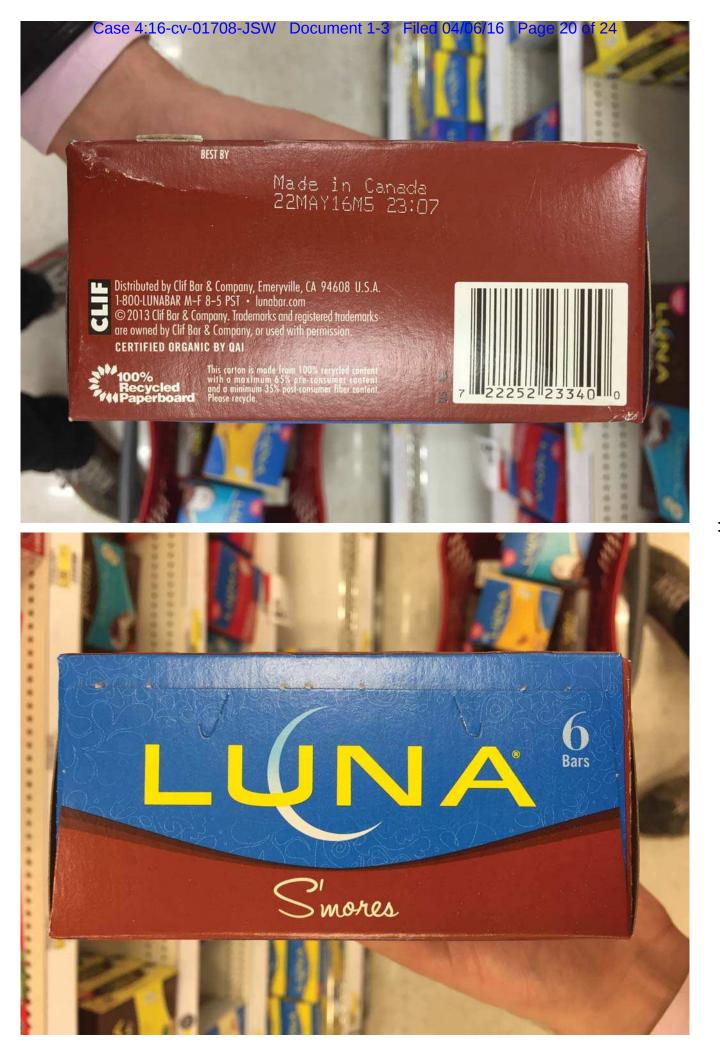












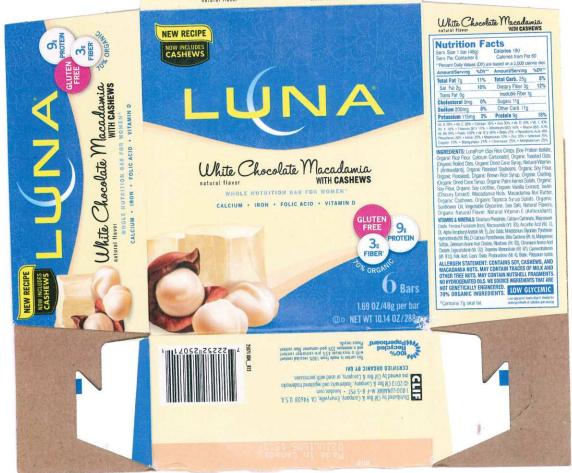


. .





White Chocolate Macadamia WITH CASHEWS







Case 4:16-cv-01708-JSW Document 1-4 Filed 04/06/16 Page 1 of 24

# EXHIBIT B

### 1. LUNA Chocolate Chip Cookie Dough Protein Bar

- a. Healthy Statements (emphasis added)
  - "With decadent nougat, 12 grams of protein, and vitamins and minerals essential to women's <u>health</u>, LUNA Protein helps us keep pace with the day."
  - ii. "Gluten free and boosted by calcium, iron, folic acid, and vitamin D, it's a delicious snack that has the *<u>nutrition</u>* we need to stay strong."
- b. Nutrient Content Statements
  - i. "12g Protein"
  - ii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iii. "High Protein Bar"
  - iv. "vitamins and minerals"
  - v. "boosted by calcium, iron, folic acid, and vitamin D"
- c. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 5.33 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 3.11 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

## 2. LUNA Chocolate Coconut Almond Protein Bar

- a. Healthy Statements (emphasis added)
  - "With decadent nougat, 12 grams of protein, and vitamins and minerals essential to women's <u>health</u>, LUNA Protein helps us keep pace with the day."
  - ii. "Gluten free and boosted by calcium, iron, folic acid, and vitamin D, it's a delicious snack that has the *<u>nutrition</u>* we need to stay strong."

- b. Nutrient Content Statements
  - i. "12g Protein"
  - ii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iii. "High Protein Bar"
  - iv. "vitamins and minerals"
  - v. "boosted by calcium, iron, folic acid, and vitamin D"
- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 6.22 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 3.56 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

## 3. LUNA Chocolate Peanut Butter Protein Bar

- a. Healthy Statements (emphasis added)
  - "With decadent nougat, 12 grams of protein, and vitamins and minerals essential to women's <u>health</u>, LUNA Protein helps us keep pace with the day."
  - ii. "Gluten free and boosted by calcium, iron, folic acid, and vitamin D, it's a delicious snack that has the *<u>nutrition</u>* we need to stay strong."
- b. Nutrient Content Statements
  - i. "12g Protein"
  - ii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iii. "High Protein Bar"
  - iv. "vitamins and minerals"
  - v. "boosted by calcium, iron, folic acid, and vitamin D"

- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 7.11 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 3.11 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

## 4. LUNA Chocolate Salted Caramel Protein Bar

- a. Healthy Statements (emphasis added)
  - "With decadent nougat, 12 grams of protein, and vitamins and minerals essential to women's <u>health</u>, LUNA Protein helps us keep pace with the day."
  - ii. "Gluten free and boosted by calcium, iron, folic acid, and vitamin D, it's a delicious snack that has the *<u>nutrition</u>* we need to stay strong."
- b. Nutrient Content Statements
  - i. "12g Protein"
  - ii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iii. "High Protein Bar"
  - iv. "vitamins and minerals"
  - v. "boosted by calcium, iron, folic acid, and vitamin D"
- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 4.44 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 2.67 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

## 5. <u>LUNA Lemon Vanilla Protein Bar</u>

- a. Healthy Statements (emphasis added)
  - "With decadent nougat, 12 grams of protein, and vitamins and minerals essential to women's <u>health</u>, LUNA Protein helps us keep pace with the day."
  - ii. "Gluten free and boosted by calcium, iron, folic acid, and vitamin D, it's a delicious snack that has the *<u>nutrition</u>* we need to stay strong."
- b. Nutrient Content Statements
  - i. "12g Protein"
  - ii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iii. "High Protein Bar"
  - iv. "vitamins and minerals"
  - v. "boosted by calcium, iron, folic acid, and vitamin D"
- c. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 4 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 2.67 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

## 6. LUNA Mint Chocolate Chip Protein Bar

- a. Healthy Statements (emphasis added)
  - "With decadent nougat, 12 grams of protein, and vitamins and minerals essential to women's <u>health</u>, LUNA Protein helps us keep pace with the day."
  - ii. "Gluten free and boosted by calcium, iron, folic acid, and vitamin D, it's a delicious snack that has the *<u>nutrition</u>* we need to stay strong."

- b. Nutrient Content Statements
  - i. "12g Protein"
  - ii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iii. "High Protein Bar"
  - iv. "vitamins and minerals"
  - v. "boosted by calcium, iron, folic acid, and vitamin D"
- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 4.44 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 3.11 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

# 7. LUNA Berry Greek Yogurt Protein Bar

- a. Healthy Statements (emphasis added)
  - "With decadent nougat, 12 grams of protein, and vitamins and minerals essential to women's <u>health</u>, LUNA Protein helps us keep pace with the day."
  - ii. "Gluten free and boosted by calcium, iron, folic acid, and vitamin D, it's a delicious snack that has the *<u>nutrition</u>* we need to stay strong."
- b. Nutrient Content Statements
  - i. "12g Protein"
  - ii. "Calcium · Iron · Folic Acid · Vitamin D"
  - iii. "High Protein Bar"
  - iv. "vitamins and minerals"
  - v. "boosted by calcium, iron, folic acid, and vitamin D"

### c. Violations of FDA Regulations

- i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 4.44 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
- ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 2.22 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

















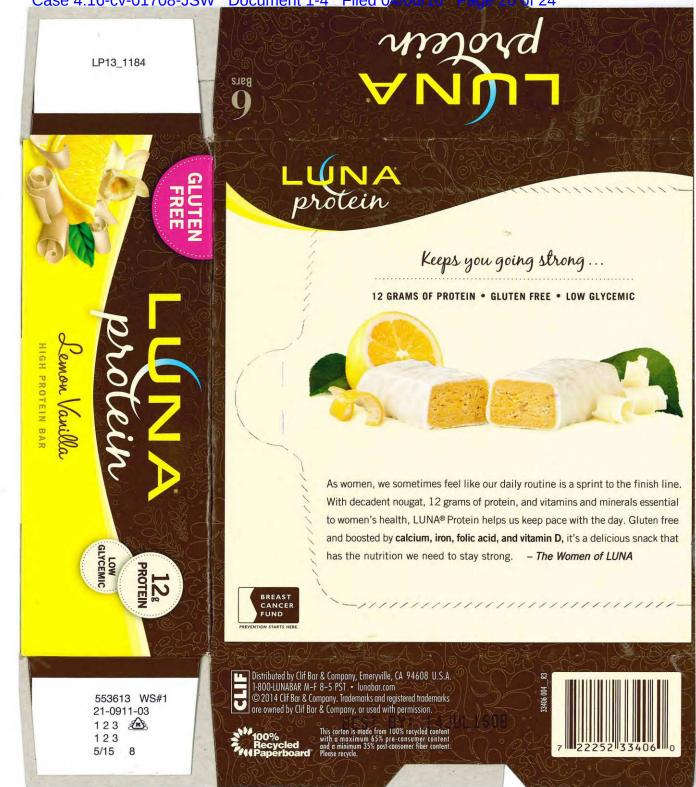








### Case 4:16-cv-01708-JSW Document 1-4 Filed 04/06/16 Page 20 of 24







### Case 4:16-cv-01708-JSW Document 1-4 Filed 04/06/16 Page 23 of 24

**GLUTEN** 

FREE

NEW

### Nutrition Facts Serv. Size 1 Bar (45g) Serv. per Container 6 Catories 170

Amount/Serving	%DV*	Amount/Serving	%DV*
Total Fat 5g	7%	Total Carb. 22g	7%
Sat. Fat 2.5g	12%	Dietary Fiber 3g	10%
Trans Fat 0g		Insoluble Fiber	0g
Cholesterol <5mg	1%	Sugars 15g	-
Sodium 160mg	7%	Other Carb. 4g	
Potassium 105mg	3%	Protein 12g	25%

Vit. A 10% • Vit. C 20% • Calcium 25% • 1001 15% Vit. D 15% • Vit. E 30% • Thiamin (B1) 10% Riboflavin (B2) 10% • Niacin (B3) 10% Vit. B6 20% • Folate (B9) 30% • Vit. B12 20%

INGREDIENTS: Soy Protein Isolate, Organic Cane Syrup, Organic Dried Cane Syrup, Inulin (Chicory Extract), Vegetable Glycerin, Palm Kernel Oil, Almonds, Macadamia Nut Butter, Organic Honey, Whey Protein Concentrate, Dried Blueberries, Natural Flavors, Apple Juice Concentrate, Nonfat Dry Milk, Nonfat Yogurt Powder (Cultured Nonfat Milk), Greek Yogurt Flavored Powder (Nonfat Yogurt [Cultured Nonfat Milk], Milk Protein Concentrate), Whole Milk Powder, Water, Soy Lecithin, Dried Cane Syrup, Salt, Tapioca Starch, Lactic Acid, Citric Acid, Dried Honey,

VITAMINS & MINERALS: Calcium Carbonate, Ascorbic Acid (Vit. C), DL-Alpha Tocopheryl Acetate (Vit. E), Ferric Orthophosphate (Iron), Beta Carotene (Vit. A), Niacinamide (Vit. B3), Folic Acid (Vit. B9), Cyanocobalamin (Vit. B12), Ergocalciferol (Vit. D2), Thiamine Mononitrate (Vit. B1), Riboflavin (Vit. B2), Pyridoxine Hydrochloride (Vit. B6).

ALLERGEN STATEMENT: CONTAINS SOY, MILK, ALMONDS, AND MACADAMIA NUTS. MAY CONTAIN TRACES OF OTHER TREE NUTS. MAY CONTAIN NUTSHELL FRAGMENTS. WE SOURCE INGREDIENTS THAT ARE NOT GENETICALLY ENGINEERED. CONTAINS 32% ORGANIC INGREDIENTS. GLUTEN FREE.

LOW GLYCEMIC

protein

Berry Greek Yogur high protein bar

• IRON • FOLIC ACID • VITAMIN D



LOW

GLYCEMIC.

12g

PROTEIN

1

1.59 OZ/45g per bar ØÞ NET WT 9.54 OZ/270g



Case 4:16-cv-01708-JSW Document 1-5 Filed 04/06/16 Page 1 of 28

# EXHIBIT C

#### 1. CLIF Kid Organic Chocolate Brownie Zbar

- a. Healthy Statements (emphasis added)
  - "In raising our family, finding <u>nutritious</u> on-the-go snacks for our kids wasn't easy. That's why we created CLIF Kid—<u>wholesome</u>, delicious snacks made with organic ingredients <u>to keep kids</u> going, <u>growing</u>, and exploring."
- b. Nutrient Content Statements
  - i. "3g Fiber"
  - ii. "12 Vitamins & Minerals"
  - iii. "3.5g total fat"
- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 3.89 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.67 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

## 2. <u>CLIF Kid Organic Chocolate Chip Zbar</u>

- a. Healthy Statements (emphasis added)
  - "In raising our family, finding <u>nutritious</u> on-the-go snacks for our kids wasn't easy. That's why we created CLIF Kid—<u>wholesome</u>, delicious snacks made with organic ingredients <u>to keep kids</u> going, <u>growing</u>, and exploring."
- b. Nutrient Content Statements
  - i. "3g Fiber"
  - ii. "12 Vitamins & Minerals"
  - iii. "3.5g total fat"

- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 3.89 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.67 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

## 3. <u>CLIF Kid Organic Iced Lemon Cookie Zbar</u>

- a. Healthy Statements (emphasis added)
  - i. "In raising our family, finding <u>nutritious</u> on-the-go snacks for our kids wasn't easy. That's why we created CLIF Kid—<u>wholesome</u>, delicious snacks made with organic ingredients to keep kids going, growing, and exploring."
- b. Nutrient Content Statements
  - i. "3g Fiber"
  - ii. "12 Vitamins & Minerals"
  - iii. "4g total fat"
- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 4.44 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.67 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

### 4. <u>CLIF Kid Organic Iced Oatmeal Cookie Cookie Zbar</u>

- a. Healthy Statements (emphasis added)
  - "In raising our family, finding <u>nutritious</u> on-the-go snacks for our kids wasn't easy. That's why we created CLIF Kid—<u>wholesome</u>, delicious snacks made with organic <u>ingredients to keep kids</u> going, <u>growing</u>, and exploring."
- b. Nutrient Content Statements
  - i. "3g Fiber"
  - ii. "12 Vitamins & Minerals"
  - iii. "4g total fat"
- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 4.44 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.11 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

## 5. CLIF Kid Organic S'mores Cookie Zbar

- a. Healthy Statements (emphasis added)
  - "In raising our family, finding <u>nutritious</u> on-the-go snacks for our kids wasn't easy. That's why we created CLIF Kid—<u>wholesome</u>, delicious snacks made with organic ingredients <u>to keep kids</u> going, <u>growing</u>, and exploring."
- b. Nutrient Content Statements
  - i. "3g Fiber"
  - ii. "12 Vitamins & Minerals"
  - iii. "3.5g total fat"

### c. Violations of FDA Regulations

- i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 3.89 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
- ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.67 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).





in reising aur famil	v finding nutriti	ous dh-	the-oo snacks f	or our ki	ids wasn't easy			
				and the second second			A CONTRACTOR OF	
1								1
					-		wither warmen	- 618
<b>Nutrition Facts</b>	Amount/Serving	%DV**	Amount/Serving	%DV**	Amount/Serving	%DV**	Amount/Serving	
Serv. Size 1 bar (36g)	Total Fat 3.5g	5%	Cholesterol Omg	0%	Total Carb. 23g	8%	Sugars 11g	
Calories 130	Sat. Fat 1.5g	8%	Sodium 140mg	6%	Dietary Fiber 3g	12%	Other Carb. 9g	
Calories from Fat 30 Percent Daily Values (DV) are	Trans Fat 0g		Potassium 125mg	4%	Insoluble Fiber	20	Protein 2g	
Development Daily values (DV) are Development 2,000 Calorie diet.	VI: A 6% + Vit C 35% - Calcium	20% + 80h 105		(82) 15% - Niac	in (B3) 20 + Vit B6 20% + Folat	20% • Vit. B12	2 10% • Plicephones 2% • Zinc 15%	6 3
							anic Drind Care Synup, Orga	

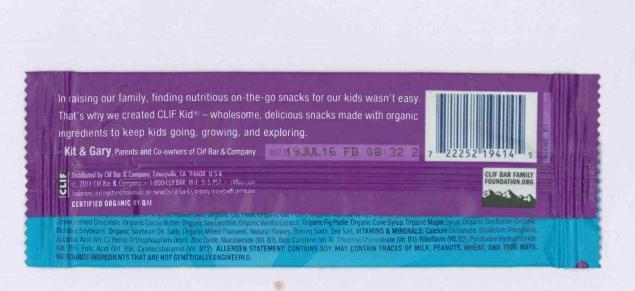
Case 4:16-cv-01708-JSW Document 1-5 Filed 04/06/16 Page 9 of 28







-



M	Nutrition Facts Serv. Size 1 bar (36g)	Amount/Serving	%DV**	Amount/Serving	%DV**	Amount/Serving	%DV**	Amount/Serving		
1		Total Fat 3.5g	5%	Cholesterol Omg	0%	Total Carb. 24g	8%	Sugars 12g	and the	888
1	Calories 130	Sat. Fat 1.5g	8%	Sodium 100mg	4%	Dietary Fiber 3g	12%	Other Carb. 9g	地	
11	Calories from Fat 30 **Percent Dary Values 604 are based on a 2,000 cardie dec.	Trans Fat 0g		Potassium 110mg	3%	Insoluble Fiber	29	Protein 2g	4	
			20 + Iron 104	- Thamin (B1) 30% - Mulawin I	-				S	





# Case 4:16-cv-01708-JSW Document 1-5 Filed 04/06/16 Page 16 of 28



Case 4:16-cv-01708-JSW Document 1-5 Filed 04/06/16 Page 17 of 28









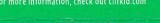


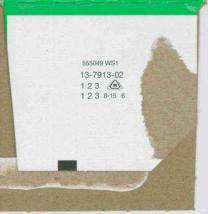


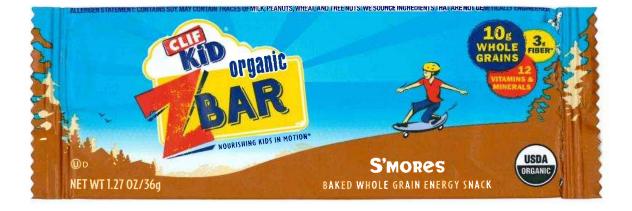


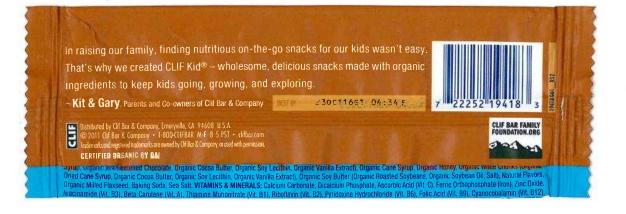
# Case 4:16-cv-01708-JSW Document 1-5 Filed 04/06/16 Page 23 of 28

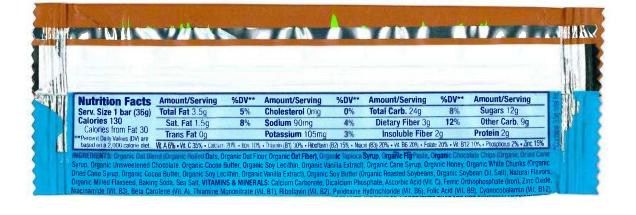












-4

Case 4:16-cv-01708-JSW Document 1-5 Filed 04/06/16 Page 27 of 28





Case 4:16-cv-01708-JSW Document 1-6 Filed 04/06/16 Page 1 of 16

# EXHIBIT D

## 1. CLIF Kid Chocolate Chip Protein ZBar

- 1. Healthy Statements (emphasis added)
  - a. "In raising our family, finding <u>nutritious</u> on-the-go snacks for our kids wasn't easy. That's why we created CLIF Kid—<u>wholesome</u>, delicious snacks made with organic whole grains <u>to keep kids</u> going, <u>growing</u>, and exploring."
- 2. Nutrient Content Statements
  - a. "5g Protein"
  - b. "3g Fiber"
- 3. Violations of FDA Regulations
  - a. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.11 grams of saturated fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(c)(2).

# 2. CLIF Kid Chocolate Mint Protein ZBar

- 1. Healthy Statements (emphasis added)
  - a. "In raising our family, finding <u>nutritious</u> on-the-go snacks for our kids wasn't easy. That's why we created CLIF Kid—<u>wholesome</u>, delicious snacks made with organic whole grains <u>to keep kids</u> going, <u>growing</u>, and exploring."
- 2. Nutrient Content Statements
  - a. "5g Protein"
  - b. "3g Fiber"
- 3. Violations of FDA Regulations
  - a. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.11 grams of saturated fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(c)(2).

#### 3. CLIF Kid Peanut Butter Chocolate Protein ZBar

- 1. Healthy Statements (emphasis added)
  - a. "In raising our family, finding <u>nutritious</u> on-the-go snacks for our kids wasn't easy. That's why we created CLIF Kid—<u>wholesome</u>, delicious snacks made with organic whole grains <u>to keep kids</u> going, <u>growing</u>, and exploring."
- 2. Nutrient Content Statements
  - a. "5g Protein"
  - b. "3g Fiber"
- 3. Violations of FDA Regulations
  - a. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 4.44 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - b. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.67 grams of saturated fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(c)(2).









## Case 4:16-cv-01708-JSW Document 1-6 Filed 04/06/16 Page 8 of 16

In raising our family, finding nutritious on-the-go snacks for our kids wasn't easy. That's why we created CLIF kid"wholesome, delicious snacks made with organic whole grains to keep kids going, growing, and exploring.

Kit & Garry, Parents & Co-owners of Clif Bar & Company



#### ---- NOURISHING KIDS IN MOTION -----THE CLIF KID PACT

- MADE WITH ORGANIC WHOLE GRAINS
  - ¥ VITAMINS AND MINERALS THAT ARE IMPORTANT FOR KIDS
  - **RIGHT PORTION SIZE FOR KIDS**
  - \* NONE OF THE NO-NO'S (SEE SIDE PANEL)

CLIF Kid Zbar®Protein is a delicious whole grain protein snack made with nutritious building blocks for kids' growing bodies ... so kids can keep 🐔 ipping and 🐔 ooming along.

Iron, and Zinc

- ✤ 5g of Protein
- ✤ Excellent Source of Calcium 😽 Good Source of Vitamin D,
- 8g of Whole Grains
- **3g of Fiber**

For more information, check out clifkid.com



## WE KEEP IT SIMPLE:

NO HIGH FRUCTOSE CORN SYRUP

NO PARTIALLY HYDROGENATED OILS

ONTR

SM91

para di la La fatta di

NO SYNTHETIC PRESERVATIVES

**NO ARTIFICIAL FLAVORS** ZERO GRAMS TRANS FAT



CK14-790

















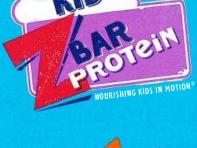


#### Case 4:16-cv-01708-JSW Document 1-6 Filed 04/06/16 Page 16 of 16

CK14-790

In raising our family, finding nutritious on-the-go snacks for our kids wasn't easy. That's why we created CLIF kid®wholesome, delicious snacks made with organic whole grains to keep kids going, growing, and exploring.

Kit & Govy, Parents & Co-owners of Clif Bar & Company



#### **NOURISHING KIDS IN MOTION® ---**THE CLIF KID PACT

- MADE WITH ORGANIC WHOLE GRAINS
- **WITAMINS AND MINERALS THAT ARE IMPORTANT FOR KIDS**
- **RIGHT PORTION SIZE FOR KIDS**
- \* NONE OF THE NO-NO'S (SEE SIDE PANEL)

CLIF Kid Zbar\*Protein is a delicious whole grain protein snack made with nutritious building blocks for kids' growing bodies ... so kids can keep **Z**ipping and **Zoo**ming along.

- 🖌 5g of Protein
- ✤ Excellent Source of Calcium ✤ 8g of Whole Grains **Good Source of Vitamin D**
- Iron, and Zinc ★ 3g of Fiber\*

For more information, check out clifkid.com



# WE KEEP IT SIMPLE:

NO HIGH FRUCTOSE CORN SYRUP NO PARTIALLY HYDROGENATED OILS NO SYNTHETIC PRESERVATIVES **NO ARTIFICIAL FLAVORS** ZERO GRAMS TRANS FAT

KLIKLOK

Case 4:16-cv-01708-JSW Document 1-7 Filed 04/06/16 Page 1 of 8

# EXHIBIT E

### 1. <u>CLIF MOJO Sweet and Salty Dark Chocolate Almond Coconut Trail Mix Bar</u>

- 1. Healthy Statements (emphasis added)
  - a. "Ready to go whenever and wherever you are, CLIF MOJO combines simple and organic ingredients that are *good for* both *our bodies* and the planet."
- 2. Nutrient Content Statements
  - a. "8g Protein"
  - b. "3g Fiber"
  - c. "12g total fat"
- 3. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 10.67 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).
  - b. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 5.33 grams of saturated fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(c)(2).

#### 2. <u>CLIF MOJO Sweet and Salty Mountain Mix Trail Mix Bar</u>

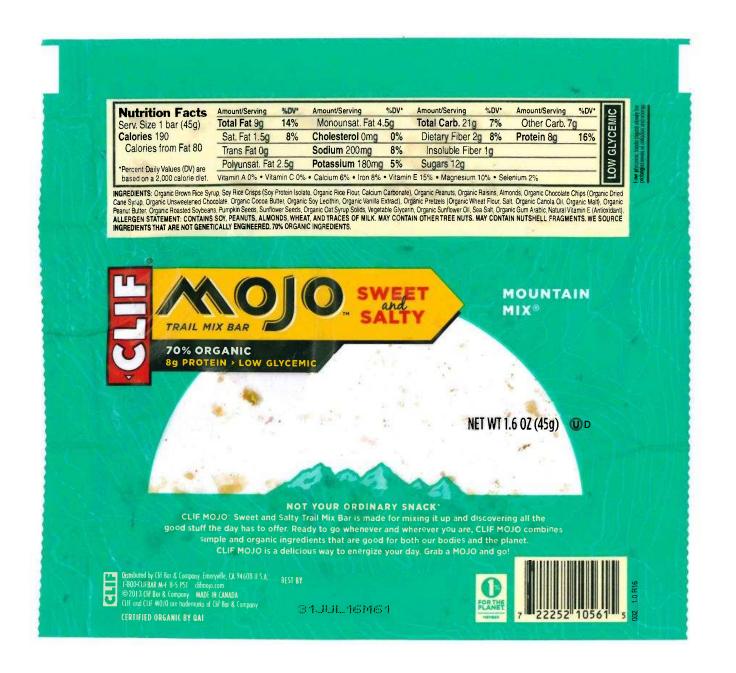
- 1. Healthy Statements (emphasis added)
  - a. "Ready to go whenever and wherever you are, CLIF MOJO combines simple and organic ingredients that are *good for* both *our bodies* and the planet."
- 2. Nutrient Content Statements
  - a. "8g Protein"
- 3. Violations of FDA Regulations
  - a. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 8 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).

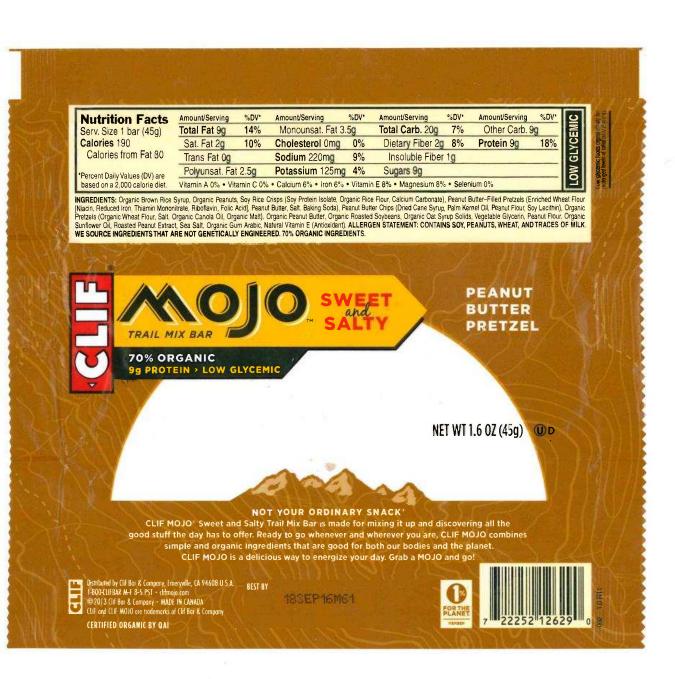
b. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.33 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

### 3. <u>CLIF MOJO Sweet and Salty Peanut Butter Pretzel Trail Mix Bar</u>

- 1. Healthy Statements (emphasis added)
  - a. "Ready to go whenever and wherever you are, CLIF MOJO combines simple and organic ingredients that are *good for* both *our bodies* and the planet."
- 2. Nutrient Content Statements
  - a. "9g Protein"
- 3. Violations of FDA Regulations
  - a. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 8 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).
  - b. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 1.78 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).







Case 4:16-cv-01708-JSW Document 1-7 Filed 04/06/16 Page 7 of 8



Case 4:16-cv-01708-JSW Document 1-7 Filed 04/06/16 Page 8 of 8



Case 4:16-cv-01708-JSW Document 1-8 Filed 04/06/16 Page 1 of 20

# EXHIBIT F

#### 1. CLIF Dark Chocolate Almond Sea Salt Organic Trail Mix Bar

- a. Healthy Statements (emphasis added)
  - i. "Combining simple and organic ingredients that are <u>good for</u> both <u>our</u>
     <u>bodies</u> and the planet, our trail mix bar comes in flavors for just about every occasion—no matter where the trail takes you."
- b. Nutrient Content Statements
  - i. "3g Fiber"
- c. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 13 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at  $\P$  22(b) herein because it contains 3 grams of saturated fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(c)(2).

#### 2. <u>CLIF Dark Chocolate Cherry Almond Organic Trail Mix Bar</u>

- a. Healthy Statements (emphasis added)
  - i. "Combining simple and organic ingredients that are <u>good for</u> both <u>our</u>
     <u>bodies</u> and the planet, our trail mix bar comes in flavors for just about every occasion—no matter where the trail takes you."
- b. Nutrient Content Statements
  - i. "4g Fiber"
  - ii. "Low Sodium"
  - iii. "9g total fat"
- c. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 9 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).

ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 2.5 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

### 3. <u>CLIF Dark Chocolate Peanut Butter Organic Trail Mix Bar</u>

- a. Healthy Statements (emphasis added)
  - i. "Combining simple and organic ingredients that are <u>good for</u> both <u>our</u>
     <u>bodies</u> and the planet, our trail mix bar comes in flavors for just about every occasion—no matter where the trail takes you."
- b. Nutrient Content Statements
  - i. "3g Fiber"
  - ii. "Low Sodium"
  - iii. "13g total fat"
- c. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 13 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).
  - ii. Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at  $\P$  22(b) herein because it contains 3 grams of saturated fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(c)(2).

#### 4. <u>CLIF Dark Chocolate Pomegranate Raspberry Organic Trail Mix Bar</u>

- a. Healthy Statements (emphasis added)
  - i. "Combining simple and organic ingredients that are <u>good for</u> both <u>our</u>
     <u>bodies</u> and the planet, our trail mix bar comes in flavors for just about every occasion—no matter where the trail takes you."
- b. Nutrient Content Statements
  - i. "3g Fiber"
  - ii. "Low Sodium"

- iii. "11g total fat"
- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 11 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 3 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

### 5. <u>CLIF Cranberry Almond Organic Trail Mix Bar</u>

- a. Healthy Statements (emphasis added)
  - i. "Combining simple and organic ingredients that are <u>good for</u> both <u>our</u>
     <u>bodies</u> and the planet, our trail mix bar comes in flavors for just about every occasion—no matter where the trail takes you."
- b. Nutrient Content Statements
  - i. "4g Fiber"
  - ii. "Low Sodium"
  - iii. "9g total fat"
- c. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 9 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).

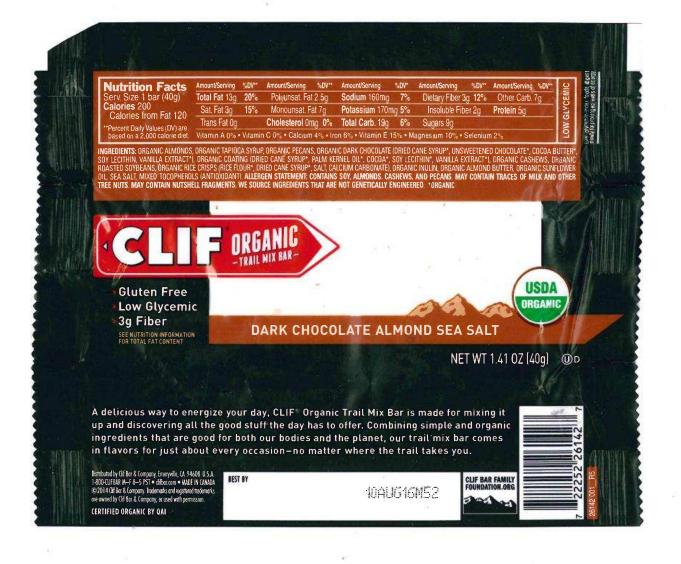
#### 6. <u>CLIF Wild Blueberry Almond Organic Trail Mix Bar</u>

- a. Healthy Statements (emphasis added)
  - i. "Combining simple and organic ingredients that are <u>good for</u> both <u>our</u>
     <u>bodies</u> and the planet, our trail mix bar comes in flavors for just about every occasion—no matter where the trail takes you."

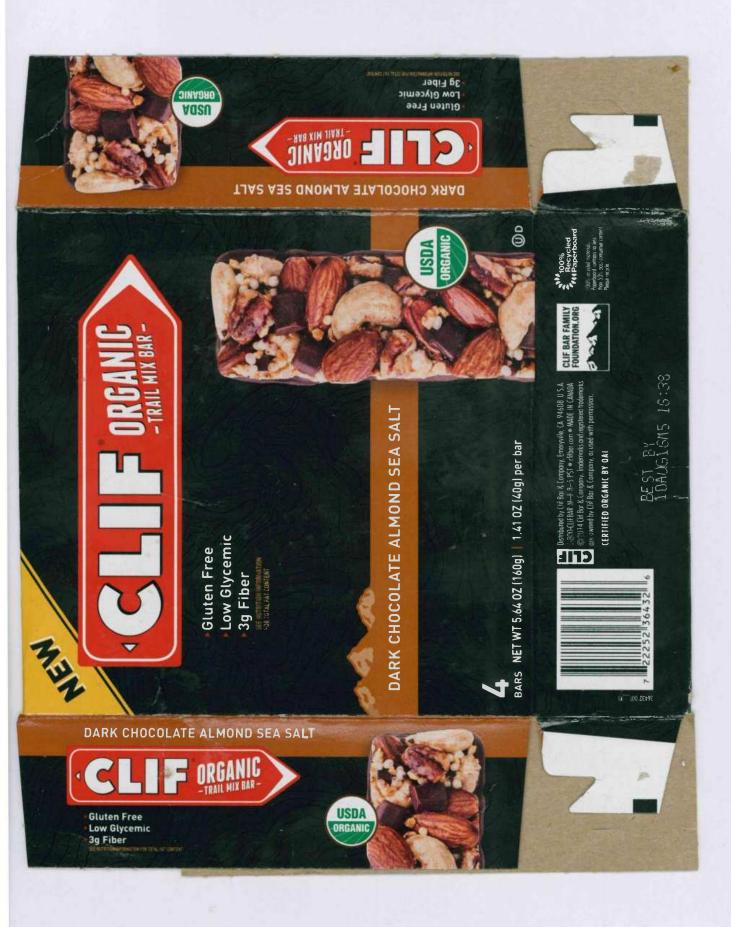
- b. Nutrient Content Statements
  - i. "4g Fiber"
  - ii. "Low Sodium"
  - iii. "8g total fat"
- c. Violations of FDA Regulations
  - Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at ¶ 22(a) herein because it contains 8 grams of fat per 40 grams of total product. See 21 C.F.R. § 101.62(b)(2).

#### 7. <u>CLIF Coconut Almond Peanut Organic Trail Mix Bar</u>

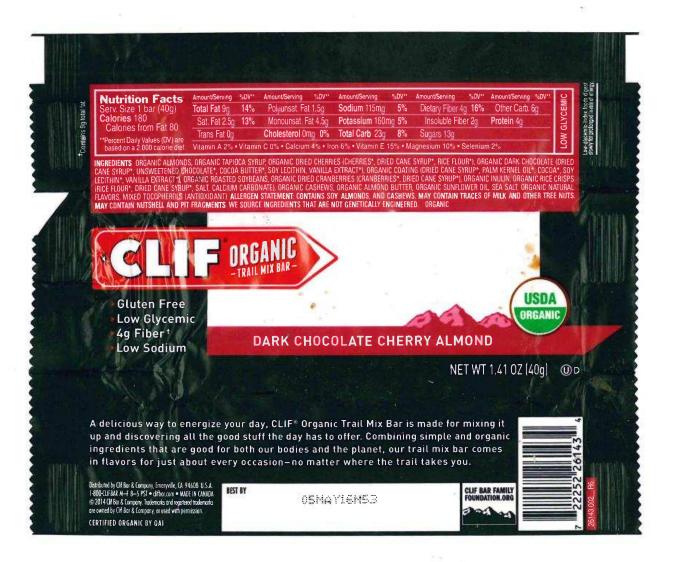
- a. Healthy Statements (emphasis added)
  - i. "Combining simple and organic ingredients that are <u>good for</u> both <u>our</u>
     <u>bodies</u> and the planet, our trail mix bar comes in flavors for just about every occasion—no matter where the trail takes you."
- b. Nutrient Content Statements
  - i. "Low Sodium"
  - ii. "4g Fiber"
- c. Violations of FDA Regulations
  - i. Exceeds the maximum 3 grams of fat per 40 grams of total weight requirement outlined at  $\P$  22(a) herein because it contains 13 grams of fat per 40 grams of total product. *See* 21 C.F.R. § 101.62(b)(2).
  - Exceeds the 1 gram of saturated fat per 40 grams total weight requirement outlined at ¶ 22(b) herein because it contains 4 grams of saturated fat per 40 grams of total product. See 21 C.F.R. § 101.62(c)(2).

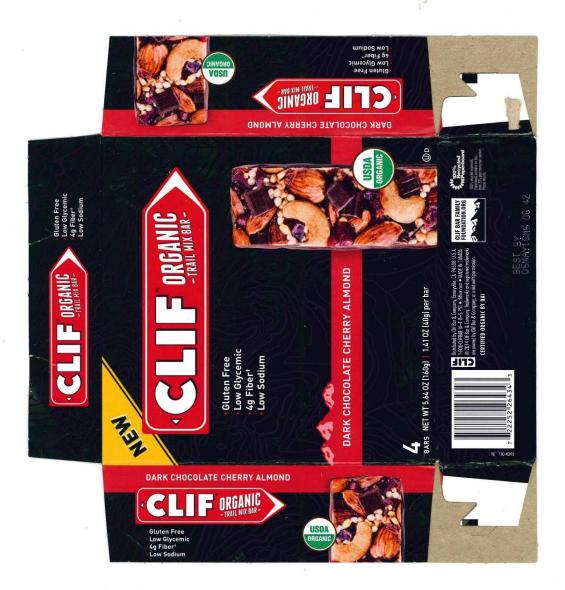


Case 4:16-cv-01708-JSW Document 1-8 Filed 04/06/16 Page 7 of 20

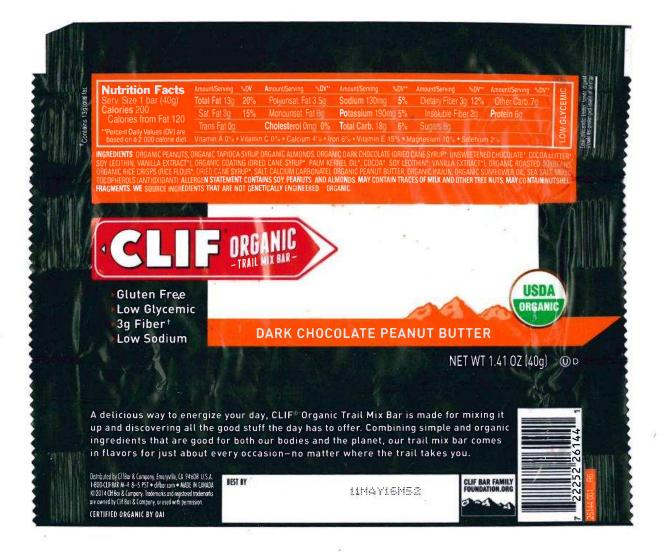






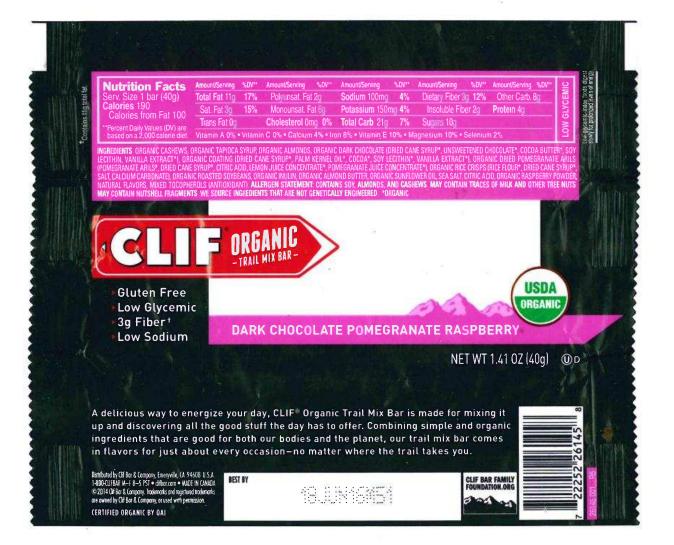








23-0905-02 A delicious way to energize your day, CLIF® Organic Trail Mix Bar is made for mixing it up and discovering all the good stuff the day has to offer. Combining simple and organic ingredients that are good for both our bodies and the planet, our trail mix bar comes in flavors for just about every occasion—no matter where the trail takes you. and use and shows a proving the longer state a longer to the provent power longer and the proving a specific term of the specific state of the specific st - Ba 0 Ustrubured by Clif Bar & 1-800-CLIFBAR M-F & 0-2014 Clif Bar & Comp are awned by Clif Bar & CERTIFIE U.S.A. CLIF BAR FAMILY FOUNDATION.ORG Del. ition Facts COUNTRIES TMB14.846

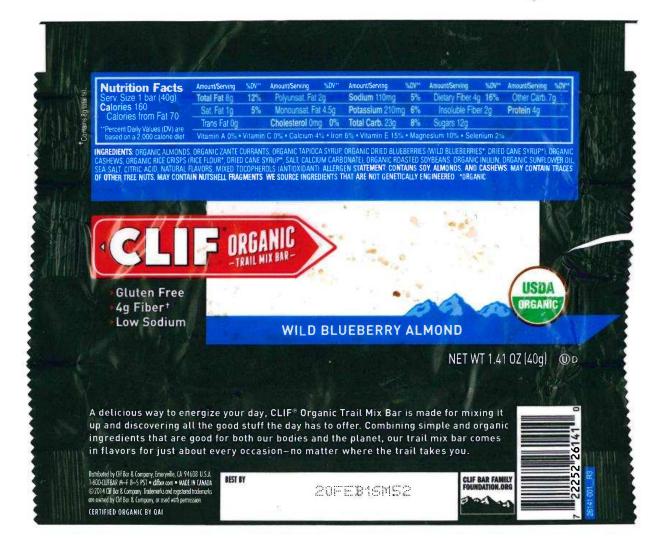






Nutrition Facts Serv. Size 1 bar (40g) Calories 180 Amount/Serving %DV\*\* Amount/Serving %DV\*\* Amount/Serving %DV\*\* Amount/Serving %DV\*\* Amount/Serving %DV fat Total Fat 9g 14% Polyunsat. Fat 2.5g Sodium 110mg 5% Dietary Fiber 4g 16% Other Carb. 7g ntains 9g tota Sat. Fat 1g 5% Monounsat. Fat 6g Potassium 160mg 5% Protein 5g Insoluble Fiber 2g Calories from Fat 80 
 Trans Fat 0g
 Cholesterol 0mg
 0%
 Total Carb. 22g
 7%
 Sugars 11g

 Vitamin A 0% • Vitamin C 0% • Calcium 6% • Iron 6% • Vitamin E 20% • Magnesium 15% • Selenium 2%
 Percent Daily Values (DV) are based on a 2,000 calorie diet. <sup>+</sup>Cor INGREDIENTS: ORGANIC ALMONDS, ORGANIC DRIED CRANBERRIES (CRANBERRIES", DRIED CANE SYRUP"), ORGANIC TAIPIOCA SYRUP ORGANIC RICE CRISPS IRICE FLOUR"; DRIED CANE SYRUP", SALT, CALCIUM CARBONATE), ORGANIC ROASTED SOYBEANS, ORGANIC INULIN, ORGANIC SUNFLOWER OIL, SEA SALT, CITRIC ACID MIXED TOCOPHEROLS (ANTIOXIDATI), ALLERGEN STATEMENT CONTAINS SOY AND ALMONDS. MAY CONTAIN TRACES OF OTHER TREE NUTS MAY CONTAIN NUTSHELL FRAGMENTS WE SOURCE INGREDIENTS THAT ARE NOT GENETICALLY ENGINEERED. "ORGANIC NRGANIC TRAIL MIX BAR USDA **Gluten Free** ORGANIC 4g Fiber\* Low Sodium **CRANBERRY ALMOND** NET WT 1.41 OZ (40g) OD A delicious way to energize your day, CLIF® Organic Trail Mix Bar is made for mixing it up and discovering all the good stuff the day has to offer. Combining simple and organic ingredients that are good for both our bodies and the planet, our trail mix bar comes in flavors for just about every occasion-no matter where the trail takes you. Distributed by Clif Bar & Company, Emeryville, CA. 94608. U.S.A. 1-800-CUIFBAR M—F. 8—5 PSI • clifbar.com • MADE IN CANADA ©2014 Clif Bar & Company, Trodemarks and registered trodemarks are owned by Clif Bar & Company, or used with permission. BEST BY CLIF BAR FAMILY 02JAN16M52 CERTIFIED ORGANIC BY OAL



13.0 %

#### Case 4:16-cv-01708-JSW Document 1-8 Filed 04/06/16 Page 20 of 20



19

Sas

Case 4:16-cv-01708-JSW Document 1-9 Filed 04/06/16 Page 1 of 5

# EXHIBIT G

	Case 4:16-cv-01708-JSW	Document 1-9	Filed 04/06/16	Page 2 of 5
1				
1 2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12	SUPERIOR CO	OURT OF THE	STATE OF CAL	IFORNIA
13	FOR TH	E COUNTY OF	SAN FRANCIS	со
14			CE NO	
15	GREG PERIEFF and LINDA CHESLOW, on behalf of themselv	ves	ASE NO.:	
16	and all others similarly situated,	PE	RIEFF PURSUA	ATION OF GREG ANT TO CAL. CIV.
17	Plaintiffs,	CO	DDE SECTION 1	780(d)
18	VS.			
19 20	CLIF BAR & COMPANY, a Cali: corporation,	fornia		
21	Defendant.			
22				
23				
24				
25				
26				
27				
28				
	VENUE DECLARATION OF GREG PEI Case No.:	RIEFF PURSUANT	TO CAL. CIV. CODI	E SECTION 1780(d)

	Case 4:16-cv-01708-JSW Document 1-9 Filed 04/06/16 Page 3 of 5				
1	I, Greg Perieff, hereby declare:				
2	1. I am a named plaintiff in the above-captioned action.				
3	2. I make this declaration based on my personal knowledge, and if called as a witness, I				
4	could and would testify competently to the facts stated herein.				
5	3. The purpose of this declaration is to substantiate the allegation in my Complaint that				
6	is filed contemporaneously herewith in the above-captioned action that venue is proper in San				
7	Francisco County under Cal. Civ. Code § 1780(d).				
8	4. Defendant Clif Bar & Company sells CLIF Bars and is otherwise doing business in				
9	San Francisco County, California.				
10	5. Between February 2013 and September 2015, I purchased CLIF Bars in San				
11 ·	Francisco County, California, and a substantial portion of the CLIF Bars at issue in the above-				
12	captioned action were purchased San Francisco County, California.				
13	I certify (or declare) under penalty of perjury under the laws of the State of California that				
14	the foregoing is true and correct.				
15	Executed FEBRUARY 24TH, 2016, in San Francisco, California.				
16					
17	Greg Perieff				
18					
19					
20					
21					
22					
23					
24					
25					
26	2				
27					
28	1				
	I VENUE DECLARATION OF GREG PERIEFF PURSUANT TO CAL. CIV. CODE SECTION 1780(d)				
	Case No.:				

	Case 4:16-cv-01708-JSW Documer	nt 1-9 Filed 04/06/16 Page 4 of 5				
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12	SUPERIOR COURT OF	THE STATE OF CALIFORNIA				
13	FOR THE COUN	TY OF SAN FRANCISCO				
14						
15	GREG PERIEFF and LINDA CHESLOW, on behalf of themselves	CASE NO.:				
16	and all others similarly situated,	VENUE DECLARATION OF LINDA CHESLOW PURSUANT TO CAL. CIV. CODE SECTION 1780(d)				
17	Plaintiffs,					
18	VS.					
19	CLIF BAR & COMPANY, a California					
20	corporation,					
21	Defendant.					
22						
23						
24						
25						
26						
27						
28						
	VENUE DECLARATION OF LINDA CHESLOW PU Case No.:	RSUANT TO CAL. CIV. CODE SECTION 1780(d)				

#### Case 4:16-cv-01708-JSW Document 1-9 Filed 04/06/16 Page 5 of 5

			10.00					
I	Linda	Cheslo	w h	iere	hV	dec	are:	
La	Linua	CHEDIO		TATA	-			

2

16

17

18

19

20

21

22

23

24

25

26

27

28

1

1. I am a named plaintiff in the above-captioned action.

- 3 2. I make this declaration based on my personal knowledge, and if called as a witness, I
  4 could and would testify competently to the facts stated herein.
- 3. The purpose of this declaration is to substantiate the allegation in my Complaint that
  is filed contemporaneously herewith in the above-captioned action that venue is proper in San
  Francisco County under Cal. Civ. Code § 1780(d).
- 8 4. Defendant Clif Bar & Company sells LUNA Bars and CLIF Bars and is otherwise
  9 doing business in San Francisco County, California.
- 5. Between February 2013 and December 2015, I purchased LUNA Bars and CLIF Bars
   in San Francisco County, California, and a substantial portion of the LUNA Bars and CLIF Bars at
   issue in the above-captioned action were purchased San Francisco County, California.
- I certify (or declare) under penalty of perjury under the laws of the State of California that
  the foregoing is true and correct.

bruary 18 2016, in Santa Rosa, California. Executed 15

Linda Cheslow

VENUE DECLARATION OF LINDA CHESLOW PURSUANT TO CAL. CIV. CODE SECTION 1780(d) Case No.:

Case 4:16-cv-01708-JSW Document 1-10 Filed 04/06/16 Page 1 of 5

# EXHIBIT H

### U.S. Food and Drug Administration

Protecting and Promoting Your Health

# Minerva Dairy Inc 10/9/14



Public Health Service Food and Drug Administration Cincinnati District Office Central Region 6751 Steger Drive Cincinnati, OH 45237-3097 Telephone: (513) 679-2700

FAX: (513) 679-2775

#### Via United Parcel Service

October 9, 2014

Adam P. Mueller, President Minerva Dairy, Inc. 430 Radloff Avenue Minerva, Ohio 44657

#### WARNING LETTER CIN-15-431214-02

Dear Mr. Mueller:

The U.S. Food and Drug Administration (FDA) conducted an inspection of your cheese and butter manufacturing facility located at 430 Radloff Avenue, Minerva, OH 44657, from February 28, 2014 through March 10, 2014. During the inspection of your facility, we collected product labels for your Greek yogurt butter products. We have reviewed your product labels and find that your labels cause certain products to be misbranded within the meaning of section 403 [21 U.S.C. § 343] of the Federal Food, Drug, and Cosmetic Act (the Act) and the implementing regulations under Title 21, Code of Federal Regulations, Part 101 (21 CFR 101). You may find the Act and FDA's regulations through links on FDA's home page at <u>www.fda.gov (http://www.fda.gov/)</u>.

Our review identified the following violations:

1. Your All Natural Ilios Greek Yogurt Butter is misbranded within the meaning of section 403(r) (1)(A) of the Act [21 U.S.C. § 343(r)(1)(A)] because the product label bears nutrient content claims, but the product does not meet the requirements to make the claims.

Under section 403(r)(1)(A) of the Act [21 U.S.C. § 343(r)(1)(A)], a claim that characterizes the level of a nutrient which is of the type required to be in the labeling of the food must be made in accordance with a regulation authorizing the use of such a claim. Characterizing the level of a nutrient on food labeling without complying with the specific requirements pertaining to the nutrient content claims for that nutrient misbrands the product under section 403(r)(1)(A) of the Act.

The label of your All Natural Ilios Greek Yogurt Butter product bears an implied nutrient content claim because it displays statements suggesting that the product may be useful in maintaining healthy dietary practices. Those statements are made in connection with claims or statements about nutrients. Specifically, the label of your All Natural Ilios Greek Yogurt Butter product bears the claim "A Healthy Butter!" in connection with the following statements under the "Nutritional Facts" on your product label, which compare the fat, calorie, cholesterol, and sodium content of your product to that of butter: "15% less fat" ("When compared to butter"), "15% less cholesterol," "10% less calories," and "50% less sodium."

However, this product does not meet the requirements for the use of the nutrient content claim "healthy" that are set forth in 21 CFR 101.65(d)(2). In accordance with 21 CFR 101.65(d)(2), you may use the term "healthy" as an implied nutrient content claim on the label or labeling of a food provided that the food, among other requirements, is "low saturated fat" as defined in 21 CFR 101.62(c)(2) (i.e., the food has a saturated fat content of 1 g or less per Reference Amount Customarily Consumed (RACC) and no more than 15 percent of calories from saturated fat). According to the Nutrition Facts panel, your All Natural Ilios Greek Yogurt Butter contains 6 g of saturated fat per 14 g serving of the food. This amount exceeds the maximum of 1 g of saturated fat per RACC and the maximum of 15% of calories from saturated fat in the "low saturated fat" definition. See 21 CFR 101.62(c)(2).

Furthermore, in accordance with 21 CFR 101.65(d)(2), you may use the term "healthy" as an implied nutrient content claim on the label or labeling of a food provided that the food, among other requirements, contains at least 10 percent of the Reference Daily Intake or the Daily Reference Value per RACC of one or more of the following nutrients: vitamin A, vitamin C, calcium, iron, protein, or fiber. Your All Natural Ilios Greek Yogurt Butter product contains 6% Vitamin A, 0% Vitamin C, 5% Calcium, 0% Iron, 0.5 mg of Protein, and no declared value for fiber and thus does not meet the minimum requirement of at least 10% of the percent Daily value per RACC for one or more of these nutrients. Accordingly, this product does not meet the requirement for use of the implied nutrient content claim "healthy" on a food label [21 CFR 101.65(d)(2)]. We further note that the label includes an additional implied nutrient content claim "good for you," which is an unauthorized synonym for "healthy.

In addition, in accordance with 21 CFR 101.62(b)(4)(i), to bear the nutrient content claim "less fat," a food such as your All Natural Ilios Greek Yogurt Butter must contain at least 25% less fat per RACC than the reference food. According to the product label, this product contains only "15% less fat (When Compared to Butter)." Accordingly, this product does not meet the requirement for use of the nutrient content claim "less fat" on a food label [21 CFR 101.62(b)(4)(i)].

In accordance with 21 CFR 101.62(d)(4), to bear the nutrient content claim "less cholesterol," a food such as your All Natural Ilios Greek Yogurt Butter must contain at least 25% less cholesterol per RACC than the reference food, and it must contain less than 2 g of saturated fat per RACC. According to the product label, this product contains only "15% less cholesterol (When Compared

to Butter)" and 6 g of saturated fat. Accordingly, this product does not meet the requirement for use of the nutrient content claim "less cholesterol" on a food label [21 CFR 101.62(d)(4)].

In accordance with 21 CFR 101.60(a), a claim about the calorie content of a food may only be made on the label or labeling of a food if, among other requirements, the claim uses one of the terms defined in 21 CFR 101.60 in accordance with the definition for that term. 21 CFR 101.60(b) (4) authorizes the use of the terms "reduced calorie," "reduced in calories," "calorie reduced," "fewer calories," "lower calorie," and "lower in calories" for foods that contain at least 25% fewer calories per RACC than the reference food. Even if "less calories" were authorized under 21 CFR 101.60(b)(4), your All Natural Ilios Greek Yogurt Butter does not contain at least 25% fewer calories than the reference food. According to the product label, this product contains "10% less calories (When Compared to Butter)." Accordingly, the claim "less calories" is not authorized.

In accordance with 21 CFR 101.61(b)(6)(ii)(B), to bear the nutrient content claim "less sodium," a food such as this yogurt butter product must, in part, declare the quantitative information comparing the level of sodium in the product per labeled serving with that of the reference food, and it must be declared adjacent to the "less sodium" claim. However, the product label for this All Natural Ilios Greek Yogurt Butter product does not include this information; accordingly, the product does not meet the requirement for use of the nutrient content claim "less sodium" on a food label [21 CFR 101.61(b)(6)(ii)(B)].

2. Your All Natural Ilios Greek Yogurt Butter and **(b)(4)** products are misbranded within the meaning of section 403(i)(1) of the Act [21 U.S.C. § 343(i)(1)] because the labels fail to bear the common or usual name of the food in accordance with 21 CFR 101.3(b). Specifically, the labels declare "Greek Yogurt Butter" as the statement of identity; however, butter is not an appropriately descriptive term or phrase that adequately describes the basic nature of the product. The Act of March 4, 1923 (21 U.S.C. 321a), defines butter as "the food product usually known as butter, and which is made exclusively from milk or cream, or both, with or without common salt, and with or without additional coloring matter, and containing not less than 80 per centum by weight of milk fat, all tolerances having been allowed for." This definition does not include the addition of other ingredients. According to the product formulation sheets, yogurt powder is added to the butter during the last stage of the churning process.

3. Your All Natural Ilios Greek Yogurt Butter and **(b)(4)** products are misbranded within the meaning of section 403(i)(2) of the Act [21 U.S.C. § 343(i)(2)] because they are fabricated from two or more ingredients, but the label fails to bear the common or usual name of each ingredient, as required by 21 CFR 101.4(b)(2)(ii). For example, your products are manufactured using lactic acid; however, you fail to declare lactic acid in the ingredient statements.

4. Your All Natural Ilios Greek Yogurt Butter product is misbranded within the meaning of Section 403(q) of the Act [21 U.S.C. § 343(q)] in that the nutrition facts information is not in an appropriate format. For example:

- The label fails to declare dietary fiber as required by 21 CFR 101.9(c)(6)(i).
- The label fails to declare sugars as required by 21 CFR 101.9(c)(6)(ii).

The above violations are not meant to be an all-inclusive list of violations that may exist in connection with your products or their labeling. It is your responsibility to ensure that your products comply with the Act and its implementing regulations. You should take prompt action to correct the

violations. Failure to promptly correct the violations may result in regulatory action without further notice, including, without limitation, seizure and injunction.

#### **COMMENTS:**

- The Illios product label includes the phrase "pure rBST free cream"; however, the required accompanying statement, "not significant difference has been shown between milk derived from rbST-treated and non-rbST treated cow" is not included (Interim Guidance on the Voluntary Labeling of Milk and Milk Products From Cows That Have Not Been Treated With Recombinant Bovine Somatotropin, 59 FR 6279).
- The firm name and address on the Illios product and Dutch Farm product labels does not include a street address as required by 21 CFR 101.5(d).

Please respond to this letter within 15 days from receipt with the actions you plan to take in response to this letter, including an explanation of each step being taken to correct the current violations and prevent similar violations. Include any documentation necessary to show that correction has been achieved. If you cannot complete corrective action within 15 working days, state the reason for the delay and the time within which you will complete the corrections.

Please send your reply to the Food and Drug Administration, Attention: Allison C. Hunter, Compliance Officer, 6751 Steger Drive, Cincinnati, Ohio 45237. If you have questions regarding any issues in this letter, please contact Allison C. Hunter, Compliance Officerat (513) 679-2700 extension 2134.

Sincerely, /S/ Paul J. Teitell District Director Cincinnati District

#### **Close Out Letter**

 <u>Minerva Dairy Inc - Close Out Letter 7/28/15</u> (/ICECI/EnforcementActions/WarningLetters/2015/ucm456373.htm)

More in <u>2014</u> (/ICECI/EnforcementActions/WarningLetters/2014/default.htm)