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13 UNITED STATES DISTRICT COURT
14 SOUTHERN DISTRICT OF CALIFORNIA
15

16 JESSICA GAAB, ROCI BOLLMANN,
17 and PAUL DANNER, individually and
18 on behalf of themselves and all others
19 similarly situated,

20 Plaintiffs,

21 v.

22 SEAWORLD ENTERTAINMENT,
23 INC.,

24 Defendant.
25
26
27
28

No. '15CV842 JAH MDD

CLASS ACTION (FRCP 23)

COMPLAINT

DEMAND FOR JURY TRIAL

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1 Plaintiffs Jessica Gaab, Roci Bollmann, and Paul Danner bring this action on
2 behalf of themselves and all others similarly situated against SeaWorld Entertainment,
3 Inc. (“SeaWorld”). Plaintiffs’ allegations against Defendant are based upon
4 information and belief and upon investigation of counsel, except for allegations
5 specifically pertaining to each Plaintiff, which are based upon each Plaintiff’s personal
6 knowledge.

7 I. OVERVIEW

8 1. SeaWorld is the leading marine life theme park in the world. Each
9 SeaWorld theme park showcases killer whales—*Ornicus orca*, the mighty and iconic
10 apex predators of the sea—in special amphitheaters called Shamu Stadium that seat
11 thousands. During its signature “Shamu Show” and in its massive and ubiquitous
12 global marketing campaign, SeaWorld states that it “cares for,” “protects,” and even
13 “nurtures” its captive orcas.

14 2. SeaWorld purports to create a “fun, interesting, and stimulating”
15 environment for these animals. SeaWorld tells the public that its orcas enjoy their lives
16 performing in captivity.

17 3. Last month, SeaWorld Chief Veterinarian, Christopher Dold, told the
18 media in an interview with BBC that at SeaWorld, “we aren’t taking anything away
19 from them [orcas] by having them in this habitat—it’s just different.” As SeaWorld’s
20 curator of trainers told the New York Times, referring to the emergent controversy
21 over its treatment of captive orcas: “[w]e sleep and breathe care of animals.”

22 4. Crowds of children and adults have been mesmerized by SeaWorld’s orca
23 shows. SeaWorld makes hundreds of millions of dollars as a direct result of the
24 illusion created by these shows and its massive public marketing campaign: *Orcinus*
25 *orca* and *Homo sapiens* living in harmony and playing together for public
26 entertainment. Killer whales “in the care of man,” as SeaWorld’s mantra tells it.

1 5. This illusion masks the ugly truth about the unhealthy and despairing
2 lives of these whales. This is a truth that, if known to the purchasing public at the time
3 families make the decision to visit SeaWorld, buy a membership, or pay for an
4 “exclusive park experience,” would lead them to seek entertainment elsewhere.

5 6. Orcas are uncommonly complex and special animals of singular beauty
6 and might in the wild. These whales are larger than any land predator, and they have
7 existed for millions of years. They are highly intelligent and family-orientated. They
8 are long-lived and self-aware. They are socially complex with distinct cultural
9 traditions among varied ecotypes.

10 7. For the past several decades, dozens of orcas have lived in captivity for
11 public entertainment and corporate profit at each of the SeaWorld parks, either
12 captured or bred for that exclusive purpose.

13 8. Orcas in the wild are highly social animals which live within long-
14 established matriarchal societies and rely on sound for communication and to maintain
15 group cohesion. They typically live in stable, kin-based social groups that range in size
16 from 2 to 15 (or more) orcas. Orcas of different matriline have distinct calls and
17 whistles. Interbreeding between populations and ecotypes does not occur in the wild.
18 Because of their size, morphology, and endurance, in nature orcas can roam a hundred
19 miles a day.

20 9. The deceptive and false illusion carefully scripted by SeaWorld and
21 created for the public has concealed not only the mistreatment of these animals, but
22 also concealed orca behavior that evidences how their captivity at SeaWorld is
23 harmful to their welfare.

24 10. Concealed from the public is the impact on these animals of captivity in a
25 tiny confined space, the forced separation of young whales from their mothers, the
26 unnatural mixing of whales that do not have the same culture in small spaces, the
27 forced breeding and inbreeding of young female whales, the routine use of
28

1 pharmaceutical products to unnaturally drug the orcas, the psychological manipulation
2 and at times food deprivation to which they are subjected, the deep rake marks on their
3 bodies that result from incompatibility and cramped conditions, and many other life-
4 shortening and painful experiences from which they have no escape.

5 11. As a result of these and other conditions kept from public view, and as
6 described below, SeaWorld whales die many years before they would in the wild,
7 wear down and break their teeth on concrete and metal, and bang their heads into the
8 walls of their pools from (what humans can only describe as) fear, anxiety, sadness,
9 and a forced resignation to an unnatural and unreasonably monotonous, empty, and
10 dangerous life of captivity.

11 12. SeaWorld conceals the truth about the conditions and treatment of its
12 captive orcas and attacks without restraint those who question the continuing business
13 decision to keep and breed captive orcas. To question the propriety of harboring and
14 profiting from captive orcas triggers a predictable and desperate response from
15 SeaWorld, accusing the questioners of “radicalism,” “extremism,” or worse.

16 13. SeaWorld furthers this by deliberate misrepresentations about providing
17 for the “health” and enrichment of its captive orcas, even giving them “fun” lives in
18 captivity.

19 14. Plaintiffs, and tens of thousands of consumers, would not have paid for
20 admission to SeaWorld, for SeaWorld memberships, or for SeaWorld animal
21 “experiences” for children or adults (or would have paid far less for the same) if the
22 truth about the treatment and behavior of SeaWorld’s orcas in captivity was known.

23 15. As SeaWorld’s stock price has plummeted since its IPO and the CEO has
24 been removed, along with a decline in attendance at its parks, the public customers
25 subjected to SeaWorld’s false statements and material omissions who unwittingly and
26 regrettably paid money to SeaWorld based upon a false understanding of whale
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conditions and treatment caused by SeaWorld's misinformation campaign, are entitled to have those funds returned to them.

16. As discussed more fully below, SeaWorld's conduct violates: (i) California's Business & Professions Code § 17200, *et seq.* (the Unfair Competition Laws or "UCL"); (ii) California Civil Code § 1750, *et seq.* (the Consumers Legal Remedies Act or "CLRA"); (iii) California's Business & Professions Code § 17500, *et seq.* (the False Advertising Laws or "FAL"); (iv) California Civil Code §§ 1709-1710 (Deceit); (v) Florida Statute § 501.201, *et seq.* (Florida Unfair and Deceptive Trade Practices Act); (vi) Texas Business & Commercial Code § 17.41, *et seq.* (Texas Deceptive Trade Practices – Consumer Protection Act); and (vii) triggers claims for restitution because of its Unjust Enrichment. Plaintiffs seek return of money they and others similarly situated paid to SeaWorld as a result of SeaWorld's concealment of the truth regarding the condition and treatment of its captive orcas.

II. PARTIES

17. Plaintiff Jessica Gaab is a citizen of the State of California. Plaintiff Gaab purchased a ticket for admission to SeaWorld San Diego in September 2012 and attended the Shamu Show.

18. Plaintiff Roci Bollmann is a citizen of the State of Florida. Plaintiff Bollmann was an annual pass purchaser at SeaWorld Orlando for more than a decade and attended Orlando SeaWorld, and the Shamu Show, dozens of times from 1999-2013.

19. Plaintiff Paul Danner is a citizen of the State of Florida. Plaintiff Danner purchased admission tickets for himself and four family members to SeaWorld Orlando in April of 2014 and attended the Shamu Show.

20. If SeaWorld had properly disclosed the true facts about the conditions and behavior of its captive whales, Plaintiffs and the proposed Class members would not have bought tickets, memberships, or SeaWorld orca "experiences."

1 21. Defendant SeaWorld Entertainment, Inc., is a publicly traded company
2 (NYSE: SEAS) headquartered in Orlando, Florida and incorporated in Delaware, with
3 its original, signature park located in San Diego, California.

4 **III. JURISDICTION AND VENUE**

5 22. This Court has diversity jurisdiction over this action pursuant to 28
6 U.S.C. § 1332(d) because the amount in controversy for the Class exceeds \$5,000,000,
7 and each Class includes members who are citizens of a different state than Defendant.

8 23. This Court has personal jurisdiction over Plaintiffs and each submits to
9 the Court's jurisdiction.

10 24. This Court has personal jurisdiction over Defendant SeaWorld because it
11 conducts substantial business in this District and throughout the State of California.

12 25. Venue is proper in this Court under 28 U.S.C. § 1391(b) because
13 SeaWorld has marketed and sold its product within this District, and a substantial
14 number of the acts and omissions alleged in this Complaint occurred within this
15 District.

16 **IV. FACTUAL ALLEGATIONS**

17 **A. SeaWorld Markets an Enchanting Illusion of Captive Killer Whales**

18 26. SeaWorld's global marine park empire is built upon the backs of its
19 performing orcas. They are the centerpiece attraction and have been since the late
20 1960s. A ticket to SeaWorld is marketed as a ticket to the magic of orcas living
21 happily and performing for those lucky enough to enter its gates.



27. SeaWorld describes itself as follows:

SeaWorld is widely recognized as the leading marine-life theme park brand in the world. Our SeaWorld theme parks rank among the most highly attended theme parks in the industry and offer up-close interactive experiences, thrilling attractions and a variety of live performances that immerse guests in the marine-life theme. Each SeaWorld theme park showcases killer whales in specially designed amphitheatres, which feature inspiring shows, underwater viewing and special dining experiences.

28. SeaWorld's water-based theme parks have attracted millions of visitors annually for several years. In 2013, 23 million guests visited SeaWorld parks. Children and adults are enthralled and captivated by performances of SeaWorld's captive orcas, just as those who study them in the wild marvel at their majesty.

29. As stated on the "killer whale" section of SeaWorld San Diego's website, SeaWorld attests that its treatment and handling of captive orcas set it apart:

Our relationship with these magnificent rulers of the sea spans decades, during which time some incredible human/animal connections have formed. It's with the whales in our care that you see what truly sets SeaWorld apart. Their unique personalities and long-standing relationships with their trainers show that when you open your mind and

1 heart to the languages humans and animals share, nearly
2 anything is possible.

3 30. The orca show itself is held in “Shamu Stadium” at each park. The
4 enclosure complex is divided into two sections. One tank is designated for the “Dine
5 with Shamu” experience. In the parks with that option, visitors eat lunch while sitting
6 next to the tank as the orcas swim past. The other side of the enclosure complex is
7 designated for the performances at Shamu Stadium. A gate separates the two areas and
8 when opened the orcas enter and exit each section of the complex.

9 31. Shamu Stadium has a large seating area, which is divided into two areas
10 for viewing. The bottom portion is the “soak zone” for those wishing to be splashed by
11 the orchestrated orca jump, and the rest is the dry zone for those wanting to stay dry.
12 Large screens behind the pool display footage showing the orcas interacting with
13 trainers and fellow whales.

14 32. The screens play videos that include various emotional-laden human
15 interviews and scenes. For example, the introduction to the Shamu show includes a
16 father and daughter hiking along the coast and spotting a whale—albeit, in the wild,
17 not in captivity.

18 33. As many as eight or more captive orcas perform throughout the “Shamu
19 Show.” These orcas provide what is masterfully crafted by SeaWorld to appear as a
20 playful interaction with the audience (and before February 2010, directly in the water
21 with diving and swimming trainers). Commonly guests take photos in front of the tank
22 with an orca swimming up behind, the orcas having been trained to stop and pose for a
23 shot.

24 34. Loud music played through multiple large speakers accompanies each
25 maneuver the whales make. Each musical score is also accompanied with spot lights
26 that flash throughout the pool in different colors. The music is so loud that it feels like
27
28

1 the whales are performing at a concert. Needless to say, this is noise not found in the
2 wild.

3 35. Trainers give hand signals to indicate that the orcas should jump 10 to 15
4 feet into the air while twirling before landing back in the water. The animals are
5 directed to display themselves on a platform (“slide-out”) before submerging
6 themselves back into the water. They are fed large quantities of fish while performing
7 as a reward for a job well-done. Being captive, the orcas’ lifeline is the collection of
8 SeaWorld trainers who provide their sole source of nourishment.

9 36. Until they were prevented from doing so, trainers swam with the orcas
10 during the show, in part to create the image of a close bond between the orcas and
11 trainers:



B. SeaWorld Represents Itself as the Whales' Greatest Champion

37. SeaWorld takes great care to create an illusion that it cares deeply for and actually benefits the orcas it holds and breeds in captivity. The following are some of the written statements consumers are provided at SeaWorld and during a Shamu show (each of them false and misleading as alleged in what follows):

- The World We Share. Every day we help people of all ages get excited about animals. SeaWorld is dedicated to the highest standards of care for killer whales and to their conservation through guest education and scientific study.
- SeaWorld's unparalleled breeding success contributes significant information to studies of killer whale reproduction, growth and development.
- Dorsal fins are not all alike. Dorsal fins come in many shapes and sizes. They may be straight, wavy, curved, or bent.

38. The following are comments made during SeaWorld shows:

- "Our commitment to care for the sea and all the creatures who live there"
- "The whales are family"

39. As it does online and throughout its various marketing campaigns, SeaWorld displays written materials around its parks extolling the virtues of its program of care for its captive orcas. A prominent poster in the park reads:

Research

The information collected at SeaWorld has contributed to the body of knowledge about many animals and may aid in the conservation of endangered or threatened species.

Physical & mental stimulation

SeaWorld's complex and interactive habitats and training sessions provide enriching challenges.

40. As for its overall treatment of animals, SeaWorld materials answer that question:

What's our secret to success?

A large and knowledgeable team of dedicated animal care specialists that ensure the health, enrichment, and safety of our diverse family. The knowledge gained from our breeding programs has the potential to be applied to animals in the wild, including threatened and endangered species.

41. And SeaWorld advertisements depict orcas in a colorful, positive light designed to convince potential consumers that the orcas are thriving in captivity:



1 42. On its website, SeaWorld continues its mission to convince the buying
2 public that its treatment of killer whales is beyond question and even that captured and
3 captive orcas are better off in captivity than in the wild:

4 SeaWorld has been safely caring for killer whales for nearly
5 50 years. We are a global leader in the care, behavior and
6 enrichment of this species Including our whales
7 overseas, we provide for the health and well-being of the
8 largest killer whale population in a zoological organization
9 worldwide: 30 animals. These killer whales are healthy and
10 well-adapted to their surroundings, a fact that is evident to us
11 through our constant care, interaction and observation 24
12 hours per day.

13 We invest millions of dollars in habitat maintenance and
14 improvement and, in the last three years alone, have invested
15 \$70 million in our killer whale habitats.

16 And, living in these habitats, our whales show every sign of
17 physical fitness ... While our whales do not live the same
18 lifestyle as their wild counterparts, this difference does not
19 translate to negative welfare of these animals....

20 Also, the animals at SeaWorld do not face many of the
21 challenges of wild animals.

22 **C. SeaWorld Claims to Provide Critical Orca Conservation Research**

23 43. SeaWorld, in promotional and investor literature, represents that it offers
24 exceptional care for its orcas. For example, its 2013 10k filing with the Securities and
25 Exchange Commission reads:

26 The well-being of the animals in our care is a top priority.
27 Our zoological staff has been caring for animals for more
28 than five decades, and our expertise is a resource for zoos,
aquariums and conservation organizations worldwide. Our
expertise and innovation in animal husbandry have led to
advances in the care of the species in zoological facilities
and in the conservation of wild populations.

1 We operate successful zoological breeding programs that
2 help maintain a large and genetically-diverse animal
3 collection. Those efforts have produced 31 killer whales ...

4 Many of our programs represent pioneering contributions to
5 the zoological community. Until the birth of our first killer
6 whale calf in 1985, no zoological institution had successfully
7 bred killer whales. With 29 killer whales, we care for the
8 largest killer whale population in zoological facilities
worldwide and today have the most genetically diverse killer
whale ... collection in our history.

9 44. In its annual report, SeaWorld celebrates its leadership position in the
10 “care” of its animals:

11 Who we are

12 SeaWorld Entertainment, Inc. is a leading theme park and
13 entertainment company delivering personal, interactive and
14 educational experiences that blend imagination with nature
15 and enable our customers to celebrate, connect with and care
16 for the natural world we share. We own or license a portfolio
17 of globally recognized theme parks, intellectual property and
18 brands, including *SeaWorld*[®], *Shamu*[®] and *Busch Gardens*[®].
On April 19, 2013, shares of our common stock began
trading on the New York Stock Exchange (NYSE: SEAS).

19 Over our more than 50-year history, we have built a
20 diversified portfolio of 11 theme parks in six key markets
21 across the United States. In 2013, we hosted more than 23
22 million guests – including 3.7 million international guests –
23 placing our parks among the most highly attended in the
24 industry. Our parks feature a diverse array of rides, shows
25 and other attractions with broad demographic appeal,
26 delivering memorable experiences and value. We feature
more than 600 attractions, including 91 animal habitats, 187
rides and 115 shows, with new experiences opening every
year. In addition, we offer more than 300 restaurants and
specialty shops.

1 We are also one of the world's foremost zoological
2 organizations, a global leader in animal welfare, training,
3 husbandry and veterinary care. Through education and up-
4 close experiences, our mission is to inspire guests to care for
5 and protect animals. We believe we care for one of the
6 largest animal collections in the world. Together, our
7 expertise and innovation in animal husbandry have led to
8 advances in the care of species in zoological facilities and in
9 the conservation of wild populations

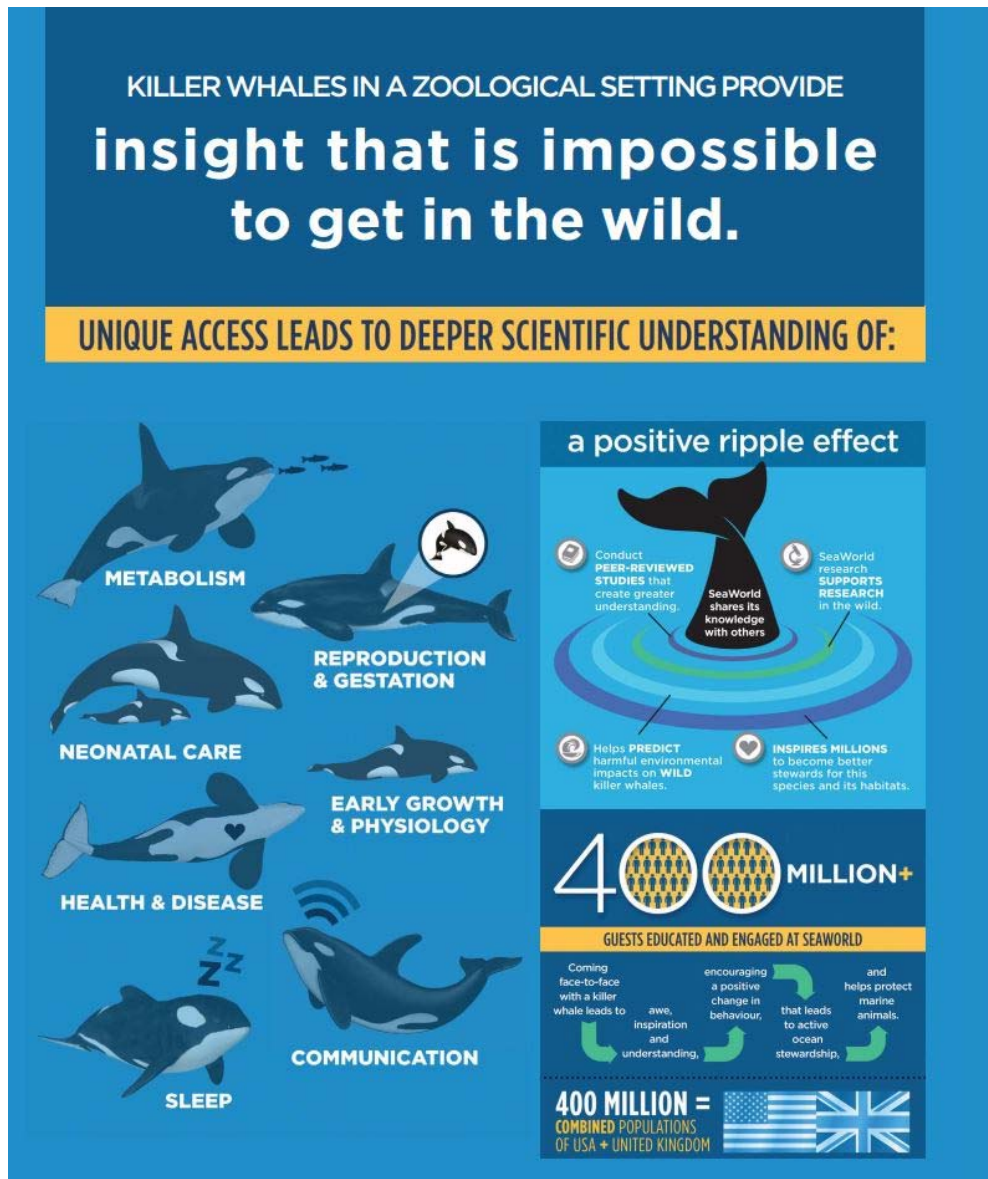
10 How we care

11 We inspire millions of guests each year through up-close
12 animal encounters, educational exhibits and innovative
13 entertainment, providing inspiring and memorable
14 experiences.

15 The research and conservation undertaken by our parks has
16 led to advances in the care of animals in both zoological
17 facilities and wild populations. Our zoological teams care for
18 approximately 86,000 marine and terrestrial animals. In
19 addition, our successful and innovative breeding programs
20 have resulted in the births of 31 killer whale calves...

21 45. In addition to the statements cited in the preceding paragraphs, SeaWorld,
22 during the past four years, has responded publicly with additional false information
23 regarding its treatment of orcas and the conditions under which these captive animals
24 exist. It has made these statements in response to the growing criticism about its
25 treatment of these animals, and are discussed further in what follows.

26 46. On its website SeaWorld boasts of its scientific mission as part of a
27 campaign to convince the public that captivity is beneficial to orcas:
28



D. The Biology of Orcas

1. Distinct orca ecotypes exist in all the oceans of the world.

47. Killer whales (orcas) are the most widely distributed of all marine mammals, found in all parts of the oceans. They are most abundant in colder waters, including Antarctica, the North Atlantic and Pacific Oceans. They are also found in tropical, subtropical, and offshore waters.

48. Orcas exist as what can be termed races, or ecotypes. At least ten distinct ecotypes exist, some separated by significant distance, others living in the same space

1 (sympatric) but different genetically and in other ways. Ecotypes feed on different
2 prey and vocalize in distinct ways, akin to using different languages (known as
3 dialects—each ecotype will have multiple families, each using a different dialect). The
4 white eye patches and gray capes on the back common to orcas may have slightly
5 different orientations, shapes, and sizes, depending on the ecotype. Even the dorsal
6 fins of different ecotypes may have slightly different shapes.

7 49. Alone among mammals whose habitat is the sea, male orcas have
8 developed a dramatic sword-like dorsal fin that cuts through the water as they dash
9 toward their meals, looking like black-sailed corsairs catching the wind to speed
10 toward booty. The forces of evolution seem to have favored those huge fins for
11 purposes of temperature regulation. The dorsals help with the enormous energies
12 generated by the speeding orcas—who can swim in bursts up to 30 miles per hour—by
13 shifting heat away from the body’s core, dispersing the elevated temperatures to the
14 extremities so that the killer whales do not overheat.

15 50. Killer whales are generally considered monotypic (belonging to one
16 species). However, genetic studies and morphological evidence have led many
17 cetacean biologists to now consider the existence of multiple species or subspecies of
18 killer whales worldwide (that is, they believe ecotypes are different species or sub-
19 species). Other scientists consider ecotypes to be less taxonomically distinct than
20 species or sub-species.

21 51. Among the various populations of killer whales, the whales of the Pacific
22 Northwest have received the most scientific scrutiny and therefore provide us with the
23 bulk of what is known about the life cycle of a killer whale. In this region, there are
24 three ecotypes co-existing within the same larger area: residents (fish-eaters);
25 transients (mammal-eaters); and offshores (shark-eaters). The residents have been
26 studied in most detail and there are two populations: the northern resident killer whale
27 population, which is spread generally from southern Alaska through to mid-Vancouver
28

1 Island; and the southern resident killer whale population, ranging generally from mid-
2 Vancouver Island to Washington State, but with members observed as far south as
3 central California.

4 52. Orcas' sense of community is bolstered by what we might call language.
5 Each family is headed by a matriarch and all family members use the same calls and
6 other various sounds that make up a dialect—that is, families speak the same
7 “language.” Various families that share most of their calls form a pod; pods with some
8 common calls form what scientists call a clan.

9 53. Different clans have no calls in common, but clans with related genetics
10 and behaviors interbreeding with each other form a population. The northern residents
11 are made up of three clans; the southern residents are one clan. Whales do not mate
12 with close relatives; dialects almost certainly play a role in preventing inbreeding.
13 More remarkably, the northern and southern residents do not interbreed, although the
14 three clans of the northern residents do interbreed. Somehow, these whales recognize
15 common lines of ancestry and can distinguish northern from southern. Genetic field
16 research has confirmed this.

17 54. Orcas show considerable sexual “dimorphism.” Adult males are much
18 larger and also develop larger pectoral and dorsal fins and tail flukes than females.

19 55. Killer whales are highly social animals. They live in stable social groups
20 based on kinship (a mother and her offspring) that range in size from 2 to 15 or more
21 animals. Larger groups of 50-100+ animals occasionally form, but are temporary
22 groupings of smaller families that congregate for seasonal concentrations of prey,
23 social interaction, or mating. Differences in availability of food resources likely
24 account for much of the variation in group size within orca populations.

25 56. Different populations of killer whales exhibit different dietary
26 preferences, behavior patterns, social structures, and home range sizes. Interbreeding
27
28

1 does not occur between different populations or ecotypes, despite the occasional
2 overlap of home ranges.

3 **2. Orcas are highly communicative, intelligent, and social.**

4 57. Like all cetaceans, killer whales depend heavily on underwater sound for
5 orientation, feeding, and communication. They produce three categories of sounds:
6 clicks, whistles, and pulsed calls.

7 58. Echolocation clicks are believed to be used primarily for navigation and
8 discriminating prey and other objects in the surrounding environment, but are also
9 commonly heard during social interactions and may have a communicative function.

10 59. Whistles and pulsed calls are believed to be used for communication and
11 during social activities. Whistles are frequency modulated sounds (pitch changes with
12 time) with multiple harmonics. Pulsed calls are the most common type of vocalization
13 in killer whales and resemble squeaks, screams, and squawks to the human ear. Most
14 calls are highly distinctive in structure, and are characterized by rapid changes in tone
15 and pulse repetition rate.

16 60. Killer whales of different pods have distinct calls and whistles. In resident
17 killer whales of the eastern North Pacific, each pod possesses a unique repertoire of
18 discrete calls—or dialects—which are learned and culturally transmitted. These
19 dialects serve as family badges and are used to maintain group cohesion. In instances
20 with high levels of noise, killer whales are known to increase the amplitude of their
21 calls.

22 61. Although humans often cite brain size as a basis for our superiority, orcas
23 have larger brains (and their brain-to-body-size ratio is similar to humans') and have
24 had them for millions of years longer than our species. Studies using MRI technology
25 reveal that the neocortex of an orca brain is more "wrinkled" than a human brain, and
26 thus, has greater volume. There are more brain cells and neurons in orca brains. An
27 examination of the orca brain shows us the natural endowment these animals have,
28

1 and explains the social nature of orcas and their sophisticated level of consciousness
2 and awareness.

3 **3. Orcas are organized in matriarchies and close-knit.**

4 62. Both the northern and southern resident populations are made up of clans
5 and pods and families. Each family is led by a reproductive-aged female (a matriarch)
6 and comprises both sons and daughters; a mother and all her offspring form the basic
7 unit of orca society. Everything in the family revolves around the matriarch. Even
8 when her daughters become adults, their own families stay within reach. Males live
9 with their mothers their whole lives. Whales within a family usually travel less than a
10 mile apart and are often within a single body length of other family members.

11 63. Everyone in the matriarch's immediate court stays close to her physically,
12 surrounding her like a queen bee in an oceanic hive, a few body lengths away. Her
13 circle includes all her offspring younger than 10-15 years old—male and female—as
14 well as her adult sons. In some of these families, male relations of the matriarch's own
15 generation or older (brothers and uncles) are part of the entourage. Sometimes
16 nephews (the sons of deceased sisters) are part of the group.

17 64. Males have no status apart from their mothers or an equivalent female.
18 At the death of a matriarch, her sons will join the families of an aunt or sister or niece,
19 just to maintain social status and a place in some communal hierarchy. Some brothers
20 have travelled together outside of a matriline, but this is rare.

21 65. SeaWorld tells the public that it recognizes the "importance of family
22 bonds":
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66. As discussed further below, SeaWorld forces motherlessness on many of its male orcas, and engages in other acts that destroy—not foster—family bonds. It is these males who are often the outcasts of the societies that emerge among SeaWorld’s orcas, subjected to vicious and repeated attacks by the other whales.

4. Orcas in nature can live long healthy lives.

67. Under objectively good natural environmental conditions, orcas should live roughly as long as human beings. Both sexes reach sexual maturity at approximately 14. Females give birth approximately every five years and go through menopause at 40 or so years of age. Females have a mean life expectancy of 50 years; males 30 years. Males live an estimated maximum of 60-70 years and females an estimated maximum of 80-90 years or more—southern Resident J2 (aka “Granny”) is believed to be close to 100 years old.

E. The Undisclosed Truth About SeaWorld's Captive Orcas

1. SeaWorld's family values

a. SeaWorld launches its business with captured orcas.

68. Ted Griffin, an aquarium owner from Seattle, for several years yearned to capture a killer whale for his own entertainment. The history of SeaWorld begins here. After obtaining an orca accidentally caught in a fishing net for his amusement in his own Seattle aquarium (named Namu), he set about to turn capturing these whales into a business. In 1965, Griffin's orca capture business began.

69. Griffin's team captured a young female orca from Puget Sound, off the Washington coast, on October 31, 1965. She was named Shamu (She-Namu), and the legend born of man's conquer and incarceration of killer whales began. The customer lined up to purchase Shamu was the then-nascent water theme park in San Diego, California, called SeaWorld (at that time spelled Sea World). Shamu landed at SeaWorld's Mission Bay marine park on December 29, 1965.

70. SeaWorld continues to aggressively market the now-proverbial "Shamu the killer whale" as the signature attraction of its entertainment empire. The actual story of Shamu is far from the inspirational "man caring for beast" myth that SeaWorld perpetuates.

71. A whale capture itself, of course, involves an aggressive act by an outside intruder (the human hunters) stripping an orca from its family by violence and force. At the time, explosives were used to herd the orcas into areas where they could be isolated and captured. Orcas may be killed in the process, and they can get entangled in nets used to confine the pod—several deaths were known to have occurred during the early captures.

72. The abduction of Shamu was no exception. Shamu's mother died during the abduction of the calf. Even six years later, Shamu, whose life was transformed by violence and aggression, attacked a woman employed by SeaWorld (as a secretary)

1 during a photo promotion session. In subsequent litigation, SeaWorld was forced to
2 acknowledge prior attacks by Shamu.

3 73. Shamu was taken out of performance and died only four months later,
4 when she was still less than 15 years of age, following a bacterial infection. This
5 captured orca, who died an early death as a young whale taken from her family,
6 became the brand that built SeaWorld's multi-million-dollar marine entertainment
7 empire.

8 74. SeaWorld, when pressed to acknowledge the cruelty associated with its
9 initial venture into the world of captured orca entertainment, prefers to misleadingly
10 claim that only five of its 29 orcas currently performing were taken from the wild. The
11 more complete and telling truth is that only five of the 32 whales violently abducted
12 from their families and ocean homes for SeaWorld's business purposes (each owned
13 by SeaWorld) have survived. These abductions not only tore apart these whales'
14 family units, they usually included violence against other whales, sometimes killing
15 them outright.

16 75. Tilikum, an approximately 34-year-old orca who later became the new
17 orca face of SeaWorld following the death of trainer Dawn Brancheau and the
18 *Blackfish* documentary, was captured at approximately two years of age by an orca
19 capture operator in Iceland. Tilikum, like the others, was torn away from his family
20 against his will and confined to a small concrete tank for a hefty profit.

21 **b. SeaWorld destroys orca families and removes calves from their**
22 **mothers for profit.**

23 76. SeaWorld's vice president of animal training has stated that SeaWorld
24 "recognize[s] the importance of the family bond," and SeaWorld's marketing repeats
25 this message often.

26 77. In public advertisements SeaWorld promises to recognize and respect
27 such familial bonds:
28



78. Unfortunately, this statement to assuage the public masks the actual truth that the family bond is intentionally disregarded and undermined by SeaWorld.

79. SeaWorld has separated nearly two dozen calves (male and female) from their mothers within its captive orca population, sometimes at as young as two years of age and usually before the calf is five years of age. This results in what can only be described as observable suffering and even agony for these separated orcas, animals who have persisted for millions of years in the wild with a high degree of familial cohesion.

80. SeaWorld's corporate vice president of veterinary services testified recently before the California State Assembly where he denied such practices, admitting only that SeaWorld takes young orcas from their mothers to prevent immediate danger to them. This is manifestly untrue and maintained only for fear of public anger were the truth to be told.

81. On its website, SeaWorld represents that it does "not separate mothers from their calves." In the picture used to maintain this illusion of merciful familial

1 preservation, SeaWorld orcas Takara and her calf Kohana are shown together. In truth,
2 Kohana was taken from her mother at age three and is currently performing under
3 leasing arrangements to audiences in Spain; her mother is forced to entertain in Texas.

4 82. Takara was also robbed of her second calf, Trua, when Trua was also
5 three years old. He is performing without his mother at SeaWorld Orlando. When
6 Takara was transported to Texas from Florida (via a military plane) Takara was also
7 seven months pregnant at the time, adding obvious stress to her plight while at the
8 same time being separated from her child.

9 83. Before this, Takara's mother, Kasatka, was separated from Takara
10 herself. Even earlier, Kasatka began her life of captivity when taken from her mother
11 in the wild. As Takara was taken from San Diego from her mother for business
12 purposes by SeaWorld, Kasatka emitted vocalizations never previously heard from her
13 in her years of captivity. It was determined that the vocalizations, which continued
14 long after her daughter was taken, were long-range vocals.

15 84. Years later, when Takara's vocalizations were played for Kasatka in San
16 Diego, Kasatka grew extremely agitated by the torture of hearing her daughter's voice.
17 Kasatka was eventually determined to be too dangerous for water work performance,
18 long before all water work ended after the orca Tilikum killed trainer Dawn
19 Brancheau.

20 85. To SeaWorld, these captured and captive orcas are commodities, to be
21 moved and relocated as the corporation sees fit, regardless of the obvious
22 psychological and physical harm caused to the orcas as a result. All of this is publicly
23 denied by SeaWorld for fear of lost revenue.

24 86. And SeaWorld conceals this treatment in part through its relentless
25 campaigns designed to depict a protective and protected mother-calf bond at
26 SeaWorld. An example follows:
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2. SeaWorld orcas' living conditions in captivity

a. These orcas suffer in tiny and unnatural chemical tubs.

87. SeaWorld claims ("The Truth is in Our Parks and Our People") that the killer whales are kept in a state-of-the-art environment:

More than 50 years of world-class care, state-of-the-art animal habitats, and commitment to animal welfare have earned our parks recognition as global leaders in the zoological community.

88. As detailed below, SeaWorld falsely claims that its habitats are safe and healthy for its orcas:



SAFE AND SOPHISTICATED HABITATS

Killer whale health starts with a safe, state-of-the-art habitat and a day filled with enriching activity. Our zoological habitats are among the largest in the world. They are multi-million-gallon environments of continually chilled and filtered saltwater. The killer whale habitat at SeaWorld Orlando, for example, encompasses more than 6 million gallons. We also have a dedicated team of water quality experts on call 24/7 to monitor this advanced system and ensure all water quality conditions meet or exceed federal standards.

The design of these facilities allows for the highest standards of caring for our animals safely. We invest millions of dollars in habitat maintenance and improvement and, in the last three years alone, have invested \$70 million in our killer whale habitats.

And, living in these habitats, our whales show every sign of physical fitness including healthy weight, muscle tone, respiratory efficiency, strength and heart rate. While our

1 whales do not live the same lifestyle as their wild
2 counterparts, this difference does not translate to negative
3 welfare of these animals. For example, the health of a killer
4 whale is not dependent on long-distance swimming; wild
5 whales must do this to search for food and new foraging
6 grounds. Our trainers work with our whales and closely
7 monitor and provide their food, exercise and other
8 environmental enrichment activities.

9 89. Rather than provide the “highest standard of care” (avoiding “negative
10 welfare” for its orcas), SeaWorld confines its captive orcas—one of the largest marine
11 predators in the world, who often swim up to 100 miles a day in the wild—to
12 unnatural and unhealthy tanks that, to them, are the size of a single room.

13 90. In addition to the tanks in which these orcas are fated to subsist at
14 SeaWorld for the duration of their lives, the water in which they are held does not
15 resemble an ocean environment. Instead, it is a series of interlocking chemical baths.

16 91. The cramped conditions enhance issues of incompatibility and orcas rake
17 each other’s bodies and fight with unnatural levels and durations of violence.

18 92. When not actually performing, SeaWorld’s captive orcas spend many
19 hours a day in so-called “med pools” only eight feet deep. These pools are mostly
20 utilized not for emergency circumstances, but instead for daily, routine staging for the
21 Shamu shows.

22 93. The orcas are often in these staging tubs for up to an hour several times a
23 day, before, during, and after each show. Sometimes they are there for extended
24 periods to ensure that visitors have enough time to see them; SeaWorld does not
25 inform these visitors of the stress of such conditions (exposed to the elements above
26 the water surface for extended periods) for the orcas.

27 94. The chlorine solution SeaWorld uses to maintain water quality in the
28 tanks is itself several times stronger than household bleach. Tank water is also treated
with two other chemicals: ozone (known to damage the lungs and eyes, among other

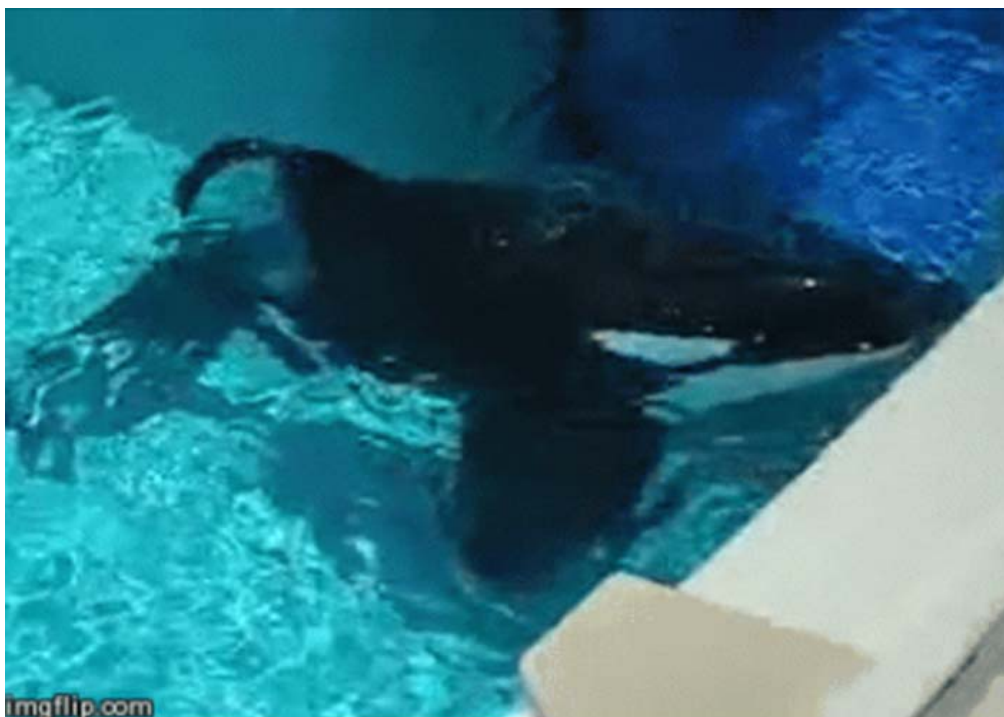
1 things) and aluminum sulfate (the acidity of which can cause significant burning).
2 Orca trainers have at times developed eye burns from this water serious enough to
3 have been addressed with emergency medical attention. At times, trainers cannot open
4 their own eyes at all. Some trainers, as a result, have been kept from the water for
5 extended periods of time. The orcas themselves, of course, have no such reprieve.

6 95. SeaWorld falsely advertises the “complex and interactive habitats” these
7 pools provide for its captive performing orcas. Far from complex or meaningfully
8 interactive, instead SeaWorld provides these orcas with plain-walled chemical baths
9 within which whales develop habits which endanger their health and shorten their life
10 spans.

11 **b. Shallow pools expose the orcas to fatal risks.**

12 96. Due to the shallowness of their tanks and their confinement, orcas at
13 SeaWorld spend most of their time floating listlessly at the surface of the water with
14 little to no shade from the sun. Each SeaWorld location is found in sunny, hot parts of
15 the United States: San Diego, San Antonio, and Orlando. In nature, “logging”
16 behavior is rare and whales escape the sun’s UV rays by spending up to 95% of their
17 time submerged below the surface, finding shade in the depths of the ocean; but at
18 SeaWorld their tanks are far too shallow, the water too clear, and the surfaces too
19 light-reflective.

20 97. In contrast to the claims by SeaWorld (noted, in part, in the section
21 above), only the front show pools have depths of 40 feet (in Texas) and 36 feet (in San
22 Diego and Orlando). Even so, sunlight easily penetrates to the bottom due to the
23 unnatural clarity of the water and the light-reflective nature of the pool walls. Captive
24 orcas also spend several hours several times a day in the “med pools” noted above. To
25 this day, Google-image pictures (captured randomly) show orcas left unattended
26 essentially roasting in these eight-foot-deep pools for long periods of time, as depicted
27 in the following photograph:
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98. The above, true depiction of the daily lives of SeaWorld orcas contrasts with SeaWorld's claims that the whales are "healthy and well adapted":

KILLER WHALE HEALTH AND DAILY CARE

SeaWorld has been safely caring for killer whales for nearly 50 years. We are a global leader in the care, behavior and enrichment of this species and are accredited by the world's foremost professional zoological organizations. Including our whales overseas, we provide for the health and well-being of the largest killer whale population in a zoological organization worldwide: 29 animals. These killer whales are healthy and well adapted to their surroundings, a fact that is evident to us through our constant care, interaction and observation 24 hours per day.

1 99. This inescapable and inhumane exposure to sunlight and high
2 temperatures (which would never occur in nature) can be fatal. Two SeaWorld orcas
3 have died from mosquito-transmitted diseases resulting from bites they received while
4 floating motionless on the surface of these small pools suffering in the hot and humid
5 climates of central Texas and Florida.

6 **c. SeaWorld hides orca sunburns with black zinc oxide.**

7 100. These harsh elements (*i.e.*, exposure to sunlight and heat near the water
8 surface for hours each day) also cause near perpetual sunburns for the orcas. Rather
9 than acting on the obvious fact that SeaWorld's unnatural captive environment is
10 unhealthy for these orcas, SeaWorld shields this from public view with the help of
11 black zinc oxide, which conveniently matches the orcas' skin.

12 **d. The orcas are purposefully deprived of food.**

13 101. SeaWorld claims that it positively reinforces orca behavior:
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BEHAVIORAL ENRICHMENT AND TRAINING

Our entire program is grounded in the philosophy of positive reinforcement and compassionate care. When training the whales, SeaWorld uses a variety of positive reinforcements. What is positive for a whale can vary from day to day, and whale to whale. For instance, some whales prefer a massage or toy; some prefer a big salmon. The essence of animal training is to continually vary the reinforcement to keep the animal engaged.

Animals at SeaWorld are never punished, and their food is never withheld.

We recognize that these animals have evolved complex behavioral capacities and problem-solving strategies to

succeed in the ocean, and we are committed to caring for their behavioral health as well as physical health. Our trainers work closely with our veterinarians and other team members to provide a holistic behavioral program that focuses on health, exercise and mental challenges.

102. Whatever else can be said about SeaWorld's fleet of orca trainers, they maintain relative authority over the captive whales by simple virtue of the monopoly these trainers have over the orcas' food supply.

1 103. Orcas get both their nutrition and hydration from the dozens of pounds of
2 fish they consume each day. SeaWorld's entire behavioral training scheme rests upon
3 this fundamental reality. When the training and positive reinforcement fail to deliver
4 the uninterrupted compliance demanded by SeaWorld for its public performance
5 shows, SeaWorld resorts to depriving the orcas of food. Food deprivation is hardly
6 "Behavioral Enrichment."

7 104. SeaWorld denies this practice, and maintains that the orcas receive their
8 necessary volume of food absent a medical condition or a self-initiated hunger strike
9 by an orca. SeaWorld maintains, on its website, that orcas "are never punished, and
10 their overall diet is never dependent on their behavioral performance."

11 105. In fact, this deprivation has occurred and occurs with respect to several
12 orcas over not just one day, but several days and even weeks. Because of the
13 inhumanity of such behavior, SeaWorld has vehemently denied this practice to the
14 public.

15 **3. SeaWorld's captive whales deteriorate as a result of their treatment**
16 **a. SeaWorld's captive orcas live shorter lives.**

17 106. Despite objective, scientific consensus to the contrary, SeaWorld goes to
18 great lengths to misinform the public that its whales live happy, well-adjusted, long
19 lives just like they do in the wild (if not happier, better adjusted, and longer). As
20 depicted in the *Blackfish* documentary, SeaWorld falsely tells the public that wild
21 killer whales live "to be about 35, mid-thirties," and "tend to live a lot longer" as
22 captive orcas in SeaWorld. The truth is the reverse.

23 107. In reaction to criticism from orca scientists, SeaWorld published
24 misleading statements about the lifespan of orcas including the following:
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HOW LONG DO KILLER WHALES LIVE?

KILLER WHALE LIFESPAN IS OFTEN MISREPRESENTED. THE OBSTACLES ARE:

- **Only a small percentage** of the world's wild killer whales have been studied long enough to produce statistically valid research.
- **Killer whales** live all over the world in distinct populations—some very different from each other—and we do not have enough adequate science to know if different ecotypes have different lifespans.
- **Additionally**, when attempting to compare the lifespan of wild populations vs. those that live in zoos, data is limited. Because of the relatively small number of killer whales in our care, direct comparisons can be difficult.

WHAT WE DO KNOW:

The data we do have show that killer whales at SeaWorld are living as long as their counterparts in the wild.

In peer-reviewed studies, scientists estimate that the average, or mean, life expectancy for a female is 30 years and a male is 19 years in the Pacific Northwest¹. For whales in southeastern Alaska, the maximum longevity appears to be in the 50s for females and late 30s for males². So, in those two areas of the world, female killer whales live around 30 to 50 years and males live around 19 to 30 years.

SeaWorld has several killer whales in their 30s and one that is close to 50.

108. The truth is the following: Orcas in the wild have a mean life expectancy of 50 years for females and 30 years for males—the estimated maximum life span is 60 to 70 years for males and 80 to more than 90 for females. At least one orca in the Pacific Northwest is believed to be about 100 years old. In captivity, most orcas die in their teens or 20s and only a handful have reached 35. The annual mortality rate for captive orcas is 2.5 times higher than that of orcas in the wild. SeaWorld knows all of this research and deliberately misrepresents it.

109. Among captive whales, only two females currently living have passed the age of 40; neither has yet achieved the mean life expectancy of 50. This is after five decades of maintaining the species in captivity and out of dozens of orcas held for display. Only four living females are currently in their 30s, and of the females who have died, only one or two were in their 30s at the time of their deaths (as exact ages

at capture were not known, the exact ages of wild-caught captive whales cannot be determined).

110. To date, no captive males have lived longer than 40 years (the oldest, current living, is in his late 30s), and less than a handful have reached 30. Only two males at SeaWorld have lived past the mean life expectancy. The vast majority of captive orcas of either sex die before their early 20s, many still in their early teens.

111. The longevity of orcas in captivity has been a sensitive subject for SeaWorld. Admitting the obvious disparity between longevity in and out of captivity would concern the public and damage SeaWorld. After a 12-year-old whale, Sumar, died suddenly, a SeaWorld spokesman claimed that captive orcas “routinely live into their thirties or forties.” The following table is reproduced from David Kirby’s *Death at SeaWorld*; this death table has been carefully concealed by SeaWorld:

Orca SeaWorld Death Table

Shamu (F), <i>lived 6 years</i>	Winnie (F), <i>lived 24.5 years</i>
Ramu (M), <i>lived 15 years</i>	Kotar (M), <i>lived 16.5 years</i>
Kilroy (M), <i>lived 11.5 years</i>	Shawn (F), <i>lived 1 year</i>
Kandu (F), <i>lived 4 years</i>	Kahana (F), <i>lived 12.5 years</i>
Orky 2 (M), <i>lived 20 years</i>	Nootka 4 (F), <i>lived 12 years</i>
Nootka (F), <i>lived 20 years</i>	Haida 2 (F), <i>lived 19 years</i>
Winston (M), <i>lived 15.5 years</i>	Samoa (F), <i>lived 8.5 years</i>
Kandu 3 (F), <i>lived 4 years</i>	Bjossa (F), <i>lived 21 years</i>
Sandy (F), <i>lived 4.5 years</i>	Katerina (F), <i>lived 10.5 years</i>
Kona (F), <i>lived 6 years</i>	Splash (M), <i>lived 15.5 years</i>
Canuck (M), <i>lived 2.5 years</i>	Taku (M), <i>lived 14 years</i>
Frankie (M), <i>lived 5 months</i>	Nyar (F), <i>lived 2 years</i>
Kanduke (M), <i>lived 15 years</i>	Baby, <i>lived 38 days (Haida 2)</i>
Kenau (F), <i>lived 15 years.</i>	Halyn (F), <i>lived 2.5 years</i>
Gudrun (F), <i>lived 19.5 years</i>	Taima (F), <i>lived 21 years</i>
Canuck 2 (M), <i>lived 4 years</i>	Baby Sharou 2, <i>lived 11 days</i>
Kona 2 (F), <i>lived 10 years</i>	Sumar (M), <i>lived 12 years</i>
Kandu 5 (F), <i>lived 12 years</i>	

1 **b. SeaWorld orcas' collapsed dorsal fins are not normal or**
2 **healthy.**

3 112. In captivity, all adult male orcas have collapsed dorsal fins as adults. In
4 nature only 1-5% of males have such fins. The most likely cause of the unnatural
5 prevalence of collapsed fins in captivity is the excessive amount of time the whales
6 spend at the surface, where heat can soften the tissue and gravity can pull over this tall
7 appendage as it grows.

8 113. SeaWorld has long worried the public would learn the truth of this matter
9 (as it is harder to hide from public view than the other signs of captivity), and
10 therefore continues to mislead the public and deny the plain truth that SeaWorld's
11 conditions of captivity cause collapsed dorsal fins. In nature, the fins are supported by
12 water pressure as the whales spend 95% of their time submerged, pushing through
13 miles and miles of water, which supports the fin as it grows. In captivity, the fins are
14 above water for extended periods of time and exposed to heat and gravity.

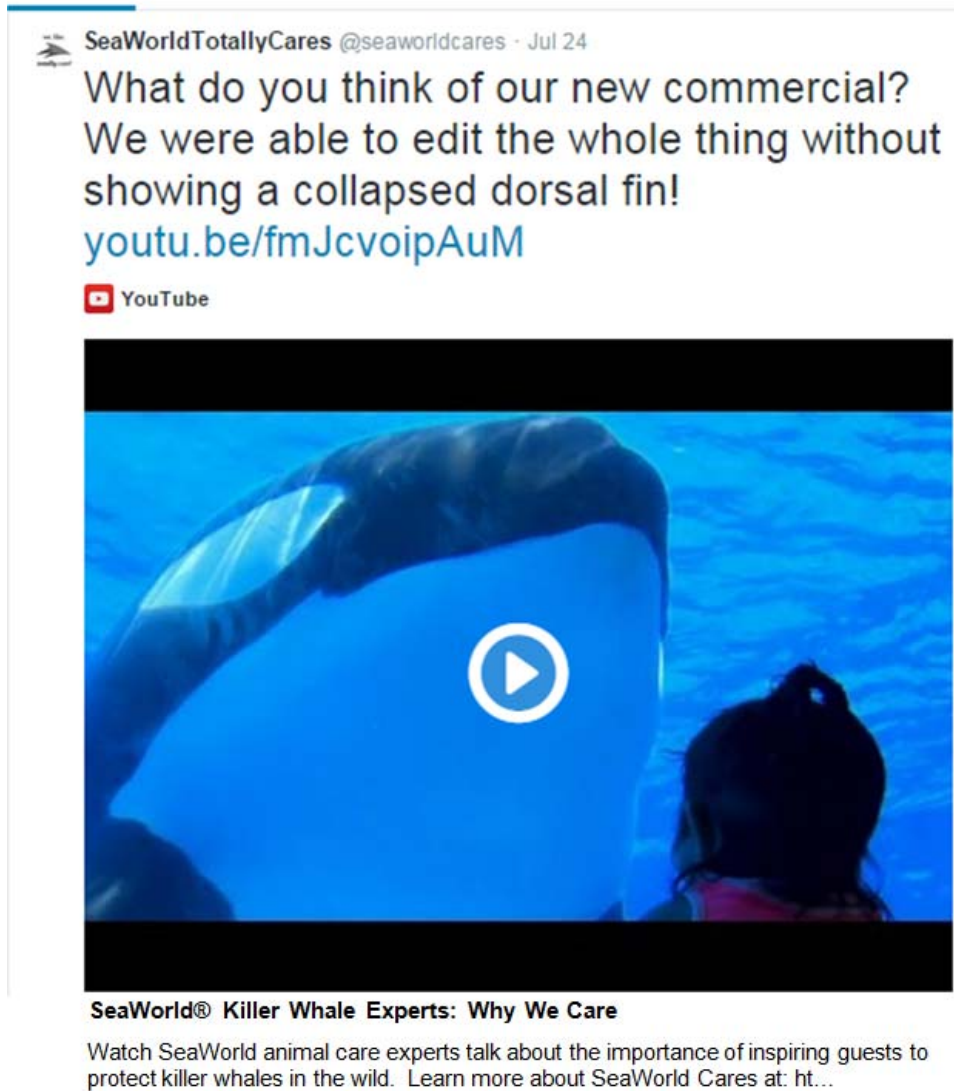
15 114. Contrary to these elemental truths of observable fact known to all
16 reputable marine scientists, SeaWorld claims that this condition is in no manner a
17 product of captivity and in fact common and natural for all orcas. This is despite the
18 fact that collapsed dorsal fins are rarely seen in the wild.

19 115. Below is a typical picture of a male orca at SeaWorld with a collapsed
20 dorsal fin:
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116. A reasonable consumer viewing this orca would have a far different experience if he or she knew the truth—that this collapsed fin is a result of the orca's conditions of captivity at SeaWorld.

117. Most telling is a post made public by a former SeaWorld employee, disclosing to the public how SeaWorld was able to avoid showing the collapsed fin in a commercial, pointing to the obvious importance of the issue for SeaWorld's public relations: (www.youtube.com/watch?v=fmJcvoipAuM):



19 118. For this reason, SeaWorld peddles in misinformation, routinely distorting
20 and manipulating the work of New Zealand marine mammal scientist Dr. Ingrid Visser
21 to suggest that 23% of wild orcas have collapsed fins.

22 119. Dr. Visser has repeatedly instructed SeaWorld to forgo misrepresenting
23 her work, and notes that only 1-5% of wild orcas are observed with this condition. The
24 fins of the orcas she studies are wavy, partially collapsed, or oddly shaped due to a
25 genetic mutation in a single family (the figure 23% represents only 7 animals). None
26 are completely collapsed. Dr. Visser has repeatedly asked SeaWorld's Director of
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1 Research to correct SeaWorld's deliberate misinformation campaign. It has to date not
2 done so.

3 **c. Captive orcas' teeth are ground down and damaged.**

4 120. In reaction to their forced confinement at SeaWorld, and kept hidden by
5 SeaWorld from the public, the orcas routinely rip the paint off the walls of their pools
6 by using their teeth. This is like a prisoner using a spoon to grind slowly through the
7 prison wall, but with teeth instead of a metal instrument. The orcas also obsessively
8 grind their teeth along the ledges, floors, gates, and pool stages.

9 121. To those in the viewing public who witness the behavior, it looks as if the
10 orcas are nibbling on the wall or the floor of the pool. Instead, these behaviors are
11 better understood in human terms as compulsive reactions to confinement, repetition,
12 boredom, frustration, ennui, fear, anxiety, and alienation. The orcas occupy
13 themselves, stimulating their enormous jaws and great intelligences, with obsessively
14 meticulous work and repetitive behaviors (also known as stereotypes).

15 122. One whale, Unna, in SeaWorld San Antonio, went at the wall paint with
16 such frightening vigor that she bloodied and bruised her jaw. So much paint was
17 stripped from the floor of the pool that the familiar geography of the pool was literally
18 transformed by her peeling. This creates significant hazards for both the orcas and
19 trainers.

20 123. Captive orcas routinely show damaged dentition, primarily broken and
21 worn teeth with the pulp exposed. This is in contrast to wild orcas where most
22 populations show little or no tooth wear, and those that do specialize in prey (such as
23 sharks) or feeding methods (such as suction-feeding, where fish are vacuumed into the
24 mouth rather than grabbed from the water column) that offer a clear mechanism for
25 wearing the teeth. The teeth of captive orcas do not touch their food—dead fish are
26 dropped directly down the whales' gullets. Therefore, the mechanism for any tooth
27
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1 wear or injury is radically different in the wild than in captivity. Indeed, broken teeth
2 in wild orcas are rare.

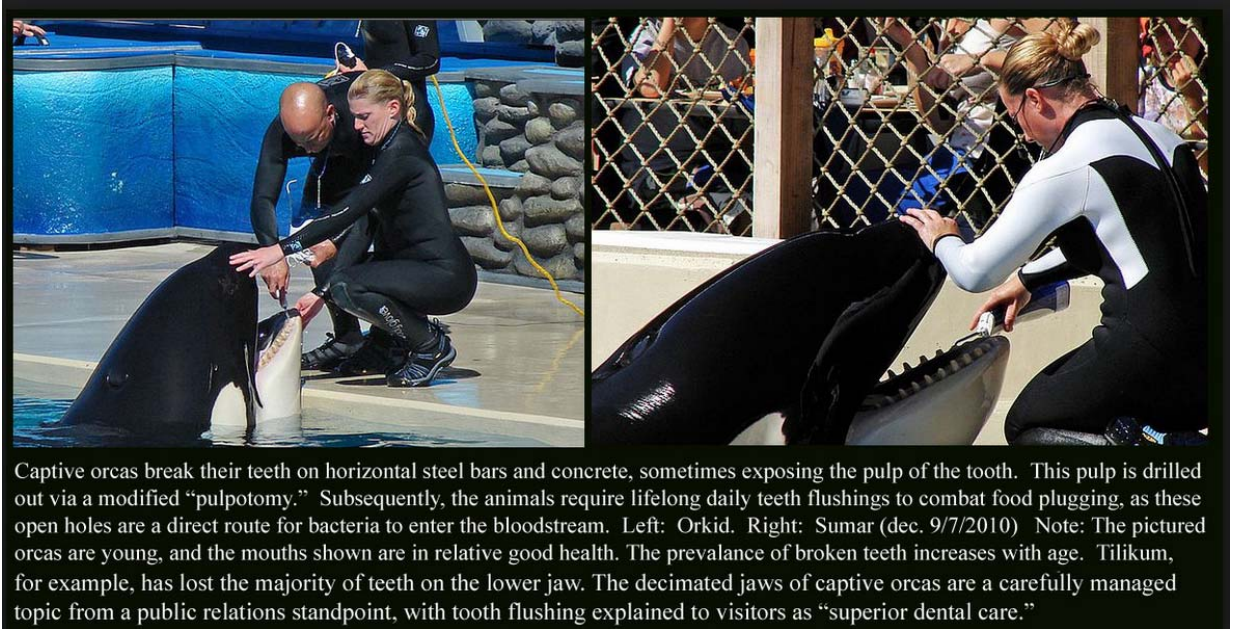
3 124. In captivity, the abrasion and breakage comes not from prey or feeding
4 methods, but from gnawing on concrete walls or steel gates that separate the various
5 sections of an enclosure complex (there are usually at least two enclosures—a primary
6 and a medical—and in larger complexes there can be as many as seven enclosures, all
7 separated by metal gates), often in shows of aggression to animals in neighboring
8 enclosures or due to other frustrations.

9 125. Images online depict captive orcas in the open-mouth position, typical of
10 an orca soliciting fish, showing many broken or worn teeth. Tooth breakage invariably
11 leaves the pulp exposed. Once the orca wears down its teeth far enough, a pinhole
12 forms that will ultimately become blocked and require drilling.

13 126. In captive orcas, food plugs in the exposed cavity can serve as direct
14 routes for infection to enter the body. According to former trainers, when a tooth
15 breaks, a variable speed drill is used to drill holes directly through the pulp, in a
16 modified pulpotomy. Trainers also use manual drills with no anesthetic provided to the
17 orcas.

18 127. Judging from behavioral reactions, this is painful for the whale. Once the
19 drilling is complete, the tooth is not sealed or capped and therefore trainers must
20 irrigate (flush) the bored-out tooth two to three times each day for the rest of the orca's
21 life, to prevent abscess, bacteremia, and sepsis.

22 128. The following is a photo of such cleaning:
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129. Poor dental health is a known cause of many veterinary/medical conditions, including heart disease and pneumonia. In the case of captive orcas, these open holes represent a direct route for pathogens to enter the blood stream where they can then be deposited into the tissue of various organs throughout the body, such as the heart or kidney.

130. Additionally, and though not observed in nature, SeaWorld’s confined orcas routinely regurgitate their food. This, as in humans, creates significant health risk for these animals. It can lead to further organ damage through the body, weight issues and further destruction of healthy teeth. SeaWorld has long known of this, but accepts that abnormal (even desperate) orca behavior among those in captivity is the price paid for this form of human entertainment and company profit.

d. SeaWorld’s orcas are injured at SeaWorld.

131. Due to what can only be described as the product of unnatural confinement, boredom, anxiety, stress, fear, disorientation, and the overall poor conditions and treatment described above, including routine violent orca attacks on

1 one another as evidenced by the persistent and unnatural rake marks adorning
2 SeaWorld's orcas, its captive orcas are injured and damaged in a variety of ways.

3 **4. SeaWorld "science" and forced breeding**

4 **a. SeaWorld is not a scientific orca research institution.**

5 132. SeaWorld informs the public that it functions like a research institution,
6 "contributing to the body of knowledge" scientists develop in order to better
7 understand and protect these whales. Just last month, on February 5, 2015, captive
8 display industry representatives testified in opposition to a proposed ban on captive
9 cetaceans before the Washington State Legislature, falsely testifying that the
10 "research" it performs on its "collection" of captured and captive cetaceans is
11 necessary for cetacean conservation.

12 133. In fact, SeaWorld has challenged the consensus of *actual* whale experts at
13 nearly every turn—this is true with respect to nearly every scientific fact about the
14 orca species.

15 134. SeaWorld falsely states that the scientific talents of its corporate experts
16 translate to the "conservation of wild populations;" when asked for data to support this
17 tragically ironic claim, SeaWorld has failed to provide it to the actual scientific
18 community that studies these whales.

19 135. SeaWorld goes further in its audacity by misleadingly claiming to have
20 the "most genetically diverse killer whale" collection in its history. In plain fact, this is
21 only true in that SeaWorld has forcibly bred and inbred orcas of varying ecotypes that
22 would never interbreed in the wild; indeed, a case of extreme incest (a son mating with
23 his mother to produce a daughter/sister) has occurred at SeaWorld. The creation of
24 these orcas serves no conservation function and leaves these orcas—a species
25 markedly social by nature—with no social identity whatsoever.
26
27
28

1 a. **SeaWorld impregnates young female orcas with sperm from**
2 **relatives and different ecotypes.**

3 136. In July 2013, the very month that *Blackfish* first focused national
4 attention on SeaWorld's treatment of captive orcas, SeaWorld artificially inseminated
5 Kalia, an eight-year-old orca. At that young age, an orca is not fully developed and is
6 far short of the 12-14 years of age at which orcas naturally first conceive in the wild.
7 Only after a park guest with a high-powered lens filmed the ultrasound procedure
8 performed on the whale was SeaWorld forced to publicly acknowledge it.

9 137. This is not surprising given SeaWorld's history of forcibly breeding
10 young whales. Kalina, the original "Baby Shamu" (the first orca calf successfully born
11 in captivity), was bred at only age six (possibly earlier). Her calf named Keet was
12 taken from her when he was less than two-years-old despite a lack of any medical
13 necessity. They were never reunited, and Kalina died at the age of 25.

14 138. Kohana, another captive orca taken from her mother at age three, was
15 bred by the age of only seven and pregnant with her second calf by the age of eight.
16 She rejected both calves and the second died within its first year of life. The father of
17 both was her uncle, Keto. Keto killed Spanish trainer Alexis Martinez in December
18 2009—both he and Kohana, although living in a Spanish facility, are owned by
19 SeaWorld.

20 139. Another captive SeaWorld orca, Katina, who was caught in the wild, was
21 forced to breed when she was only eight years old. Now she is used as a virtual
22 breeding machine and has produced several calves since 1985. She even produced an
23 inbred daughter with her own son, Taku, a pairing SeaWorld termed a "mistake," but
24 one that proper husbandry management would have and should have prevented.

25 140. Further, several of the calves born within the confines of SeaWorld are
26 the children of Tilikum, the whale responsible for three human deaths in his 32 years
27 in captivity. Keto is responsible for the fourth human killing attributed to captive orcas
28

1 and has sired two calves. In any other captive breeding situation, a known human
2 killer (whether it be a dog or a bull or a tiger or an elephant) would not be bred at all.
3 It is worth noting that there are no historical records of wild orcas killing human
4 beings.

5 141. In addition to forced breeding of orcas that are socially immature,
6 SeaWorld also breeds orcas from distinct populations and ecotypes; these pairings
7 would never occur in nature and the resultant offspring are unnatural hybrids.

8 142. Also, and unknown in nature, is a high degree of inbreeding at SeaWorld.
9 SeaWorld disregards the science on this as it does so much else in order to sustain and
10 grow its orca franchise. SeaWorld routinely mates half-siblings, uncles and nieces,
11 aunts and nephews, and cousins. SeaWorld is aware that the actual results of its
12 breeding program have at times interfered with its business planning, and the offspring
13 of forced breeding have been rejected by their mothers and in some instances die early
14 deaths as a result.

15 143. As Dr. Deborah Giles, a biogeographer at University of California, Davis,
16 who spent nearly a decade of summers observing orcas in nature, has stated, these
17 SeaWorld orcas “were interbred and produced hybrids with no conservation value and
18 with no natural identity.”

19 **b. Trainers masturbate the orcas for profitable sperm.**

20 144. In nature, orcas choose their own mates. But at SeaWorld, orcas are
21 forced to breed on a regular basis. Male orcas are trained to float on their backs, and
22 their trainers masturbate them to collect their sperm.

23 145. This process carries such risk, and is such an unnatural and foreign
24 procedure forced upon these animals, that SeaWorld trainers involved in the process
25 are routinely not permitted to swim with the whale from whom the specimen was
26 collected for fear that the association with the process might trigger potentially fatal
27 water work aggression or sexual behavior.
28

c. SeaWorld drugs its captive orcas.

146. Unlike orcas in the wild, the conditions and treatment of SeaWorld's orcas leads SeaWorld to administer a variety of powerful drugs to them, sometimes for their entire lives. It goes without saying that orcas in the wild have lived for millions of years without these medical interventions—they are necessary solely because of their captivity at SeaWorld and SeaWorld's interest in controlling and profiting from them.

147. Among the drugs forced upon its captive orcas are antacid products, including Tagamet, used to treat ulcers which are themselves the product of stress and behavior associated with captivity.

148. Antibiotics, including Clindamycin, are also commonly given to SeaWorld's captive orcas. These drugs treat a variety of infectious maladies caused by their conditions of confinement, including infections of the teeth and infections caused by injuries to them by other orcas with whom they are crammed into pools unnaturally. Often these drugs are administered through their food along with vitamin supplements needed because fish lose nutritional value when frozen.

149. Strong contraceptive products such as Regu-Mate are also forced upon SeaWorld's captive orcas. These drugs are themselves so dangerous that female trainers are not even allowed to administer them.

150. Perhaps most telling, SeaWorld's captive orcas are also subject to drugging by SeaWorld personnel with antipsychotic and psychoactive drugs, including benzodiazepines such as Diazepam (generic Valium) which are given, among other reasons, to calm the captive orcas which react against their conditions of confinement. These drugs are dangerous for the orcas and would never be consumed in nature—but in confinement they are additional tools SeaWorld uses to dominate its captive orcas and keep from public view the reactions orcas have to confinement.

1 **5. Established dangers that SeaWorld publicly denies**

2 **a. Risks faced by trainers**

3 151. There are no historical records of orcas killing or seriously injuring
4 human beings in the wild. The name “killer whale” arose not because orcas were
5 known to kill people but because they were known to kill other whales.

6 152. In captivity, the close confines of a tank make escape difficult to
7 impossible during aggressive interactions, and hyper-aggressive orca behavior is now
8 well-established. Despite a voluminous record of captive whale aggression at
9 SeaWorld that SeaWorld has worked mightily to keep from public view, SeaWorld
10 continues to falsely maintain in public that its captive whales never act with aggressive
11 intent (though it acknowledges the actual truth in private). SeaWorld maintains that
12 any unwanted whale behavior is the fault of the individual trainer.

13 153. On February 24, 2010, experienced SeaWorld trainer Dawn Brancheau
14 was killed by Tilikum (the orca taken as a young calf from his family and home in the
15 wild). In the wake of her death and immediate OSHA investigation, SeaWorld spread
16 false information through proxies implying the deceased was to blame for her own
17 death—this to protect its profit.

18 154. Emerging from a private meeting with SeaWorld’s President and other
19 corporate leadership immediately following Brancheau’s death, the Orange County
20 Sheriff’s Office reported (based on false information provided to it by SeaWorld) that
21 she had slipped and fallen into a pool. Those executives stood behind the officer as he
22 informed the media of this—formally blessing the misinformation campaign.

23 155. Only after park witnesses began to confirm the true circumstances of her
24 abduction and death was SeaWorld forced to rethink its misinformation campaign; so
25 it then falsely stated that the trainer’s pony tail in the water caused the “accident.”

26 156. Just a few months prior, orca trainer Alexis Martinez was killed by the
27 orca Keto during a training session at the Loro Parque marine park in Tenerife, Canary
28

1 Islands, Spain. Each of the orcas at the facility were and are owned by SeaWorld and
2 were trained and bred by SeaWorld, which also trained and established the protocols
3 for Loro Parque and its employees. A SeaWorld representative rushed to the scene
4 after the young trainer's death.

5 157. SeaWorld similarly responded to the death of Alexis Martinez with a
6 "protect-the-business-and-control-the-message-at-all-costs" mentality. Again,
7 SeaWorld management delayed reporting on the actual circumstances of his death for
8 as long as possible. Indeed, there was no English news coverage of his death at all and
9 only one small Spanish-language news item published.

10 158. Even after that, SeaWorld first offered the expected explanation that
11 trainer error played a role and, contrary to the Spanish autopsy performed, that panic
12 and drowning explained what happened there. The autopsy pointed to "compression
13 and crushing of the thoracic abdomen with injuries to the vital organs." In short, Keto
14 slammed Martinez into the pool wall and crushed him; it was not a simple drowning.

15 159. Dozens of documented examples of aggression toward trainers were
16 unearthed during the OSHA investigation following Dawn Brancheau's death, and
17 were then made part of the public record over SeaWorld's strong objection. In truth,
18 several SeaWorld trainers have been variously hit and knocked unconscious, suffered
19 broken limbs and ribs, and been grabbed and pulled into the water at great peril. Many
20 have survived at all only by mere fortune and, but for their excellent physical
21 conditioning, would have perished.

22 160. This evidence, commented on by the administrative court handling the
23 OSHA investigation in its July 2012 ruling, painted a picture of the SeaWorld-
24 orchestrated campaign to challenge the veracity of any statement supporting whale
25 aggression, to frequently refuse to document incidents that should be reported, and to
26 mislead the public about the nature and extent of captive whale attacks.

1 161. As the administrative court’s opinion reads: “SeaWorld insists it did not
2 recognize the hazard posed by working in close contact with killer whales. The court
3 finds this implausible and no reasonable person could conclude that.”

4 162. As the OSHA testimony and evidence summarized in the court’s findings
5 makes plain, the fact that SeaWorld trainers and executives monitor orca behavior so
6 carefully for aggression, documenting aggressive episodes several times over the past
7 decades all while falsely stating publicly (and even during the OSHA proceedings)
8 that orcas do not *ever* act aggressively, shows that the company has long known its
9 conditions of confinement cause unnatural aggression.

10 163. SeaWorld has done its best to keep these incidents from being reported.
11 As the court noted in its ruling: “SeaWorld failed to document several known events
12 of undesirable behavior by killer whales when working with trainers.”

13 164. Among other things, the court noted the overwhelming evidence that
14 SeaWorld directed all incident reports to automatically indicate that trainer error
15 played a role in any “incident” so that no documented case of “unprovoked” orca
16 aggression would be established.

17 165. Judge Judith Rogers of the Court of Appeals for the D.C. Circuit, writing
18 in affirmation of Judge Welsch’s OSHA opinion and order, found that SeaWorld
19 “acted irresponsibly” and “violated its duties as an employer.”

20 166. This known fact of captive orca aggression, a fact SeaWorld utterly
21 refuses to acknowledge to this day, is kept from public view not for fear that the
22 whales would fall into public disfavor; rather, this deception is maintained by
23 SeaWorld for fear that the public would come to conclude (as scientists have) that
24 these aggressions are a *product* of SeaWorld’s treatment of them.

25 **b. Aberrant orca behavior is caused by confinement.**

26 167. The only recorded fatal attack by one orca on another has occurred in
27 captivity. Incompatibility among SeaWorld’s captive orcas is frequent, with certain
28

1 orcas using unnatural physical aggression toward others, resulting in lacerations and
2 worse. In the wild, aggression has been only rarely observed; where it was, serious
3 injuries did not result. If tension erupts in the wild, the ocean provides ample room for
4 orcas to maneuver.

5 168. The obvious physical difference between the two “habitats” is that a
6 subordinate animal cannot escape and has no choice regarding his or her companions
7 in captivity. In the wild, a subordinate animal can flee in three dimensions from an
8 aggressor and can actively avoid animals with whom he or she is incompatible.

9 169. The obvious social difference is that captive orca groups are wholly
10 artificial, made up of unrelated animals who often do not get along. This leads to
11 serious injury for many orcas at SeaWorld, which SeaWorld also keeps from the
12 public. Raking, whereby an orca uses teeth to scratch or cut deeply into another’s skin,
13 occurs frequently at SeaWorld and with unnaturally high energy levels. Raking does
14 occur in the wild, but with much lower energy levels.

15 170. The aberrant behavior seen in captive orcas reflects the abnormal social
16 and psychological development of animals raised in or born into artificial social
17 groups and “habitats.” This conclusion is further supported by the history of
18 aggressive interactions between orcas and people in captivity.

19 171. In addition to aggression, a host of other captive orca behaviors never
20 seen in the wild are manifest behind-the-scenes at SeaWorld. Orcas will rub their faces
21 against pool walls incessantly or even smash their heads against the pool walls. What
22 we would describe as neurotic or compulsive behaviors are also routinely witnessed
23 by trainers and others at SeaWorld.

24 **F. The Documentary *Blackfish* Begins to Pull Back the Curtain on SeaWorld**

25 172. On January 19, 2013, Gabriela Cowperthwaite’s documentary, *Blackfish*,
26 premiered at the Sundance Film Festival to glowing reviews and sold-out screenings.
27 On January 22, 2013, CNN Films and Magnolia Pictures acquired the rights to
28

1 *Blackfish*. CNN's October 24, 2013 broadcast of *Blackfish* was the most widely
2 watched program of the year for the network.

3 173. *Blackfish* follows the 32-year tumultuous history of Tilikum, an orca
4 living at SeaWorld since 1992, who has been involved in the death of three humans.
5 *Blackfish* is comprised of interviews with former SeaWorld trainers, SeaWorld
6 spectators, and other experts including OSHA's expert witness, an orca field
7 researcher.

8 174. The film carefully describes much of the misleading conduct SeaWorld
9 engaged in during and surrounding the OSHA investigation. That investigation
10 resulted in a citation and the imposition of (nominal) fines against SeaWorld—
11 decisions that were affirmed on appeal by the D.C. Circuit and are now dispositive.
12 Most disappointing for SeaWorld, the investigation resulted in the still-current
13 complete ban on trainer performance in the water with orcas.

14 175. *Blackfish* reveals to those who have viewed it, among other things, that
15 conditions of confinement at SeaWorld are unnatural and unhealthy for its orcas, and
16 that SeaWorld has mistreated its orca population causing physical harm and mental
17 distress to its orca population. It also reveals how orca confinement compromises orca
18 trainer safety and orca safety, and how SeaWorld continued to feature an orca that had
19 killed several people as a result of frustration stemming from his capture and captivity.

20 **G. The "Materiality" of SeaWorld's Treatment of Orcas is Confirmed by Polls**
21 **and the Public Drop in Attendance Following *Blackfish* and the Growing**
Controversy

22 176. In order to gauge public sentiment on *Blackfish*, various media groups
23 and publications conducted surveys to poll the public regarding the controversy. CNN,
24 for example, ran a poll on October 25, 2013 asking viewers "[w]ould you take your
25 kids to SeaWorld" in light of the information revealed by *Blackfish*. Of approximately
26 3,000 responses, 86% stated "No."

1 177. Tellingly, SeaWorld was caught red-handed attempting to manipulate the
2 results of a similar poll conducted by the *Orlando Sentinel* in January 2014. Shortly
3 after the poll began, it was revealed by the news group that more than 50% of the
4 responses were from an IP address owned by SeaWorld. Ultimately, once this tactic
5 was uncovered and the poll was cleansed, two-thirds of voters responding to that poll
6 —which asked whether “CNN’s ‘Blackfish’ [sic] documentary changes your
7 perception of SeaWorld”—answered in the affirmative.

8 178. Similarly, *Blackfish* prompted schools to either cancel long-standing
9 annual field trips to SeaWorld’s parks or publicly swear off attending the parks until
10 SeaWorld changed its policies. For example, just weeks after *Blackfish* aired on CNN,
11 in November 2013, San Diego’s Point Loma High School produced a striking video
12 response to the film, vowing never to return to the parks until the whales and animals
13 were retired from show business. The video entitled “Dear SeaWorld,” received
14 nationwide attention, was aired on CNN and reported on by numerous publications.

15 179. As reported by CNN in December 2013, Point Dume Marine Science
16 Elementary School in Malibu, California—prompted by the objections of a 10-year
17 old female student—canceled its long-standing trip to SeaWorld over concerns about
18 the treatment of whales revealed for the first time in *Blackfish*. These cancellations
19 and others indicated that children and teens (the individuals who drive parents and
20 families to travel to the parks) were no longer supporting SeaWorld.

21 **H. Popular Musicians Cancel SeaWorld Appearances and Association**

22 180. For years SeaWorld hosted “Bands, Brew & BBQ,” a live concert series
23 at SeaWorld Orlando and Busch Gardens in Tampa during February and March,
24 featuring top classic rock and country bands and artists, BBQ from Central Florida’s
25 top local smokehouses, and other festivities. The concerts were included with regular
26 admission to the parks.

1 181. “Bands, Brew and BBQ” is part of the Company’s stated business
2 strategy to “increase non-peak demand through seasonal and special events and
3 concerts”—i.e., to drive off-season attendance in prime markets. SeaWorld Orlando
4 and Busch Gardens in Tampa depend on the annual “Bands, Brew and BBQ” concert
5 series to increase park attendance and overall revenue during the typically slow mid-
6 winter months.

7 182. In late 2013, as the *Blackfish* controversy continued ablaze, nearly every
8 act slated to perform at “Bands Brew and BBQ” received a petition through
9 Change.Org (along with significant pressures from other social media platforms)
10 imploring the band or artist to cancel its performance. Change.org is the world’s
11 largest online petition platform and seeks to empower individuals to create change by
12 offering them the ability to start a campaign and mobilize supporters.

13 183. These particular petitions were successful, prompting nearly every artist
14 scheduled to perform at the series in February and March 2014 to withdraw.
15 Beginning in November 2013 and through mid-January 2014, the following artists,
16 among others, canceled their performances: (i) The Barenaked Ladies; (ii) Willie
17 Nelson; (iii) Cheap Trick; (iv) Heart; (v) Martina McBride; (vi) 38 Special; (vii)
18 Trisha Yearwood; (viii) REO Speedwagon; (ix) Pat Benatar; and (x) Beach Boys.

19 184. For example, the pop band The Barenaked Ladies (the first to withdraw)
20 received a petition signed by 11,782 supporters, prompting the group to alert the
21 public on their Facebook page: “This is a complicated issue, and we don’t claim to
22 understand all of it, but we don’t feel comfortable proceeding with the gig at this
23 time.” Activists successfully directed similar online petitions at other performers
24 including Willie Nelson, Trisha Yearwood, and Cheap Trick.

25 185. In nearly every instance, the artist specifically cited the controversy
26 surrounding *Blackfish* as the basis for the cancellation. In explaining his decision to
27 cancel, Willie Nelson condemned SeaWorld’s practices, stating, “I don’t agree with
28

1 the way they treat their animals, [...] it wasn't that hard a deal for me." Likewise,
2 sisters Nancy and Ann Wilson of Heart acknowledged their decision to cancel at
3 SeaWorld was "due to the controversial documentary film."

4 186. These cancellations attracted international attention, as news sources
5 reported on each successive cancellation over the seven-week-period beginning
6 November 27, 2013 with The Barenaked Ladies' announcement and continuing
7 through January 15, 2014 with the Beach Boys' announcement that they would not
8 perform at SeaWorld.

9 187. A December 11, 2013 *Orlando Sentinel* article entitled, "Will SeaWorld
10 face long-term 'Blackfish' backlash?" noted that, at a minimum, withdrawals from the
11 concert series "threatened to sabotage SeaWorld's 'Bands, Brew and BBQ' program,"
12 which the park depended on "to drive traffic during the typically slow midwinter
13 months." Potentially much more damaging, the article noted that the cancellations
14 might help "sustain *Blackfish* in the public consciousness, raising the risk that the film
15 and its criticisms could do lasting damage to SeaWorld's brand."

16 188. Dethroned SeaWorld CEO Jim Atchison admitted in a December 20,
17 2013 interview with the *Orlando Sentinel* that the cancellations "ended up getting
18 more coverage and became a story of [their] own." Atchison further explained that the
19 Company decided to publish a December 2013 full-page open letter on social media
20 and within major newspapers in order to refute what he described as "misconceptions
21 that were floating around related to that coverage."

22 189. SeaWorld's ad, entitled "Open Letter from SeaWorld's Animal
23 Advocates" was widely viewed as evidence that the Company was "concerned about
24 potential long-term brand damage from *Blackfish*," as reported by the *Sentinel*, and
25 was, according to CNN and the *Orlando Business Journal*, concerned about both
26 *Blackfish*'s "impact on a very key part of their audience" and "the attention generated
27 by *Blackfish* and the accompanying musical guest cancellations."
28

1 **I. In the Wake of *Blackfish*, Long-Standing SeaWorld Sponsors and Strategic**
2 **Partners Jump Ship**

3 190. Amidst the growing negative publicity directed at SeaWorld throughout
4 the Class Period, pressure from activists to cut ties with SeaWorld, and extensive
5 media coverage of this pressure, many SeaWorld corporate partners terminated their
6 relationships with SeaWorld.

7 191. For example, in October 2013, a Change.org petition urging Southwest
8 Airlines to end its relationship with SeaWorld was launched. By January 2014, the
9 petition had garnered 27,000 signatures. In a widely-reported story, dozens of
10 protesters delivered the petition to Southwest's headquarters in Dallas on January 9,
11 2014, prompting the airline to publicly respond. According to reports, Southwest
12 contacted SeaWorld and inquired about *Blackfish* in response to a slew of negative
13 Facebook and Twitter messages Southwest was receiving due to its association with
14 SeaWorld. Southwest did not cut ties with SeaWorld immediately (though it
15 eventually did in the summer of 2014), but acknowledged the *Blackfish* controversy,
16 stating: "We are engaged with SeaWorld related to the recent concerns being raised.
17 We are in a listening and education mode."

18 192. In November 2013, petitions implored Macy's to ban SeaWorld from
19 participating in the annual Macy's Thanksgiving Parade later that month. According to
20 the *Huffington Post*, Macy's also received more than 80,000 emails to this end, while
21 an online petition seeking a similar ban likewise received more than 80,000 signatures.

22 193. The impact of these petitions, collectively signed by hundreds of
23 thousands of individuals, was amplified by extensive media coverage of them. For
24 example, a January 15, 2014 article on CNBC entitled, "Southwest Air, others,
25 pressured to break ties with SeaWorld" discussed the Change.org petition directed at
26 Southwest and noted that "on Change.org alone, there are more than two dozen
27 'Blackfish'-inspired petitions."
28

1 194. Similarly, a January 13, 2014 National Geographic article reporting on
2 the *Blackfish* effect noted that there were more than twenty-one (21) *Blackfish*-
3 inspired Change.org petitions, including many aimed at ending SeaWorld's
4 relationships with corporate sponsors and partners, among them Southwest Airlines,
5 Toys R Us, and Groupon.

6 195. A citizen petition was also effective in persuading Taco Bell, which had
7 been offering discounts on tickets to SeaWorld, to cut ties with SeaWorld in May
8 2014. Likewise, on May 16, 2014, STA Travel, a company which provides flights,
9 accommodation, tours and expeditions for 2.5 million students and young people,
10 announced that it would stop booking trips to SeaWorld in Orlando and San Diego.

11 196. By this point, association with SeaWorld was perceived as being so toxic
12 that on June 22, 2014, Outdoor Play, a company specializing in outdoor apparel and
13 equipment, declined to fill an order placed by SeaWorld. The CEO of Outdoor Play
14 wrote in a letter to SeaWorld, "Although I would love to take your money, our
15 company does not support the ethics of your business model."

16 197. Likewise, on July 24, 2014, Savings.com, a company that specializes in
17 digital coupons, stopped offering deals on SeaWorld tickets after Savings.com's chief
18 executive officer was contacted by PETA and watched *Blackfish*.

19 198. This trend of companies terminating their relationships with SeaWorld
20 was amplified when Southwest Airlines, after enduring the intense and well-publicized
21 efforts of activists for almost ten (10) months, announced on July 31, 2014 that it
22 would not be renewing its 26-year partnership with SeaWorld. While a press release
23 stated that the break was mutual and based on "shifting priorities," every major news
24 source reporting on the announcement noted that Southwest had been subject to
25 massive pressure in the form of protests and a citizen petition signed by more than
26 32,000 people, urging it to terminate the partnership.

1 200. Following the Southwest Airlines announcement, other important
2 corporate partners followed suit, and ended their relationships with SeaWorld. In
3 October 2014, the *Orlando Sentinel* reported that Virgin America, JetBlue, and Alaska
4 Airlines also had terminated their promotional partnerships with SeaWorld.

5 201. In November 2014, the *Orlando Sentinel* reported that Panama Jack, an
6 Orlando-based sunscreen company, would end its relationship with SeaWorld
7 effective February 2015. Finally, on November 14, 2014, Hyundai Motors America
8 Communications Executive Director Chris Hosford confirmed that Hyundai had
9 “ended its relationship with SeaWorld.”

10 202. Remaining sponsors American Express and British Airways are currently
11 subject to similar pressures through Change.org petitions, signed by 75,000 and
12 265,000 individuals, respectively.

13 203. The fallout for SeaWorld from *Blackfish*-generated controversy is
14 ongoing. On January 15, 2015, the *Orlando Sentinel* reported that the Miami
15 Dolphins, which had previously offered ticketholders free admission to SeaWorld, had
16 ended its marketing partnership with SeaWorld.

17 **J. SeaWorld Continues to Mislead and Conceal the Truth**

18 204. Despite the obvious truths revealed in *Blackfish*, and recent books
19 challenging SeaWorld’s account of its treatment of captive orcas and their life
20 conditions, SeaWorld continues its efforts to convince the public that such challenges
21 to its centerpiece entertainment product are not to be believed.

22 205. Stung by the public reaction to *Blackfish*, and anticipating further
23 criticism, SeaWorld has continued attempting to go on the offensive, recently
24 launching a campaign to continue its saturation of the public with the notion that its
25 treatment of killer whales is humane, scientifically sound, and caring. It does so, not
26 only through its own publications, but also through a front group called Awesome
27 Ocean.

1 205. Awesome Ocean was initially funded, in whole or in part, by SeaWorld.
2 SeaWorld even announced the Awesome Ocean Project in an email, posted here:
3 <https://s3.amazonaws.com/assets.rbl.ms/244843/980x.jpg>

4 206. Despite funding from SeaWorld, the site markets itself as an
5 “independent ocean news website.” The Editor-in-Chief, Eric M. Davis, is neither a
6 conservationist nor a journalist. His LinkedIn profile lists him as an “experienced
7 marketer with extensive SEO,” who has worked for travel sites like TravelClick and
8 Hilton Worldwide. His Facebook page lists him as the owner of Purple Moon Media, a
9 “revenue-focused destination marketing” firm whose clients include SeaWorld. The
10 site has several pieces that purport to “debunk” the myths of *Blackfish*.

11 207. In 2014, SeaWorld became aware that a former trainer, John Hargrove,
12 would have a book called “*Beneath the Surface: Killer Whales, SeaWorld, and the*
13 *Truth Beyond Blackfish*” published in March of 2015. In response, SeaWorld and Eric
14 Davis began publishing, on the web, statements designed to impeach Mr. Hargrove’s
15 book, calling the book a “whale of a tale.”

16 208. Mr. Hargrove worked for several years at SeaWorld and his book is based
17 on his personal observations. Yet Davis, with no hands-on experience or scientific
18 training, not only purported to publish “the truth,” but went so far as to threaten Mr.
19 Hargrove last year about publishing his book:

20 From: Eric Davis ericfl@gmail.com

21 **To:** John Hargrove

22 **Subject:** People are digging

23 Hey John,

24 Just your friendly AwesomeOcean guy Eric here!

25 Just wanted to drop you a line and let you know that some
26 journalist [sic] are digging DEEP into your past. They have
27 some crazy stuff that is ready to drop when your book drops.
28

1 Just emailing you as part of my agreement to give you a
2 heads up. I hope you are well. Stay in-touch.

3 Eric

4 209. SeaWorld itself has directly published continuing content designed to
5 attack all critics, including marine scientists, those associated with *Blackfish*, and now
6 Mr. Hargrove.

7 210. This is part of SeaWorld's continuing effort to mislead the public and
8 challenge disclosure of the true facts regarding the treatment and condition of its
9 captive orcas.

10 211. The misinformation campaign SeaWorld began years ago on its website
11 and in its other marketing materials has not changed; it continues to mislead,
12 misinform, distort, and omit material facts about the condition of its captive orcas.

13 212. Two months ago a current SeaWorld employee, Laura Mathieson, penned
14 an article titled "SeaWorld's Animals Are Happy." That chipper heading, belied by
15 the demonstrable unhappiness experienced by these captive animals, is followed by
16 the rhetorical trifecta so common to SeaWorld: (1) the claim that SeaWorld performs
17 "valuable research" on orcas; (2) the pejorative refrain that "known animal rights
18 activists" are behind any challenge to SeaWorld's business prerogatives and behind
19 any "claim that our killer whales suffer, are stressed or are exploited," claims that are
20 themselves "blatantly false"; and, finally, (3) the empty and misleading mantra that
21 SeaWorld's orcas "have and continue to thrive in our care."
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1 213. Most recently this growing challenge to SeaWorld's prerogatives played
2 out before the Washington State Legislature during testimony on February 5, 2015, in
3 support and in opposition to a bill that would ban holding cetaceans in captivity.
4 Though Washington does not have any captive cetaceans on display and the bill is
5 largely symbolic, SeaWorld fears a domino effect at the state level similar to the
6 recent wave of gay marriage legalizations now nearing 40 states in just a few years.

7 214. In support of the ban, former SeaWorld orca trainer Carol Ray testified to
8 the following hidden truths about SeaWorld and in support of the legislation:

9 I'm here today to share some of the personal experiences I
10 had while working as a marine mammal trainer. ...

11 For example, day after day, watching an adult male orca,
12 Kanduke, who regularly rammed himself as hard as possible
13 into the cement walls, metal gates and glass panels in the
14 show pool. His chin, teeth, and rostrum were so bloody and
15 beat up we were not allowed to bring him out to do shows
because management said, "He just looks too bad for the
public to see."

16 As if it weren't bad enough to watch him injure himself, I
17 recall the heartache of watching him be physically attacked
18 by the other orcas on a regular basis. Kanduke died of a
19 condition that would never occur in the wild: a mosquito-
transmitted encephalitis.

20 I also watched in horror as Kalina, the original baby Shamu,
21 was forcibly removed from the only family she knew to be
22 moved to another park when she was just four years old. I
23 saw the unmistakable mourning and desperation of her
mother Katina, who was left behind.

24 To this day, Katina has had five of her seven offspring taken
25 from her.

26 Animals are inseminated and impregnated often in unnatural
27 intervals and in ages that are way too young. Their teeth are
28 chipped, broken, and unhealthy. I've had handfuls of orca
skin come off in my hands from sunburn.

1 I could go on and on, unfortunately, and these really are only
2 a few glimpses into the real world whales [] live in when
3 they live in captivity. It's heartbreaking...

4 215. Rather than engage or rebut any of these factual assertions, an industry-
5 funded spokesperson from the Alliance of Marine Mammal Parks and Aquariums
6 testified to challenge the legislation.

7 216. The spokesperson, the Alliance's executive director Ms. Kathleen Dezio,
8 provided the same general unsubstantiated rhetoric deployed previously by SeaWorld
9 to maintain the public illusion of cetaceans "in the care of man."

10 217. First, she claimed that SeaWorld and similar parks play an "important
11 role...every day in the public education, scientific research and conservation efforts"
12 helpful to cetaceans, including orcas. Second, she claimed that SeaWorld and similar
13 parks take "exceptional care" of its cetaceans to "ensure their well-being." Third, she
14 claimed that the industry's "successful reproduction programs" provide a benefit in
15 not requiring the capture of wild cetaceans for public amusement. And, lastly, she
16 claimed that those who criticize and seek to prevent the mistreatment of captive
17 cetaceans seek to "vilify people...who work in these institutions," and are (by
18 implication) radical animal rights activists —"Make no mistake about it, this will not
19 stop with cetaceans."

20 218. In addition to the attacks mentioned above, in response to media attention
21 given to the publication of former SeaWorld trainer John Hargrove's just-published
22 book *Beneath the Surface*, SeaWorld (without rebutting a single factual allegation
23 contained in the book) has lazily replied, through its VP of Communications, Fred
24 Jacobs, and otherwise, that the author "joined ranks with animal rights extremists ...
25 and has eagerly embraced their habit of misleading the public to advance an agenda."
26 The SeaWorld funded website AwesomeOcean has now created a separate website
27 dedicated to attacking Mr. Hargrove personally and childishly.
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1 219. On February 26, 2015, the day that SeaWorld announced further declines
2 in revenue and attendance at its park, and as its stock continued its slide, SeaWorld
3 spokesperson Aimee Jeanson-Becka stated, in comments to Bloomberg media
4 responding to factual allegations about orca conditions and treatment: “Despite the
5 false claims from (author) John Hargrove and other extreme animal rights activists, we
6 provide the highest standards of care.” Further, she stated “our whales are healthy and
7 thriving, as evidenced by independent research that shows our whales have a survival
8 rate equal to those in the wild.”

9 220. This most recent public debate on the propriety of the status quo as it
10 concerns captive orcas at SeaWorld demonstrates plainly that SeaWorld’s chief
11 concern is to maintain the public illusion that its treatment of orcas is above reproach
12 and that its whales live happy lives, beneficial to both animal and man. This
13 misleading message and its related material omissions are now known to be
14 demonstrably false.

15 **K. Specific Plaintiff Allegations**

16 221. Plaintiff Roci Bollmann was an annual pass member of SeaWorld
17 Orlando, and a Platinum Annual Pass member, from approximately 1999 until 2013.
18 During that time period, Mr. Bollmann visited SeaWorld Orlando several times.

19 222. Prior to discontinuing his annual pass and membership, Mr. Bollmann
20 began to learn more of the truth concerning the conditions and treatment of
21 SeaWorld’s captive orcas, the true facts of which SeaWorld had variously denied,
22 materially omitted, and misrepresented.

23 223. At the time of, and prior to, Mr. Bollmann’s annual purchase of his
24 membership pass, SeaWorld falsely and misleadingly, and with substantial and
25 material omissions, marketed its SeaWorld entertainment products in Florida and
26 throughout the country, in print media, television commercials, and/or the Internet to
27 Mr. Bollmann and others who relied upon this marketing to their detriment and
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1 deception. SeaWorld failed to properly and fully disclose the true facts about the
2 condition and treatment of its captive orcas, facts material to Mr. Bollmann and the
3 Plaintiff Classes.

4 224. Mr. Bollmann concluded that SeaWorld's improper treatment of its
5 captive orcas, as well as SeaWorld's false and misleading statements and omissions
6 regarding orca conditions and treatment, was unethical, and that had he known of the
7 true facts regarding these conditions and treatment he would not have paid any money
8 for admission or membership to SeaWorld Orlando from 1999-2013.

9 225. Plaintiff Paul Danner purchased admission tickets to SeaWorld Orlando
10 for himself, his wife, his mother, and his two children, on April 23, 2014 and visited
11 SeaWorld Orlando with his family on April 25, 2014 using those tickets.

12 226. Following his family visit to SeaWorld Orlando in April, Mr. Danner
13 began to learn more of the truth concerning the conditions and treatment of
14 SeaWorld's captive orcas, the true facts of which SeaWorld had variously denied,
15 materially omitted, and misrepresented.

16 227. At the time of, and prior to, Mr. Danner's purchase of tickets for himself
17 and his family, SeaWorld falsely and misleadingly, and with substantial and material
18 omissions, marketed its SeaWorld entertainment products in Florida and throughout
19 the country, in print media, television commercials, and/or the Internet to Mr. Danner
20 and others who relied upon this marketing to their detriment and deception. SeaWorld
21 failed to properly and fully disclose the true facts about the condition and treatment of
22 its captive orcas, facts material to Mr. Danner and the Plaintiff Classes.

23 228. Subsequent to his April 2014 visit, Mr. Danner concluded that
24 SeaWorld's improper treatment of its captive orcas, as well as SeaWorld's false and
25 misleading statements and omissions regarding orca conditions and treatment, was
26 unethical, and that had he known of the true facts regarding these conditions and
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1 treatment he would not have paid any money for admission to SeaWorld for himself or
2 his family in 2014.

3 229. Plaintiff Jessica Gaab purchased an admission ticket to SeaWorld San
4 Diego for herself on or about September 2, 2012.

5 230. Following her visit to SeaWorld San Diego that weekend in September
6 2012, Ms. Gaab began to learn more of the truth concerning the conditions and
7 treatment of SeaWorld's captive orcas, the true facts of which SeaWorld had variously
8 denied, materially omitted, and misrepresented.

9 231. At the time of, and prior to, Ms. Gaab's purchase of her ticket, SeaWorld
10 falsely and misleadingly, and with substantial and material omissions, marketed its
11 SeaWorld entertainment products in California and throughout the country, in print
12 media, television commercials, and/or the Internet to Ms. Gaab and others who relied
13 upon this marketing to their detriment and deception. SeaWorld failed to properly and
14 fully disclose the true facts about the condition and treatment of its captive orcas, facts
15 material to Ms. Gaab and the Plaintiff Classes.

16 232. Subsequent to her 2012 visit, Ms. Gaab concluded that SeaWorld's
17 improper treatment of its captive orcas, as well as SeaWorld's false and misleading
18 statements and omissions regarding orca conditions and treatment, was unethical, and
19 that had she known of the true facts regarding these conditions and treatment she
20 would not have paid any money for admission to SeaWorld.

21 **L. SeaWorld Has a Duty to Disclose How it Actually Treats the Orcas and**
22 **their Actual Condition**

23 233. Plaintiffs and the Class members identified below purchased SeaWorld's
24 products while unaware of the falsity of SeaWorld's misrepresentations and its
25 material omissions concerning the overall well-being and treatment of its captive
26 orcas, including their life expectancy and physical and emotional well-being while at
27 SeaWorld.
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1 234. Based on the material omissions described in this Complaint, Plaintiffs
2 and members of each Class were induced to and did purchase tickets to SeaWorld,
3 membership(s) to SeaWorld, or SeaWorld orca “experiences.”

4 235. Plaintiffs and members of each Class altered their position to their
5 detriment and suffered injuries that include payment of the purchase price for
6 admission to SeaWorld, or membership at SeaWorld, or SeaWorld orca “experiences.”

7 236. At the time Plaintiffs and Class members purchased SeaWorld tickets,
8 memberships, or other orca “experience” products, they relied upon a false
9 understanding of the conditions and treatment of SeaWorld’s orcas, given SeaWorld’s
10 material omissions of fact regarding the treatment, longevity and well-being of the
11 orcas. Plaintiffs and other similarly situated consumers were misled and likely to be
12 misled, and they reasonably and justifiably relied, to their detriment, on SeaWorld’s
13 omissions of material facts.

14 237. If SeaWorld had disclosed the truth about the treatment, conditions,
15 longevity, and overall well-being of its orcas, Plaintiffs and Class members would not
16 have purchased SeaWorld’s products.

17 238. As a result of the alleged misconduct, SeaWorld has generated substantial
18 revenues from the sale of its amusement product showcasing its captive orcas.

19 239. Plaintiffs, individually and on behalf of all others similarly situated, seek
20 damages, restitution and injunctive relief to put an end to SeaWorld’s deceptive,
21 unfair, and unlawful business practices and its unjust enrichment.

22 **V. CLASS ACTION ALLEGATIONS**

23 240. SeaWorld operates SeaWorld brand amusement parks in the United
24 States, each of which features performances by SeaWorld’s captive orcas, including at
25 its San Diego, California; Orlando, Florida; and San Antonio, Texas facilities.
26 Plaintiffs seek certification of three nationwide Classes defined as follows:
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1 SeaWorld San Diego Purchasers

2 All consumers nationwide who at any time during the four-
3 year period preceding the filing of the original complaint
4 (“the Class”), purchased an admission ticket, a membership,
5 or a SeaWorld “experience” that includes an “orca
6 experience” from the SeaWorld amusement park in San
7 Diego, California.

8 Excluded from the Class are: the officers, directors or
9 employees of Defendant; any entity in which Defendant has
10 a controlling interest; and any affiliate, legal representative,
11 heir or assign of Defendant. Also, excluded from the Class
12 are any federal, state or local governmental entities, any
13 judicial officer presiding over this action and the members of
14 his/her immediate family and judicial staff, and any juror
15 assigned to this action.

16 SeaWorld Orlando Purchasers

17 All consumers nationwide who, at any time during the four-
18 year period preceding the filing of the original complaint
19 (“the Class”), purchased an admission ticket, a membership,
20 or a SeaWorld “experience” that includes an “orca
21 experience” from the SeaWorld amusement park in Orlando,
22 Florida.

23 Excluded from the Class are: the officers, directors or
24 employees of Defendant; any entity in which Defendant has
25 a controlling interest; and any affiliate, legal representative,
26 heir or assign of Defendant. Also, excluded from the Class
27 are any federal, state or local governmental entities, any
28 judicial officer presiding over this action and the members of
29 his/her immediate family and judicial staff, and any juror
30 assigned to this action.

31 SeaWorld San Antonio Purchasers

32 All consumers nationwide who at any time during the four-
33 year period preceding the filing of the original complaint
34 (“the Class”), purchased an admission ticket, a membership,
35 or a SeaWorld “experience” that includes an “orca

1 experience” from the SeaWorld amusement park in San
2 Antonio, Texas.

3 Excluded from the Class are: the officers, directors or
4 employees of Defendant; any entity in which Defendant has
5 a controlling interest; and any affiliate, legal representative,
6 heir or assign of Defendant. Also, excluded from the Class
7 are any federal, state or local governmental entities, any
8 judicial officer presiding over this action and the members of
his/her immediate family and judicial staff, and any juror
assigned to this action.

9 241. Plaintiffs do not know the exact number of Class members at the present
10 time. However, due to the nature of the trade and commerce involved (several million
11 Americans purchase SeaWorld tickets, memberships, and experiences each year),
12 there appear to be so many Class members such that joinder of all Class members is
13 impracticable.

14 242. Each Class is ascertainable, and notice can be provided through
15 techniques similar to those customarily used in other consumer fraud cases and
16 complex class actions, and through SeaWorld’s business records.

17 243. There are questions of law and fact common to the Classes. Defendant’s
18 unlawful false statements and omissions similarly impact all Class members, all of
19 whom purchased one or more of SeaWorld’s products.

20 244. Plaintiffs assert claims that are typical of the Class members. Plaintiffs
21 and all Class members have been subjected to the same wrongful conduct because
22 they all have purchased SeaWorld’s products. As a result, and like other members of
23 the Class, Plaintiffs purchased and paid sums of money for SeaWorld’s products
24 which they otherwise would not have paid had the true conditions and treatment of
25 SeaWorld’s orcas been disclosed by SeaWorld.
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1 245. Plaintiffs will fairly and adequately represent and protect the interests of
2 the Classes. Plaintiffs are represented by counsel competent and experienced in both
3 consumer protection and class action litigation.

4 246. Class certification is appropriate because Defendant has acted on grounds
5 that apply generally to each Class, so that final injunctive relief or corresponding
6 declaratory relief is appropriate respecting the Classes as a whole.

7 247. Class certification is also appropriate because common questions of law
8 and fact substantially predominate over any questions that may affect only individual
9 members of the Classes, including, *inter alia*, the following:

- 10 (a) Whether Defendant's nondisclosures and/or false
11 statements would be material to a reasonable
12 consumer;
- 13 (b) Whether Defendant's nondisclosures and/or false
14 statements constitute unlawful business practices in
violation of the state laws pled below;
- 15 (c) Whether Defendant's nondisclosures and/or false
16 statements constitute unfair business practices in
17 violation of the state laws pled below;
- 18 (d) Whether Defendant's nondisclosures and/or false
19 statements were likely to deceive a reasonable
consumer in violation of the state laws pled below;
- 20 (e) Whether Defendant intentionally or knowingly or
21 willfully failed to disclose significant concerns
22 associated with SeaWorld's products;
- 23 (f) Whether the challenged practices harmed Plaintiffs
24 and members of the Classes; and
- 25 (g) Whether Plaintiffs and members of each Class are
26 entitled to damages, restitution, equitable relief, and/or
injunctive relief.

1 248. A class action is superior to other available methods for the fair and
 2 efficient adjudication of this controversy, since joinder of all the individual Class
 3 members is impracticable. Furthermore, because the restitution demanded and/or
 4 damages suffered, and continuing to be suffered, by each individual Class member
 5 may be relatively small, the expense and burden of individual litigation would make it
 6 very difficult or impossible for individual Class members to redress the wrongs done
 7 to each of them individually, and the burden imposed on the judicial system would be
 8 enormous.

9 249. The prosecution of separate actions by the individual Class members
 10 would create a risk of inconsistent or varying adjudications, which would establish
 11 incompatible standards of conduct for Defendant. In contrast, the conduct of this
 12 action as a class action presents far fewer management difficulties, conserves judicial
 13 resources and the parties' resources, and protects the rights of each Class member.

14 **VI. CAUSES OF ACTION**

15 **FIRST CAUSE OF ACTION**

16 **VIOLATIONS OF THE CALIFORNIA UNFAIR COMPETITION LAW** 17 **(CAL. BUS. & PROF. CODE § 17200, *et seq.*)**

18 250. Plaintiffs reallege and incorporate by reference all preceding paragraphs.

19 251. This claim is brought on behalf of the Class of SeaWorld San Diego
 20 Purchasers, who purchased SeaWorld tickets, memberships, and "orca" experiences
 21 for the SeaWorld San Diego amusement park.

22 252. As a direct and foreseeable result of the design, themes, and statements
 23 made by SeaWorld at its Shamu Shows and park facilities, and as a result of
 24 SeaWorld's ubiquitous advertising campaigns, the public consciousness has been
 25 shaped and saturated with the story line that the orcas at SeaWorld are well cared for
 26 and thriving and enjoy and bond with trainers. SeaWorld's presentation of its orcas
 27 has saturated America's culture.
 28

1 253. Cal. Bus. & Prof. Code § 17200 prohibits any “unlawful, unfair, or
2 fraudulent business act or practice.” Defendant has engaged and engages in unlawful,
3 unfair, and fraudulent business acts and practices in violation of the UCL.

4 254. SeaWorld’s business practices violate the UCL’s prohibition on
5 “fraudulent business act[s] or practices” because its false and misleading statements
6 and material omissions associated with its products, in regard to its captive orcas, were
7 and are likely to deceive a reasonable consumer, and the true facts about the condition
8 and treatment of its orcas would be material to a reasonable consumer.

9 255. SeaWorld’s business practices violate the UCL’s prohibition on
10 “unlawful...business act[s] or practice[s]” because they violate, *inter alia*, the
11 Consumer Legal Remedies Act (or “CLRA”), Cal. Civ. Code § 1750, *et seq.*, as
12 alleged in this Complaint.

13 256. SeaWorld’s business practices violate the UCL’s prohibition on
14 “unfair...business act[s] or practice[s]” because they offend established public policy
15 and/or are immoral, unethical, oppressive, unscrupulous and/or substantially injurious
16 to consumers, which harm greatly outweighs any benefit associated with the business
17 practice.

18 257. SeaWorld has a duty to refrain from these practices and to disclose
19 material facts about the condition and treatment of its captive orcas. The duty to
20 disclose arises from: (a) its superior and exclusive knowledge of these material facts,
21 which were not known or reasonably accessible to Plaintiffs and the Class; (b) its
22 active concealment of these material facts; and (c) its marketing and sale of SeaWorld
23 products, which is likely to mislead consumers, and has misled consumers, absent full
24 disclosure of the material facts at issue.

25 258. Plaintiffs and the Class have suffered injury-in-fact, including the loss of
26 money, as a result of SeaWorld’s unlawful, unfair, and fraudulent practices. As set
27 forth more fully above, in purchasing SeaWorld’s tickets, memberships, and
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1 “experiences,” the Plaintiffs relied on SeaWorld to make complete disclosures of all
 2 material information about her purchases. Had Plaintiffs and the Class known the truth
 3 about the condition and treatment of SeaWorld’s captive orcas, they would not have
 4 purchased those SeaWorld products or they would have paid less for them.

5 259. All of the wrongful conduct alleged here occurred, and continues to
 6 occur, in the conduct of SeaWorld’s business. SeaWorld’s wrongful conduct is part of
 7 a general practice that is still being perpetuated and repeated throughout the State of
 8 California and nationwide.

9 260. Plaintiffs request that this Court enter such orders or judgments as may be
 10 necessary to enjoin Defendant from continuing its unlawful, unfair, and deceptive
 11 business practices, to restore to Plaintiffs and members of the Class any money that
 12 Defendant acquired by unfair competition (as provided in Cal. Bus. & Prof. Code
 13 § 17203), and to provide such other relief as set forth below.

14 **SECOND CAUSE OF ACTION**

15 **VIOLATIONS OF THE CONSUMERS LEGAL REMEDIES ACT** 16 **(CAL. CIV. CODE § 1750, *et seq.*)**

17 261. Plaintiffs reallege and incorporate by reference all preceding paragraphs.

18 262. This claim is brought on behalf of the Class of SeaWorld San Diego
 19 Purchasers, who purchased SeaWorld tickets, memberships, and “orca” experiences
 20 for the SeaWorld San Diego amusement park.

21 263. Defendant is a “person” under Cal. Civ. Code § 1761(c).

22 264. Plaintiffs are “consumer[s],” under Cal. Civ. Code § 1761(d), who
 23 purchased an admission ticket to SeaWorld, a membership to SeaWorld, or a
 24 SeaWorld “experience” involving its captive orcas, which are goods or services made,
 25 marketed, and sold by Defendant.

26 265. The CLRA (including §§ 1770(a)(5) and (a)(7)) supports claims for
 27 omissions of material fact that Defendant is obligated to disclose. In this case,
 28

1 Defendant SeaWorld was obligated to disclose—but failed to disclose—truths known
2 to it about the condition and treatment of its captive orcas.

3 266. As a direct and foreseeable result of the design, themes, and statements
4 made by SeaWorld at its Shamu Shows and park facilities, and as a result of
5 SeaWorld’s ubiquitous advertising campaigns, the public consciousness has been
6 shaped and saturated with the story line that the orcas at SeaWorld are well cared for
7 and thriving and enjoy and bond with trainers. SeaWorld’s presentation of its orcas
8 has saturated America’s culture.

9 267. SeaWorld has a duty to refrain from these practices and to disclose
10 material facts about the condition and treatment of its captive orcas. The duty to
11 disclose arises from: (a) its superior and exclusive knowledge of these material facts,
12 which were not known or reasonably accessible to Plaintiffs and the Class; (b) its
13 active concealment of these material facts; and (c) its marketing and sale of SeaWorld
14 products, which is likely to mislead consumers, and has misled consumers, absent full
15 disclosure of the material facts at issue.

16 268. Plaintiffs and the Class lost money and were harmed as a result of
17 SeaWorld’s violations of the CLRA because they purchased SeaWorld products due to
18 the material omissions about the conditions and treatment of SeaWorld’s captive
19 orcas, and they would not have purchased SeaWorld’s products on the same terms if
20 the true facts had been known. Absent these material omissions, Plaintiffs and the
21 Class would not have purchased SeaWorld’s products at all or they would have paid
22 less for them.

23 269. As a result of these violations, SeaWorld has caused and continues to
24 cause harm to Plaintiffs and the Class; if not stopped, it will continue to harm them.

25 270. In accordance with Cal. Civ. Code § 1780(a), Plaintiffs and the Class
26 seek injunctive and equitable relief for SeaWorld’s violations of the CLRA.
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1 271. Although Plaintiffs do not seek to recover damages under the CLRA in
2 this initial Complaint, after mailing appropriate notice and demand in accordance with
3 Civil Code § 1782(a) & (d), Plaintiffs will subsequently amend this Complaint to also
4 include a request for compensatory and punitive damages. A notice was mailed to
5 SeaWorld on April 16, 2015.

6 272. Plaintiffs and the Class request that this Court enter such orders or
7 judgments as may be necessary to restore to any person in interest any money which
8 may have been acquired by means of such unfair business practices, and for such other
9 relief, including attorneys' fees and costs, as provided in Civil Code § 1780 and the
10 Prayer for Relief.

11 273. Plaintiffs include an affidavit with this Complaint reflecting that venue in
12 this District is proper, to the extent such an affidavit is required by Cal. Civ. Code
13 § 1780(d) in federal court.

14 **THIRD CAUSE OF ACTION**

15 **VIOLATIONS OF THE FALSE ADVERTISING LAW** 16 **(CAL. BUS. & PROF. CODE § 17500, *et seq.*)**

17 274. Plaintiffs reallege and incorporate by reference all preceding paragraphs.

18 275. This claim is brought on behalf of the Class of SeaWorld San Diego
19 Purchasers, who purchased SeaWorld tickets, memberships, and "orca" experiences
20 for the SeaWorld San Diego amusement park.

21 276. California Bus. & Prof. Code § 17500, *et seq.* (the "FAL") broadly
22 proscribes deceptive advertising in the State of California. Section 17500 makes it
23 unlawful for any corporation intending to sell products or perform services to make
24 any statement in advertising those products or services concerning any circumstance
25 or matter of fact connected with the proposed performance or disposition thereof,
26 which is untrue or misleading, and which is known, or which by the exercise of
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1 reasonable care should be known, to be untrue or misleading, or not to sell those
2 products or services as advertised at the price stated therein, or as so advertised.

3 277. When the seller of such service or product has a duty to disclose material
4 facts about a product, the sale of the product or service to consumers without
5 disclosure of such material facts runs afoul of the FAL.

6 278. SeaWorld markets and sells the SeaWorld product line by marketing and
7 advertising its healthy treatment and care of orcas, the centerpiece of its entertainment
8 product. SeaWorld advertises and markets that the orcas are as healthy and content at
9 SeaWorld as they are in the wild, and that its performances in captivity are
10 experiences beneficial to both the orcas and the public consumer, neither of which is
11 true. SeaWorld effectively misrepresents and has misrepresented for several years the
12 conditions in which the orcas live and the consequences of those conditions.

13 279. As a direct and foreseeable result of the design, themes, and statements
14 made by SeaWorld at its Shamu Shows and park facilities, and as a result of
15 SeaWorld's ubiquitous advertising campaigns, the public consciousness has been
16 shaped and saturated with the story line that the orcas at SeaWorld are well cared for
17 and thriving and enjoy and bond with trainers. SeaWorld's presentation of its orcas
18 has saturated America's culture.

19 280. Section 17535 effectively provides that the Court may enjoin any
20 corporation or other person who violates the FAL, and may make such orders or
21 judgments as may be necessary to prevent the use of such practices, or which may be
22 necessary to restore to any person in interest any money or property which may have
23 been acquired by means of such practices. A FAL claim may be prosecuted by any
24 person who has suffered injury in fact and has lost money or property as a result of a
25 violation of the FAL. The action may be prosecuted on a representative basis when it
26 meets the traditional class action requirements.

1 281. Plaintiffs and the Class have suffered injury-in-fact and lost money or
 2 property as a result of SeaWorld's violations of the FAL because: (a) they purchased
 3 SeaWorld products (tickets for admission, park memberships, or SeaWorld
 4 "experiences" with orcas) due to SeaWorld's deceptive and untrue marketing and
 5 material omissions; and (b) they would not have purchased SeaWorld products on the
 6 same terms if the true facts had been known. Absent these false and misleading
 7 statements in its marketing and its material omissions, Plaintiffs and the Class would
 8 not have purchased SeaWorld products at all or they would have paid less for them.

9 282. As a result of these violations, SeaWorld has caused and continues to
 10 cause damage to Plaintiffs and the Class and, if not stopped, will continue to harm
 11 them.

12 283. Plaintiffs and the Class request that this Court enjoin Defendant from
 13 continuing to market and sell SeaWorld products through false and misleading
 14 statements and material omissions regarding the treatment and condition of its orcas.

15 284. In addition, Plaintiffs and the Class request that this Court enter such
 16 orders or judgments as may be necessary to restore to any person in interest any
 17 money which may have been acquired by means of such material omissions and
 18 deceptive marketing and selling of SeaWorld products to consumers.

19 **FOURTH CAUSE OF ACTION**

20 **DECEIT** 21 **(CAL. CIV. CODE §§ 1709-1710)**

22 285. Plaintiffs reallege and incorporate by reference all preceding paragraphs.

23 286. This claim is brought on behalf of the Class of SeaWorld San Diego
 24 Purchasers, who purchased SeaWorld tickets, memberships, and "orca" experiences
 25 for the SeaWorld San Diego amusement park.
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1 287. Under California Civil Code § 1709: “One who willfully deceives another
2 with intent to induce him to alter his position to his injury or risk, is liable for any
3 damage which he thereby suffers.”

4 288. Under California Civil Code § 1710, Deceit includes (among other
5 things): “[i] The suggestion, as a fact, of that which is not true, by one who does not
6 believe it to be true; or [ii] the suppression of a fact, by one who is bound to disclose
7 it, or who gives information of other facts which are likely to mislead for want of
8 communication of that fact.”

9 289. As a direct and foreseeable result of the design, themes, and statements
10 made by SeaWorld at its Shamu Shows and park facilities, and as a result of
11 SeaWorld’s ubiquitous advertising campaigns, the public consciousness has been
12 shaped and saturated with the story line that the orcas at SeaWorld are well cared for
13 and thriving and enjoy and bond with trainers. SeaWorld’s presentation of its orcas
14 has saturated America’s culture.

15 290. SeaWorld willfully suppressed and omitted material facts concerning the
16 treatment and condition of its captive orcas and used false and misleading statements
17 in support of its marketing campaign regarding the condition and treatment of its
18 captive orcas.

19 291. Defendant has a duty to disclose these true and material facts known to it.
20 The duty to disclose arises from: (a) its superior and exclusive knowledge of these
21 material facts, which were not known or reasonably accessible to Plaintiffs and the
22 Class; (b) its active concealment of these material facts; and/or (c) its marketing and
23 sale of SeaWorld products, which is likely to mislead consumers absent full disclosure
24 of the material facts at issue.

25 292. Defendant suppressed and omitted these material facts concerning its orca
26 SeaWorld products with the intent to induce Plaintiffs and the Class to purchase
27 SeaWorld products.
28

293. Plaintiffs and the Class were unaware of these suppressed and omitted material facts at the time of their purchases of SeaWorld products. If they had known of such material facts at the time of their purchases, Plaintiffs and the Class would not have purchased the SeaWorld products or they would have paid less for them.

294. As a result of SeaWorld's conduct, Plaintiffs and the Class sustained economic damages in an amount to be determined at trial.

FIFTH CAUSE OF ACTION

**VIOLATIONS OF FLORIDA'S UNFAIR AND DECEPTIVE TRADE
PRACTICES ACT
(FLA. STAT. § 501.201, *et seq.*)**

295. Plaintiffs reallege and incorporate by reference all preceding paragraphs.

296. This claim is brought on behalf of the Class of SeaWorld Orlando Purchasers, who purchased SeaWorld tickets, memberships, and “orca” experiences for the SeaWorld Orlando amusement park.

297. Plaintiffs and the Class are “consumers” within the meaning of the Florida Unfair and Deceptive Trade Practices Act (“FUDTPA”) as defined in Fla. Stat. § 501.203(7).

298. FUDTPA prohibits “[u]nfair methods of competition, unconscionable acts or practices, and unfair or deceptive acts or practices in the conduct of any trade or commerce ...” Fla. Stat. § 501.204(1). SeaWorld’s business practices violate these prohibitions because its false and misleading statements and material omissions associated with its products, in regard to captive orcas, were unfair, unconscionable, and deceptive, and were likely to and did in fact deceive reasonable consumers about facts material to those consumers.

299. As a direct and foreseeable result of the design, themes and statements made by SeaWorld at its Shamu Shows and park facilities, and as a result of SeaWorld's ubiquitous advertising campaigns, the public consciousness has been shaped and saturated with the story line that the orcas at SeaWorld are well cared for

1 and thriving and enjoy and bond with trainers. SeaWorld's presentation of its orcas
2 has saturated America's culture.

3 300. SeaWorld willfully failed to disclose and concealed the true facts
4 surrounding the condition and treatment of its captive orcas.

5 301. SeaWorld's intentional and knowing misrepresentation and omission of
6 material facts regarding its captive orcas was done with intent to deceive and mislead
7 Plaintiffs and the Class.

8 302. SeaWorld knew or should have known that these acts were in violation of
9 the FUDTPA.

10 303. SeaWorld had and has a duty to refrain from these practices and to
11 disclose material facts about the condition and treatment of its captive orcas. The duty
12 to disclose arises from: (a) its superior and exclusive knowledge of these material
13 facts, which were not known or reasonably accessible to Plaintiffs and the Class; (b)
14 its active concealment of these material facts; and (c) its marketing and sale of
15 SeaWorld products, which is likely to mislead consumers, and has misled consumers,
16 absent full disclosure of the material facts at issue.

17 304. As a direct and proximate result of SeaWorld's conduct, Plaintiffs and the
18 Class were and are lucky to suffer and have suffered substantial injury-in-fact and
19 accrued actual damages in the form of money Plaintiffs and the Class would not have
20 spent for SeaWorld products had they known the true facts about the condition and
21 treatment of SeaWorld's captive orcas.

22 305. Plaintiffs request that this Court enter such orders or judgments as may be
23 necessary to enjoin Defendant from continuing its unlawful, unfair, and deceptive
24 business practices, to restore to Plaintiffs and members of the Class any money that
25 Defendant acquired by its unfair and deceptive conduct and to provide such other
26 relief as set forth below.

SIXTH CAUSE OF ACTION

**VIOLATIONS OF THE TEXAS DECEPTIVE TRADE PRACTICES –
CONSUMER PROTECTION ACT
(TEX. BUS. & COM. CODE § 17.41, *et seq.*)**

306. Plaintiffs reallege and incorporate by reference all preceding paragraphs.

307. This claim is brought on behalf of the Class of SeaWorld San Antonio Purchasers, who purchased SeaWorld tickets, memberships, and “orca” experiences for the SeaWorld San Antonio amusement park.

308. Plaintiffs and the Class are “consumers” within the meaning of the Texas Deceptive Trade Practices – Consumer Protection Act (“DTPA”), as defined at Tex. Bus. & Com. Code § 17.45(4), and may bring this action for relief under Texas Bus. & Com. Code § 17.50.

309. The DTPA prohibits “[f]alse, misleading, or deceptive acts or practices in the conduct of any trade or commerce ...” Tex. Bus. & Com. Code § 17.46(a). SeaWorld’s business practices violate these prohibitions because its false and misleading statements and material omissions associated with its products, in regard to captive orcas, were unfair, unconscionable, and deceptive, and were likely to and did in fact deceive reasonable consumers about facts material to those consumers.

310. The DTPA (including §§ 17.50(a)(1) and (2), and § 17.46 (b)(24)) supports claims for omissions of material fact that Defendant is obligated to disclose. In this case, Defendant SeaWorld was obligated to disclose—but failed to disclose—truths known to it about the condition and treatment of its captive orcas.

311. As a direct and foreseeable result of the design, themes and statements made by SeaWorld at its Shamu Shows and park facilities, and as a result of SeaWorld’s ubiquitous advertising campaigns, the public consciousness has been shaped and saturated with the story line that the orcas at SeaWorld are well cared for and thriving and enjoy and bond with trainers. SeaWorld’s presentation of its orcas has saturated America’s culture.

1 312. SeaWorld willfully failed to disclose and concealed the true facts
2 surrounding the condition and treatment of its captive orcas.

3 313. SeaWorld's intentional and knowing misrepresentation and omission of
4 material facts regarding its captive orcas was done with intent to deceive and mislead
5 Plaintiffs and the Class.

6 314. SeaWorld knew or should have known that these acts were in violation of
7 the DTPA.

8 315. SeaWorld had and has a duty to refrain from these practices and to
9 disclose material facts about the condition and treatment of its captive orcas. The duty
10 to disclose arises from: (a) its superior and exclusive knowledge of these material
11 facts, which were not known or reasonably accessible to Plaintiffs and the Class; (b)
12 its active concealment of these material facts; and (c) its marketing and sale of
13 SeaWorld products, which is likely to mislead consumers, and has misled consumers,
14 absent full disclosure of the material facts at issue.

15 316. Plaintiffs and the Class lost money and were harmed as a result of
16 SeaWorld's violations of the DTPA because they purchased SeaWorld products due to
17 material omissions about the conditions and treatment of SeaWorld's captive orcas,
18 and they would not have purchased SeaWorld's products on the same terms if the true
19 facts had been known. Absent these material omissions, Plaintiffs and the Class would
20 not have purchased SeaWorld's products at all or they would have paid less for them.

21 317. As a result of these violations, SeaWorld has caused and continues to
22 cause harm to Plaintiffs and the Class; if not stopped, it will continue to harm them.

23 318. In accordance with Tex. Bus. & Com. Code § 17.50(b), Plaintiffs and the
24 Class seek injunctive and equitable relief for SeaWorld's violations of the DTPA.

25 319. Although Plaintiffs do not seek to recover economic damages under the
26 DTPA in this initial Complaint, after mailing appropriate notice and demand in
27 accordance with Tex. Bus. & Com. Code § 17.505(a), Plaintiffs will subsequently
28

1 amend this Complaint to also include a request for economic damages, as provided at
2 Tex. Bus. & Com. Code § 17.50(b)(1). A notice was mailed to SeaWorld on March
3 24, 2015, as was notice to the Texas Office of the Attorney General, Consumer
4 Protection Division.

5 **SEVENTH CAUSE OF ACTION**
6 **UNJUST ENRICHMENT**

7 320. Plaintiffs reallege and incorporate by reference all preceding paragraphs.

8 321. This claim is brought on behalf of the Class of SeaWorld Orlando
9 Purchasers and the Class of SeaWorld San Antonio Purchasers who purchased
10 SeaWorld tickets, memberships, or “orca” experiences for the SeaWorld Orlando or
11 SeaWorld San Antonio amusement parks.

12 322. Defendant SeaWorld has been, and continues to be, unjustly enriched, to
13 the detriment of and at the expense of Plaintiffs and the Classes, as a result of its
14 unlawful, unfair, fraudulent and misleading statements and material omissions
15 regarding the treatment and condition of its orcas.

16 323. As a direct and foreseeable result of the design, themes and statements
17 made by SeaWorld at its Shamu Shows and park facilities, and as a result of
18 SeaWorld’s ubiquitous advertising campaigns, the public consciousness has been
19 shaped and saturated with the story line that the orcas at SeaWorld are well cared for
20 and thriving and enjoy and bond with trainers. SeaWorld’s presentation of its orcas
21 has saturated America’s culture.

22 324. Payments for admission tickets, memberships, and SeaWorld orca
23 “experiences,” have been wrongly and unjustly collected and taken by SeaWorld and
24 the retention of such payments is inequitable given the materiality of the true facts
25 regarding the treatment and condition of its orcas.

26 325. SeaWorld should not be allowed to retain the proceeds from the benefits
27 conferred upon it by Plaintiffs and the Classes, who seek restitution and disgorgement
28

1 of SeaWorld's unjustly acquired profits and other monetary benefits resulting from
2 their unlawful conduct, and who seek restitution or rescission for the benefit of the
3 Plaintiffs and Classes, in an equitable and efficient manner determined by the Court.

4 326. Plaintiffs and the Classes are entitled to the imposition of a constructive
5 trust upon SeaWorld such that its enrichment, benefit, and ill-gotten gains may be
6 allocated and distributed equitably by the Court to and for the benefit of Plaintiffs and
7 the Classes.

8 **PRAYER FOR RELIEF**

9 A. Certification of the proposed Classes with notice and claims
10 administration to be paid by Defendant;

11 B. Injunctive relief requiring return of all payments made by members of the
12 Class of SeaWorld San Diego Purchasers pursuant to Cal. Bus. & Prof. Code
13 §§ 17200, 1750, and 17500;

14 C. Injunctive relief requiring SeaWorld to cease its unfair business practices
15 pursuant to Cal. Bus. & Prof. Code §§ 17200, 1750, and 17500;

16 D. All other available remedies pursuant to Cal. Bus. & Prof. Code
17 §§ 17200, 1750, and 17500;

18 E. Actual damages and injunctive relief requiring return of all payments
19 made by members of the Class of SeaWorld Orlando Purchasers pursuant to Fla. Stat.
20 § 501.211(2);

21 F. Injunctive relief on behalf of the members of the Class of SeaWorld San
22 Antonio Purchasers requiring SeaWorld to cease its false, misleading, and deceptive
23 business practices pursuant to Tex. Bus. & Com. Code § 17.50(b);

24 G. Damages, restitution, and injunctive relief as appropriate under Florida
25 and Texas law on behalf of the Class of SeaWorld Orlando Purchasers and the Class
26 of SeaWorld San Antonio Purchasers;

27 H. Prejudgment interest at the maximum rate;
28

I. Costs of the proceedings herein;

J. Reasonable attorneys' fees and costs as allowed by statute;

K. *Cy pres* to be awarded to an orca research organization to be identified at the time of judgment. Such *cy pres* will consist of any amounts recovered that are not returned to consumers; and

L. All such other and further relief as the Court deems just and proper.

JURY DEMAND

Plaintiffs, by counsel, requests a trial by jury on their legal claims, as set forth above.

DATED: April 16, 2015

HAGENS BERMAN SOBOL SHAPIRO LLP

By /s/ Elaine T. Byszewski

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Telephone: (206) 623-7292

Attorneys for Plaintiffs and the Proposed Classes

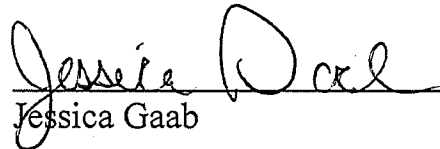
DECLARATION RE CLRA VENUE

I, Jessica Gaab, do hereby declare and state as follows:

I am a party plaintiff in *Roci Bollman,, et al., individually and on behalf of all others similarly situated v. SeaWorld Entertainment, Inc.* Pursuant to CAL. CIV. CODE § 1780(d), I make this declaration in support of the Class Action Complaint and the claim therein for relief under CAL. CIV. CODE § 1780(a). I have personal knowledge of the facts stated herein and, if necessary, could competently testify thereto.

This action for relief under Cal. Civ. Code § 1780(a) has been commenced in a county that is a proper place for trial of this action because SeaWorld does business throughout the State of California.

This declaration is signed under penalty of perjury under the laws of the State of California this 15th day of April 2015.



Jessica Gaab

CIVIL COVER SHEET

15CV842 JAH MDD

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS

JESSICA GAAB, ROCI BOLLMANN, and PAUL DANNER, individually and on behalf of themselves and all others similarly situated

(b) County of Residence of First Listed Plaintiff Costa Mesa
(EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorneys (Firm Name, Address, and Telephone Number)

Elaine T. Byszewski, Hagens Berman Sobol Shapiro LLP, 301 North Lake Ave., Suite 301, Pasadena, CA 91101; (213) 330-7151

DEFENDANTS

SEAWORLD ENTERTAINMENT, INC.

County of Residence of First Listed Defendant San Diego
(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

Attorneys (If Known)

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)

- ☐ 1 U.S. Government Plaintiff
- ☐ 2 U.S. Government Defendant
- ☐ 3 Federal Question
(U.S. Government Not a Party)
- ☒ 4 Diversity
(Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)

- | | PTF | DEF | | PTF | DEF |
|---|---------------------------------------|----------------------------|---|----------------------------|---------------------------------------|
| Citizen of This State | <input checked="" type="checkbox"/> 1 | <input type="checkbox"/> 1 | Incorporated or Principal Place of Business In This State | <input type="checkbox"/> 4 | <input type="checkbox"/> 4 |
| Citizen of Another State | <input type="checkbox"/> 2 | <input type="checkbox"/> 2 | Incorporated and Principal Place of Business In Another State | <input type="checkbox"/> 5 | <input checked="" type="checkbox"/> 5 |
| Citizen or Subject of a Foreign Country | <input type="checkbox"/> 3 | <input type="checkbox"/> 3 | Foreign Nation | <input type="checkbox"/> 6 | <input type="checkbox"/> 6 |

IV. NATURE OF SUIT (Place an "X" in One Box Only)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excludes Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	PERSONAL INJURY <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury - Medical Malpractice PERSONAL INJURY <input type="checkbox"/> 365 Personal Injury - Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability PERSONAL PROPERTY <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 690 Other LABOR <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Management Relations <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Employee Retirement Income Security Act IMMIGRATION <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions	<input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 PROPERTY RIGHTS <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark SOCIAL SECURITY <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) FEDERAL TAX SUITS <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS—Third Party 26 USC 7609	<input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input checked="" type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes
REAL PROPERTY <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property	CIVIL RIGHTS <input type="checkbox"/> 440 Other Civil Rights <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 445 Amer. w/Disabilities - Employment <input type="checkbox"/> 446 Amer. w/Disabilities - Other <input type="checkbox"/> 448 Education PRISONER PETITIONS Habeas Corpus: <input type="checkbox"/> 463 Alien Detainee <input type="checkbox"/> 510 Motions to Vacate Sentence <input type="checkbox"/> 530 General <input type="checkbox"/> 535 Death Penalty Other: <input type="checkbox"/> 540 Mandamus & Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Condition <input type="checkbox"/> 560 Civil Detainee - Conditions of Confinement			

V. ORIGIN (Place an "X" in One Box Only)

- ☒ 1 Original Proceeding
- ☐ 2 Removed from State Court
- ☐ 3 Remanded from Appellate Court
- ☐ 4 Reinstated or Reopened
- ☐ 5 Transferred from Another District (specify)
- ☐ 6 Multidistrict Litigation

VI. CAUSE OF ACTION

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):
28 USC 1332(d); Cal. Bus. & Prof. 17200/17500; Cal. Civ. Code 1750/1709-10; FL Stat. 501.201; TX Code 17.41
Brief description of cause:
Cal. Unfair Comp. Law; CLRA; False Advertising Law; Deceit; FLUDTPA; Texas DTP-CP; Unjust Enrichment

VII. REQUESTED IN COMPLAINT:

☒ CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P.

DEMAND \$
5,000,000.00

CHECK YES only if demanded in complaint:
JURY DEMAND: ☒ Yes ☐ No

VIII. RELATED CASE(S) IF ANY

(See instructions):

JUDGE Hon. Cathy Ann Bencivengo

DOCKET NUMBER 3:15-cv-00660-CAB-RBB

DATE

04/16/2015

SIGNATURE OF ATTORNEY OF RECORD

/s/ Elaine T. Byszewski

FOR OFFICE USE ONLY

RECEIPT # _____ AMOUNT _____ APPLYING IFP _____ JUDGE _____ MAG. JUDGE _____