# IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF MARYLAND

	-X	
MICHAEL CARTON, CYNTHIA FINNK, ROCCO LANO, LAURINA LEATO, MARILYN	:	
LISTANDER and ROGER MAMMON,		No.
Plaintiffs,	:	
	1	JURY TRIAL DEMANDED
V.		
	1	
DAP PRODUCTS, INC., NATIONAL EXPRESS,	:	
INC., and RPM INTERNATIONAL, INC.	:	
	:	
Defendant.	:	
	-X	

## **CLASS ACTION COMPLAINT**

Plaintiffs Michael Carton, Cynthia Finnk, Rocco Lano, Laurina Leato, Marilyn Listander and Roger Mammon (collectively "Plaintiffs"), on behalf of themselves and all persons similarly situated, by and through their attorneys, allege as follows.

## **INTRODUCTION**

1. This is a class action brought by Plaintiffs, individually and on behalf of all other similarly situated consumers who purchased an XHose or XHose Pro (hereinafter referenced interchangeably as "XHose") product manufactured, marketed, distributed, or sold by DAP Products, Inc. ("DAP"), National Express, Inc. ("National Express") and/or RPM International, Inc. ("RPM") (collectively the "Defendants"). Defendants advertised the XHose as being an expandable, lightweight garden hose that was tough, durable, and long-lasting. Defendants further advertised the XHose as being able to both expand and contract without "kinking," as experienced with typical garden hoses.

2. As compared to more traditional rubber garden hoses, the XHose is constructed

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of a thin cloth layer exterior and a thin plastic internal tube interior. By virtue of this design, Defendants claim that the benefit of the XHose is that it is able to contract when there is no water in the hose, providing for easier storage.

3. Defendants' marketing and packaging states that the XHose is tough, durable, and long-lasting. Contrary to Defendants' representations, however, the XHose is defective and predisposed to leaking, bursting, seeping, and dripping due to no fault of the consumer.

4. Defendants knew, or should have known, that the XHose is defective and not fit for its ordinary and intended purpose as a garden hose. Defendants, however, actively concealed this material fact from Plaintiffs and the members of the Class.

5. Despite their active concealment of the defect and the numerous internet complaints regarding the XHose, Defendants continue to market and sell the XHose to consumers throughout the United States, causing them millions of dollars in damages.

#### **PARTIES**

#### **Plaintiffs**

6. Plaintiff Michael Carton ("Plaintiff Carton") is a citizen of the state of Wisconsin and currently resides in Elm Grove, Wisconsin. In June 2014, Plaintiff Carton purchased two 75' XHoses from an Ace Hardware store in Wisconsin. Plaintiff Carton made the decision to purchase the XHoses based on Defendants' representations that the XHoses would be tough, durable, and last a long time before they needed to be replaced. Plaintiff Carton chose the XHose over comparable products because of these representations, even though the XHose was significantly more expensive than comparable hoses in the marketplace. Within thirty days of purchasing the XHoses, on its third use, one of Plaintiff Carton's XHoses failed when the internal rubber hose separated at the brass fitting. Plaintiff Carton returned the defective XHose to the Ace Hardware store where he had purchased it and received a replacement XHose. In July

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2014, both the replacement XHose and the other original XHose failed when the interior hoses burst. As a result, all three of Plaintiff Carton's XHoses are no longer suitable for use as garden hoses.

7. Plaintiff Cynthia Finnk ("Plaintiff Finnk") is a citizen of the state of Florida and currently resides in Tampa, Florida. In December 2013, Plaintiff Finnk purchased one 75' XHose Pro ("Hose 1") from XHosepro.com and received a second XHose Pro free ("Hose 2"). Plaintiff Finnk made the decision to purchase Defendants' XHose based on Defendants' representations that the hose would be tough, durable, and last a long time before it needed to be replaced. In or around May 2014, Hose 1 exploded in the center while in use. On May 23, 2014, Plaintiff Finnk called the number provided with her purchase (the "Complaint Number") seeking a refund but was informed by the representative with whom she spoke, "Elizabeth," that the 90day refund period had expired. Elizabeth agreed to send Plaintiff Finnk two replacement XHose Pros ("Hose 3" and "Hose 4"). In or around June 2014, Hose 2 exploded in the center while in use. On June 12, 2014, Plaintiff Finnk again contacted the Complaint Number and spoke with "Taisha," who agreed to send two additional replacement XHose Pros ("Hose 5" and "Hose 6"). Hoses 3, 4, 5, and 6 all exploded in the center while in use shortly thereafter. On June 20, 2014, Plaintiff Finnk yet again contacted the Complaint Number and spoke with "Steve," who agreed to send two more replacement XHose Pros ("Hose 7" and "Hose 8"). In or around July 2014, Hoses 7 and 8 exploded in the center while in use. On July 18, 2014, Plaintiff Finnk contacted the Complaint Number for the fourth time, again speaking with "Steve." Plaintiff Finnk reiterated her request for a refund, explaining that all 8 hoses she had received had burst. Steve refused to refund her money, instead agreeing to send two more replacement XHose Pros ("Hose 9" and "Hose 10") but informing Plaintiff Finnk that she would not be provided any additional

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replacement hoses. As of the date of this filing, neither Plaintiff Finnk nor anyone else has attempted to use Hoses 9 and 10.

Plaintiff Rocco Lano ("Plaintiff Lano") is a citizen of the state of Delaware and 8. currently resides in Wilmington, Delaware. In February 2013, Plaintiff Lano purchased one 50' XHose ("Hose 1") from xhose.com and received a second XHose for the cost of shipping and handling ("Hose 2"). Plaintiff Lano made the decision to purchase Defendants' XHose based on Defendants' representations that the hose would be tough, durable, and last a long time before it needed to be replaced. However, Hose 1 blew out at the fitting where it connected to the faucet. Plaintiff Lano contacted the Complaint Number and was provided a replacement hose ("Hose 3") approximately three weeks later. In order to receive the replacement hose, Plaintiff Lano was required to ship the defective hose back at his own expense. Hose 2 blew out at the on/off valve approximately two days after Hose 1 malfunctioned, and Hose 3 blew out in the center shortly thereafter. Plaintiff Lano again contacted the Complaint Number and, to replace the defective Hose 2, was sent two 25' XHoses ("Hose 4" and "Hose 5"). Hose 4 arrived with a crushed brass faucet connector and Hose 5 soon blew out in the center during use. As a result, Plaintiff Lano's XHoses are no longer suitable for use as garden hoses.

9. Plaintiff Laurina Leato ("Plaintiff Leato") is a citizen of the state of Illinois and currently resides in Midlothian, Illinois. In September 2012, Plaintiff Leato purchased two 50' XHoses and an XHose Holder from xhose.com. In July 2013, Plaintiff Leato purchased one 25' XHose from a Menard's retail store in Crestwood, Illinois. Plaintiff Leato made the decision to purchase Defendants' XHose based on Defendants' representations that the hose would be tough, durable, and last a long time before it needed to be replaced. Within months of first use, however, the inner hose on each of the three XHoses Plaintiff Leato purchased began leaking at

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the connection to the coupling, rendering the XHoses no longer suitable for use as garden hoses. When Plaintiff Leato contacted Defendant National Express Online, she was told that she was not entitled to a refund of the purchase price or replacements for the defective hoses because the 90-day warranty on the products had expired.

10. Plaintiff Marilyn Listander ("Plaintiff Listander") is a citizen of the state of Texas and currently resides in Round Rock, Texas. In or around 2012, Plaintiff Listander purchased two 50' XHoses and received two free hoses (respectively "Hose 1," "Hose 2," "Hose 3," and "Hose 4") from xhose.com and by calling the number on a television advertisement she viewed. Plaintiff Listander made the decision to purchase Defendants' XHose based on Defendants' representations that the hose would be tough, durable, and last a long time before it needed to be replaced. Hoses 1 and 2 both failed within six weeks of their first use when they split open at the sewn seam running along the side of the hose. Plaintiff Listander then began using Hoses 3 and 4, both of which also split open at the same seam within a similar time period. Plaintiff Listander contacted the Complaint Number and was eventually provided four replacement hoses ("Hose 5," "Hose 6," "Hose 7," and "Hose 8"). Hoses 5, 6, 7, and 8 all experienced the same failure as Hoses 1-4 within six weeks of first use. Despite these failures, and because Plaintiff Listander found the product to be effective as a garden hose prior to these uniform failures, Plaintiff Listander purchased a 50' XHose Pro and received a second XHose Pro for free ("Hose 9" and "Hose 10"). Both of the XHose Pros experienced the same failure as every previous XHose Plaintiff Listander had purchased within two months of their first use. Plaintiff Listander again contacted the Complaint Number and was provided two replacement hoses ("Hose 11" and "Hose 12"). Hoses 11 and 12 also experienced the same failure within two months of their first use.

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11. Plaintiff Roger Mammon ("Plaintiff Mammon") is a citizen of the state of California and currently resides in Oakley, California. In August 2013, Plaintiff Mammon purchased one 50' XHose Pro ("Hose 1") from xhose.com and received a second 50' XHose Pro ("Hose 2") for the cost of shipping and handling. Plaintiff Mammon made the decision to purchase Defendants' XHose based on Defendants' representations that the hose would be tough, durable, and last a long time before it needed to be replaced. In July 2014, after only being used approximately 12 times, Hose 1 failed during use while connected to Hose 2. Specifically, the expandable, interior hose in Hose 1 suddenly separated from the brass lining and contracted, damaging Hose 1 and rendering it no longer suitable for use as a garden hose.

## Defendants

12. Defendant DAP Products, Inc. ("DAP") is a Delaware corporation with its headquarters and principal place of business located at 2400 Boston Street, Suite 200, Baltimore, MD 21224-4775. Defendant is a leading manufacturer and supplier of caulks, sealants, construction adhesives, insulating foams, spackling, glazing and other general home care and improvement products. Defendant designs, manufactures, and sells its products, including the XHose, through Defendant National Express, Inc., DAP's own interactive website and a nationwide distribution network, as well as through traditional brick-and-mortar retail stores and national retailers such as Walmart, Home Depot, Target, Menards and Ace Hardware, among others.

13. Defendant National Express, Inc. is a Connecticut corporation with its headquarters and principal place of business at 2 Morgan Avenue, Norwalk, Connecticut 06851. National Express is described on its website (<u>http://www.nationalexpresstv.com</u>) (last visited September 12, 2014) as "an independently owned direct response company focusing on bringing

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products directly to consumers via Television, Internet, Print, Mail Order, and Retail. Founded in 1981, it is one of the oldest and most respected companies in the direct response industry. National Express, Inc. has a long history of working closely with inventors, suppliers, and corporations...." National Express further states on its website that the company "has the expertise and experience as well as the dedication to the consumer with unparalleled customer support that enables us to quickly and efficiently test and roll-out products." One of National Express's "top selling products" is the XHose. *Id.* On information and belief, National Express was responsible for testing the XHose prior to selling the product to consumers, marketing the XHose and providing customer service to consumers purchasing the XHose.

14. Defendant RPM International, Inc. ("RPM") is a Delaware corporation with its principal place of business in Medina, Ohio. RPM is a multinational holding company with subsidiaries that manufacture and market high-performance coatings, sealants and specialty chemicals, primarily for maintenance, repair and improvement applications. Defendant DAP is a wholly owned subsidiary of RPM. RPM oversees the work of Defendant DAP and, on information and belief, designed, manufactured, and purposefully caused the XHose to be placed into the stream of commerce within this District and throughout the United States.

#### JURISDICTION AND VENUE

15. This Court has subject matter jurisdiction over this class action pursuant to 28 U.S.C. § 1332, as amended by the Class Action Fairness Act of 2005, because the matter in controversy exceeds \$5 million, exclusive of interest and costs, and is a class action in which some members of the Class are citizens of states different than Defendants. *See* 28 U.S.C. § 1332(d)(2)(A).

16. This Court has personal jurisdiction over Defendant DAP because its corporate headquarters and principal place of business are located in this District.

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This Court also has personal jurisdiction over Defendants National Express and RPM because they are authorized to do business and are conducting business throughout the United States, including within this judicial district; Defendants have specifically marketed and sold the XHose in the United States, including this District; and they have sufficient minimum contacts with the various states of the United States and/or sufficiently avail themselves of the markets of the various states of the United States through their promotion, sales, and marketing within the United States, including this District, to render the exercise of jurisdiction by this Court permissible.

17. Venue properly lies in this district pursuant to 28 U.S.C. § 1391(b)(2) because a substantial part of the acts giving rise to Plaintiffs' claims occurred in this District, or pursuant to 28 U.S.C. § 1391 (b)(3), as Defendant DAP's headquarters and principal place of business is within this District.

## FACTUAL BACKGROUND

18. Defendants designed, marketed, distributed, and sold XHoses on television, on the internet and through various retail outlets, including Walmart, Home Depot, Target, Menards and Ace Hardware. Upon information and belief, Defendants have sold tens of millions of dollars' worth of the XHoses.

19. Unbeknownst to consumers, Defendants' XHoses contain pervasive design and/or manufacturing defect(s) resulting in leaking, bursting, seeping, and dripping. Defendants not only had knowledge of the design and/or manufacturing defects, but actively concealed the defective nature of the XHoses from Plaintiffs and the Class.

20. Defendants marketed the XHose as a tough, durable, and long-lasting gardening hose. Specifically, Defendants represented on the XHose website that the XHose:

- Is "[s]uper strong and durable yet ultra lightweight;"<sup>1</sup>
- "Absolutely will not twist, tangle, or kink;"<sup>2</sup>
- "EXPANDS up to 3 times its size when water is turned on;"<sup>3</sup>
- "CONTRACTS back in just seconds when water is turned off;"<sup>4</sup>
- Contains a "[t]ough rubber inner hose covered in durable, super-strong webbing;"<sup>5</sup> and
- Is "built strong to last long."<sup>6</sup>



- $^{3}$  Id.
- <sup>4</sup> *Id.*
- <sup>5</sup> Id.

<sup>&</sup>lt;sup>1</sup> See www.XHose.com (last visited September 12, 2014).

<sup>&</sup>lt;sup>2</sup> *Id*.

<sup>&</sup>lt;sup>6</sup> *Id.* (embedded video at 00:53. The video further depicts young children safely and easily carrying and handling the hose).



21. Consistent with their online representations, Defendants' product packaging also contains representations concerning the longevity, durability and performance capabilities of the XHose. As is reflected on the XHose product packaging, Defendants say that the XHose is:

-Lightweight- Just 1 oz

- Won't Kink!

-Super Strong and Durable!



With respect to the XHose Pro, Defendants represent that the hose is of "Professional Quality," that it will produce a "More Powerful Spray" and that it contains "Solid Brass Fittings" and that it is made of "Durable Material" that is "Stronger Than Ever."



22. Plaintiffs viewed and relied on Defendant's marketing materials and the representations on the product packaging prior to purchasing their XHoses and believed Defendants' representations regarding the durability, strength, longevity and performance

capabilities of the XHoses to be true.

23. Contrary to Defendants' representations, however, the XHose is not durable or strong, does not last a long time and does not have the performance capabilities Defendants claim.

24. Indeed, Defendants initially offered a "lifetime guarantee" for the Xhose Pro, warranting that "[i]f it ever fails, we'll replace it!"



25. Defendants later reduced the "Lifetime Quality Guarantee" for the Xhose Pro to a 90-day money back guarantee.

26. Defendants' XHose is not fit for its ordinary and intended purpose of a gardening hose as it is prone to leaking, bursting, seeping, and dripping.

27. Upon information and belief, thousands of purchasers of the XHose have experienced leaking, bursting, seeping, and dripping. Indeed, the internet is replete with examples of blogs and other websites where consumers have complained of the exact same defects as Plaintiffs. On Amazon.com, for example, 210 of the 307 customer reviews of the

<sup>&</sup>lt;sup>7</sup> Image available at <u>http://web.archive.org/web/20130817054310/https://www.xhosepro.com/</u> (last visited September 15, 2014).

XHose, nearly 70% of all reviews, gave the product 1 out of 5 stars, lodging multitudinous

complaints including the following, which represent only a small smattering of the hundreds of

negative reviews (all customer reviews *sic*):

- I bought 2 XHose Pro's over 5 months ago, both of the hoses exploded from within and both are ruined. As instructed in their directions, I turned the hoses off when finished using them at all times. I have tried to call this company repeatedly and to no avail. You only have a 90 day money back guarantee. I would NEVER recommend purchasing this product.<sup>8</sup>
- I ordered two 25' X-Hose; they arrived on time and appeared well made with heavy brass connectors and heavy guage outside material. We followed the directions as printed on tab attached to each hose and both failed miserably upon first usage. After we connected the hose to the water bib we turned on the water (low pressure) and watched the hose expand. Once expanded, we turned off the water, attached the spray handle to the end and turned on the water (again at low pressure). The seam at the end of the hose next at the brass connector sprung a huge leak when the water reached the nozzle. The leak was approximately 6" long and growing until we turned off the water. I examined the hose and htere were no tears in the outside material so I assumed the failure was on the inside of the hose. We took out the second hose, followed thedirections on the attached tab and the same results occurred. The hoses had been purchased approximaely two months ago and placed in the garage cabinet. I would NOT recommend this hose to anyone; it is a complete failure!!9
- Bought 2 XHose pro's with the metal fitting. One exploded after 4 uses while washing my car the other is leaking around the fitting. I had owned 2 with the plastic fitting both broke as well.<sup>10</sup>
- GARBAGE!!! Bought three of these and two burst within one day. Followed the directions to the letter. Sending them back and will continue to use the bulky, heavy and less flexible rubber hoses that I've had for years. At least they last.<sup>11</sup>
- I bought 2 of these hoses and received 4 total with a new promotion. Within a

<sup>&</sup>lt;sup>8</sup> See <u>http://www.amazon.com/09114-XHose-50-Feet-Incredible-Expanding/product-reviews/B00AOVH60M/ref=cm\_cr\_pr\_hist\_1?ie=UTF8&filterBy=addOneStar&showViewpoint\_s=0&sortBy=bySubmissionDateDescending, posted on July 23, 2014.</u>

<sup>&</sup>lt;sup>9</sup> *Id.*, posted on July 21, 2014.

<sup>&</sup>lt;sup>10</sup> *Id.*, posted on July 21, 2014.

<sup>&</sup>lt;sup>11</sup> *Id.*, posted on July 20, 2014.

few months of very light usage all 4 of the hoses developed leaks and FAILED catastrophically. Great concept but not completely worked out if you ask me.<sup>12</sup>

- Hose outer layer torn by itself and the hose exploded after 2 weeks of use. Followed all of manufacturer's instructions and deflated it after every use. Complete waste of money.<sup>13</sup>
- I bought the hose and on the 3rd use it filled up and expanded and then blew up right in the middle. Scared the heck out of me. I thought it was a cannon going off it was so loud. What a joke! So much for expandable hoses. I'm going back to the original rubber hoses! What a waste of time and money. Maybe if manufacturers took a little more time with their product and cared about consumers they wouldn't be mass producing garbage and ripping off the consumer!!! Thanks Dap. Real good job!!!<sup>14</sup>

28. Consumer complaints regarding the XHose on the Home Depot website are strikingly similar, with 36 of 52 reviews giving the product 1 out of 5 stars, consistently noting issues with leaking, seeping, and bursting.<sup>15</sup>

29. Defendants were, and still are, under a continuing duty to disclose the defective nature of the XHose to consumers. Defendants have actively concealed the existence and nature of the defect in the XHose from Plaintiffs and the Class.

30. Defendants have caused and are continuing to cause Plaintiffs, as well as members of the Class, to pay money to repair or replace Defendants' defective XHoses.

## CLASS ACTION ALLEGATIONS

31. This action is brought on behalf of Plaintiffs, individually and as a class action, pursuant to FED. R. CIV. P. 23(a), 23(b)(2) and/or 23(b)(3) on behalf of a nationwide class of consumers. Specifically, the nationwide class consists of:

All persons in the United States who purchased a DAP XHose (the "Nationwide Class" or "Class").

<sup>&</sup>lt;sup>12</sup> *Id.*, posted on July 18, 2014.

<sup>&</sup>lt;sup>13</sup> *Id.*, posted on June 21, 2014.

<sup>&</sup>lt;sup>14</sup> *Id.*, posted on June 18, 2014.

<sup>&</sup>lt;sup>15</sup> See http://www.homedepot.com/p/DAP-3-4-in-x-50-ft-XHOSE-Pro-Expandable-Water-Hose-7079899104/205144009 (last visited September 12, 2014).

Excluded from the Nationwide Class are Defendants, any entity in which Defendants have a controlling interest or which has a controlling interest in Defendants, and Defendants' legal representatives, assigns and successors. Also excluded are the judge to whom this case is assigned and any member of the judge's immediate family.

32. In the alternative to the Nationwide Class, and pursuant to FED. R. CIV. P. 23(c)(5), Plaintiffs seek to represent the following state subclasses:

- All persons in California who purchased a DAP XHose (the "California Class")
- All persons in Delaware who purchased a DAP XHose (the "Delaware Class")
- All persons in Florida who purchased a DAP XHose (the "Florida Class")
- All persons in Illinois who purchased a DAP XHose (the "Illinois Class")
- All persons in Texas who purchased a DAP XHose (the "Texas Class)
- All persons in Wisconsin who purchased a DAP XHose (the "Wisconsin Class")

Excluded from the California, Delaware, Florida, Illinois, Texas and Wisconsin Classes are Defendants, any entity in which Defendants have a controlling interest or which has a controlling interest in Defendants, and Defendants' legal representatives, assigns and successors. Also excluded are the judge to whom this case is assigned and any member of the judge's immediate family.

33. The rights of each member of the Class were violated in a similar fashion based upon Defendants' uniform actions.

34. This action has been brought and may be properly maintained as a class action for the following reasons:

a. <u>Numerosity</u>: Members of the Class are so numerous that their individual joinder is impracticable. Plaintiffs are informed and believe, and on that basis allege,

that the proposed Class contains thousands of members. Upon information and belief, Defendants marketed and sold XHoses to tens, if not hundreds, of thousands of consumers throughout the United States. The Class is therefore sufficiently numerous to make joinder impracticable, if not impossible. The precise number of Class members is unknown to Plaintiffs.

- b. <u>Existence and Predominance of Commons Questions of Fact and Law</u>: Common questions of law and fact exist as to all members of the Class. These questions predominate over the questions affecting individual Class members. These common legal and factual questions include, but are not limited to, the following:
  - i. whether Defendants' XHose is defective;
  - ii. whether Defendants knew the XHose was defective;
  - iii. whether Defendants intentionally concealed or failed to disclose toPlaintiffs and the Class the inherent nature of the defect in theXHoses;
  - iv. whether Defendants had a duty to Plaintiffs and the Class to disclose the inherent defect in the XHoses, and whether Defendant breached that duty;
  - whether a reasonable consumer would consider the defective nature of the XHose to be material in deciding to purchase the XHose;
  - vi. the appropriate nature of class-wide equitable relief; and
  - vii. the appropriate measurement of restitution and/or measure of damages to award to Plaintiffs and members of the Class.

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These and other questions of law or fact common to the members of the Class predominate over any questions affecting only individual members of the Class.

- c. <u>Typicality</u>: Plaintiffs' claims are typical of the claims of the Class since Plaintiffs purchased XHoses that were designed, manufactured, and marketed by Defendants as being tough, durable, and long-lasting, as did each member of the Class. Furthermore, Plaintiffs and all members of the Class sustained monetary and economic injuries arising out of Defendants' wrongful conduct. Plaintiffs are advancing the same claims and legal theories on behalf of themselves and all absent class members.
- d. <u>Adequacy</u>: Plaintiffs are adequate representatives of the Class because their interests do not conflict with the interests of the Class they seek to represent; they have retained counsel competent and highly experienced in complex class action litigation; and they intend to prosecute this action vigorously. The interests of the Class will be fairly and adequately protected by Plaintiffs and their counsel.
- e. <u>Superiority</u>: A class action is superior to other available means of fair and efficient adjudication of the claims of Plaintiffs and members of the Class. The injury suffered by each individual Class member is relatively small in comparison to the burden and expense of individual prosecution of the complex and extensive litigation necessitated by Defendants' conduct. It would be virtually impossible for members of the Class individually to effectively redress the wrongs done to them. Even if the members of the Class could afford such individual litigation, the court system could not. Individualized litigation

presents a potential for inconsistent or contradictory judgments. Individualized litigation increases the delay and expense to all parties, and to the court system, presented by the complex legal and factual issues of the case. By contrast, the class action device presents far fewer management difficulties, and provides the benefits of single adjudication, economy of scale, and comprehensive supervision by a single court.

- f. <u>Ascertainibility</u>: Class members are readily ascertainable, and can be identified by Defendants' records. Upon information and belief all (or nearly all) class members can be identified by Defendants' business records.
- g. Defendants have acted, and refused to act, on grounds generally applicable to the Class, thereby making appropriate final injunctive relief with respect to the class as a whole.

## **VIOLATIONS ALLEGED**

# <u>COUNT I</u> VIOLATION OF THE ILLINOIS CONSUMER FRAUD AND DECEPTIVE BUSINESS PRACTICES ACT, 815 ILCS § 505/2, et seq. (by Plaintiff Leato, individually and on behalf of the Illinois Class)

- 35. Plaintiffs re-allege and incorporate by reference paragraphs 1-34 above.
- 36. Plaintiff Leato brings this Count individually and on behalf of the Illinois Class.
- 37. The Illinois Consumer Fraud and Deceptive Business Practices Act ("ICFA")

815 ILCS 505/2, prohibits unfair or deceptive acts or practices in connection with any trade or commerce, including, among other things, "the use or employment of any deception, fraud, false pretense, false promise, misrepresentation or the concealment, suppression or omission of any material fact,...whether any person has in fact been misled, deceived, or damaged thereby." The

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ICFA also prohibits suppliers from representing that their goods are of a particular quality or grade that they are not.

38. Defendants' misrepresentations and material omissions, identified above, constitute unfair competition or unfair, unconscionable, deceptive, fraudulent or unlawful acts or business practices in violation of the ICFA.

39. Defendants' deceptive or unfair practices took place in the course of trade and commerce.

40. Defendants intended for Plaintiff Leato and the Illinois Class to rely on these deceptive and unfair practices when Plaintiff Leato and the Illinois Class purchased the XHose.

41. Plaintiff Leato and the Illinois Class have suffered injuries in fact and actual damages, including financial losses resulting from overpayment for the XHose due to Defendant's violations of the ICFA, as alleged herein. These injuries are of the type the ICFA was designed to prevent and are the direct and proximate result of Defendants' unlawful conduct.

#### COUNT II

# VIOLATION OF THE CALIFORNIA UNFAIR COMPETITION LAW, CAL. BUS. & PROF. CODE § 17200, et seq. (by Plaintiff Mammon, individually and on behalf of the California Class)

42. Plaintiffs re-allege and incorporate by reference paragraphs 1-34 above.

43. Plaintiff Mammon brings this count individually and on behalf of the California

Class.

44. Under the California Unfair Competition Law (the "UCL"), "any unlawful, unfair or fraudulent business act or practice" constitutes unfair competition.

## **Fraudulent** Acts and Practices

45. Any business act or practice that is likely to deceive members of the public constitutes a fraudulent business act or practice under the UCL.

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46. Defendants have engaged in conduct that is likely to deceive members of the public. Specifically, Defendants have falsely claimed that the XHose is tough, durable, and long-lasting when it is in fact defective, prone to leaking, seeping, and bursting and is not fit for its ordinary and intended purpose as a garden hose.

47. By committing the acts alleged above, Defendants have engaged in fraudulent business acts and practices constituting unfair competition within the meaning of Cal. Bus. & Prof. Code §17200.

## **Unlawful Acts and Practices**

48. The violation of any law constitutes an unlawful business practice under Cal. Bus.& Prof. Code §17200.

49. Defendants' conduct violates Section 5 of the Federal Trade Commission ("FTC") Act, 15 U.S.C. § 45, which prohibits unfair methods of competition and unfair or deceptive acts or practices in or affecting commerce. By representing that the XHose is tough, durable, and long-lasting when in fact it is defective, prone to leaking, seeping, and bursting and is not fit for its ordinary and intended purpose as a garden hose, Defendants violated Section 5 of the FTC Act.

50. By violating the FTC Act, Defendants have engaged in unlawful business acts and practices constituting unfair competition within the meaning of Cal. Bus. & Prof. Code §17200.

## **Unfair Acts and Practices**

51. Any business practice that offends an established public policy or is immoral, unethical, oppressive, unscrupulous, or substantially injurious to consumers constitutes an "unfair" practice under the UCL.

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52. Defendants have engaged, and continue to engage, in unfair business practices. This conduct includes representing that the XHose is tough, durable, and long-lasting when it is in fact defective, prone to leaking, seeping, and bursting and is not fit for its ordinary and intended purpose as a garden hose.

53. Defendants have engaged in conduct that violates the legislatively declared policies of the FTC Act against committing unfair methods of competition and unfair or deceptive acts or practices in or affecting commerce. In doing so, Defendants gain an unfair advantage over their competitors, whose advertising must comply with the FTC Act.

54. Defendants' conduct, including misrepresenting the toughness, durability, and longevity of the XHose, is substantially injurious to consumers. Such conduct has caused, and continues to cause, substantial injury to consumers because consumers would not have purchased the XHoses, or paid such a high price for the XHoses, but for Defendants' false representations regarding the XHoses' toughness, durability, and longevity. Consumers have thus overpaid for the XHoses and such injury is not outweighed by any countervailing benefits to consumers or competition.

55. No benefit to consumers or competition results from Defendants' conduct. Since consumers reasonably rely on Defendants' representations regarding the XHose at the time of purchase, consumers could not have reasonably avoided the injury resulting from the purchase of an XHose that does not actually have the qualities – including toughness, durability, and longevity – represented by Defendants.

56. By committing the acts alleged above, Defendants have engaged in unfair business acts and practices which constitute unfair competition within the meaning of the UCL.

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57. As a result of the conduct described above, Defendants have been unjustly enriched at the expense of Plaintiffs and the California Class.

58. An action for injunctive relief and restitution is specifically authorized under Cal.Bus. & Prof. Code §17203.

59. Wherefore, Plaintiffs pray for judgment against Defendants, as set forth hereafter.

## <u>COUNT III</u> VIOLATION OF CALIFORNIA FALSE ADVERTISING LAW, CAL BUS. & PROF. CODE § 17500, *et seq*. (by Plaintiff Mammon, individually and on behalf of the California Subclass)

60. Plaintiffs re-allege and incorporate by reference paragraphs 1-34 above.

61. Plaintiff Mammon brings this Count individually and on behalf of the California Class.

62. California Bus. & Prof. Code § 17500 states: "It is unlawful for any ... corporation ... with intent directly or indirectly to dispose of real or personal property ... to induce the public to enter into any obligation relating thereto, to make or disseminate or cause to be made or disseminated ... from this state before the public in any state, in any newspaper or other publication, or any advertising device, ... or in any other manner or means whatever, including over the Internet, any statement ... which is untrue or misleading, and which is known, or which by the exercise of reasonable care should be known, to be untrue or misleading."

63. Defendants caused to be made or disseminated throughout California and the United States, through advertising materials and the xhose.com website, statements that were untrue or misleading, and which were known, or which by the exercise of reasonable care should have been known to Defendants, to be untrue and misleading to consumers, including Plaintiff Mammon and the other California Class members.

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64. Defendants have violated § 17500 because the misrepresentations and omissions regarding the toughness, durability, and longevity of the XHoses as set forth in this Complaint were material and likely to deceive a reasonable consumer.

65. Plaintiff Mammon and the other California Class members have suffered an injury in fact, including the loss of money or property, as a result of Defendants' unfair, unlawful, and/or deceptive practices. In purchasing the XHose, Plaintiff Mammon and the other California Class members relied on the misrepresentations and/or omissions of Defendants' with respect to the toughness, durability, and longevity of the XHoses. Defendants' representations turned out not to be true because the XHoses are defective, are prone to leaking, seeping, and bursting and are not fit for their ordinary and intended purpose as a garden hose. Had Plaintiff Mammon and the other California Class members known this, they would not have purchased the XHoses and/or paid as much for them. Accordingly, Plaintiff Mammon and the other California Class members overpaid for the XHoses and did not receive the benefit of their bargain.

66. All of the wrongful conduct alleged herein occurred, and continues to occur, in the conduct of Defendants' business. Defendants' wrongful conduct is part of a pattern or generalized course of conduct that is still perpetuated and repeated, both in the State of California and nationwide.

67. Plaintiff Mammon, individually and on behalf of the other California Class members, requests that this Court enter such orders or judgments as may be necessary to enjoin Defendants from continuing their unfair, unlawful, and/or deceptive practices and to restore to Plaintiff Mammon and the other California Class members any money Defendants acquired by

unfair competition, including restitution and/or restitutionary disgorgement, and for such other relief set forth below.

## COUNT IV

# VIOLATION OF SONG-BEVERLY CONSUMER WARRANTY ACT FOR BREACH OF IMPLIED WARRANTY OF MERCHANTABILITY, CAL CIV. CODE §§ 1791.1 & 1792 (Plaintiff Mammon, individually and on behalf of the California Class)

68. Plaintiffs re-allege and incorporate by reference paragraphs 1-34 above.

69. Plaintiff Mammon brings this Count individually and on behalf of the California

Class.

70. Plaintiff Mammon and the other California Class members who purchased the

XHose in California are "buyers" within the meaning of Cal. Civ. Code § 1791(b).

71. The XHoses are "consumer goods" within the meaning of Civ. Code § 1791(a).

72. Defendants are "manufacturer[s]" of the XHoses within the meaning of Cal. Civ.

Code § 1791(j).

73. Defendants impliedly warranted to Plaintiff Mammon and the other California Class members that the XHoses were "merchantable" within the meaning of Cal. Civ. Code §§ 1791.1(a) & 1792. However, the XHoses do not have the quality that a buyer would reasonably expect.

74. Cal. Civ. Code § 1791.1(a) states:

"Implied warranty of merchantability' or 'implied warranty that goods are merchantable' means that the consumer goods meet each of the following:

- (1) Pass without objection in the trade under the contract description.
- (2) Are fit for the ordinary purposes for which such goods are used.
- (3) Are adequately contained, packaged, and labeled.

(4) Conform to the promises or affirmations of fact made on the container or label."

75. The XHoses would not pass without objection in the trade under the contract description because they are defective, prone to leaking, seeping, and bursting and are not fit for their ordinary and intended purpose as a garden hose.

76. The XHoses are not fit for their ordinary purposes because they are defective and prone to leaking, seeping, and bursting.

77. The XHoses are not adequately contained, packaged and labeled because the product containers, packaging and labeling represent that the XHoses are tough, durable, and long-lasting when they are in fact defective, prone to leaking, seeping, and bursting and are not fit for their ordinary and intended purpose as a garden hose.

78. The XHoses do not conform to the promises or affirmations of fact made on their container or labels because the product containers and labels represent that the XHoses are tough, durable, and long-lasting when they are in fact defective, prone to leaking, seeping, and bursting and are not fit for their ordinary and intended purpose as a garden hose.

79. Defendants breached the implied warranty of merchantability by manufacturing and selling XHoses that would not pass without objection in the trade under the contract description; are not fit for the ordinary purposes for which such goods are used; are not adequately contained, packaged, and labeled; and do not conform to the promises or affirmations of fact made on their containers or labels. Furthermore, these defects have caused Plaintiff and the other Class members to not receive the benefit of their bargain and have caused the XHoses to depreciate in value.

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80. As a direct and proximate result of Defendants' breach of the implied warranty of merchantability, Plaintiff Mammon and the other California Class members received goods whose falsely marketed condition substantially impairs their value to Plaintiff Mammon and the other California Class members. Plaintiff Mammon and the other California Class members have been damaged as a result of the diminished value of the XHoses.

81. Pursuant to Cal. Civ. Code §§ 1791.1(d) & 1794, Plaintiff Mammon and the other California Class members are entitled to damages and other legal and equitable relief including, at their election, the purchase price of the XHoses or the overpayment or diminution in value of the XHoses.

82. Pursuant to Cal. Civ. Code § 1794, Plaintiff Mammon and the other California Class members are entitled to costs and attorneys' fees.

# <u>COUNT V</u> VIOLATION OF CALIFORNIA CONSUMER LEGAL REMEDIES ACT, CALIFORNIA CIVIL CODE § 1750, *et seq.* (on behalf of the California Class)

83. Plaintiffs re-allege and incorporate by reference paragraphs 1-34 above as if fully set forth herein.

84. Plaintiff Mammon brings this Count on behalf of himself and the California Class seeking injunctive relief pursuant to the Consumer Legal Remedies Act, California Civil Code §§ 1750 et seq. ("CLRA").

85. California Civil Code § 1770(a)(5) specifically prohibits representing that goods "have sponsorship, approval, characteristics, ingredients, uses, benefits, or quantities which they do not have." § 1770(a)(7) further prohibits representing that goods "are of a particular standard, quality, or grade, or that goods are of a particular style or model, if they are of another." § 1770(a)(9) prohibits "[a]dvertising goods or services with intent not to sell them as advertised."

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86. Defendants have violated the CLRA by representing that the XHose has characteristics that it does not have and that it is of a particular quality when it is of another, and by advertising the XHose with the intent not to sell the product as advertised. Specifically, Defendants represented and advertised that the XHose is tough, durable, and long-lasting, when in fact the XHose is defective, prone to leaking, seeping, and bursting, and is not fit for its ordinary and intended use as a garden hose.

87. Plaintiff Mammon and the California Class Members reasonably relied upon those material misrepresentations.

88. Pursuant to California Civil Code §§ 1780 and 1781, Plaintiff Mammon and the California Class Members hereby request certification of the California Class, injunctive relief, and attorneys' fees, costs and expenses.

# <u>COUNT VI</u> VIOLATION OF THE DELAWARE CONSUMER FRAUD ACT, DEL CODE TIT. 6, § 2511, *et seq.* (Plaintiff Lano, Individually and On Behalf of the Delaware Class)

89. Plaintiffs re-allege and incorporate by reference paragraphs 1-34 above as if fully set forth herein.

90. Plaintiff Lano brings this count individually and on behalf of the members of the Delaware Class defined above.

91. The Delaware Consumer Fraud Act ("DCFA"), Del. Code Tit. 6, § 2511, *et seq.* makes unlawful "[t]he act, use or employment by any person of any deception, fraud, false pretense, false promise, misrepresentation, or the concealment, suppression, or omission of any material fact with intent that others rely upon such concealment, suppression or omission, in connection with the sale, lease or advertisement of any merchandise, whether or not any person has in fact been misled, deceived or damaged thereby."

## Case 1:14-cv-04015-ELH Document 1 Filed 12/24/14 Page 28 of 34

92. Defendants' misrepresentations and material omissions regarding the defective nature of the XHose constitute deceptive, fraudulent, and false acts in violation of the DCFA.

93. Defendants' deceptive, false, and fraudulent acts and omissions took place in the course of the sale and/or advertisement of merchandise.

94. Defendants intended for Plaintiff Lano and the Delaware Class to rely on these deceptive, false, and fraudulent acts and omissions when Plaintiff Lano and the Delaware Class purchased the XHose.

95. Plaintiff Lano and the Delaware Class have suffered injuries in fact and actual damages, resulting from Defendants' violation of the DCFA. These injuries are of the type the DCFA was designed to prevent, and are the direct and proximate result of Defendants' unlawful conduct.

# <u>COUNT VII</u> VIOLATION OF THE FLORIDA DECEPTIVE AND UNFAIR TRADE PRACTICES ACT, FLA. STAT. § 501.201, *et seq.* (Plaintiff Finnk, Individually and on behalf of the Florida Class)

96. Plaintiffs re-allege and incorporate by reference paragraphs 1-34 above as if fully set forth herein.

97. Plaintiff Finnk brings this count individually and on behalf of the members of the Florida Class defined above.

98. The Florida Deceptive and Unfair Trade Practices Act ("DUTPA"), Fla. Stat. § 501.201, *et seq.* makes unlawful any "[u]nfair methods of competition, unconscionable acts or practices, and unfair or deceptive acts or practices in the conduct of any trade or commerce."

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99. Defendants' misrepresentations and material omissions regarding the defective nature of the XHose constitute unconscionable, unfair, and deceptive acts or practices in violation of the DUTPA.

100. Defendants' unconscionable, unfair, and deceptive acts and omissions took place in the conduct of trade or commerce.

101. Defendants intended for Plaintiff Finnk and the Florida Class to rely on these unconscionable, unfair, and deceptive acts and omissions when Plaintiff Finnk and the Florida Class purchased the XHose.

102. Plaintiff Finnk and the Florida Class have suffered injuries in fact and actual damages, resulting from Defendants' violation of DUTPA. These injuries are of the type the DUTPA was designed to prevent, and are the direct and proximate result of Defendants' unlawful conduct.

#### **COUNT VIII**

# VIOLATION OF THE TEXAS DECEPTIVE TRADE PRACTICES AND CONSUMER PROTECTION ACT, TEX. BUS. & COM. CODE § 17.41, et seq. (Plaintiff Listander, Individually and on behalf of the Texas Class)

103. Plaintiffs re-allege and incorporate by reference paragraphs 1-34 above as if fully set forth herein.

104. Plaintiff Listander brings this count individually and on behalf of the members of the Texas Class defined above.

105. The Texas Deceptive Trade Practices and Consumer Protection Act ("DTPA"), Tex. Bus. & Com. Code § 17.41, *et seq.* makes unlawful any "[f]alse, misleading, or deceptive acts or practices in the conduct of any trade or commerce."

## Case 1:14-cv-04015-ELH Document 1 Filed 12/24/14 Page 30 of 34

106. Defendants' misrepresentations and material omissions regarding the defective nature of the XHose constitute false, misleading, and deceptive acts or practices in violation of the DTPA.

107. Defendants' false, misleading, and deceptive acts and omissions took place in the course of trade or commerce.

108. Defendants intended for Plaintiff Listander and the Texas Class to rely on these false, misleading, and deceptive acts and omissions when Plaintiff Listander and the Texas Class purchased the XHose.

109. Plaintiff Listander and the Texas Class have suffered injuries in fact and actual damages, resulting from Defendants' violation of DTPA. These injuries are of the type the DTPA was designed to prevent, and are the direct and proximate result of Defendants' unlawful conduct.

#### **COUNT IX**

# VIOLATION OF WISC. STAT. ANN. § 100.18: FRAUDULENT REPRESENTATIONS (Plaintiff Carton, Individually and On Behalf of the Wisconsin Class)

110. Plaintiffs re-allege and incorporate by reference paragraphs 1-34 above as if fully set forth herein.

111. Plaintiff Carton brings this count individually and on behalf of the members of the Wisconsin Class defined above.

112. Wisconsin Statutes Annotated § 100.18 makes it unlawful to, *inter alia*, make any "advertisement, announcement, statement or representation of any kind" in connection with the sale of merchandise that contains "any assertion, representation or statement of fact which is untrue, deceptive or misleading."

## Case 1:14-cv-04015-ELH Document 1 Filed 12/24/14 Page 31 of 34

113. Defendants' misrepresentations and material omissions regarding the defective nature of the XHose constitute untrue, deceptive, and misleading assertions, representations, and statements of fact in violation of Wisc. Stat. Ann. § 100.18.

114. Defendants' untrue, deceptive, and misleading assertions, representations, and statements of fact took place in connection with the sale of merchandise.

115. Defendants intended for Plaintiff Carton and the Wisconsin Class to rely on these untrue, deceptive, and misleading assertions, representations, and statements of fact when Plaintiff Carton and the Wisconsin Class purchased the XHose.

116. Plaintiff Carton and the Wisconsin Class have suffered injuries in fact and actual damages resulting from Defendants' violation of Wisc. Stat. Ann. § 100.18. These injuries are of the type Wisc. Stat. Ann. § 100.18 was designed to prevent, and are the direct and proximate result of Defendants' unlawful conduct.

## <u>COUNT X</u> BREACH OF THE IMPLIED WARRANTY OF FITNESS FOR A PARTICULAR PURPOSE (On Behalf of the Nationwide Class or, Alternatively, Each State Class)

117. Plaintiffs re-allege and incorporate by reference paragraphs 1-34 above as if fully set forth herein.

118. Plaintiffs bring this count on behalf of themselves and the members of the Class against Defendants.

119. At the time of purchase, Defendants had reason to know of Plaintiffs' and the Class members' particular purpose for purchasing the XHose.

120. Plaintiffs and the Class members relied on Defendants to design, manufacture, and properly test a suitable gardening hose product, thereby creating an implied warranty that the goods would be fit for such purpose.

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121. The defective XHoses were not fit for these purposes, thereby causing injuries to Plaintiffs and the Class members.

## <u>COUNT\_XI</u> BREACH OF THE IMPLIED WARRANTY OF MERCHANTABILITY (On Behalf of the Nationwide Class or, Alternatively, Each State Class)

122. Plaintiffs re-allege and incorporate by reference paragraphs 1-34 above as if fully set forth herein.

123. Plaintiffs bring this count on behalf of themselves and the members of the Class against Defendants.

124. At all times mentioned herein, Defendants manufactured and sold the XHose, and prior to the time it was purchased by Plaintiffs and the putative Class, Defendants impliedly warranted to Plaintiffs that the XHose was of merchantable quality and fit for the use for which it was intended.

125. The XHoses were unfit for their intended use and were not of merchantable quality, as warranted by Defendants, but instead contained a manufacturing or design defect. Specifically, the XHose suffers from a design and/or manufacturing defect because it is prone to leaking, bursting, seeping, and dripping.

126. As a direct and proximate result of the breach of said warranties, Plaintiffs and the members of the Class suffered and will continue to suffer losses as alleged herein.

#### **PRAYER FOR RELIEF**

WHEREFORE, Plaintiffs pray, on behalf of themselves and members of the Class, that this Court:

A. determine that the claims alleged herein may be maintained as a class action under Rule 23(a), (b)(2), and/or (b)(3) of the Federal Rules of Civil

Procedure, and issue order certifying the Class or Classes as defined above;

- B. award all actual, general, special, incidental, statutory, punitive and consequential damages to which Plaintiffs and Class members are entitled;
- C. award pre-judgment and post-judgment interest on such monetary relief;
- D. grant appropriate injunctive relief and/or declaratory relief as the Court may deem reasonable; and
- E. award reasonable attorneys' fees and costs; and grant such further and other relief that this Court deems appropriate.

## JURY DEMAND

Plaintiffs, on behalf of themselves and the putative class, demand a trial by jury on all issues so triable.

Dated: December 24, 2014.

Respectfully submitted,

By: <u>/s/ James P. Ulwick</u> James P. Ulwick (Bar No. 00536) Kramon & Graham, P.A. One South Street, Suite 2600 Baltimore, Maryland 21202 Telephone: (410) 752-6030 Facsimile: (410) 539-1269 Email: julwick@kg-law.com

OF COUNSEL:

Katrina Carroll <u>kcarroll@litedepalma.com</u> Kyle A. Shamberg <u>kshamberg@litedepalma.com</u> **LITE DEPALMA GREENBERG, LLC** Chicago Office 211 West Wacker Drive Suite 500 Chicago, IL 60606 Telephone: (312) 750-1265 Joseph G. Sauder Matthew D. Schelkopf **CHIMICLES & TIKELLIS LLP** One Haverford Centre 361 West Lancaster Avenue Haverford, PA 19041 Telephone: (610) 642-8500 Facsimile: (610) 649-3633 E-mail: JosephSauder@chimicles.com MatthewSchelkopf@chimicles.com

Counsel for Plaintiffs and the Class

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JS 44 (Rev. 12/12)

# **CIVIL COVER SHEET**

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

<ul> <li>(b) County of Residence of First Listed Plaintiff Waukesha County, WI (EXCEPT IN U.S. PLAINTIFF CASES)</li> <li>(c) Attorneys (Firm Name, Address, and Telephone Number) James P. Ulwick</li> <li>(c) Attorneys (Firm Name, Address, and Telephone Number)</li> <li>(c) Attorneys (If Known)</li> </ul>	<u>1d</u>
James P. Ulwick Kramon & Graham, P.A.	
One South Street, Suite 2600, Baltimore, Maryland 21202	
II. BASIS OF JURISDICTION (Place an "X" in One Box Only) III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Pl	laintiff
(For Diversity Cases Only)       and One Box for Defendant)         1 U.S. Government       3 Federal Question       PTF       DEF       PTF       DEF         Plaintiff       (U.S. Government Not a Party)       Citizen of This State       1 0 1 Incorporated or Principal Place       4 20 of Business In This State	
2       U.S. Government Defendant       X 4       Diversity (Indicate Citizenship of Parties in Item III)       Citizen of Another State       X 2       2       Incorporated and Principal Place       5       0         O       6       0	
Citizen or Subject of a 🗍 3 🗐 3 Foreign Nation 🗍 6 🗍 Foreign Country	6
IV. NATURE OF SUIT (Place an "X" in One Box Only) CONTRACT TORTS FORFEIFURE/PENALTY BANKRUPTCY OTHER STATUTES	J
CONTRACT       TORTS       PORFETTURE/PENALTY       RANKRUPTCY       OTHER STATUTES         110 Insurance       310 Airplane       310 Airplane       355 Personal Injury       250 Drug Related Scizure       422 Appeal 28 USC 158       375 False Claims Act         130 Miller Act       315 Airplane Product       Product Liability       365 Personal Injury       690 Other       422 Appeal 28 USC 158       375 False Claims Act         140 Negotiable Instrument       Liability       337 Heatin Care       690 Other       420 Appeal 28 USC 157       410 Antitrust         151 Medicare Act       330 Federal Employers'       Product Liability       368 Asbestos Personal       940 Trademark       460 Deporation         152 Recovery of Defaulted       Sader       Product Liability       368 Asbestos Personal       940 Trademark       480 Consumer Cedit         153 Recovery of Overpayymet       Liability       363 Absestos Personal       862 Black Lung (923)       863 Slocot Composition       863 DlWC/DIWW (405(g))       890 Other Statuory Action         150 Seconter Coduct Liability       360 Other Personal       710 Tait Labor Standards       863 Black Lung (923)       890 Other Statuory Action         151 Medicars Suits       355 Motor Vehicle       370 Trut in Lending       710 Tait Labor Standards       863 SliVC/DIWW (405(g))       890 Admination       891 Agroutural A	and s es/ ns s on ture
Conditions of Confinement	
V. ORIGIN (Place an "X" in One Box Only)	—
X 1 Original ☐ 2 Removed from ☐ 3 Remanded from ☐ 4 Reinstated or ☐ 5 Transferred from ☐ 6 Multidistrict Proceeding State Court Appellate Court Reopened Another District Litigation (specify)	
VI. CAUSE OF ACTION       Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): 28 U.S.C. Section 1332(d)         Brief description of cause: Consumer Class Action for Breach of Warranty, Fraud	
VII. REQUESTED IN COMPLAINT:       Ø       CHECK IF THIS IS A CLASS ACTION       DEMAND \$       CHECK YES only if demanded in complaint: JURY DEMAND;       CHECK YES only if demanded in complaint:	
VIII. RELATED CASE(S) IF ANY (See instructions): JUDGE William D. Quarles, Jr. DOCKET NUMBER 1:14-cv-03205	
DATE 12/24/11 SIGNATURE OF ATTORNEY OF PEOPLE	—
FOR OFFICE USE ONLY     RECEIPT #AMOUNTAPPLYING IFPJUDGEMAG. JUDGE	

#### INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

#### Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I.(a)** Plaintiffs-Defendants. Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".

II. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below. United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here. United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box. Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; NOTE: federal question actions take precedence over diversity cases.)

- III. Residence (citizenship) of Principal Parties. This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit. Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerk(s) in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.
- V. Origin. Place an "X" in one of the six boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date. Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407. When this box is checked, do not check (5) above.

- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P. Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction. Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases. This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.

Case 1:14-cv-04015-ELH Document 1-2 Filed 12/24/14 Page 1 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action

	S DISTRICT COURT			
Distric	t of Maryland			
Michael Carton, et al.	) ) )			
Plaintiff(s) V.	) ) Civil Action No.			
DAP Products, Inc., National Express Inc. and RPM International, Inc.	) ) )			
Defendant(s)	)			
SUMMONS IN A CIVIL ACTION				
To: (Defendant's name and address) DAP PRODUCTS, INC. Serve on Resident Agent: The Prentice-Hall Corporation System, Maryland 7 St. Paul Street, Suite 1660 Baltimore, Maryland 21202				

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: James P. Ulwick

Kramon & Graham, P.A. One South Street, Suite 2600 Baltimore, Maryland 21202

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: \_\_\_\_\_

Signature of Clerk or Deputy Clerk

# Case 1:14-cv-04015-ELH Document 1-2 Filed 12/24/14 Page 2 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

#### Civil Action No.

#### **PROOF OF SERVICE**

## (This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

	This summons for (nam	ne of individual and title, if any)				
was re	ceived by me on (date)	··				
	I personally served	the summons on the individual	at (place)			
			on (date)			
	□ I left the summons	at the individual's residence or u	usual place of abode with (name)			
	, a person of suitable age and discretion who resides there,					
	on (date), and mailed a copy to the individual's last known address; or					
	□ I served the summo	ons on (name of individual)		, who is		
	designated by law to a	accept service of process on beh	alf of (name of organization)			
			on (date)	; or		
	□ I returned the summ	nons unexecuted because		; or		
	<b>Other</b> (specify):					
	My fees are \$	for travel and \$	for services, for a total of \$	0.00		
	I declare under penalty	y of perjury that this information	is true.			
Date:						
Date.			Server's signature	**		
		·····	Printed name and title			

Server's address

Additional information regarding attempted service, etc:

Case 1:14-cv-04015-ELH Document 1-3 Filed 12/24/14 Page 1 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT			
District of	District of Maryland		
Michael Carton, et al.	)		
Plaintiff(s)	)		
v.	) Civil Action No.		
DAP Products, Inc., National Express Inc. and RPM International, Inc.	)		
Defendant(s)	)		
SUMMONS IN 2	A CIVIL ACTION		
To: (Defendant's name and address) National Express, Inc. 2 Morgan Avenue Norwalk, Connecticut 0685	1		

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: James P. Ulwick

Kramon & Graham, P.A. One South Street, Suite 2600 Baltimore, Maryland 21202

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date:

Signature of Clerk or Deputy Clerk

# Case 1:14-cv-04015-ELH Document 1-3 Filed 12/24/14 Page 2 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

#### Civil Action No.

#### **PROOF OF SERVICE**

## (This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

	This summons for (nan	ne of individual and title, if any)			
was re	ceived by me on (date)				
	□ I personally served	the summons on the individu	al at (place)		
			on (date)	; or	
	□ I left the summons	at the individual's residence of	or usual place of abode with (name)		
		, a person of suitable age and discretion who resides there,			
	on (date)	, and mailed a copy	to the individual's last known address; or		
	□ I served the summe	ons on (name of individual)		, who is	
	designated by law to a	accept service of process on b			
			on (date)	; or	
	□ I returned the summ	nons unexecuted because		; or	
	<b>Other</b> (specify):				
	My fees are \$	for travel and \$	for services, for a total of \$	0.00	
	I declare under penalty	y of perjury that this informat	ion is true.		
Date:					
			Server's signature		
			Printed name and title		
			Server's address		

Additional information regarding attempted service, etc:

Case 1:14-cv-04015-ELH Document 1-4 Filed 12/24/14 Page 1 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT for the			
Dis	District of Maryland		
Michael Carton, et al.	)		
	) )		
Plaintiff(s) V.	) Civil Action No.		
DAP Products, Inc., National Express Inc. and RPM International, Inc.	) ) )		
Defendant(s)	_ )		
SUMMON	S IN A CIVIL ACTION		

To: (Defendant's name and address) RPM International, Inc. 2628 Pearl Road P.O. Box 777 Medina, Ohio 44258

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: James P. Ulwick

Kramon & Graham, P.A. One South Street, Suite 2600 Baltimore, Maryland 21202

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date:

Signature of Clerk or Deputy Clerk

# Case 1:14-cv-04015-ELH Document 1-4 Filed 12/24/14 Page 2 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

#### Civil Action No.

#### **PROOF OF SERVICE**

## (This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (1))

	This summons for (nai	me of individual and title, if any)			
was re	ceived by me on (date)	·			
	I personally served	the summons on the individual a	tt (place)		
			on (date)	; or	
	I left the summons at the individual's residence or usual place of abode with (name)				
		, a persor	n of suitable age and discretion who res	sides there,	
	on (date), and mailed a copy to the individual's last known address; or				
	□ I served the summe	ons on (name of individual)		, who is	
	designated by law to	accept service of process on beha			
			on (date)	; or	
	□ I returned the sum	nons unexecuted because		; or	
	Other (specify):				
	My fees are \$	for travel and \$	for services, for a total of \$	0.00	
	I declare under penalt	y of perjury that this information	is true.		
Date:					
Date.			Server's signature		
			Printed name and title		

Server's address

Additional information regarding attempted service, etc: