

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK**

JASON MENCER,
VINCENT DOUGHERTY,
TIM HARROLD,
ROSS HEPBURN,
JOSH FRASIER, and
CODY WALDEN, individually
and on behalf of members of
the proposed Classes;

Plaintiffs,

CASE NO.:

v.

CLASS ACTION COMPLAINT

NBTY, INC., UNITED STATES
NUTRITION, INC., and
HEALTHWATCHERS, INC.;

JURY TRIAL DEMANDED

Defendants,

_____ /

CLASS ACTION COMPLAINT

Plaintiffs Jason Mencer, Vincent Dougherty, Tim Harrold, Ross Hepburn, Josh Frasier, and Cody Walden (“Plaintiffs”), individually and on behalf of themselves and all others similarly situated, by his undersigned attorneys, upon personal knowledge as to themselves, upon information and belief, and based upon the investigation of their Counsel as to the remaining allegations, allege as follows:

I. INTRODUCTION

1. This is a civil class action brought individually by Plaintiffs and on behalf of all persons and entities in the United States and the states of Colorado, Florida, Kentucky, Oregon, Pennsylvania, and South Carolina (“Class Members”), who purchased the dietary supplement Body Fortress Super Advanced Whey Protein¹ (the “Product”) from Defendants.

2. The whey protein industry is a growing and extremely competitive business environment: “during the forecast period, [the market for] protein products is expected to grow by 62% to reach US\$7.8 billion in 2018.”
<http://www.euromonitor.com/sports-nutrition-in-the-us/report>

3. However, the price of wholesale whey protein keeps increasing and is usually purchased for roughly \$15-\$18/kilo, making the profit margins on whey protein powder products very low.

4. Defendants designed, manufactured, warranted, advertised and sold the Product throughout the United States, including in the states of Colorado, Kentucky, Florida, Oregon, Pennsylvania and South Carolina.

5. In an effort to reduce protein manufacturing costs, Defendants add cheaper free form amino acids and non-protein ingredients to increase the nitrogen content of the Product’s protein powder. Nitrogen is the “tag” used by a common

¹ Super Advanced Whey Protein contains two types of whey protein; whey protein concentrate and whey protein isolate.

protein content test to determine the amount of protein in a product; but this is neither a direct measure of the actual protein content in a product nor a measure of the type of nitrogen containing compounds in a product.

6. This act is commonly referred to as “protein-spiking”, “nitrogen-spiking” or “amino-spiking”, and was evidenced recently in the 2007 pet food incident, which lead to domestic recalls of these products, and the 2008 Chinese milk powder scandal, when melamine, a nitrogen-rich chemical, was added to raw materials to fake high protein contents.

7. As a result of Defendants’ practices, the consumer is left with a product that contains approximately 30% less whey protein than Defendants represented.

8. This practice has been condemned by the American Herbal Products Association (AHPA), an organization of dietary supplement manufacturers, which has issued a standard for manufacturers for measuring the True Protein content of their products which:

- a) Defines protein as “a chain of amino acids connected by peptide bonds” for labeling purposes;
- b) The use of calculations to include only proteins that are “chains of amino acids connected by peptide bonds; and
- c) To exclude any “non-protein nitrogen-containing substances” when counting total protein content.

www.ahpa.org/Default.aspx?tabid=441, April 1, 2014

9. Defendant NBTY, Inc. has been a member of AHPA since 1993.

10. Even one of the largest distributors in the United States of whey protein products, General Nutrition Centers, Inc. (“GNC”) has publicly criticized the kind of conduct engaged in by Defendants, essentially claiming it to be misleading to consumers. According to GNC, consumers cannot be sure that they are getting 100 percent protein in their products since companies don’t always show how they figure total grams of protein per serving.

www.gnclivewell.com/realprotein.

11. Despite the knowledge that “protein-spiking” is misleading to consumers, Defendants continue to advertise, distribute, label, manufacture and market the Product in a misleading and deceptive manner.

II. Parties

Named Plaintiffs

12. During the Class period, Jason Mencer and Florida Class Members purchased the Product Body Fortress Super Advanced Whey Protein through Walmart and various other retailers such as CVS, Walgreens, and numerous others. Plaintiff Mencer and Class Members suffered an injury in fact caused by the false, fraudulent, unfair, deceptive and misleading practices set forth in this Complaint. Jason Mencer is a resident of the County of Polk, State of Florida, and the events set forth in the Complaint took place therein, who, on or about June 21, 2014,

purchased the Product Body Fortress Super Advanced Whey Protein for approximately \$16.98, with the UPC Code 074312443152, for his own use and not for resale. Plaintiff Mencer made regular purchases of Defendants' product, approximately one container every two to three weeks for the past several years, from two Walmart locations in Polk County, FL. These two Walmart's are located at 355 Cypress Gardens Blvd, Winter Haven, FL 33880 and 5600 State Road 544, Winter Haven, FL 33880.

13. During the Class Period, Vincent Dougherty and Pennsylvania Class Members purchased the Product Body Fortress Super Advanced Whey Protein through Walmart and various other retailers such as CVS, Walgreens, and numerous others. Plaintiff Dougherty and Class Members suffered an injury in fact caused by the false, fraudulent, unfair, deceptive and misleading practices set forth in this Complaint. Vincent Dougherty is a resident of the County of Clearfield, State of Pennsylvania, and the events set forth in the Complaint took place therein, who, on or about May 27, 2014, purchased the Product Body Fortress Super Advanced Whey Protein, with the UPC Code 074312443152, for his own use and not for resale from Walmart located at 20 Industrial Dr., Dubois, PA 15801, for approximately \$15.98.

14. During the Class Period, Tim Harrold and Oregon Class Members purchased the Product Body Fortress Super Advanced Whey Protein through Walmart and various other retailers such as CVS, Walgreens, and numerous others.

Plaintiff Harrold and Class Members suffered an injury in fact caused by the false, fraudulent, unfair, deceptive and misleading practices set forth in this Complaint.

15. During the Class Period, Ross Hepburn and Kentucky Class Members purchased the Product Body Fortress Super Advanced Whey Protein through Walmart and various other retailers such as CVS, Walgreens, and numerous others. Plaintiff Hepburn and Class Members suffered an injury in fact caused by the false, fraudulent, unfair, deceptive and misleading practices set forth in this Complaint. Ross Hepburn, who, on or about March 31, 2011, purchased the Product Body Fortress Super Advanced Whey Protein, for his own use and not for resale from Walmart.com, for approximately \$13.00. Mr. Hepburn also purchase the “Body Fortress 5pk Bundle” which contained five containers of the Product on November 15, 2011 for \$60.72.

16. During the Class Period, Josh Frasier and South Carolina Class Members purchased the Product Body Fortress Super Advanced Whey Protein through Walmart and various other retailers such as CVS, Walgreens, and numerous others. Plaintiff Frasier and Class Members suffered an injury in fact caused by the false, fraudulent, unfair, deceptive and misleading practices set forth in this Complaint. Josh Frasier purchased the Product Body Fortress Super Advanced Whey Protein, with the UPC Code 07431229655, for his own use and not for resale from Walmart located at 1283 Broad St., Sumpter, SC 29150, for approximately \$15.98.

17. During the Class Period, Cody Walden and Colorado Class Members purchased the Product Body Fortress Super Advanced Whey Protein through Walmart and various other retailers such as CVS, Walgreens, and numerous others. Plaintiff Walden and Class Members suffered an injury in fact caused by the false, fraudulent, unfair, deceptive and misleading practices set forth in this Complaint. Cody Walden purchased the Product Body Fortress Super Advanced Whey Protein, with, for his own use and not for resale from Walmart located in Stapleton, Colorado, for approximately \$15.00.

Defendants

18. NBTY, Inc. is licensed in the State of Delaware, with a principal place of business address at 2100 Smithtown Avenue, Ronkonkoma, NY 11779. Under information and belief NBTY, Inc. has controlling interest in United States Nutrition, Inc. and Healthwatchers, Inc.

19. Defendant NBTY (“NBTY”) is the parent company of Defendants, United States Nutrition, Inc. and Healthwatchers, Inc.

20. United States Nutrition, Inc. is licensed in the State of Delaware, with a principal place of business address at 90 Orville Drive, Bohemia, NY 11716, and upon information and belief is a subsidiary of Defendant NBTY, Inc.

21. Healthwatchers, Inc. is licensed in the State of Delaware, with a principal place of business address at 90 Orville Drive, Bohemia, NY 11716, and upon information and belief is a subsidiary of Defendant NBTY, Inc.

III. JURISDICTION AND VENUE

22. Subject Matter Jurisdiction. This Court has subject matter jurisdiction over Plaintiff's claims pursuant to 28 U.S.C. § 1332(d) because the combined claims of the proposed class members exceed \$5,000,000² and because Defendants are a citizen of a different state from the members of the Class.

23. Personal Jurisdiction. This Court has personal jurisdiction over Defendants because they are headquartered in this District.

24. Venue. Venue is proper in this District pursuant to: (1) 28 U.S.C. § 1391(b)(2) in that a substantial part of the events or omissions giving rise to Plaintiff's claims occurred in this District; and (2) 28 U.S.C. § 1391(b)(3) in that Defendant is subject to personal jurisdiction in this District.

IV. STATEMENT OF FACTS

The Differences Between Whey Protein & Free Form Amino Acids

25. Whey is a complete protein source, which means it contains all the essential amino acids your body needs to build protein-based compounds such as muscle tissue, skin, fingernails, hair and enzymes. Daily protein need depends on your size, gender and activity levels, although it likely amounts to somewhere

² Defendant's Product is sold through numerous different online and brick/mortar retailers, including but not limited to; Walgreens, Vitamin World, Wal-Mart, and Bodybuilding.com. There are likely hundreds of thousands of class members composing the proposed classes with tens of millions of dollars spent on the Product due to the far reaching distribution channels and high consumer demand for whey protein products.

between 46 grams and 56 grams. For elite athletes, daily protein requirements are well over 100 grams, which is often difficult to get just from eating food. Of course, persons may need to supplement their protein intake for reasons of ill-health as well.

26. Whey protein powder is especially rich in branched-chain amino acids -- leucine, isoleucine and valine -- which are metabolized directly within your muscles as opposed to being processed in your liver first.

27. The 2005 dietary reference intake (DRI) guidance from the National Academy of Sciences clearly defines protein as macromolecules with links of amino acids, and does not mention free-form amino acids or creatine. Although amino acids are the building blocks of protein, they do not have the same beneficial effects of whole protein when they are free-form, and not part of an actual protein. Part of the reason for this has to do with protein digestion and absorption.

28. There have been several studies that have shown that protein is absorbed more effectively than amino acids.³

29. Accordingly, at least one study was conducted to determine whether the effects of whey protein ingestion on muscle protein accrual are due solely to its constituent essential amino acid content. The study was a comparison of three trial

³ Di Pasquale MG. Amino Acids and Proteins for the Athlete: The Anabolic Edge, Second Edition. Boca Raton, FL: CRC Press; 2008:190.

groups. The first provided intact whey protein (whey protein powder). The other two trials provided either the individual essential amino acids (i.e. free-form) or the individual non-essential amino acids found in whey. The researchers determined that whey protein ingestion improves skeletal muscle protein accrual through mechanisms that are beyond those attributed to its essential amino acid content.⁴

30. Yet another study found that “the lack of recovery after immobilization-induced atrophy during ageing is due to an ‘anabolic resistance’ of protein synthesis to amino acids during rehabilitation.” The study’s results “highlight a novel approach to induce muscle mass recovery following atrophy in the elderly by giving soluble milk protein or high protein diets.”⁵

31. Thus, one review study the authors concluded that, “the bound form of an EAA [essential amino acid] may be more efficiently utilized than when delivered in its free-form.”⁶

Body Fortress’ Misleading Labeling of Super Advanced Whey Protein

32. Defendants feature the name of the ingredient sought by millions of American consumers, “whey protein”, by predominantly featuring it in the

⁴ Katsanos C, *et al.* Whey protein ingestion in elderly results in greater muscle protein accrual than ingestion of its constituent essential amino acid content. *Nutr. Res.* Oct. 2008; 28(10):651-658.

⁵ Magne H, *et al.* Contrarily to whey and high protein diets, dietary free leucine supplementation cannot reverse the lack of recovery of muscle mass after prolonged immobilization during ageing. *J. Physiol.* Apr 15, 2012; 590(Pt 8): 2035-2049.

⁶ Terada T, Inui K. Peptide transporters: structure, function, regulation and application for drug delivery. *Curr Drug Metab.* 2004;5:85-94.

name of the Product, “Body Fortress Super Advanced Whey Protein” on the containers. *See Exhibit A.*

33. Defendants’ product, “Body Fortress Super Advanced Whey Protein” is labeled as providing 30 grams of protein per serving:

Supplement Facts

Serving Size 1 Scoop (42 g) 2 Scoops (84 g)
Servings Per Container about 21 about 11

Amount Per Serving	%Daily Value	%Daily Value
Calories	170	340
Calories from Fat	25	45
Total Fat	2.5 g 4%†	5 g 8%†
Saturated Fat	1.5 g 8%†	3 g 15%†
Cholesterol	70 mg 23%	140 mg 46%
Total Carbohydrate	7 g 2%†	14 g 5%†
Dietary Fiber	<1 g 4%†	2 g 8%†
Sugars	2 g ††	3 g ††
Protein	30 g 60%†	60 g 120%†
Calcium	72 mg 7%	144 mg 14%
Iron	0.5 mg 3%	1 mg 5%
Phosphorus	74 mg 7%	149 mg 15%
Magnesium	23 mg 6%	45 mg 11%
Zinc	0.09 mg 1%	0.19 mg 1%
Copper	0.05 mg 3%	0.11 mg 5%
Sodium	100 mg 4%	200 mg 8%
Potassium	210 mg 6%	420 mg 12%

†Percent Daily Values are based on a 2,000 calorie diet.
††Daily Value not established.

Ingredients: Super Whey Protein Blend (Whey Protein Concentrate, Whey Protein Isolate), Super Recovery Blend (Glycine, Creatine Monohydrate, Taurine, Threonine, L-Glutamine, Leucine, Valine, Isoleucine), Maltodextrin, Cocoa (processed with alkali), Natural and Artificial Flavors, Soy Lecithin, Cellulose Gum, Acesulfame Potassium, Sucralose. Contains milk and soy ingredients.

Gluten Free

Typical Amino Acid Profile (milligrams per 42 g scoop***)

Essential Amino Acids	Nonessential Amino Acids
Histidine 334 mg	Alanine 903 mg
Isoleucine 1,133 mg	Arginine 469 mg
Leucine 1,974 mg	Aspartic Acid 2,078 mg
Lysine 1,616 mg	Cysteine 422 mg
Methionine 422 mg	Glutamic Acid 3,104 mg
Phenylalanine 623 mg	Glycine 4,357 mg
Threonine 2,222 mg	Proline 1,116 mg
Tryptophan** 320 mg	Serine 915 mg
Valine 1,093 mg	Tyrosine 564 mg

**L-Tryptophan is naturally occurring, not added.
***approximate values

YOUR BODY - YOUR FORTRESS.®

For more information on other Body Fortress® products or for complete training and nutrition programs, visit www.bodyfortress.com.

Directions: For adults, add one (1) scoop (42 g) to 6-8 ounces of water or your favorite beverage daily. Serious athletes and bodybuilders (over 125 pounds) should consume 1-2 scoops immediately after exercise.

BLENDER – SIMPLE
Cover and blend for 20-30 seconds. ^^

SHAKER – SIMPLER
Cover and shake for 25-30 seconds.

GLASS & SPOON – SIMPLEST
Stir for 20-30 seconds or until completely blended.

^^For Mass Gaining: add higher calorie foods such as peanut butter, 1-2% milk, and fruit juices.
For Dieters: add lower calorie foods such as skim milk or just use water.

Please note: Crystalline Taurine will appear as small crystals within the powder.

Body Fortress® Super Advanced Whey Protein is aspartame free.

WARNING: Not intended for use by pregnant or nursing women. If you are taking any medications or have any medical condition, consult your doctor before use. Avoid this product if you have kidney disease. Discontinue use and consult your doctor if any adverse reactions occur. Not intended for use by persons under the age of 18.

KEEP OUT OF THE REACH OF CHILDREN. STORE AT ROOM TEMPERATURE, TIGHTLY CLOSED AND AVOID EXCESSIVE HEAT. FOR YOUR PROTECTION, DO NOT USE IF SEAL UNDER CAP IS BROKEN OR MISSING.

†These statements have not been evaluated by the Food and Drug Administration. This product is not intended to diagnose, treat, cure or prevent any disease.

PLEASE RECYCLE

Carefully Manufactured by Healthwatchers (DE), Inc. Bohemia, NY 11716 U.S.A.
1-800-215-5980

44320 170 B52451 MAB
0 74312 44320 6

34. However, Defendants’ claimed total protein count of 30 grams of protein per serving, in Super Advanced Whey Protein, is not just whey protein but also includes, for the purposes of “protein-spiking”: several free form amino acids,

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including Glycine, Threonine, L-Glutamine, Leucine, Valine, and Isoleucine; the non-protein amino acid Taurine; and the non-amino acid compound Creatine Monohydrate.

35. Once these “protein spiking” agents are removed from the formula of analysis, and the “bound” amino acid count is determined, the true content of whey protein in the Product can be determined.

36. After scientific testing of the product Super Advanced Whey Protein, the actual total content per serving of whey protein is actually around 21.5 grams (as calculated from the total bonded amino acids) as opposed to 30 grams of protein claims by Defendants for their “Whey Protein” products. *See Exhibit C.*

37. The FDCA actually speaks to the misleading nature of this sort of labeling of a product under 21 C.F.R. § 101.18(b), which states:

The labeling of a food which contains two or more ingredients may be misleading by reason (among other reasons) of the designation of such food in such labeling by a name which includes or suggests the name of one or more but not all such ingredients, even though the names of all such ingredients are stated elsewhere in the labeling.

38. In violation of 21 C.F.R. § 101.18(b), Defendants mislead consumers by repeatedly referencing whey protein, including in the name of the Product, but never disclaiming the limited amount of whey protein that the Product actually delivers or making clear that the Product’s protein content is only fractionally whey protein.

39. Defendants use the term “whey protein” in a way that is interchangeable with the term “protein”, so the consumer is misled to believe that every gram of protein in the product is comprised solely of whey protein.

40. For the Product Super Advanced Whey Protein, under the “Supplement Facts” section of the label, referenced above, under “Other Ingredients”, the Defendants list Whey Protein Concentrate and Whey Protein Isolate in their “Super Whey Protein Blend”.

41. In contrast, Defendants disclose the “protein-spiking” agents in a separate category they call the “Super Recovery Blend” which makes no reference to the word “protein”.

42. A reasonable consumer, looking at the name of the Product, and reading the “Supplement Facts”, is misled into thinking that the 30 grams of protein per serving claimed by Defendants for the Whey Protein Product are derived exclusively from the “Super Whey Protein Blend”.

43. Moreover, Defendants make further deceptive references to whey protein on the actual label of the product Super Advanced Whey Protein:

- a) “60g PREMIUM PROTEIN”;
- b) “**PREMIUM WHEY PROTEIN**”;
- c) “Body Fortress Super Advanced **Whey Protein** delivers a **powerful blend of premium proteins** athletes need to support lean muscle mass and maximize their training.”;

- d) “Body Fortress Super Advanced **Whey Protein** features a **Super Recovery Blend** to further enhance the benefits of our **premium Whey Protein Blend**.”;
- e) “Whey is the preferred **protein source** in sports and bodybuilding nutrition because it contains superior quality **Branched Chain Amino Acids** — **made up of Leucine, Isoleucine and Valine** — which are important for the maintenance of muscle tissue.”; and
- f) “Contains naturally occurring **Branched Chain Amino Acids** from **protein**.”⁷

See Exhibits A-B.

44. Defendants also include a graph on the labels of the Products that explain the benefits of whey protein, exclusively, furthering the false impression that whey protein is the sole source of protein within the Products. *See Exhibit B.*

45. All of these misleading label claims, along with the Products’ names, “Super Whey Protein Blend” and “Whey Protein Isolate”, taken together, mislead reasonable consumers that the protein content of the Products were derived solely from whey protein.

⁷ Defendants say “protein” in this statement to mean complete protein which contains Branched Chain Amino Acids (“BCAAs”). Defendants do not differentiate between Whey Protein and the other non-protein sources they use towards their protein count. Creatine Monohydrate, Glycine and Taurine do not contain BCAAs.

46. Nowhere on the label does it state, or even imply, that the protein content contains any, let alone substantial amounts of free form and non-protein amino acids.

47. Plaintiffs and Class Members were in fact misled by Defendant's representations regarding the true nature of the protein content and value.

48. The difference between the Product promised and the Product sold is significant. The amount of actual protein provided, and the measure of protein per serving, has real impacts on the benefits provided to consumers by the Product, and the actual value of the Product itself.

49. Persons requiring a certain amount of protein supplementation, whether as part of a fitness regimen or for real health needs, are left to ingest less protein than Defendants state will be provided.

50. Defendants' false and misleading claims contained herein are in violation of 21 C.F.R. § 101.18(b), making the Product misbranded.

51. Defendants' deceptive statements violate 21 U.S.C. § 343(a)(1), which deems food (including nutritional supplements) misbranded when the label contains a statement that is "false or misleading in any particular".

52. Defendants' deceptive statements also violate the Colorado Pure Food & Drug Law 25-5-411, KRS 217.035, Florida Statute § 500.11(1)(a) and Oregon Statute § 616.250(1) which also deem food (including nutritional supplements)

misbranded when the labels contains a statement that is “false or misleading in any particular”.

53. The introduction of misbranded food into interstate commerce is prohibited under the FDCA and all state parallel statutes cited in this Class Action Complaint.

54. Plaintiffs and Class Members would have purchased another whey protein product, if any at all, or would have only paid for the whey protein actually delivered with the Product, if they would have not been deceived by the misleading labeling of the Product by Defendants.

V. CLASS ACTION ALLEGATIONS

55. Plaintiffs bring this action individually and as representatives of all those similarly situated pursuant to Rule 23 F.R.C.P. on behalf of the class and subclass (“the Classes”). The Classes are defined as follows:

National Class: All persons in the United States that purchased the Product at any time during the four years before the date of filing of this Complaint to the present.

Colorado Subclass: All persons in the State of Colorado that purchased the Product at any time during the four years before the date of filing of this Complaint to the present.

Florida Subclass: All persons in the State of Florida that purchased the Product at any time during the four years before the date of filing of this Complaint to the present.

Kentucky Subclass: All persons in the State of Kentucky that purchased the Product at any time during the four years before the date of filing of this Complaint to the present.

Oregon Subclass: All persons in the State of Oregon that purchased the Product at any time during the four years before the date of filing of this Complaint to the present.

Pennsylvania Subclass: All persons in the State of Pennsylvania that purchased the Product at any time during the four years before the date of filing of this Complaint to the present.

South Carolina Subclass: All persons in the State of South Carolina that purchased the Product at any time during the four years before the date of filing of this Complaint to the present.

56. Excluded from the Classes are Defendants, any entity in which Defendants have a controlling interest or that have a controlling interest in Defendants, and Defendants' legal representatives, assignees, and successors. Also excluded are the judge to who this case is assigned and any member of the judge's immediate family.

57. Numerosity. The Classes are so numerous that joinder of all members is impracticable. On information and belief, the Classes have more than 10,000 members. Moreover, the disposition of the claims of the Classes in a single action will provide substantial benefits to all parties and the Court.

58. Commonality. There are numerous questions of law and fact common to Plaintiff and members of the Classes. These common questions of law and fact include, but are not limited to, the following:

- a. Whether the marketing, advertising, packaging, labeling, and other promotional materials for the Product are deceptive;

- b. Whether Defendants violated Florida and Oregon consumer protection statutes; and
- c. Whether Defendants were Unjustly Enriched at the expense of the Plaintiffs and Class Members.

59. Typicality. Plaintiffs' claims are typical of the claims of the Classes. Plaintiffs' claims, like the claims of the Classes, arise out of the same common course of conduct by Defendants and are based on the same legal and remedial theories.

60. Adequacy. Plaintiffs will fairly and adequately protect the interests of the Classes. Plaintiffs have retained competent and capable attorneys with significant experience and complex and class action litigation, including consumer class actions. Plaintiffs and his counsel are committed to prosecuting this action vigorously on behalf of the Classes and have the financial resources to do so. Neither Plaintiffs nor their counsel has interests that are contrary to or that conflict with those of the proposed Classes.

61. Predominance. Defendants have engaged in a common course of conduct toward Plaintiffs and members of the Classes. The common issues arising from this conduct that affect Plaintiffs and members of the Classes predominate over any individual issues. Adjudication of these common issues in a single action has important and desirable advantages of judicial economy.

62. Superiority. A class action is the superior method for the fair and efficient adjudication of this controversy. Classwide relief is essential to compel Defendants to keep such adulterated and misbranded products out of the market and to compensate those who have mislead into purchase of the Product. The interest of individual members of the Classes in individually controlling the prosecution of separate claims against Defendants are small because the damages in an individual action are small. Management of these claims is likely to present significantly fewer difficulties than are presented in many class claims because Defendant acted or failed to act on grounds generally applicable to the Classes. Class treatment is superior to multiple individual suits or piecemeal litigation because it conserves judicial resources, promotes consistency and efficiency of adjudication, provides a forum for small claimants, and deters illegal activities. There will be no significant difficulty in the management of this case as a class action.

63. Injunctive and Declaratory Relief Appropriate. Defendants have acted on grounds generally applicable to the Classes, thereby making final injunctive relief and corresponding declaratory relief with respect to the Class appropriate on a class wide basis.

VI. CAUSES OF ACTION

COUNT I

**Violation of the Florida Deceptive and Unfair Trade Practices Act
(Florida Statutes §§501.201 et seq.)
(On Behalf of Florida Class Members Represented by Jason Mencer)**

64. Plaintiff incorporates each preceding paragraph as if fully set forth herein.

65. This action is brought to secure redress for the unlawful, deceptive and unfair trade practices perpetrated by Defendants on behalf of Plaintiff and the Class members.

66. Plaintiff and all Class Members are “consumers” and the transactions at issue in this complaint constitute “trade or commerce” as defined by Florida Statutes § 501.203 (7) and (8) respectively.

67. Florida Statutes § 502.201, et seq. was enacted to protect the consuming public and legitimate business enterprises from those who engage in unfair methods of competition, or unconscionable, deceptive or unfair acts or practices in the conduct of any trade or commerce.

68. Defendants’ actions, as alleged herein, constitute affirmative acts or representations including: unconscionable commercial practices; deception; fraud; false pretense; false promise; and/or misrepresentation, and therefore are unlawful under the FDUTPA.

69. When a FDUPA claim is based on an affirmative act or representation, neither intent to deceive by Defendants nor actual reliance by Plaintiff or the Class need be shown.

70. Defendants' actions, as alleged herein, constitute knowing omissions and therefore are unlawful under the FDUPA.

71. Defendants' actions are also unlawful by the misbranding of the Product in violation of Florida Statute § 500.11(1)(a).

72. Plaintiff and the Class reasonably and justifiably relied on Defendants' deceptive, unfair, fraudulent, misrepresentations, as alleged herein. Plaintiff and members of the proposed Class and the public were certain to be deceived because Defendants knowingly failed to disclose the source, affiliation, origin, characteristics, ingredients, standards and quality of the Products. Defendants' business practices in its advertising, marketing, packaging, labeling and sales of the Products as unique and superior products justifying substantially higher prices over alternative whey protein dietary supplements, is an unconscionable, unfair, and deceptive act or practice in violation of the FDUPA.

73. As a direct and proximate cause of Defendants' unlawful acts and omissions, Plaintiff and the Class have suffered an ascertainable loss of money or property, real or personal, in that they would not have purchased the Products but for Defendants' material omissions and affirmative acts or representations in connection with the marketing, advertising,

and sale of the Product.

74. Plaintiffs and the Class Members are entitled to compensatory damages, equitable and declaratory relief, costs, and reasonable attorney's fees.

COUNT II

Violation of the Oregon Unlawful Trade Practices Act

(ORS §§646.605 et seq.)

(On Behalf of Oregon Class Members Represented by Tim Harrold)

75. Plaintiff incorporates each preceding paragraph as if fully set forth herein.

76. This cause of action is brought pursuant to the Oregon Unlawful Trade Practices Act, ORS §§ 646.605 *et seq.*, (hereinafter referred to as "UTPA" or the "Act") on behalf of Plaintiff and the Class Members to secure redress for the unlawful, deceptive and unfair trade practices perpetrated by Defendants.

77. Plaintiff and Class Members are purchasers of the Products, they are the end users and intended beneficiaries of said product.

78. Defendants violated the UTPA as follows:

- a. Defendants employed an unconscionable tactic in connection with the sale or other disposition of the Product by inducing Plaintiff to purchase the Product based on the misleading labeling claims in violation of ORS § 646.607(1);
- b. Defendants engaged in an unlawful practice in the course of its business by:

- i. Representing that the Product had characteristics, benefits, or qualities that they do not have, in violation of § 646.608(1)(e);
- ii. Representing that the Product are of a particular standard, quality or grade when they are of another, in violation of § 646.608(1)(g); and
- iii. Violation of Oregon Statute § 616.250(1).

79. As a result of Defendants' wilful unlawful trade practices, Plaintiff and Class Members have suffered an ascertainable loss of money or property.

80. Plaintiff and Class Members are entitled to actual and punitive damages in an amount to be determined at trial.

81. Pursuant to ORS § 646.638(3), Plaintiff and Class Members are entitled to reasonable attorneys' fees and costs.

COUNT III

Violation of the Kentucky Consumer Protection Act (Ky. St. § 367.110, *et seq.*)

(On Behalf of Kentucky Class Members Represented by Ross Hepburn)

82. Plaintiff incorporates each preceding paragraph as if fully set forth herein.

83. Plaintiff and Class Members purchased the Products for personal, family or household purposes.

84. The purchases happened as a result of the unfair, false, misleading and deceptive acts or practices of Defendants and in the conduct of trade or commerce.

85. As a result of the unfair, false, misleading and deceptive acts or practices of Defendants, Plaintiff and Class Members suffered an ascertainable loss of money.

86. Plaintiff and Class Members are entitled to actual and punitive damages.

87. Plaintiff and Class Members are entitled to attorneys' fees and costs.

COUNT IV

Violation of the Colorado Consumer Protection Act (Co. St. § 6-1-101, *et seq.*)

(On Behalf of Colorado Class Members Represented by Cody Walden)

88. Plaintiff incorporates each preceding paragraph as if fully set forth herein.

89. Plaintiff and Class Members were actual consumers of the Products.

90. Defendants engaged in unlawful deceptive trade practices in the course of their business by:

- i. Representing that the Product had characteristics, benefits, or qualities that they do not have, in violation of § 6-1-105(1)(e);

ii. Representing that the Product are of a particular standard, quality or grade when they are of another, in violation of § 6-1-105(1)(g); and

iii. Violation of Colorado Pure Food & Drug Law 25-5-411.

91. Defendants unlawful practices caused Plaintiff and the Class Members to purchase the Products.

92. Plaintiff and the Class Members suffered an injury in fact as a result.

93. The public has been significantly impacted by Defendants' course of conduct

94. Plaintiff and Class Members are entitled to actual and punitive damages.

95. Plaintiff and Class Members are entitled to attorneys' fees and costs.

COUNT V

Unjust Enrichment

(On Behalf of the National Class and All Subclasses)

96. Plaintiffs incorporate the preceding paragraphs as if fully set forth herein.

94. Plaintiffs and Class Members conferred benefits on Defendants by purchasing the Product.

95. Defendants have been unjustly enriched in retaining the revenues derived from Plaintiffs' and Class Members' purchase of the Product. Retention of those monies under these circumstances is unjust and inequitable because Defendants' labeling of the Product was misleading to consumers, which caused injuries to Plaintiffs and Class Members because they would have not purchased the Product if the true facts would have been known.

96. Because Defendants' retention of the non-gratuitous benefits conferred on them by Plaintiff and Class Members is unjust and inequitable, Defendants must pay restitution to Plaintiffs and the Class Members for their unjust enrichment, as ordered by the Court.

VII. RELIEF REQUESTED

Plaintiff requests for the following relief:

- A. Certification of the proposed National Class;
- B. Certification of the proposed Colorado Subclass;
- C. Certification of the proposed Florida Subclass;
- D. Certification of the proposed Kentucky Subclass;
- E. Certification of the proposed Oregon Subclass;
- F. Certification of the proposed Pennsylvania Subclass;
- G. Certification of the proposed South Carolina Subclass;
- H. Appointment of Plaintiffs as class representatives;
- I. Appointment of the undersigned counsel as counsel for the Class;

J. A declaration that Defendants' actions complained of herein violate the states of Florida and Oregon consumer protection statutes.

K. A declaration that Defendants were Unjustly Enriched.

L. An order enjoining Defendants from engaging in the unlawful conduct set forth herein;

M. An award to Plaintiffs and the Class of attorneys' fees and costs, as allowed by law and/or equity;

N. Leave to amend this Complaint to conform to the evidence presented at trial; and

O. Orders granting such other and further relief as the Court deems necessary, just, and proper.

VIII. DEMAND FOR JURY

Plaintiffs demand a trial by jury for all issues so triable.

DATED: August 25, 2014

SEEGER WEISS LLP

By: s/ Jonathan Shub
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*Attorneys for Plaintiffs and the
Putative Classes*

EXHIBIT A

CHOCOLATE

60g PREMIUM PROTEIN*

NATURALLY & ARTIFICIALLY FLAVORED

*per 2 scoops

BODY FORTRESS™ SUPER ADVANCED WHEY PROTEIN

Body Fortress™ Super Advanced Whey Protein delivers a powerful blend of premium proteins athletes need to support lean muscle mass and maximize their training.

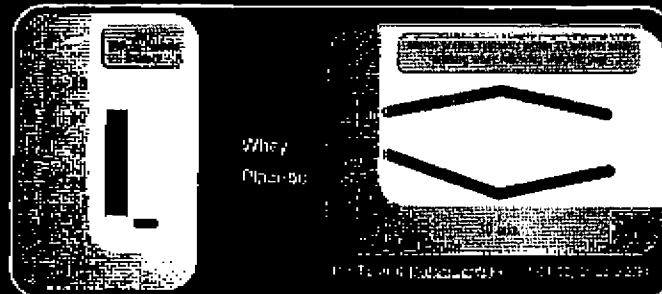
Body Fortress™ Super Advanced Whey Protein features a Super Recovery Blend to further enhance the benefits of our premium Whey Protein Blend. Each scoop provides high quality creatine in combination with the important amino acids crystalline taurine and threonine.

WHAT MAKES BODY FORTRESS™ SUPER ADVANCED WHEY PROTEIN WORK?

- Body Fortress™ Super Advanced Whey Protein provides cross-flow, ultrafiltered Whey Protein Concentrate.
 - State-of-the-art manufacturing processes are used to retain the active Whey Protein Peptides and Microfractions that help deliver Whey's superior benefits.
 - Premium Whey Proteins for easy mixing and complete dispersion in liquid.
 - Quick absorbing blend to speed amino acid delivery to muscles immediately after workouts (to help stimulate muscle protein synthesis and recovery).
- The combination of potent ingredients within the Super Recovery Blend is important for athletes since it allows for faster recovery during high-intensity workouts. Not only will the Super Recovery Blend help recharge muscle energy stores, but it may help decrease fatigue during exercise as well so you can keep training hard.
- Contains naturally occurring Branched Chain Amino Acids from protein. 2 scoops contain over 8 grams of the following Branched Chain Amino Acids:
Which typically provides:
 - Isoleucine 2.25 g
 - Leucine 3.05 g
 - Valine 2.15 g

Whey is the preferred protein source in sports and bodybuilding nutrition because it contains superior quality Branched Chain Amino Acids — made up of Leucine, Isoleucine and Valine — which are important for the maintenance of muscle tissues. Unlike some other incomplete protein sources, Super Advanced Whey Protein contains all of the essential amino acids required for supporting lean muscle and exercise recovery.

Use in conjunction with an intense daily exercise program and a balanced diet including an adequate caloric intake.



CHOCOLATE

**60g PREMIUM
PROTEIN***

CHOCOLATE

NATURALLY & ARTIFICIALLY FLAVORED

*per 2 scoops

NATURALLY & ARTIFICIALLY FLAVORED

ESSENTIAL

SUPER ADVANCED

WHEY PROTEIN

SCIENTIFICALLY DESIGNED WITH

- PREMIUM WHEY PROTEIN
- OVER 8 GRAMS OF BCAA'S
- CRYSTALLINE LACTOSE
- 100% WHEY PROTEIN

CHOCOLATE

NATURALLY & ARTIFICIALLY FLAVORED

NET WT. 1.95 lb. (31.2 oz.) (885 g)

Contents are sold by weight. Some settling may occur.

EXHIBIT B

60g PREMIUM PROTEIN*

*per 2 scoops

Supplement Facts

Serving Size 1 Scoop (42 g) 2 Scoops (84 g)
Servings Per Container about 21 about 11

Amount Per Serving	%Daily Value	%Daily Value
Calories	170	340
Calories from Fat	25	45
Total Fat	2.5 g 4%†	5 g 8%†
Saturated Fat	1.5 g 8%†	3 g 15%†
Cholesterol	70 mg 23%	140 mg 46%
Total Carbohydrate	7 g 2%†	14 g 5%†
Dietary Fiber	<1 g 4%†	2 g 8%†
Sugars	2 g ††	4 g ††
Protein	30 g 60%†	60 g 120%†
Calcium	72 mg 7%	144 mg 14%
Iron	0.5 mg 3%	1 mg 5%
Phosphorus	74 mg 7%	148 mg 15%
Magnesium	23 mg 6%	46 mg 11%
Zinc	0.09 mg 1%	0.18 mg 1%
Copper	0.05 mg 3%	0.11 mg 5%
Sodium	100 mg 4%	200 mg 8%
Potassium	210 mg 6%	420 mg 12%

Percent Daily Values are based on a diet of 2,000 calories.

††Daily Value not established.

Ingredients: Super Whey Protein Blend (Whey Protein Concentrate, Whey Protein Isolate), Super Recovery Blend (Glycine, Creatine Monohydrate, Taurine, Threonine, L-Glutamine, Leucine, Valine, Isoleucine), Polkadoxin, Cocoa (processed with alkali), Natural and Artificial Flavors, Soy Lecithin, Cellulose Gum, Acesulfame Potassium, Sucralose.

Contains milk and soy ingredients.

Gluten Free

Typical Amino Acid Profile (milligrams per 42 g scoop**)

Essential Amino Acids	Nonessential Amino Acids
Histidine 334 mg	Alanine 903 mg
Isoleucine 1,133 mg	Arginine 469 mg
Leucine 1,974 mg	Aspartic Acid 2,052 mg
Lysine 1,616 mg	Cysteine 422 mg
Methionine 406 mg	Glutamic Acid 3,104 mg
Phenylalanine 613 mg	Glycine 4,357 mg
Threonine 2,222 mg	Proline 1,116 mg
Tryptophan** 320 mg	Serine 915 mg
Valine 1,076 mg	Tyrosine 564 mg

**Tryptophan is naturally occurring, not added.

***Approximate values.

BODY FORTRESS

YOUR BODY - YOUR FORTRESS.™

For more information on other Body Fortress® products or for complete training and nutrition programs, visit www.bodyfortress.com.

Directions: For adults, add one (1) scoop (42 g) to 6-8 ounces of water or your favorite beverage daily. Serious athletes and bodybuilders (over 125 pounds) should consume 1-2 scoops immediately after exercise.

BLENDER - SIMPLE

Cover and blend for 20-30 seconds.

SHAKER - SIMPLER

Cover and shake for 25-30 seconds.

GLASS & SPOON - SIMPLEST

Stir for 20-30 seconds or until completely blended.

†† For Mass Gaining, add higher calorie foods such as peanut butter, 1-2% milk, and fruit juices.

For Dieters, add lower calorie foods such as skim milk or pasteurized water.

Please note: Crystalline Taurine will appear as small crystals within the powder.

Body Fortress® Super Advanced Whey Protein is aspartame free.

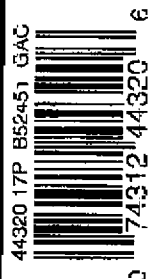
WARNING: Not intended for use by pregnant or nursing women. If you are taking any medications or have any medical condition, consult your doctor before use. Avoid this product if you have kidney disease. Discontinue use and consult your doctor if any adverse reactions occur. Not intended for use by persons under the age of 18.

KEEP OUT OF THE REACH OF CHILDREN. STORE AT ROOM TEMPERATURE, TIGHTLY CLOSED AND AVOID EXCESSIVE HEAT. FOR YOUR PROTECTION, DO NOT USE IF SEAL UNDER CAP IS BROKEN OR MISSING.

These statements have not been evaluated by the Food and Drug Administration. This product is not intended to diagnose, treat, cure or prevent any disease.



Carefully Manufactured by
Healthwatchers (DE), Inc.
Bonnema, NY 11716 U.S.A.
1-800-215-5780



4mm Graphic, Varnish & Ink Free

EXHIBIT C



ChromaDex®



10005 Muirlands Blvd., Suite G | Irvine, CA 92618
Phone: (949) 419-0288 | Fax: (949) 419-0294
www.chromadex.com

Process Report

Customer:	Oliver Law Group PC	Report Number:	CDXA-PR-150-01 ORD66328 (originated from ORD65055)
Address (City, State):	Rochester, MI	Project Number:	
Purchase Order:	N/A	Date Received:	21 Apr 14
Date of Report:	20-Jun-14	Test Location:	Boulder, CO
Assay:	Analysis of Samples from Oliver Law Group		
Part Number:	CDA-RPTCHG		

Prepared By:	<u>Maria Bialecki</u> Quality Assurance	<u>20-Jun-14</u> Date
Reviewed By:	<u>Sarah Garthe</u> Quality Assurance	<u>20-Jun-14</u> Date
Approved By:	<u>Brian Nettles</u> Manager, Chemistry Development	<u>20-Jun-14</u> Date

Digitally signed by Brian Nettles
DN: cn=Brian Nettles, o=ChromaDex
Analytics, ou=Chemistry Developments,
email=BrianN@chromadex.com, c=US
Date: 2014.06.20 08:56:09 -06'00'

Signed original on file at CDXA

This product analysis is subject to our "Standard Terms and Conditions for the Purchase and Sale of ChromaDex Products and or Services," a copy of which has been provided to our client and is incorporated herein by this reference. As more specifically set forth therein, this product analysis is for the benefit of our client only, may not be relied upon by any other party without our prior written consent, relates solely to the sample(s) provided to us by our client and therefore cannot be applied to any other material or sample. Unless otherwise noted, samples were received in acceptable condition and analyzed as received. This document may not be printed in part without the explicit permission of ChromaDex.

SUMMARY

• ABSTRACT

One sample was received from Oliver Law Group for a multitude of analyses to investigate potential adulteration in the product.

- 1) Body Fortress Super Advanced Whey Protein (lot# 784847-01 Q); ChromaDex sample# CDXA-14-2565.

• OBJECTIVE

To perform necessary tests to investigate potential adulteration in "Body Fortress Super Advanced Whey Protein product (lot# 784847-01 Q)" provided by Oliver Law Group.

• INTRODUCTION

The sample from Oliver Law Group was analyzed for Nitrogen content by the Kjeldahl method; elemental analysis for Carbon, Hydrogen & Nitrogen content; free and bound amino acid content; Taurine, Glycine and Creatine content.

• DISCUSSION

The sample from Oliver Law Group was analyzed for nitrogen content by the Kjeldahl method; elemental analysis for Carbon, Hydrogen & Nitrogen content; free and bound amino acid content; Taurine, Glycine and Creatine content. The results for the individual analyses are included in the appended reports. A summary is included below in Table 1.

Table 1; Sample: CDXA-14-2565

Analysis	Units	Result
Protein by Kjeldahl*	%	74.4*
Protein by Kjeldahl*	g/srv (42g)	31.2*
Nitrogen by Kjeldahl*	%	11.9*
Total Amino acids	mg/srv (42g)	25685
Bound Amino acids	mg/srv(42g)	21425
Free Amino acids	mg/srv(42g)	4260
Taurine (free)	mg/srv(42g)	1810
Glycine (free)	mg/srv(42g)	3260
Creatine	mg/srv(42g)	2930
Elemental Analysis	%	46.02% C; 6.79% H; 11.0% N

*Protein content was determined by Kjeldahl method, which measures Nitrogen content.

Conventionally accepted factor of 6.25 was used to convert total Nitrogen (%) by Kjeldahl to total Protein.

This product analysis is subject to our "Standard Terms and Conditions for the Purchase and Sale of ChromaDex Products and or Services," a copy of which has been provided to our client and is incorporated herein by this reference. As more specifically set forth therein, this product analysis is for the benefit of our client only, may not be relied upon by any other party without our prior written consent, relates solely to the sample(s) provided to us by our client and therefore cannot be applied to any other material or sample. Unless otherwise noted, samples were received in acceptable condition and analyzed as received. This document may not be printed in part without the explicit permission of ChromaDex.

CDXA-PR-150-01

Page 3 of 4

Body Fortress Super Advanced Whey Protein (lot# 784847-01 Q)

The Body Fortress Super Advanced Whey Protein (lot# 784847-01 Q); (CDXA-14-2565) was assayed for the different analytes as mentioned in Table 1 above.

A review of the label claim for this product on the web listed the following for a serving size of 1 scoop (~42g) and a comparison with results obtained is presented below in Table 2.

Table 2: Representative product label specification for 1 scoop serving size (~42g) – from web**

Amount per serving (~42g)	Specification on product label on web**	Results***
Protein	30g	31.1g*
Aspartic Acid	2052	2250
Threonine	2222	2330
Serine	915	1090
Glutamic Acid	3104	3620
Proline	1116	1210
Glycine	4357	4100
Alanine	903	1140
Valine	1076	1130
Isoleucine	1133	1240
Leucine	1974	2270
Tyrosine	564	655
Phenylalanine	613	706
Lysine	1616	1970
Histidine	334	361
Arginine	469	605
Cystine	422	533
Methionine	406	475

*see comment under table one.

**(<http://images.vitaminimages.com/cdn/sd/pdf/L044320-AE.pdf>)

***Results for amino acids are presented as total amino acids

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CDXA-PR-150-01

Page 4 of 4

• **REFERENCES**

- 1) Barrow Agee # 893916
- 2) CDXA-ARS-19987-00; Bound Amino acids by Profile by HPLC
- 3) CDXA-ATR-6041-00; Amino acids Base Panel of 21
- 4) CDXA-ATR-6049-00; Taurine by HPLC
- 5) CDXA-ATR-6037-00; Creatine by HPLC
- 6) CDXA-ARS-19860-00; Elemental Analysis

REVISION HISTORY

<u>Revision Number</u>	<u>Document/Changes</u>
00	New report
01	Revised statement under table one. corrected results for Nitrogen by Kjeldahl. removed statement under table two.

This product analysis is subject to our "Standard Terms and Conditions for the Purchase and Sale of ChromaDex Products and or Services," a copy of which has been provided to our client and is incorporated herein by this reference. As more specifically set forth therein, this product analysis is for the benefit of our client only, may not be relied upon by any other party without our prior written consent, relates solely to the sample(s) provided to us by our client and therefore cannot be applied to any other material or sample. Unless otherwise noted, samples were received in acceptable condition and analyzed as received. This document may not be printed in part without the explicit permission of ChromaDex.

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS

(b) County of Residence of First Listed Plaintiff _____
(EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorneys (Firm Name, Address, and Telephone Number) _____

DEFENDANTS

County of Residence of First Listed Defendant _____
(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

Attorneys (If Known) _____

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)

- ☐ 1 U.S. Government Plaintiff
- ☐ 2 U.S. Government Defendant
- ☐ 3 Federal Question
(U.S. Government Not a Party)
- ☐ 4 Diversity
(Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)

- | | PTF | DEF | | PTF | DEF |
|---|----------------------------|----------------------------|---|----------------------------|----------------------------|
| Citizen of This State | <input type="checkbox"/> 1 | <input type="checkbox"/> 1 | Incorporated or Principal Place of Business In This State | <input type="checkbox"/> 4 | <input type="checkbox"/> 4 |
| Citizen of Another State | <input type="checkbox"/> 2 | <input type="checkbox"/> 2 | Incorporated and Principal Place of Business In Another State | <input type="checkbox"/> 5 | <input type="checkbox"/> 5 |
| Citizen or Subject of a Foreign Country | <input type="checkbox"/> 3 | <input type="checkbox"/> 3 | Foreign Nation | <input type="checkbox"/> 6 | <input type="checkbox"/> 6 |

IV. NATURE OF SUIT (Place an "X" in One Box Only)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES	
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excludes Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	PERSONAL INJURY <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury - Medical Malpractice	PERSONAL INJURY <input type="checkbox"/> 365 Personal Injury - Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability PERSONAL PROPERTY <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 690 Other LABOR <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Management Relations <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Employee Retirement Income Security Act IMMIGRATION <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions	<input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 PROPERTY RIGHTS <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark SOCIAL SECURITY <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) FEDERAL TAX SUITS <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS—Third Party 26 USC 7609	<input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes
REAL PROPERTY <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property	CIVIL RIGHTS <input type="checkbox"/> 440 Other Civil Rights <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 445 Amer. w/Disabilities - Employment <input type="checkbox"/> 446 Amer. w/Disabilities - Other <input type="checkbox"/> 448 Education	PRISONER PETITIONS Habeas Corpus: <input type="checkbox"/> 463 Alien Detainee <input type="checkbox"/> 510 Motions to Vacate Sentence <input type="checkbox"/> 530 General <input type="checkbox"/> 535 Death Penalty Other: <input type="checkbox"/> 540 Mandamus & Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Condition <input type="checkbox"/> 560 Civil Detainee - Conditions of Confinement			

V. ORIGIN (Place an "X" in One Box Only)

- ☐ 1 Original Proceeding ☐ 2 Removed from State Court ☐ 3 Remanded from Appellate Court ☐ 4 Reinstated or Reopened ☐ 5 Transferred from Another District (specify) ☐ 6 Multidistrict Litigation

VI. CAUSE OF ACTION

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):

Brief description of cause:

VII. REQUESTED IN COMPLAINT:

☐ CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P. DEMAND \$

CHECK YES only if demanded in complaint:

JURY DEMAND: ☐ Yes ☐ No

VIII. RELATED CASE(S) IF ANY

(See instructions):

JUDGE _____ DOCKET NUMBER _____

DATE

SIGNATURE OF ATTORNEY OF RECORD

FOR OFFICE USE ONLY

RECEIPT # _____ AMOUNT _____ APPLYING IFP _____ JUDGE _____ MAG. JUDGE _____

CERTIFICATION OF ARBITRATION ELIGIBILITY

Local Arbitration Rule 83.10 provides that with certain exceptions, actions seeking money damages only in an amount not in excess of \$150,000, exclusive of interest and costs, are eligible for compulsory arbitration. The amount of damages is presumed to be below the threshold amount unless a certification to the contrary is filed.

I, _____, counsel for _____, do hereby certify that the above captioned civil action is ineligible for compulsory arbitration for the following reason(s):

monetary damages sought are in excess of \$150,000, exclusive of interest and costs,

the complaint seeks injunctive relief,

the matter is otherwise ineligible for the following reason

DISCLOSURE STATEMENT - FEDERAL RULES CIVIL PROCEDURE 7.1

Identify any parent corporation and any publicly held corporation that owns 10% or more of its stocks:

RELATED CASE STATEMENT (Section VIII on the Front of this Form)

Please list all cases that are arguably related pursuant to Division of Business Rule 50.3.1 in Section VIII on the front of this form. Rule 50.3.1 (a) provides that "A civil case is "related" to another civil case for purposes of this guideline when, because of the similarity of facts and legal issues or because the cases arise from the same transactions or events, a substantial saving of judicial resources is likely to result from assigning both cases to the same judge and magistrate judge." Rule 50.3.1 (b) provides that "A civil case shall not be deemed "related" to another civil case merely because the civil case: (A) involves identical legal issues, or (B) involves the same parties." Rule 50.3.1 (c) further provides that "Presumptively, and subject to the power of a judge to determine otherwise pursuant to paragraph (d), civil cases shall not be deemed to be "related" unless both cases are still pending before the court."

NY-E DIVISION OF BUSINESS RULE 50.1(d)(2)

- 1.) Is the civil action being filed in the Eastern District removed from a New York State Court located in Nassau or Suffolk County: _____
- 2.) If you answered "no" above:
 - a) Did the events or omissions giving rise to the claim or claims, or a substantial part thereof, occur in Nassau or Suffolk County? _____
 - b) Did the events of omissions giving rise to the claim or claims, or a substantial part thereof, occur in the Eastern District? _____

If your answer to question 2 (b) is "No," does the defendant (or a majority of the defendants, if there is more than one) reside in Nassau or Suffolk County, or, in an interpleader action, does the claimant (or a majority of the claimants, if there is more than one) reside in Nassau or Suffolk County? _____

(Note: A corporation shall be considered a resident of the County in which it has the most significant contacts).

BAR ADMISSION

I am currently admitted in the Eastern District of New York and currently a member in good standing of the bar of this court.

Yes

No

Are you currently the subject of any disciplinary action (s) in this or any other state or federal court?

Yes

(If yes, please explain)

No

I certify the accuracy of all information provided above.

Signature: _____

for the

$$\begin{array}{c}) \\) \\) \\) \\) \\) \\) \\) \\) \\) \\) \\) \end{array}$$

V.

Defendant(s)

Signature of Clerk or Deputy Clerk

Civil Action No. _____

PROOF OF SERVICE*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* _____
 was received by me on *(date)* _____.

☐ I personally served the summons on the individual at *(place)* _____
 _____ on *(date)* _____; or

☐ I left the summons at the individual's residence or usual place of abode with *(name)* _____
 _____, a person of suitable age and discretion who resides there,
 on *(date)* _____, and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* _____, who is
 designated by law to accept service of process on behalf of *(name of organization)* _____
 _____ on *(date)* _____; or

☐ I returned the summons unexecuted because _____; or

☐ Other *(specify)*: _____.

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ _____.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

_____ District of _____

Plaintiff(s)

v.

Defendant(s)

)
)
)
)
)
)
)
)
)
)
)

Civil Action No. _____

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

DOUGLAS C. PALMER
CLERK OF COURT

Date: _____

Signature of Clerk or Deputy Clerk

Civil Action No. _____

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

This summons for *(name of individual and title, if any)* _____
was received by me on *(date)* _____.

☐ I personally served the summons on the individual at *(place)* _____
_____ on *(date)* _____; or

☐ I left the summons at the individual's residence or usual place of abode with *(name)* _____
_____, a person of suitable age and discretion who resides there,
on *(date)* _____, and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* _____, who is
designated by law to accept service of process on behalf of *(name of organization)* _____
_____ on *(date)* _____; or

☐ I returned the summons unexecuted because _____; or

☐ Other *(specify)*: _____.

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ _____.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

Civil Action No. _____

PROOF OF SERVICE*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

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