	Case 3:14-cv-02241-LAB-RBB Document	1 Filed 09/22/14 Page 1 of 19			
1 2 3 4 5 6	BONNETT, FAIRBOURN, FRIEDMAN & BALINT, P.C. ELAINE A. RYAN (<i>To be Admitted Pro</i> PATRICIA N. SYVERSON (CA SBN 20 LINDSEY M. GOMEZ-GRAY (<i>To be A</i> 2325 E. Camelback Rd. Suite 300 Phoenix, AZ 85016 eryan@bffb.com psyverson@bffb.com Igomez-gray@bffb.com Telephone: (602) 274-1100	Hac Vice)			
7 8 9 10	BONNETT, FAIRBOURN, FRIEDMAN & BALINT, P.C. Manfred P. Muecke (CA SBN 222893) 600 W. Broadway, Suite 900 San Diego, California 92101 mmuecke@bffb.com Telephone: (619) 756-7748	1			
 11 12 13 14 15 	STEWART M. WELTMAN, LLC Stewart M. Weltman (<i>To be Admitted Pro Hac Vice</i>) 53 W. Jackson Suite 364 Chicago, IL 60604 sweltman@weltmanlawfirm.com Telephone: (312) 588-5033 (Of Counsel Levin Fishbein Sedran & Berman)				
16	Attorneys for Plaintiff UNITED STATES	DISTRICT COURT			
17		ICT OF CALIFORNIA			
18 19 20	ASHLEY FRANZ, On Behalf of Herself and All Others Similarly Situated,	Case No.: <u>'14CV2241 LAB RBB</u> CLASS ACTION COMPLAINT FOR:			
20 21 22	Plaintiff, v.	1. VIOLATION OF THE UNFAIR COMPETITION LAW, Business and Professions Code §17200 <i>et</i> <i>seg.</i> ; and			
23 24	BEIERSDORF, INC., a Delaware corporation and BEIERSDORF NORTH AMERICA, INC., a Delaware corporation,	2. VIOLATION OF THE CONSUMERS LEGAL REMEDIES ACT, Civil Code §1750 et seq.			
24 25	Defaware corporation, Defendants.	DEMAND FOR JURY TRIAL			
26					
27					
28					

Plaintiff Ashley Franz brings this action on behalf of herself and all others similarly situated against Defendants Beiersdorf, Inc. and Beiersdorf North America, Inc. and states:

4

5

6

7

8

9

10

11

12

1

2

3

NATURE OF ACTION

1. Defendants manufacture, market, sell and distribute NIVEA Skin Firming Hydration Body Lotion with CoQ10 Plus formulated with Co-Enzyme Q10 Complex, Hydra-IQ and glycerin ("NIVEA CoQ10 Lotion"). On the front of each and every Nivea CoQ10 Lotion bottle, where consumers cannot miss it, Defendants represent that the Product "improves skin firmness within 2 weeks".¹ Defendants reaffirm on the back of every bottle that the Product is "proven to firm and tighten skin's surface in as little as two weeks" (collectively, the "skin firming representations").

13

In truth, NIVEA CoQ10 Lotion is not proven to improve skin firmness 2. 14 within or in as little as two weeks or ever. The only ingredient contained in the 15 Product capable of firming and tightening the skin is CoQ10.² A High Performance 16 Liquid Chromatography ("HPLC") analysis capable of detecting substances present 17 in concentrations as little as one part per million, a thin layer chromatography 18 ("TLC") analysis and a mass spectral analysis ("MSA") of the Product have all 19 shown the Product contains woefully insufficient amounts of CoQ10 to provide any 20 skin firming benefit. And, the trace amounts of CoQ10 in the Product have not 21 been reduced to a particle size capable of readily penetrating the skin's stratum 22 corneum surface reducing the odds that what little CoQ10 is in the Product actually 23 reaches beneath the epidermis to the dermal layer of skin responsible for much of

24

¹ Recently, Defendants changed the front label from "improves skin firmness within 2 weeks" to "improves skin firmness in as little as two weeks." Both of these representations are false, misleading and reasonably likely to deceive consumers. ² Hydra-IQ, glycerin and the other ingredients in the Product are skin moisturizers 25 26 27 and softeners.

the skin's tensile strength. Defendants' skin firming representations are false, misleading and reasonably likely to deceive the public.

3. As a result of Defendants' deceptive skin firming representations, consumers – including Plaintiff and members of the proposed Class – have been deceived into purchasing what they believed to be a Product "proven" to firm skin within or in as little as 2 weeks when, in fact, the trace amounts of oversized CoQ10 particles in the Product are incapable of firming and tightening the skin "within two weeks", or "in as little as two weeks" or ever. Plaintiff and Class members paid a substantial (approximately \$4.00) price premium for the skin firming Nivea CoQ10 Lotion over Defendants' other Hydra-IQ and glycerin lotions that do not claim to improve skin firmness within or in as little as 2 weeks.

4. Plaintiff brings this action on behalf of herself and other similarly
situated consumers who have purchased NIVEA CoQ10 Lotion to halt the
dissemination of this false, misleading and deceptive advertising message, correct
the false and misleading perception it has created in the minds of consumers, and
obtain redress for those who have purchased NIVEA CoQ10 Lotion. Based on
violations of California's unfair competition laws (detailed below), Plaintiff seeks
injunctive and restitutionary relief for consumers who purchased the Product.

JURISDICTION AND VENUE

5. This Court has original jurisdiction pursuant to 28 U.S.C. §1332(d)(2).
The matter in controversy, exclusive of interest and costs, exceeds the sum or value
of \$5,000,000 and is a class action in which there are in excess of 100 class
members and Class members are citizens of a state different from Defendants.

6. This Court has personal jurisdiction over Defendants because
Defendants are authorized to conduct and do business in California. Defendants
have marketed, promoted, distributed, and sold NIVEA CoQ10 Lotion in California
and Defendants have sufficient minimum contacts with this State and/or sufficiently

avail themselves of the markets in this State through their promotion, sales, distribution and marketing within this State to render the exercise of jurisdiction by this Court permissible.

4 7. Venue is proper in this Court pursuant to 28 U.S.C. §§1391(a) and (b) 5 because a substantial part of the events or omissions giving rise to Plaintiff's claims 6 occurred while she resided in this judicial district. Venue is also proper under 7 18 U.S.C. §1965(a) because Defendants transact substantial business in this 8 District.

PARTIES

10 Plaintiff Ashley Franz is a citizen of California and resides in San 8. Diego, California. In or around 2012, Plaintiff purchased NIVEA CoQ10 Lotion 11 12 from a third-party retailer in San Diego, California. Prior to purchasing the 13 Product, Plaintiff was exposed to and saw Defendants' skin firming representations by reading the NIVEA CoQ10 Lotion label. Plaintiff purchased NIVEA CoQ10 14 15 Lotion in reliance on Defendants' skin firming representations. She paid a 16 substantial premium for the NIVEA CoQ10 Lotion. The NIVEA CoQ10 Lotion 17 Plaintiff purchased did not firm or tighten her skin within 2 weeks as represented, 18 or ever. As a result, Plaintiff suffered injury in fact and lost money. If Defendants 19 were to remove the skin firming representations, such that the Product was priced 20 according to its true value, Plaintiff may purchase the Product in the future to use as 21 a skin moisturizer but not skin firmer or tightener.

22

1

2

3

9

9. Defendant Beiersdorf, Inc. is a Delaware corporation with its principal 23 place of business in Wilton, Connecticut. Beiersdorf, Inc. manufactures, 24 distributes, markets and sells NIVEA CoQ10 Lotion and created the deceptive skin 25 firming representations, which it caused to be disseminated to consumers 26 nationwide, including in California.

27

10. Defendant Beiersdorf North America, Inc. is a Delaware corporation

with its principal place of business in Wilton, Connecticut. Beiersdorf North America, Inc. manufactures, distributes, markets and sells NIVEA CoQ10 Lotion and created the deceptive skin firming representations, which it caused to be disseminated to consumers nationwide, including in California.

11. Plaintiff is informed and believes, and thus alleges, that at all times herein mentioned, each of the Defendants was the agent, employee, representative, partner, joint venturer, and/or alter ego of the other Defendant and, in doing the things alleged herein, was acting within the course and scope of such agency, employment, representation, on behalf of such partnership or joint venture, and/or as such alter ego, with the authority, permission, consent, and/or ratification of the other Defendant

12

1

2

3

4

5

6

7

8

9

10

11

FACTUAL ALLEGATIONS

13 NIVEA CoQ10 Lotion

14 12. Defendants manufacture, market and sell the Nivea line of skin and
15 body care products. This lawsuit concerns one of those products – NIVEA Skin
16 Firming Hydration Body Lotion with CoQ10 Plus. Defendants launched NIVEA
17 CoQ10 Lotion in 2010. NIVEA CoQ10 Lotion is sold online and in virtually every
18 major food, drug, and mass retail outlet in the country.

19 13. Since the Product's launch, Defendants have consistently conveyed the
20 message to consumers throughout the United States, including California, that their
21 NIVEA CoQ10 Lotion is "proven" to "improve skin firmness within two weeks."
22 It is not. Defendants' skin firming representations are false, misleading and
23 deceptive.

14. The skin firming ingredient in Nivea CoQ10 Lotion is Co-Enzyme
Q10. Co-Enzyme Q10, also known as ubiquinone or CoQ10, is a vitamin-like
substance with antioxidant properties. CoQ10 is a deep orange color.

27

15. To be able to provide skin firming benefits, CoQ10 must penetrate the

skin's stratum corneum surface, the outermost layer of the epidermis, which acts as 1 2 an effective barrier to most compounds, and reach the living layers of epidermis 3 and the second layer of the skin, the dermis. The dermis is the fibrous-connective 4 tissue or supportive layer of the skin and is responsible for much of the skin's 5 tensile strength. Simply adding CoQ10 in its native (raw) state to a cream or lotion 6 and applying it topically results in minute to no absorption into the skin cells. To 7 ensure penetration into the living layers of the epidermis and dermis, the CoQ10 8 particles should be reduced in size by, for example, using nanoparticle technology. 9 Once properly processed, a therapeutically appropriate level of administration is 10 required to have any meaningful skin firming effect.

11 16. Reducing the CoQ10 in the Product to nanoparticle size is a very
12 expensive process and would be cost prohibitive if employed in this Product. But
13 even if nanotechnology has been employed – which is unlikely – the trace amounts
14 of CoQ10 in the Product cannot provide firmer more toned skin within or in as little
15 as two weeks or ever.

NIVEA CoQ10 Lotion Is Not Proven to Firm or Tighten the Skin In as Little as Two Weeks or Ever

17. The NIVEA CoQ10 bottle states that the Product is "Proven to firm and tighten the skin's surface in as little as two weeks." By prefacing the skin firming representations with the word "proven", Defendants are representing to consumers that credible scientific evidence supports the skin firming representations. In fact, scientific analyses have shown that the Product contains insufficient amounts of CoQ10 to provide skin firming benefits in as little as two weeks, or ever, and the trace amounts of CoQ10 particles in the Product have not been reduced in size to facilitate penetration into the dermal layer of the skin.

18. Three sensitive techniques in modern analytical chemistry – HPLC, TLC and MSA – found no or only trace amounts of CoQ10 in the Product. The

28

16

17

18

19

20

21

22

23

24

25

26

HPLC analyses used UV detection, which can detect substances in concentrations as little as one part per million. The Product contained just over one part per million of CoQ10, a de minimis amount.

4 5

6

7

8

9

1

2

3

19. MSA, which looks for the presence of CoQ10 according to molecular weight, confirmed that only a trace amount of the ingredient is present in the Product.

20. And, a TLC analysis comparing a sample of the lotion extracted with an organic solvent in which CoQ10 is soluble with an authentic sample on a TLC silica gel plate failed to detect the presence of any CoQ10.

10

Thus, no less than three separate chemical analyses performed on the 21. 11 Product consistently showed trace amounts, at most, of CoQ10 in the Product. 12 Further indicative of the trace amount of CoQ10 in the Product is its bright white 13 color without a hint of CoQ10's deep orange coloring.

14 22. The trace amount of CoQ10 in the Product is incapable of providing 15 skin firming benefits within or in as little as two weeks as represented, or ever, 16 particularly since the CoQ10 particles are not sufficiently processed to make them 17 readily capable of penetrating the skin.

18 Scientific studies that have reported skin firming benefits from CoQ10 23. 19 used preparations containing much higher concentrations of CoQ10 than are in the 20 Product, with CoQ10 reduced to nanoparticle size. Even then the reported positive 21 effects at this much higher doses occurred well after two weeks and in some 22 instances after months of continued use - not within two weeks of use as 23 Defendants represent.

24

The Impact of Defendants' Wrongful Conduct

25 Even though NIVEA CoQ10 Lotion contains insufficient amounts of 24. 26 insufficiently processed CoQ10 to firm and tighten the skin's surface, Defendants 27 continue to unequivocally convey through their advertising and labeling one

uniform message: NIVEA CoQ10 Lotion is proven to provide firmer more toned skin within or in as little as two weeks.

25. Each and every consumer who purchases NIVEA CoQ10 Lotion is exposed to Defendants' deceptive skin firming representations because they appear prominently and conspicuously, and almost exclusively on the front of each bottle as follows:



26. The back panel of each NIVEA CoQ10 Lotion repeats the deceptive skin firming representations, claiming that the Product is "proven to firm and tighten skin's surface in as little as two weeks":³

³ The former label used during most of the class period is attached as Exhibit A.





As the manufacturer and/or distributor of NIVEA CoQ10 Lotion, 27. Defendants possess specialized knowledge regarding the content and effects of the ingredients contained in NIVEA CoQ10 Lotion and are in a superior position to learn of the effects-and have learned of the effects-NIVEA CoQ10 Lotion has on consumers.

24 28. Specifically, Defendants knew or should have known, but failed to disclose that NIVEA CoQ10 Lotion contains de minimis amounts of CoQ10 and 26 the trace amounts are unlikely to penetrate the skin's surface such that the Product

28

27

20

21

22

23

1 2

3

4

5

6

7

8

9

cannot provide firmer more toned skin within or in as little as two weeks or ever.

29. Plaintiff and Class members have been and will continue to be deceived or misled by Defendants' deceptive skin firming representations. Plaintiff purchased and used the Product during the Class period and in doing so, read and considered the NIVEA CoQ10 Lotion labeling and packaging and based her decision to buy the Product on the skin firming representations. Defendants' skin firming representations were a material factor influencing Plaintiff's decision to purchase and use the Product. Plaintiff would not have purchased the Product had she known that Defendants' skin firming representations were false and misleading.

30. As a result, Plaintiff and the Class members have been damaged in
their purchases of the Product and have been deceived into purchasing a Product
that they believed, based on Defendants' representations, would firm and tone skin
within two weeks, when, in fact, it is incapable of firming and toning skin.

31. Based upon the purported skin firming representations conveyed in
their marketing and advertising campaign, Defendants are able to price NIVEA
CoQ10 Lotion at a substantial multi-dollar premium over Defendants' other HydraIQ and glycerin lotions that do not make the deceptive skin firming
representations.⁴

¹⁹ 32. Defendants have reaped enormous profits from their false marketing
²⁰ and sale of NIVEA CoQ10 Lotion.

21

CLASS DEFINITION AND ALLEGATIONS

- 22 33. Plaintiff brings this action on behalf of herself and all other similarly
 23 situated California consumers pursuant to Rule 23(a), (b)(2) and (b)(3) of the
 24 Federal Rules of Civil Procedure and seeks certification of the following Class:
 - 25

 ⁴ For example, a 16.9 ounce bottle of Nivea CoQ10 Lotion retails for \$10.79, while 16.9 ounce bottles of Nivea with Hydra-1Q Plus Almond Oil, Nivea with Hydra-1Q Plus Shea Butter, Nivea with Hydra-1Q plus B5 and Nivea with Hydra-1Q Plus Cocoa Butter and Vitamin E retail for \$6.79 at Walgreens on August 17, 2014.

	Case 3:14-cv-02241-LAB-RBB Document 1 Filed 09/22/14 Page 11 of 19			
1	Multi-State Class Action All consumers who, within the applicable statute of			
2	limitations period, purchased NIVEA CoQ10 Lotion in			
3	California and states with similar laws. ⁵			
4	Excluded from this Class are Defendants and their officers directors and employees and those who			
5	officers, directors and employees and those who purchased NIVEA CoQ10 Lotion for the purpose of			
6	resale.			
7	34. In the alternative, Plaintiff seeks certification of the following class:			
8	California-Only Class			
9	All consumers who, within the applicable statute of limitations period, purchased NIVEA CoQ10 Lotion in			
10	California.			
11	Excluded from this Class are Defendants and their			
12	officers, directors and employees and those who purchased NIVEA CoQ10 Lotion for the purpose of			
13	resale.			
14	35. <i>Numerosity</i> . The members of the Class are so numerous that joinder			
15	of all members of the Class is impracticable. Plaintiff is informed and believes that			
16	the proposed Class contains thousands of purchasers of NIVEA CoQ10 Lotion who			
17	have been damaged by Defendants' conduct as alleged herein. The precise number			
18	of Class members is unknown to Plaintiff.			
19	36. Existence and Predominance of Common Questions of Law and			
20	Fact. This action involves common questions of law and fact, which predominate			
21	over any questions affecting individual Class members. These common legal and			
22	factual questions include, but are not limited to, the following:			
23	$\frac{1}{5}$ While discovery may alter the following, Plaintiff preliminarily avers that			
24	Defendants violated the laws prohibiting unfair and deceptive trade practices of the states and territories wherein Class members reside, including: Cal. Bus. & Prof.			
25	seg · Fla Stat 88817.06 · 815 Ill Comp Stat 502/1 et seg · Mass Gen Laws			
26	I ch 93A et sea · Mich Stat 8445 901 et sea · Minn Stat 88 31 et sea · Missouri			
27	seq.; and Wash. Rev. Code. §19.86.010 et seq.			
28				

(a) whether the claims discussed above are true, or are misleading,
 or objectively reasonably likely to deceive;

3

(b) whether Defendants' alleged conduct violates public policy;

4 (c) whether the alleged conduct constitutes violations of the laws
5 asserted;

6 (d) whether Defendants engaged in false or misleading advertising;
7 and

8 (e) whether Plaintiff and Class members are entitled to other
9 appropriate remedies, including corrective advertising and injunctive relief.

Typicality. Plaintiff's claims are typical of the claims of the members
of the Class because, *inter alia*, all Class members were injured through the
uniform misconduct described above and were subject to Defendants' deceptive
skin firming representations that accompanied each and every bottle of NIVEA
CoQ10 Lotion. Plaintiff is advancing the same claims and legal theories on behalf
of herself and all members of the Class.

38. Adequacy of Representation. Plaintiff will fairly and adequately
protect the interests of the members of the Class. Plaintiff has retained counsel
experienced in complex consumer class action litigation, and Plaintiff intends to
prosecute this action vigorously. Plaintiff has no adverse or antagonistic interests
to those of the Class.

39. *Superiority*. A class action is superior to all other available means for
the fair and efficient adjudication of this controversy. The damages or other
financial detriment suffered by individual Class members is relatively small
compared to the burden and expense that would be entailed by individual litigation
of their claims against Defendants. It would thus be virtually impossible for
Plaintiff and Class members, on an individual basis, to obtain effective redress for
the wrongs done to them. Furthermore, even if Class members could afford such

Case 3:14-cv-02241-LAB-RBB Document 1 Filed 09/22/14 Page 13 of 19

individualized litigation, the court system could not. Individualized litigation would create the danger of inconsistent or contradictory judgments arising from the same set of facts. Individualized litigation would also increase the delay and 4 expense to all parties and the court system from the issues raised by this action. By contrast, the class action device provides the benefits of adjudication of these issues in a single proceeding, economies of scale, and comprehensive supervision by a single court, and presents no unusual management difficulties under the circumstances here.

9 40. Plaintiff seeks preliminary and permanent injunctive and equitable 10 relief on behalf of the entire Class, on grounds generally applicable to the entire 11 Class, to enjoin and prevent Defendants from engaging in the acts described, and 12 requiring Defendants to provide full restitution to Plaintiff and Class members.

13 Unless a Class is certified, Defendants will retain monies received as a 41. 14 result of their conduct that were taken from Plaintiff and Class members. Unless a 15 Class-wide injunction is issued. Defendants will continue to commit the violations 16 alleged, and the members of the Class and the general public will continue to be 17 deceived.

18 42. Defendants have acted and refused to act on grounds generally 19 applicable to the Class, making appropriate final injunctive relief with respect to the 20 Class as a whole.

COUNT I

Violation of Business & Professions Code §17200, et seq.

Plaintiff repeats and re-alleges the allegations contained in the 43. 23 paragraphs above, as if fully set forth herein. 24

25

21

22

1

2

3

5

6

7

8

Plaintiff brings this claim individually and on behalf of the Class. 44.

As alleged herein, Plaintiff has suffered injury in fact and lost money 45. 26 or property as a result of Defendants' conduct because she purchased NIVEA 27

CoQ10 Lotion in reliance on Defendants' skin firming representations, but did not receive a Product that improves skin's firmness within or in as little as two weeks, or ever.

46. The Unfair Competition Law, Business & Professions Code §17200, *et seq.* ("UCL"), and similar laws in other states, prohibits any "unlawful," "fraudulent" or "unfair" business act or practice and any false or misleading advertising.

8 In the course of conducting business, Defendants committed unlawful 47. 9 business practices by, inter alia, making the skin firming representations (which 10 also constitutes advertising within the meaning of §17200) and omissions of 11 material facts, as set forth more fully herein, and violating Civil Code §§1572, 12 1573, 1709, 1711, 1770(a)(5), (7), (9) and (16) and Business & Professions Code 13 §§17200, et seq., 17500, et seq., and the common law. Plaintiff and the Class 14 reserve the right to allege other violations of law, which constitute other unlawful 15 business acts or practices. Such conduct is ongoing and continues to this date.

16 In the course of conducting business, Defendants committed "unfair" 48. 17 business practices by, *inter alia*, making the skin firming representations (which 18 also constitutes advertising within the meaning of §17200) and omissions of 19 material facts regarding the NIVEA CoQ10 Lotion in their advertising campaign, 20 including the Product's packaging, as set forth more fully herein. There is no 21 societal benefit from false advertising, only harm. Plaintiff and other Class 22 members paid for a proven effective fast acting skin firming Product, which they 23 did not receive. While Plaintiff and Class members were harmed, Defendants were 24 unjustly enriched by their false representations and omissions. Because the utility of 25 Defendants' conduct (zero) is outweighed by the gravity of the harm Plaintiff and 26 Class members suffered, Defendants' conduct is "unfair" having offended an 27 established public policy. Further, Defendants engaged in immoral, unethical,

28

1

2

3

4

5

6

1 oppressive, and unscrupulous activities that are substantially injurious to 2 consumers.

49. Further, as stated in this Complaint, Plaintiff alleges violations of 4 consumer protection, unfair competition and truth-in-advertising laws resulting in harm to consumers. Defendants' acts and omissions also violate and offend the public policy against engaging in false and misleading advertising, unfair competition and deceptive conduct towards consumers. This conduct constitutes violations of the unfair prong of Business & Professions Code §17200, et seq.

9 50. There were reasonably available alternatives to further Defendants' 10 legitimate business interests, other than the conduct described herein.

11 51. Business & Professions Code §17200, et seq., also prohibits any "fraudulent business act or practice." 12

13 52. In the course of conducting business, Defendants committed 14 "fraudulent business act or practices" by, inter alia, making the skin firming 15 representations (which also constitutes advertising within the meaning of §17200) 16 and omissions of material facts regarding the NIVEA CoQ10 Lotion in their 17 advertising campaign, including the Product's packaging, as set forth more fully 18 herein.

19 53. Defendants misrepresented on each and every Product package that the 20 Product is "proven" to "improve[] skin firmness within 2 weeks" when, in fact, the 21 Product contains insufficient amounts of oversized CoQ10 particles to provide skin 22 firming benefits within or in as little as two weeks or ever.

23 Defendants' actions, claims, omissions and misleading statements, as 54. 24 more fully set forth above, were also false, misleading and/or likely to deceive the 25 consuming public within the meaning of Business & Professions Code §17200, et 26 seq.

27

3

5

6

7

8

55. Plaintiff and other members of the Class have in fact been deceived as

1

2

3

4

5

20

21

22

23

24

25

26

27

28

a result of their reliance on Defendants' material representations and omissions, which are described above. This reliance has caused harm to Plaintiff and other members of the Class who each purchased Defendants' NIVEA CoQ10 Lotion. Plaintiff and the other Class members have suffered injury in fact and lost money as a result of these unlawful, unfair, and fraudulent practices.

56. Defendants knew, or should have known, that their material
representations and omissions would be likely to deceive the consuming public and
result in consumers purchasing Nivea CoQ10 Lotion and, indeed, intended to
deceive consumers.

10 57. As a result of their deception, Defendants have been able to reap unjust
11 revenue and profit.

12 58. Unless restrained and enjoined, Defendants will continue to engage in
13 the above-described conduct. Accordingly, injunctive relief is appropriate

¹⁴ 59. Plaintiff, on behalf of herself, all others similarly situated, and the
¹⁵ general public, seeks restitution of all money obtained from Plaintiff and the
¹⁶ members of the Class collected as a result of unfair competition, an injunction
¹⁷ prohibiting Defendants from continuing such practices, corrective advertising and
¹⁸ all other relief this Court deems appropriate, consistent with Business &
¹⁹ Professions Code §17203.

COUNT II Violations of the Consumers Legal Remedies Act – Civil Code §1750 *et seq*.

60. Plaintiff repeats and re-alleges the allegations contained in the paragraphs above, as if fully set forth herein.

61. Plaintiff brings this claim individually and on behalf of the Class.

1 This cause of action is brought pursuant to the Consumers Legal 62. 2 Remedies Act, California Civil Code §1750, et seq. (the "Act") and similar laws in 3 other states.

4 63. Plaintiff is a consumer as defined by California Civil Code §1761(d). 5 Defendants' NIVEA CoQ10 Lotion is a "good" within the meaning of the Act.

6 Defendants violated and continue to violate the Act by engaging in the 64. 7 following practices proscribed by California Civil Code §1770(a) in transactions 8 with Plaintiff and the Class which were intended to result in, and did result in, the 9 sale of NIVEA CoQ10 Lotion:

10 (5)Representing that [NIVEA CoQ10 Lotion has]... approval. characteristics, ... uses [and] benefits ... which [it does] not 12 have . . .

> * * *

> > *

*

Representing that [NIVEA CoQ10 Lotion is] of a particular standard, (7)quality or grade . . . if [it is] of another.

(9) Advertising goods . . . with intent not to sell them as advertised.

*

*

Representing that [NIVEA CoQ10 Lotion has] been supplied in (16)accordance with a previous representation when [it has] not.

*

21 Defendants violated the Act by representing and failing to disclose 65. 22 material facts on the NIVEA CoQ10 Lotion labeling and packaging and associated 23 advertising, as described above, when it knew, or should have known, that the 24 representations were false and misleading and that the omissions were of material 25 facts it was obligated to disclose.

26

11

13

14

15

16

17

18

19

- 27
- 28

66. Pursuant to California Civil Code §1782(d), Plaintiff and the Class seek a Court order enjoining the above-described wrongful acts and practices of Defendants and for restitution and disgorgement.

3 4

5

6

7

8

1

2

67. Pursuant to §1782 of the Act, Plaintiff notified Defendants in writing by certified mail of the particular violations of §1770 of the Act and demanded that Defendants rectify the problems associated with the actions detailed above and give notice to all affected consumers of Defendants' intent to so act. Copies of the letters are attached hereto as Exhibit B.

9 68. If Defendants fail to rectify or agree to rectify the problems associated
10 with the actions detailed above and give notice to all affected consumers within 30
11 days of the date of written notice pursuant to §1782 of the Act, Plaintiff will amend
12 this Complaint to add claims for actual, punitive and statutory damages, as
13 appropriate.

14

17

19

69. Defendants' conduct is fraudulent, wanton and malicious.

15 70. Pursuant to §1780(d) of the Act, attached hereto as Exhibit C is the
affidavit showing that this action has been commenced in the proper forum.

PRAYER FOR RELIEF

¹⁸ Wherefore, Plaintiff prays for a judgment:

A. Certifying the Class as requested herein;

B. Awarding restitution and disgorgement of Defendants' revenues to
Plaintiff and the proposed Class members;

C. Awarding injunctive relief as permitted by law or equity, including:
 enjoining Defendants from continuing the unlawful practices as set forth herein;

D. Ordering Defendants to engage in a corrective advertising
campaign;

E. Awarding attorneys' fees and costs; and

F. Providing such further relief as may be just and proper.

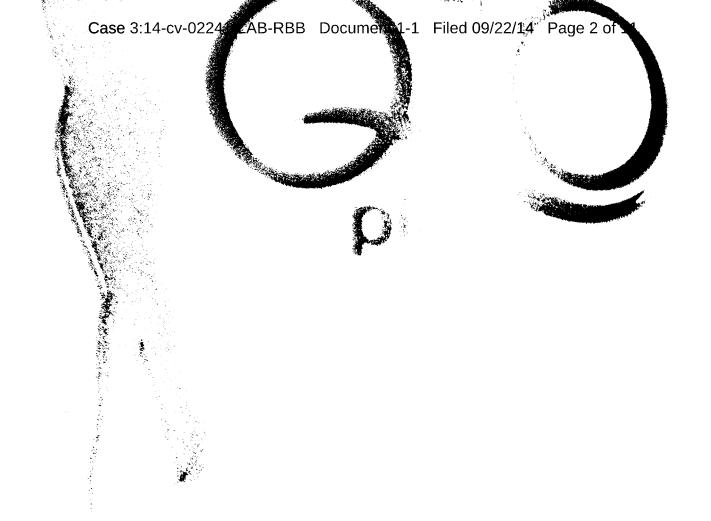
28

26

	Case 3:14-cv-02241-LAB-RBB Document 1 Filed 09/22/14 Page 19 of 19					
1	DEMAND FOR JURY TRIAL					
2	Plaintiff hereby demands a trial of her claims by jury to the extent authorized					
3	by law.					
4	Dated: September 22, 2014 BONNETT, FAIRBOURN, FRIEDMAN					
5	& BALINT, P.C.					
6	/s/Patricia N. Syverson					
7	Elaine A. Ryan (<i>To be Admitted Pro Hac Vice</i>) Patricia N. Syverson (203111)					
8	Lindsey M. Gomez-Gray (To be Admitted Pro Hac Vice)					
9	2325 E. Camelback Rd., Suite 300 Phoenix, AZ 85016					
10	eryan@bffb.com psyverson@bffb.com lgomez-gray@bffb.com Telephone: (602) 274-1100					
11	Telephone: (602) 274-1100					
12	BONNETT, FAIRBOURN, FRIEDMAN & BALINT, P.C.					
13	Manfred P. Muecke (222893)					
14	Manfred P. Muecke (222893) 600 W. Broadway, Suite 900 San Diego, California 92101 mmuecke@bffb.com Telephone: (610) 756, 7748					
15	Telephone: (619) 756-7748					
16	STEWART M. WELTMAN, LLC Stewart M. Weltman (<i>To be Admitted Pro Hac</i>					
17	Vice) 53 W. Jackson Suite 364					
18	Chicago, IL 60604 sweltman@weltmanlawfirm.com					
19	Chicago, IL 60604 sweltman@weltmanlawfirm.com Telephone: (312) 588-5033 (Of Counsel Levin Fishbein Sedran & Berman)					
20						
21	Attorneys for Plaintiff					
22 23						
23 24						
24						
23 26						
20						
28						
)	- 19 -					

Case 3:14-cv-02241-LAB-RBB Document 1-1 Filed 09/22/14 Page 1 of 11

EXHIBIT A





13.5 FL. OZ. 400mL

2241ALAB-RBB Boourflents1 Filed 09/22/14 Page 3 of 11 Case 3:14-cv-02241ALA

mulated with:

PENDOINQY mpiex

G

All day moisturization Tighter more resilient skin

softer, smoother skin texture

within 2 weeks, to provide:

- more twind skin
- , feelin
- resilient
- wither smoother ain texture

NIVEA'S exclusive Hydra IQ technology supports skin's own hydration network to unleash deep, stop moisture that lasts more ban 24 hours.

to Use	mooth lotion over	v daily
	at a logically 1	

Dermatologically 1-

WWW. NIVEAUSA

Suestions? Comments? F

227-4703

n

Ingredients: water, Glycerin, Minstearate SE Octyldodecanol, Alc Acid Dicaprylyl Ether, Dimethico Joiquinone, Glyceryl Glucoside, M Fragrance, Carbomer, Sodium Hyanaxide,

Ś

M 0

ナ 5 N

Dil, Glyceryl Denat., Stearic reatine, styl Alcohol,

ľ

2

١

٦

1-Methymydantoin-2-Imide, Phenoxyethanol, Methylparaben, Ethylparaben.

Caution: Keep out of reach of children.

For external use only. Avoid contact with eyes. Stop use if irritation develops.

BDF 00 Beiersdorf

madauf Inc

6114 cm 2241-LAB-RBB Document 1-1 Filed 09/22/14 Page 4 of 11



Light • • • Rich

NIVEA Skin Firming Moisturizer oroven to firm and tighten skin's surface in as little two weeks.

Benefit:

Formulated with:

Hydra IQ Technology All day moisturization Glycerin

Co-Enzyme QI0 Complex Tighter, more resilient skin Softer, smoother skin texture

Proven within 2 weeks, to provide:

- ✓ Firmer, more toned skin
- Tighter feeling skin
- ✓ More resilient skin
- Softer, smoother skin texture



NIVEA's exclusive Hydra IQ technology supports skin's own hydration network to unleash deep, isture that lasts more than 24 hours.

To Use Smooth lotion over body daily.

Dermatologically Tested.

WWW.NIVEAUSA.com

Questions? Comments? 1-800-227-2-03 Ingradiante

Case 3:14-cv-02241-LAB-RBB Document 1-1 Filed 09/22/14 Page 5 of 11

EXHIBIT B



FRIEDMAN & BALINT PC CASE 3:14-CV-02241-LAB RBB DOCUMENT 1-1 Filed 09/22/14 Page 6 of 11 WILLIAM G. FAIRBOURN VAN BUNCH⁹ ELAINE A. RYAN⁸ KATHRYN A. HONECKER³ PATRICIAN, SYVERSON² PATRICIAN, SYVERSON² JORDATIAN SWITCH

VILLIAM G. FAIRBOURN VAN BUNCH⁹ ELAINE A. RYAN⁸ KATHRYN A. HONECKER³ GUY A. HANSON MANFRED P. MUECKE⁵ T. BRENT JORDAN⁷ LINDSEY M. GOMEZ-GRAY BARRETT N. LINDSEY

WENDY J. HARRISON² PATRICIA N. SYVERSON² KIMBERLY C. PAGE⁴ WILLIAM F. KING ANDREW M. EVANS KEVIN R. HANGER

JERRY C. BONNETT,¹ Of Counsel MICHAEL N. WIDENER, Of Counsel FRANCIS J. BALINT, JR.¹¹
 C. KEVIN DYKSTRA
 ANDREW Q. EVERCOAD
 JONATHAN S. WALLACK
 CHRISTINA L. BANNON
 TONNA K. FARRAR⁶
 TY D. FRANKEL
 ERIC D. ZARD

¹Admitted Also in Colorado ²Admitted Also in California ³Admitted Also in California ⁴Admitted Also in Alabama and Georgia ³Admitted Only in California, Kansas, Missouri and Oregon (located in Oregon) ⁴Admitted Also in Celorado, Idaho, Kansas, Missouri, Texas, Utah and Washington ⁴Admitted Also in Tenessee and West Virginia ⁴Admitted Also in Massachusetts and Virginia

September 22, 2014

VIA CERTIFIED MAIL (RETURN RECEIPT) (RECEIPT NO. 7012 3460 0000 7080 8851)

Beiersdorf North America, Inc. c/o C T Corporation System 818 W Seventh St Los Angeles, California 90017

VIA CERTIFIED MAIL (RETURN RECEIPT) (RECEIPT NO. 7012 3460 0000 7080 8868

Beiersdorf North America, Inc. General Counsel 45 Danbury Road Wilton, CT 06897

Re: Franz v. Beiersdorf, Inc., et al.

Dear Sirs or Madams:

Our law firm together with Stewart Weltman, LLC (Of Counsel Levin Fishbein Sedran & Berman) represent Ashley Franz and all other consumers similarly situated in an action against Beiersdorf, Inc. and Beiersdorf North America, Inc. (collectively "Defendants"), arising out of, *inter alia*, misrepresentations, either express or implied, by Defendants to consumers that their NIVEA Skin Firming Body Lotion with CoQ10 Plus ("NIVEA CoQ10 Lotion") is "proven to firm and tighten skin's surface in as little as two weeks" ("skin firming representations").

Ms. Franz and others similarly situated purchased NIVEA CoQ10 Lotion unaware that Defendants' skin firming representations prominently featured on each and every Product package are false. Defendants' NIVEA CoQ10 Lotion contains trace amount of oversized CoQ10 particles in the Product that are incapable of firming and tightening the skin "within two weeks", "in as little as two weeks", or ever. The full claims, including the facts and circumstances surrounding these claims, are detailed in the Class Action Complaint, a copy of which is enclosed and incorporated by this reference.

September 22, 2014 Page 2

Defendants' skin firming representations are false and misleading and constitute unfair methods of competition and unlawful, unfair, and fraudulent acts or practices, undertaken by Defendants with the intent to induce the consuming public to purchase Nivea CoQ10 Lotion. The skin firming representations do not assist consumers; they simply mislead them.

Defendants' skin firming representations violate California Civil Code §1770(a) under, *inter alia*, the following subdivisions:

(5) Representing that [Nivea CoQ10 Lotion has] . . . characteristics, . . . uses [or] benefits. . . which [it does] not have.

* * *

(7) Representing that [Nivea CoQ10 Lotion is] of a particular standard, quality or grade, . . . if [it is] of another.

* * *

(9) Advertising goods . . . with the intent not to sell them as advertised.

* * *

(16) Representing that [Nivea CoQ10 Lotion has] been supplied in accordance with a previous representation when [it has] not.

California Civil Code §1770(a)(5)-(16).

Defendants' skin firming representations also constitute violations of California Business and Professions Code §17200, et seq.

While the Complaint constitutes sufficient notice of the claims asserted, pursuant to California Civil Code §1782, we hereby demand on behalf of our client and all others similarly situated that Defendants immediately correct and rectify this violation of California Civil Code §1770 by ceasing the misleading marketing campaign and ceasing dissemination of false and misleading information as described in the enclosed Complaint. In addition, Defendants should offer to refund the purchase price to all consumer purchasers of their Product, plus reimbursement for interest, costs, and fees.

Plaintiff will, after 30 days from the date of this letter, amend the Complaint without leave of Court, as permitted by California Civil Code §1782, to include claims for actual and punitive damages (as may be appropriate) if a full and adequate response to this letter is not received. These damage claims also would include claims under the Consumers Legal Remedies Act. Thus, to avoid further litigation, it is in the interest of all parties concerned that Defendants

September 22, 2014 Page 3

address these violations immediately.

Defendants must undertake all of the following actions to satisfy the requirements of California Civil Code §1782(c):

1. Identify or make a reasonable attempt to identify purchasers of the subject Product;

2. Notify all such purchasers so identified that upon their request, Defendants will offer an appropriate remedy for its wrongful conduct, which can include a full refund of the purchase price paid for the Product, plus interest, costs and fees;

3. Undertake (or promise to undertake within a reasonable time if it cannot be done immediately) the actions described above for all the Nivea CoQ10 Lotion purchasers who so request; and

4. Cease from expressly or impliedly representing to consumers that your Product is "proven" to "improve skin firmness within two weeks" when there is no reasonable basis for so claiming, as more fully described in the enclosed Complaint.

We await your response.

Very truly yours,

Patricia N. Syverson For the Firm

PNS:lmg Enclosures



BONNETT CV 02241 LAB RBB DOCUMENT 1-1 Filed 09/22/14 Page 9 of 11 WILLIAM G. FAIRBOURN VAN BUNCH[®] WOBERT J. SPURLOCK VAN BUNCH[®] WENDY J. HARRISON² PATRICIA N. SYVERSON² ANDREW O. EVERNOAD JONATHAN S. WALLACK

WILLIAM G. FAIRBOURN VAN BUNCH⁹ ELAINE A. RYAN⁸ KATHRYN A. HONECKER³ GUY A. HANSON MANFRED P. MUECKE⁵ T. BRENT JORDAN⁷ LINDSEY M. GOMEZ-GRAY BARRETT N. LINDSEY

JERRY C. BONNETT,¹ Of Counsel MICHAEL N. WIDENER, Of Counsel

ANDREW S. FRIEDMAN ROBERT J. SPURLOCK WENDY J. HARRISON² PATRICIA N. SYVERSON² KIMBERLY C. PAGE⁴ WILLIAM F. KING ANDREW M. EVANS KEVIN R. HANGER FRANCIS'D. BALINT, JR.¹⁰ C. KEVIN DYKSTRA ANDREW Q. EVERROAD JONATHAN S. WALLACK CHRISTINA L. BANNON TONNA K. FARRAR⁶ TY D. FRANKEL ERIC D. ZARD

Admitted Also in Colorado Admitted Also in Colorado Admitted Also in Klinoia Admitted Also in Klinoia Admitted Chiy in California Admitted Only in California Admitted Only in California, Kansas, Missouri and Oregon (located in Oregon) Admitted Also in Colorado, Idaho, Kansas, Missouri, Texas, Ulah and Washington Admitted Also in Tennessee and West Virginia ¹⁰ Admitted Also in Massachusetts and Virginia

September 22, 2014

VIA CERTIFIED MAIL (RETURN RECEIPT) (RECEIPT NO. 7012 3460 0000 7080 8851)

Beiersdorf North America, Inc. c/o C T Corporation System 818 W Seventh St Los Angeles, California 90017

<u>VIA CERTIFIED MAIL (RETURN RECEIPT)</u> (RECEIPT NO. 7012 3460 0000 7080 8868

Beiersdorf North America, Inc. General Counsel 45 Danbury Road Wilton, CT 06897

Re: Franz v. Beiersdorf, Inc., et al.

Dear Sirs or Madams:

Our law firm together with Stewart Weltman, LLC (Of Counsel Levin Fishbein Sedran & Berman) represent Ashley Franz and all other consumers similarly situated in an action against Beiersdorf, Inc. and Beiersdorf North America, Inc. (collectively "Defendants"), arising out of, *inter alia*, misrepresentations, either express or implied, by Defendants to consumers that their NIVEA Skin Firming Body Lotion with CoQ10 Plus ("NIVEA CoQ10 Lotion") is "proven to firm and tighten skin's surface in as little as two weeks" ("skin firming representations").

Ms. Franz and others similarly situated purchased NIVEA CoQ10 Lotion unaware that Defendants' skin firming representations prominently featured on each and every Product package are false. Defendants' NIVEA CoQ10 Lotion contains trace amount of oversized CoQ10 particles in the Product that are incapable of firming and tightening the skin "within two weeks", "in as little as two weeks", or ever. The full claims, including the facts and circumstances surrounding these claims, are detailed in the Class Action Complaint, a copy of which is enclosed and incorporated by this reference.

September 22, 2014 Page 2

Defendants' skin firming representations are false and misleading and constitute unfair methods of competition and unlawful, unfair, and fraudulent acts or practices, undertaken by Defendants with the intent to induce the consuming public to purchase Nivea CoQ10 Lotion. The skin firming representations do not assist consumers; they simply mislead them.

Defendants' skin firming representations violate California Civil Code §1770(a) under, *inter alia*, the following subdivisions:

* * *

- (5) Representing that [Nivea CoQ10 Lotion has] . . . characteristics, . . . uses [or] benefits. . . which [it does] not have.
- (7) Representing that [Nivea CoQ10 Lotion is] of a particular standard, quality or grade, . . . if [it is] of another.

* * *

(9) Advertising goods . . . with the intent not to sell them as advertised.

* * *

(16) Representing that [Nivea CoQ10 Lotion has] been supplied in accordance with a previous representation when [it has] not.

California Civil Code §1770(a)(5)-(16).

Defendants' skin firming representations also constitute violations of California Business and Professions Code §17200, *et seq*.

While the Complaint constitutes sufficient notice of the claims asserted, pursuant to California Civil Code §1782, we hereby demand on behalf of our client and all others similarly situated that Defendants immediately correct and rectify this violation of California Civil Code §1770 by ceasing the misleading marketing campaign and ceasing dissemination of false and misleading information as described in the enclosed Complaint. In addition, Defendants should offer to refund the purchase price to all consumer purchasers of their Product, plus reimbursement for interest, costs, and fees.

Plaintiff will, after 30 days from the date of this letter, amend the Complaint without leave of Court, as permitted by California Civil Code §1782, to include claims for actual and punitive damages (as may be appropriate) if a full and adequate response to this letter is not received. These damage claims also would include claims under the Consumers Legal Remedies Act. Thus, to avoid further litigation, it is in the interest of all parties concerned that Defendants

September 22, 2014 Page 3

address these violations immediately.

Defendants must undertake all of the following actions to satisfy the requirements of California Civil Code §1782(c):

1. Identify or make a reasonable attempt to identify purchasers of the subject Product;

2. Notify all such purchasers so identified that upon their request, Defendants will offer an appropriate remedy for its wrongful conduct, which can include a full refund of the purchase price paid for the Product, plus interest, costs and fees;

3. Undertake (or promise to undertake within a reasonable time if it cannot be done immediately) the actions described above for all the Nivea CoQ10 Lotion purchasers who so request; and

4. Cease from expressly or impliedly representing to consumers that your Product is "proven" to "improve skin firmness within two weeks" when there is no reasonable basis for so claiming, as more fully described in the enclosed Complaint.

We await your response.

Very *s*ruly yours, Allen

Patricia N. Syverson For the Firm

PNS:lmg Enclosures

I	Case 3:14-cv-02241-LAB-RBB Docume	nt 1-2 Filed 09/22/14 Page 1 of 2			
1	BONNETT, FAIRBOURN, FRIEDMAN & BALINT, P.C.				
2	ELAINE A. RYAN (<i>To be Admitted Pro Hac Vice</i>) PATRICIA N. SYVERSON (CA SBN 203111)				
3	2325 E. Camelback Rd. Suite 300				
4	Phoenix, AZ 85016 eryan@bffb.com				
5	psyverson@bffb.com lgomez-gray@bffb.com Telephone: (602) 274-1100				
6	-				
7	BONNETT, FAIRBOURN, FRIEDMA & BALINT, P.C.				
8	Manfred P. Muecke (CA SBN 222893) 600 W. Broadway, Suite 900				
9	San Diego, California 92101 mmuecke@bffb.com				
10	Telephone: (619) 756-7748				
11	STEWART M. WELTMAN, LLC Stewart M. Weltman (<i>To be Admitted Pro Hac Vice</i>)				
12	53 W. Jackson Suite 364 Chicago, IL 60604 sweltman@weltmanlawfirm.com Telephone: (312) 588-5033				
13					
14	(Of Counsel Levin Fishbein Sedran &	Derman)			
15	Attorneys for Plaintiff				
16					
17	UNITED STATES	S DISTRICT COURT			
18	SOUTHERN DISTR	RICT OF CALIFORNIA			
19	ASHLEY FRANZ, On Behalf of	Case No.: '14CV2241 LAB RBB			
20	Herself and All Others Similarly Situated,				
21	Plaintiff,	<u>CLASS ACTION</u>			
22	V.	DECLARATION OF PATRICIA N.			
23	BEIERSDORF, INC., a Delaware	SYVERSON PURSUANT TO CALIFORNIA CIVIL CODE §1780(d)			
24	corporation and BEIERSDORF NORTH AMERICA, INC., a	Chen Oktom Crvin Cobe §1700(d)			
25	Delaware corporation,				
26	Defendants.				
27					
28					

1	I, Patricia N. Syverson, declare as follows:			
2	1. I am an attorney duly licensed to practice before all of the courts of			
3	the State of California. I am a shareholder of the law firm of Bonnett, Fairbourn,			
4	Friedman & Balint, P.C., the counsel of record for plaintiff in the above-entitled			
5	action.			
6	2. Defendants Beiersdorf, Inc. and Beiersdorf North America, Inc. have			
7	done and are doing business in the Southern District of California. Such business			
8	includes the marketing, distributing and sale of their NIVEA Skin Firming Body			
9	Lotion with CoQ10 Plus. Furthermore, Plaintiff Olvera purchased NIVEA Skin			
10	Firming Body Lotion with CoQ10 Plus in San Diego, California.			
11	I declare under penalty of perjury under the laws of the State of California			
12	that the foregoing is true and correct.			
13	Executed this 22nd day of September 2014, at Phoenix, Arizona.			
14	RONNETT EAIDROUDN EDIEDMAN			
15	BONNETT, FAIRBOURN, FRIEDMAN & BALINT, P.C. PATRICIA N. SYVERSON (203111)			
16				
17	<u>s/ Patricia N. Syverson</u> Patricia N. Syverson 2325 E. Camelback Rd., Ste. 300			
18	Phoenix, Arizona 85016			
19	Telephone: (602) 274-1100 psyverson@bffb.com			
20	Attorneys for Plaintiff			
21				
22				
23				
24				
25				
26				
27				
28				
	1			

Case 3:14-cv-02241-LAB COVER SHEET

JS 44 (Rev. 12/12)

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. *(SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)*

pulpose of initiating the errir a			1111010	1000)			
I. (a) PLAINTIFFS ASHLEY FRANZ, On Be	half of Herself and All	Others Similarly Site	uated,	DEFENDANTS BEIERSDORF, IN NORTH AMERICA	C., a Delav		on and BEIERSDORF
(b) County of Residence of First Listed Plaintiff San Diego (EXCEPT IN U.S. PLAINTIFF CASES)				County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.			
(c) Attorneys (Firm Name, Address, and Telephone Number) Patricia N Syverson, Bonnett Fairbourn Friedman & Balint 2325 E. Camelback Rd. Suite 300, Phoenix, AZ 85016 602-274-1100				Attorneys (If Known)			1 LAB RBB
II. BASIS OF JURISDI	CTION (Place an "X" in C	ne Box Only)	III. CI	TIZENSHIP OF P	RINCIPA	L PARTIES	(Place an "X" in One Box for Plaintiff
□ 1 U.S. Government Plaintiff	X 3 Federal Question (U.S. Government)	Not a Party)			TF DEF € 1 □ 1	Incorporated or Pr of Business In 7	
2 U.S. Government Defendant	→ → Diversity (Indicate Citizensh	ip of Parties in Item III)	Citiz	en of Another State	2 2	Incorporated and I of Business In .	
				en or Subject of a reign Country	3 3	Foreign Nation	
IV. NATURE OF SUIT		aly) RTS	E	DRFEITURE/PENALTY	DAN	KRUPTCY	OTHER STATUTES
			1				375 False Claims Act
 120 Marine 130 Miller Act 140 Negotiable Instrument 	 310 Airplane 315 Airplane Product Liability 	315 Airplane Product Product Liability Liability Image: 367 Health Care/	 625 Drug Related Seizure of Property 21 USC 881 690 Other 		 422 Appeal 28 USC 158 423 Withdrawal 28 USC 157 		 400 State Reapportionment 410 Antitrust 430 Banks and Banking
 150 Recovery of Overpayment & Enforcement of Judgment 151 Medicare Act 152 Recovery of Defaulted 	Slander Personal 330 Federal Employers' Product L	Pharmaceutical Personal Injury Product Liability 368 Asbestos Personal			PROPERTY RIGHTS 820 Copyrights 830 Patent 840 Trademark		 450 Commerce 460 Deportation 470 Racketeer Influenced and Corrupt Organizations
Student Loans (Excludes Veterans)	 340 Marine 345 Marine Product 	Injury Product Liability		LABOR	SOCIAL	SECURITY	 480 Consumer Credit 490 Cable/Sat TV
□ 153 Recovery of Overpayment	Liability	PERSONAL PROPER	TY 🗖 71	0 Fair Labor Standards	🗖 861 HIA (1395ff)	□ 850 Securities/Commodities/
of Veteran's Benefits 160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability 196 Franchise 	 350 Motor Vehicle 355 Motor Vehicle Product Liability 360 Other Personal Injury 	 370 Other Fraud 371 Truth in Lending 380 Other Personal Property Damage 385 Property Damage 	1 74	Act 20 Labor/Management Relations 40 Railway Labor Act 51 Family and Medical	 862 Black 863 DIWC 864 SSID 865 RSI (4) 	C/DIWW (405(g)) Title XVI	Exchange 890 Other Statutory Actions 891 Agricultural Acts 893 Environmental Matters 895 Freedom of Information
	 362 Personal Injury - Medical Malpractice 	Product Liability	1 79	Leave Act 00 Other Labor Litigation			Act 896 Arbitration
REAL PROPERTY	CIVIL RIGHTS	PRISONER PETITION		1 Employee Retirement		L TAX SUITS	□ 899 Administrative Procedure
 210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 	□ 440 Other Civil Rights Habeas Corpus: □ 441 Voting □ 463 Alien Detainee □ 442 Employment □ 510 Motions to Vacate □ 443 Housing/ Sentence Accommodations □ 530 General		Income Security Act		 870 Taxes (U.S. Plaintiff or Defendant) 871 IRS—Third Party 26 USC 7609 		Act/Review or Appeal of Agency Decision □ 950 Constitutionality of State Statutes
290 All Other Real Property	445 Amer. w/Disabilities - Employment	145 Amer. w/Disabilities - 🗇 535 Death Penalty		IMMIGRATION			
	 Hiproynent 446 Amer. w/Disabilities - Other 448 Education 	Other: ☐ 540 Mandamus & Othe ☐ 550 Civil Rights ☐ 555 Prison Condition ☐ 560 Civil Detainee - Conditions of Confinement		2 Naturalization Application 5 Other Immigration Actions			
V. ORIGIN (Place an "X" is	n One Box Only)						
	te Court	Appellate Court	Reop	(specify	er District	□ 6 Multidistr Litigation	
VI. CAUSE OF ACTION	DN 28 U.S.C. §1332	d)(2) ; 28:1331 - F	edera		tutes unless div	versity):	
VII. REQUESTED IN COMPLAINT:	· _	IS A CLASS ACTION		EMAND \$		HECK YES only J RY DEMAND	if demanded in complaint: : X Yes □ No
VIII. RELATED CASI IF ANY	E(S) (See instructions):	JUDGE			DOCKE	T NUMBER	
DATE 09/22/2014		SIGNATURE OF ATT					
FOR OFFICE USE ONLY							
RECEIPT # AN	MOUNT	APPLYING IFP		JUDGE		MAG. JU	DGE