Case 1:	13-cv-00685-ACK-RLP Document 1 File	d 09/23/13 Page 1 of 49 PageID #: 1
1 2 3 4 5 6 7 8 9 10	MARLIN & SALTZMAN, LLP Stanley D. Saltzman, Esq. (SBN 90058) Marcus J. Bradley, Esq. (SBN 174156) William A. Baird, Esq. (SBN 192675) 29229 Canwood Street, Suite 208 Agoura Hills, California 91301 Telephone: (818) 991-8080 Facsimile: (818) 991-8081 ssaltzman@marlinsaltzman.com mbradley@marlinsaltzman.com tbaird@marlinsaltzman.com Attorneys for Plaintiff UNITED STATES	
 11 12 13 14 15 16 17 18 19 20 21 22 	ERIN CALDERON, individually and on behalf of all others similarly situated, Plaintiff, v. CARGILL, INC.; and DOES 1 through 10, inclusive, Defendants.	 CASENS-7046btt [JEUX CLASS ACTION COMPLAINT FOR 1. FALSE AND MISLEADING ADVERTISING IN VIOLATION OF BUSINESS AND PROFESSIONS CODE §17200, et seq. 2. FALSE AND MISLEADING ADVERTISING IN VIOLATION OF BUSINESS AND PROFESSIONS CODE §17500, et seq. 3. VIOLATION OF CALIFORNIA CIVIL CODE § 1750. et seq. 4. UNJUST ENRICHMENT
23 24 25 26 27 28		5. BREACH OF EXPRESS WARRANTY 6. BREACH OF IMPLIED WARRANTY 7. VIOLATION OF CONSUMER FRAUD LAWS JURY TRIAL DEMANDED -1- Class Action Complaint Case No

Plaintiff Erin Calderon ("Plaintiff") alleges the following based upon personal knowledge as to herself and her own acts, and upon information and belief and the investigation by Plaintiff's counsel, which included, among other things, a review of public documents, marketing materials, and announcements made by Cargill, Inc. ("Defendant" or "Cargill") as to all other matters. Plaintiff believes that substantial additional evidentiary support exists for the allegations set forth herein and will be available after a reasonable opportunity for discovery.

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NATURE OF THE ACTION

9 1. This action seeks to remedy the unfair, deceptive, and unlawful
10 business practices of Cargill with respect to the marketing, advertising, labeling,
11 and sales of Truvía® Natural Sweetener (the "Product" or "Truvía® Natural
12 Sweetener" or "Truvía").

2. Agribusiness behemoth Cargill is in the business of creating
innovative and reformulated food and beverage *ingredients*. For instance,
Cargill's Sweetener Division develops and manufactures ingredients that provide
"sweet taste that replaces the function of sucrose in food and beverages."¹

Cargill recognizes consumers are increasingly health conscious.² To 3. 17 capitalize on this market trend, Cargill joined forces with The Coca-Cola 18 Company ("Coca-Cola") to develop a purportedly natural, sweeter-than-sugar, 19 non-caloric sweetening ingredient for food and beverages. Cargill and Coca-Cola 20 publicly revealed the results of this ultra-secret endeavor in 2008 – an extract of 21 the leaf of the stevia plant, high purity Rebaudioside A ("Reb A"). Cargill uses 22 Reb A as an ingredient in its tabletop sweetener product, branded as Truvía® 23 Natural Sweetener, touting it as the first *natural* sugar substitute, developed "[i]n 24

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¹ See http://www.cargillfoods.com/na/en/products/sweeteners/specialty-sweeteners/index.jsp (last visited June 7, 2013).

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response to strong consumer demand for a natural, zero-calorie way to sweeten
 foods and beverages "³

4. Since as early as 2008 ("Class Period"), Cargill has manufactured,
distributed, and sold Truvía and consistently has marketed, advertised, and labeled
Truvía as a natural sweetener primarily made from the stevia plant.

5. As part of a scheme to make Truvía more attractive to consumers,
boost its sales, and ultimately increase its profits, Cargill uses terms such as
"Nature's Calorie-Free Sweetener" and "Truvía® sweetener comes from nature,"
and natural imagery such as the leaves of the stevia plant in labeling, advertising,
and marketing materials. (See e.g. examples below)

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from nature, for sweetness"

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June 7, 2013).

Nature's Calorie-Free

² See Cargill 2012 Annual Report at 10-11 available at

958.pdf, at 10-11 (last visited June 7, 2013).

http://www.cargill.com/wcm/groups/public/@ccom/documents/document/na3065

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³ See http://www.cargill.com/news/releases/2008/NA3007625.jsp (last visited

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1 6. The use of these terms and natural imagery is designed to, and does, 2 induce consumers, such as Plaintiff and the members of the putative classes, into 3 believing that Truvía is a natural sweetener primarily made from the stevia plant 4 that does not contain ingredients that are either synthetic or harshly chemically 5 processed and, therefore, is a healthy choice and is superior to competing sugaralternative sweeteners that do not claim to be natural. 6

7 7. However, Defendant's labeling, advertising, and marketing campaign is false and misleading because: (1) Cargill touts the stevia plant as the reason 8 Truvía is natural, when in fact the stevia-derived ingredient, Reb A, is not the 9 natural crude preparation of stevia, but rather is a highly chemically processed and 10 purified form of stevia leaf extract; (2) the stevia-derived Reb A comprises *only* 11 1% of Truvía; (3) the main ingredient, erythritol, which Cargill also purports to be 12 a natural ingredient derived through natural processes, is not made like it is in 13 nature, but rather is synthetically made; and (4) Cargill describes the process of 14 obtaining stevia leaf extract as "similar to making tea," but does not tell the 15 consumer that Cargill then adds ethanol, methanol, or rubbing alcohol to this so-16 called "tea" in a patented multi-step process to purify it. In short, Truvía is not 17 made primarily from the stevia plant, it is predominantly made of erythritol, and 18 contains only a minute quantity of stevia-derived Reb A (not natural crude stevia); 19 the erythritol used is not natural, it is synthetic; and, the stevia-derived Reb A is 20 harshly purified through chemical processes. As a result, no reasonable consumer 21 would consider Truvía to be a natural product. 22

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8. Plaintiff When purchasing Truvía, relied Defendant's on misrepresentations that Truvía is a natural sweetener primarily made from the stevia plant. Plaintiff would not have purchased this product if she had known that Defendant's representations were false and misleading. Plaintiff and the Classes paid a premium for Truvía over comparable sugar-alternative sweeteners that did not purport to be natural. Truvía is consistently more expensive per

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packet than sugar-alternative competitors, like Sweet 'N Low and Splenda, 1 2 costing approximately 300% more per packet than Sweet 'N Low and 67% more 3 per packet than Splenda. Plaintiff would not have purchased Truvía had she 4 known the truth. Plaintiff suffered an injury by purchasing the Product at inflated 5 prices. Plaintiff did not receive a natural sweetener primarily made from the stevia plant; rather, she received a product that is made predominantly of a 6 7 synthetic ingredient with only a miniscule amount of Reb A, which itself is harshly chemically purified, in contradiction to Defendant's representations. 8

Defendant's conduct of falsely marketing, advertising, labeling, and 9. 9 selling Truvía as a natural sweetener primarily made from the stevia plant 10 constitutes unfair, unlawful, and fraudulent conduct; is likely to deceive members 11 of the public; and is unethical, oppressive, unscrupulous, and/or substantially 12 injurious to consumers, because, among other things, it misrepresents the 13 characteristics of goods and services. As such, Plaintiff seeks relief in this action 14 individually and as a class action on behalf of all purchasers in the United States 15 of Defendant's Truvía (the "Class"). Plaintiff also seeks relief in this action 16 individually and as a class action on behalf of a subclass of all purchasers in 17 California of Defendant's Truvía (the "California Class"). 18

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JURISDICTION AND VENUE

10. This Court has original jurisdiction over the claims asserted herein 20 individually and on behalf of the class pursuant to 28 U.S.C. §1332, as amended 21 in February 2005 by the Class Action Fairness Act. Subject matter jurisdiction is 22 proper because: (1) the amount in controversy in this class action exceeds five 23 million dollars, exclusive of interest and costs; and (2) a substantial number of the 24 members of the proposed classes are citizens of a state different from that of 25 Defendant. Personal jurisdiction is proper as Defendant has purposefully availed 26 itself of the privilege of conducting business activities within this District. 27

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11. Defendant, a citizen of Minnesota and Delaware, has distributed,

marketed, advertised, labeled, and sold Truvía, which is the subject of the present
complaint, in this District. Thus, under 28 U.S.C. §§1391(c)(2) and (d),
Defendant is deemed to reside in this District. As such, venue is proper in this
judicial district under 28 U.S.C. §1391(b)(1) because Defendant is deemed to
reside in this District and under 28 U.S.C. §1391(b)(2), because Defendant
conducts business in this District and a substantial part of the acts or omissions
giving rise to the claims set forth herein occurred in this District.

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PARTIES

9 12. Plaintiff Erin Calderon is a citizen of California and an individual
10 consumer. During the Class Period, Plaintiff Calderon purchased Truvía on
11 multiple occasions. At least two purchases were in 2012 at the Ralphs grocery
12 store located in Westlake Village, California. Plaintiff Calderon last purchased
13 Truvía in August of 2013 at a Sprouts grocery store in Westlake Village,
14 California.

13. Prior to purchasing the Product, Plaintiff Calderon read and relied 15 upon false and misleading statements that were prepared by and/or approved by 16 Defendant and its agents and disseminated through the Truvía packaging. For 17 each purchase, she understood that she was paying for a natural sweetener 18 primarily made from the stevia plant and was deceived when she received a 19 product that is made predominantly of synthetic erythritol and with only a 20 miniscule amount of the stevia-derived Reb A, which is purified through a harsh 21 chemical process. During the Class Period, Plaintiff Calderon also viewed and 22 relied on Truvía television commericals, which represented the Product as a 23 natural sweetener primarily made from the stevia plant. But for Defendant's 24 misrepresentations, Plaintiff Calderon would not have purchased Truvía, and/or 25 would not have paid a premium for Truvía over the price of other sugar-26 alternative sweeteners that are not promoted as natural. Plaintiff Calderon thus 27 was damaged by Defendant's practice. 28

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1 14. Defendant Cargill is a privately held Delaware corporation, 2 headquartered at 15407 McGinty Rd. West, Wayzata, Minnesota. Defendant 3 distributes, markets, advertises, and sells Truvía in California and throughout the 4 rest of the United States.

The use of the term "defendants" or "Defendants" in any of the 5 15. allegations in this Complaint, unless specifically alleged otherwise, is intended to 6 7 include and charge, both jointly and severally, not only the defendants identified in this Complaint, but also all defendants designated as DOES 1 through 10, 8 inclusive, as though the term "defendants" was followed in each and every 9 instance throughout this Complaint with the phrase "and each of them jointly and 10 severally, including all named defendants and defendants included herein and 11 sued under the fictitious names of DOES 1 through 10, inclusive." 12

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Plaintiff is informed and believes, and thereon alleges, that 16. Defendants, at all times herein mentioned, were the partners, joint venturers, 14 subsidiaries, successors in interest, managing agent, merged entities, agents, alter 15 egos, part of a jointly owned, managed, and/or operated business enterprise, 16 and/or employees of each other Defendant and in doing the acts, omissions, and 17 things alleged herein were acting as such and within the scope of their authority as 18 such agents and employees and with the permission and consent of all other 19 Defendants. Plaintiff is informed and believes, and thereon alleges, that 20 Defendants have, and at all times herein mentioned had, a joint economic and 21 business interest, goal and purpose in the products that are the subject of this 22 lawsuit. 23

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ALLEGATIONS OF FACT

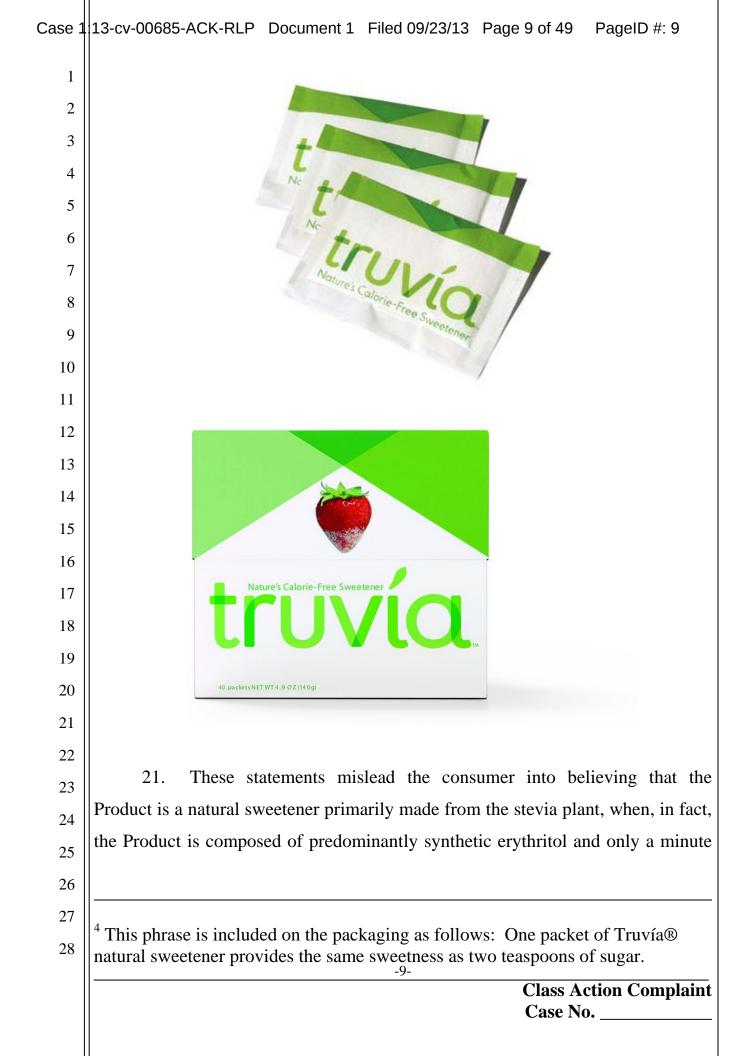
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A. **Defendants' False and Misleading Statements**

17. Truvía® Natural Sweetener is manufactured, distributed, marketed, 26 advertised, and sold by Cargill to consumers as a tabletop packet sweetener for 27 food and beverages. 28

1	18. Throughout the Class Period, Cargill engaged in, and Plaintiff and		
2	members of the Classes were exposed to, a long-term advertising campaign in		
3	which Cargill utilized various forms of media, including, but not limited to, print		
4	advertising on the Truvía label and television commercials. Since Cargill		
5	announced the launch of Truvía® Natural Sweetener in 2008, Cargill consistently		
6	has made certain representations in its labeling, advertising, and marketing that		
7	are false and misleading. To accomplish this, Cargill uses an integrated,		
8	nationwide messaging campaign to consistently convey the deceptive and		
9	misleading message that Truvía is a natural sweetener primarily made from the		
10	stevia plant. This message, at a minimum, is conveyed at the point of purchase		
11	on the Truvía packaging and labeling. Thus, all consumers are exposed to the		
12	same message whether viewed in television commercials or on the label.		
13	19. During the Class Period, Plaintiff first was introduced to Truvía		
14	through television commercials that featured an image of the stevia plant.		
15	20. Specifically, Cargill states on Truvía® Natural Sweetener packaging		
16	and labeling:		
17	Truvía® Nature's Calorie-Free Sweetener		
18	Truvía® natural sweetener ⁴		
19	Truvía® sweetener comes from nature:		
20	Stevia leaf extract is born from the sweet leaf of the stevia		
21	plant, native to South America. Dried stevia leaves are steeped in water, similar to making tea. This unlocks the best tasing		
22	part of the leaf which is then purified to provide a calorie-free		
23	sweet taste.		
24	Erythritol is a natural sweetener, produced by a natural process, and is also found in fruits like grapes and pears.		
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quantity of stevia-derived Reb A, which is purified through a harsh chemical
 process and is not the same as natural crude stevia.

22. Plaintiff and the Classes reasonably understood the Product's
packaging to mean that the Product is a natural sweetener primarily made from the
stevia plant and relied on such representations in making their purchases of the
Product.

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B. Truvía Is Not Primarily Made from the Stevia Plant

8 23. Although Cargill leads consumers to believe that Truvía is primarily 9 made from the stevia plant, Truvía actually is made predominantly with synthetic 10 erythritol. Plaintiff's calculations indicate that Truvía is *approximately* 99% 11 synthetic erythritol. That Truvía is almost entirely made with a synthetic 12 ingredient is material to consumers, including Plaintiff and members of the 13 Classes, who are seeking to consume natural products.

14 24. No reasonable consumer would know or have reason to know that 15 Truvía contains such a *minute* amount of the stevia-derived ingredient, Reb A. 16 The quantity of Reb A in Truvía is within the exclusive knowledge of Cargill and 17 is not known to ordinary consumers, including Plaintiff and members of the 18 Classes. Cargill actively conceals this material fact from consumers, including 19 Plaintiff and members of the Classes. Cargill's representations that Truvía is 20 made from the stevia plant is, at best, an incomplete partial disclosure.

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Truvía Is Not a *Natural* Sweetener

Reb A Is Not the Same as Natural Crude Stevia
 25. Not only is there but a miniscule amount of stevia in Truvía, but the highly processed, high purity stevia extract Reb A in Truvía is not what most

consumers, including Plaintiff and members of the Classes, consider to be natural

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stevia.

27 26. Stevia typically refers to the crude stevia preparation (powder or liquid), which is obtained through the *natural process* of drying and crushing

1 stevia leaves and then extracting them with hot water. This natural crude stevia extract can be purchased as a supplement in health food stores. Reb A is a highly 2 3 purified form of stevia extract, which (as discussed below) is obtained through a So, while the highly 4 harsh and unnatural chemical purification process. 5 processed, high purity Reb A in Truvía is derived from the stevia plant, it is not the same as the natural stevia that is sold in the U.S. as a dietary supplement. This 6 7 distinction is material to consumers, including Plaintiff and members of the 8 Classes, who are seeking to consume natural products.

9 27. In light of the above, no reasonable consumer would know, or have
reason to know, that the stevia extract in Truvía is highly processed high purity
Reb A. This information is within the exclusive knowledge of Cargill and is not
known to ordinary consumers, including Plaintiff and members of the Classes.
Cargill actively conceals this material fact from consumers, including Plaintiff and
members of the Classes. Cargill's representation that Truvía is made from the
stevia plant is misleading.

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2. The Unnatural Processing and Synthetic Manufacturing of the Ingredients in Truvía

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a. Cargill Creates High Purity Reb A Through a Harsh Chemical Process that Includes Washing Crude Stevia Extract with Ethanol, Methanol, or Rubbing Alcohol

28. Cargill creates high purity Reb A by first extracting the crude stevia 21 from the stevia leaf. I. Prakas, et. al., Development of rebiana, a natural, non-22 caloric sweetener, Food Chem. Toxicol., 2008 Jul;46 Suppl 7:S75, S76-77 (2008). 23 Epub 2008 May 16. The stevia leaves are dried, crushed, and extracted with 24 water, followed by precipitation and filtration of the stevia extract. The steviol 25 glycosides (which are the sweet components of the stevia leaf extract) are 26 dissolved in the primary extract while residual plant components are suspended in 27 the primary extract. Unwanted plant components are removed by "flocculation." 28

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Flocculation is the process of "flaking" the suspended solids out of the primary
 extract while leaving behind what is dissolved in solution. This process results in
 crude stevia extract.

4 29. The crude stevia extract is then processed to concentrate the steviol 5 gylcosides. In this process, an adsorption resin is used to trap the steviol glycosides of the leaf extract. The resin is washed with methanol or ethanol to 6 7 release the glycosides. The extract is then concentrated by evaporation or with an adsorption resin, followed by drying to yield a steviol glycoside primary extract. 8 The dried extract may be stored and transported in this form before final 9 purification. 10

30. The stevia concentrate is then purified selectively for Reb A by 11 stripping away all the steviol glycosides except the Reb A through a multi-step 12 U.S. Patent No. 0292582 (filed Feb. 12, 2007). patented process. In the 13 purification process, the primary extract is redissolved in a water-alcohol solvent 14 mixture and further processed by filtration, crystallization, and centrifugation 15 steps. The resulting preparation of crystals is rinsed with ethanol and vacuum-16 dried to yield the final purified Reb A product. This purification process results in 17 a high purity (97%) mixture of Reb A and polymorphs of Reb A. 18

31. That Reb A is obtained through a harsh chemical process is material
to consumers, including Plaintiffs and members of the Classes, who are seeking to
consume natural products. Consumers, including Plaintiff and members of the
Classes, do not consider a product with an ingredient that is harshly chemically
processed to be natural.

32. For instance, the U.S. Department of Agriculture ("USDA") takes into account the level of processing in its policy on natural claims on food labeling. The USDA defines a product as "natural" when "(1) The product does not contain any artificial flavor or flavoring, coloring ingredient, or chemical preservative (as defined in 21 CFR 101.22), or any other artificial or synthetic ingredient; and (2) the product and its ingredients are not more than *minimally processed*." *See* U.S. Department of Agriculture, Food Safety and Inspection
Serv., "Natural Claims" in FOOD STANDARDS AND LABELING POLICY BOOK
(revised August 2005). According to the USDA, minimal processing may
include: (a) those traditional processes used to make food edible or to preserve it
or to make it safe for human consumption, *e.g.*, smoking, roasting, freezing,
drying, and fermenting. *Id*.

33. No reasonable consumer would know, or have reason to know, that
Reb A is achieved through a harsh chemical process. This information is within
the exclusive knowledge of Cargill and is not known to ordinary consumers,
including Plaintiff and members of the Classes. Cargill actively conceals this
material fact from consumers, including Plaintiff and members of the Classes.
Cargill's representation that Truvía is made from the stevia plant and that making
stevia is "like making tea" is misleading.

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b. Cargill Synthetically Manufactures the Erythritol It Uses in Truvía

34. Cargill represents on the packaging to consumers that erythritol "is a
natural sweetener, produced by natural processes" and that it is "found in fruits
like grapes and pears." What Cargill fails to disclose is that the erythritol Cargill
uses in Truvía is synthetic.

35. Cargill manufactures Truvía's synthetic erythritol in a patented 21 process (U.S. Patent No. 0037266 (filed June 21, 2006)) by first chemically 22 extracting starch from genetically modified corn and then converting the starch to 23 glucose through the biochemical process of enzymatic hydrolysis. The glucose is 24 then fermented utilizing *moniliella pollinis*, a yeast. The fermentation broth is 25 sterilized, filtered, and purified to produce erythritol crystals. This patented 26 process is not the same process that is used in nature to produce the erythritol that 27 is "found in fruits like grapes and pears." 28

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36. That the main ingredient in Truvía is synthetic is material to
 consumers, including Plaintiff and members of the Classes, who are seeking to
 consume natural products. Consumers, including Plaintiff and members of the
 Classes, do not consider a product with a synthetic ingredient to be natural.

5 37. For instance, while the U.S. Food and Drug Administration ("FDA") has not developed a definition for use of the term "natural," the agency does not 6 object to the use of the term if the food does not contain added color, artificial 7 flavors or synthetic substances. See Food Labeling: Nutrient Content Claims, 8 General Principles Petitions, Definition of Terms, 56 Fed. Reg. 60421, 60466 9 (Nov. 27, 1991).⁵ Similarly, as stated above, the U.S. Department of Agriculture 10 defines a product as "natural" when "(1) The product does not contain any 11 artificial flavor or flavoring, coloring ingredient, or chemical preservative (as 12 defined in 21 CFR 101.22), or any other artificial or synthetic ingredient; and 13 (2) the product and its ingredients are not more than minimally processed." See 14 U.S. Department of Agriculture, Food Safety and Inspection Serv., "Natural 15 Claims" in FOOD STANDARDS AND LABELING POLICY BOOK (revised August 16 2005). 17

38. The term "synthetic" is defined as "of, relating to, or produced by 18 chemical or biochemical synthesis; especially: produced artificially." See 19 http://www.merriam-webster.com/dictionary/synthetic (Last visited June 7, 2013). 20 Erythritol is a synthetic substance because it is made by man (not nature) through 21 a biochemical process that is not the same as it is made in nature. Thus, erythritol 22 cannot be considered a natural ingredient. Truvía is 99% erythritol, and thus, it 23 also cannot be considered a natural product. 24

the erythritol in Truvía is synthetic. This information is within the exclusive

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No reasonable consumer would know, or have reason to know, that

1 knowledge of Cargill and is not known to ordinary consumers, including Plaintiff 2 and members of the Classes. Cargill actively conceals this material fact from 3 consumers, including Plaintiff and members of the Classes. Cargill's 4 representation that erythritol "is a natural sweetener, produced by natural 5 processes" and that it is "found in fruits like grapes and pears" is misleading.

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D. **Consumers Desire Natural Foods**

40. Defendants also realize that consumers are increasingly aware of the 7 relationship between health and diet⁶ and, thus, understand the importance and 8 value of descriptors and labels that convey to consumers that a product is natural 9 when considering whether to buy foods. 10

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41. American consumers are health conscious and look for wholesome. natural foods to keep a healthy diet. Product package labels are vehicles that 12 convey food quality and nutrition information to consumers that they can and do 13 use to make purchasing decisions. 14

42. Surveys have shown that "natural" is one of the top descriptors 15 consumers consider. See, e.g., David L. Ter Molen and David S. Becker, An "All 16 Natural" Dilemma: As the Market for "All Natural" Foods Continues to Grow, 17 Do the Risks the (Nov. 27, 2012) 2, So for Unwary at 18 http://www.freeborn.com/assets/white papers/02.12 white-paper-natural-food-19 update.pdf (last visited June 7, 2013). Consumers desire natural ingredients in 20 food products for a myriad of reasons, including wanting to live a healthier 21 lifestyle, perceived benefits in avoiding disease, and other chronic conditions, as 22 well as to increase weight loss and avoid chemical additives in their food. See, 23

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- 25 ⁵ See also http://www.fda.gov/AboutFDA/Transparency/Basics/ucm214868.htm 26 (last visited June 7, 2013).

See Cargill 2012 Annual Report at 10-11, 27

http://www.cargill.com/wcm/groups/public/@ccom/documents/document/na3065 28 958.pdf, at 10-11 (last visited June 10, 2013). -15-

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e.g., Food Marketing Institute, *Natural and Organic Foods* (September 2008) at 1,
 http://www.fmi.org/docs/media-backgrounder/natural_organic_

3 foods.pdf?sfvrsn=2 (last visited June 10, 2013)). As a result, consumers are 4 willing to pay a higher price for higher quality foods, such as those that are 5 natural. See, e.g., Context Marketing, Beyond Organic: How Evolving Consumer Purchase 2009) Concerns Influence Food (Oct. 6. 6 at 7 http://www.contextmarketing.com/insights.html (last visited June 10, 2013).

Although this segment of the health food market was once a niche 43. 8 market, natural foods are increasingly becoming part of the mainstream food 9 landscape. According to Natural Foods Merchandiser, a leading information 10 provider for the natural, organic, and healthy products industry, the natural food 11 industry enjoyed over \$81 billion in total revenue in 2010, and grew over 7% in 12 2009. See Natural and Organic Products Industry Sales Hit \$81 Billion, Natural 13 Foods Merchandiser (June 1, 2011), http://www.prnewswire.com/news-14 releases/natural-and-organic-products-industry-sales-hit-81-billion-15

122958763.html (last visited June 10, 2013). The market for all natural and
organic foods grew 9% in 2010 to \$39 billion, and 2010 sales were 63% higher
than sales in 2005. http://www.marketwire.com/press-release/natural-andorganic-food-and-beverage-market-to-double-by-2015-1525854.htm (last visited
June 10, 2013). Consumer demand for all natural and organic foods is expected to
grow 103% between 2010 and 2015 with annual sales exceeding \$78 billion in
2015. *Id.*

44. In order to capture and tap into this growing market and the hunger
of consumers for the perceived healthier, chemical-free benefits of natural foods,
Cargill labels Truvía as a natural sweetener primarily made from the stevia plant.

45. A reasonable consumer understands a natural product to be one that
does not contain man-made, synthetic ingredients, is not subject to harsh chemical
processes, and is only minimally processed.

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1 46. Consumers lack the meaningful ability to test or independently 2 ascertain the truthfulness of food labeling claims such as "natural," especially at 3 the point of sale. Consumers would not know the true nature of the ingredients 4 merely by reading the ingredient label; its discovery requires investigation beyond 5 the grocery store and knowledge of food chemistry beyond that of the average consumer. Thus, reasonable consumers must, and do, rely on food companies 6 7 such as Cargill to honestly report the nature of a food's ingredients, and food companies such as Cargill intend and know that consumers rely upon food 8 labeling statements in making their purchasing decisions. Such reliance by 9 consumers is also eminently reasonable, since food companies are prohibited from 10 making false or misleading statements on their products under federal law. 11

47. Defendants unscrupulously capitalize on consumers' heightened
demand for natural products by deceptively labeling, advertising, and marketing
Truvía.

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DAMAGES TO PLAINTIFF AND THE CLASSES

48. Plaintiff purchased the Product based on Defendants' labeling,
advertising, and marketing that the Product is a natural sweetener primarily made
from the stevia plant.

49. Defendants manufactured, distributed, and sold products that are
misbranded. Misbranded products cannot be legally manufactured, distributed,
sold, or held, and have no economic value and are legally worthless as a matter of
law.

50. Moreover, Plaintiff and the members of the Classes would not have
purchased and/or paid a premium to purchase the Product over comparable
products that do not purport to be natural.

51. As set forth in the chart below, the Product costs more than
comparable products that do not purport to be natural.

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Pro	oduct	Price	Price per packet	Premium paid per packet versus
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Tru	ıvía – 40	\$3.99	\$0.0998	
cou	int box			
Spl	enda – 50	\$2.99	\$0.0598	\$0.0400
cou	int box			
Sw	eet 'N Low –	\$2.49	\$0.0249	\$0.0749
100) count box			

TOLLING OF THE STATUTE OF LIMITATIONS, FRAUDULENT CONCEALMENT, EQUITABLE TOLLING, AND CONTINUING VIOLATIONS

12 52. Plaintiff did not discover, and could not have discovered, through the 13 exercise of reasonable diligence the existence of the claims sued upon herein until 14 immediately prior to commencing this civil action.

15 53. Any applicable statutes of limitation have been tolled by Defendants' 16 affirmative acts of fraudulent concealment and continuing misrepresentations, as 17 the facts alleged above reveal.

18 54. Because of the self-concealing nature of Defendants' actions and 19 their affirmative acts of concealment, Plaintiff and the Classes assert the tolling of 20 any applicable statutes of limitations affecting the claims raised herein.

21 Defendants continue to engage in the deceptive practice, and 55. 22 consequently, unwary consumers are injured on a daily basis by Defendants' 23 unlawful conduct. Therefore, Plaintiff and the Classes submit that each instance 24 that Defendants engaged in the conduct complained of herein and each instance 25 that a member of any Class purchased Truvía constitutes part of a continuing 26 violation and operates to toll the statutes of limitation in this action.

27 Defendants are estopped from relying on any statute of limitations 56. 28 defense because of their unfair or deceptive conduct.

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1 57. Defendants' conduct was and is, by its nature, self-concealing. Still, 2 Defendants, through a series of affirmative acts or omissions, suppressed the 3 dissemination of truthful information regarding their illegal conduct, and actively 4 has foreclosed Plaintiff and the Classes from learning of their illegal, unfair, 5 and/or deceptive acts. These affirmative acts included concealing the amount of Reb A in Truvía, that Reb A is not the same as natural crude stevia extract, and 6 7 that the erythritol Cargill uses in Truvía is synthetic. 58. By reason of the foregoing, the claims of Plaintiff and the Classes are 8 timely under any applicable statute of limitations, pursuant to the discovery rule, 9 the equitable tolling doctrine, and fraudulent concealment. 10 **CLASS ACTION ALLEGATIONS** 11 59. Plaintiff brings this action individually and on behalf of all other 12 The Classes which Plaintiff seeks to represent persons similarly situated. 13 comprise: 14 a. All persons in California who purchased Truvia from introduction in 15 2008 until the date notice is disseminated for personal or household 16 use, and not for resale or distribution purposes. Specifically excluded 17 from this Class are Defendant; the officers, directors, or employees of 18 Defendant; any entity in which Defendant has a controlling interest; 19 and any affiliate, legal representative, heir, or assign of Defendant. 20 Also excluded are those who assert claims for personal injury as well 21 as any federal, state, or local governmental entities, any judicial 22 officer presiding over this action and the members of his/her 23 immediate family and judicial staff, and any juror assigned to this 24 action. 25 b. All persons in the United States outside of California who purchased 26 Truvía from its introduction in 2008 until the date notice is 27 disseminated for personal or household use, and not for resale or 28 -19-**Class Action Complaint**

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distribution purposes. Specifically excluded from this Class are Defendant; the officers, directors, or employees of Defendant; any entity in which Defendant has a controlling interest; and any affiliate, legal representative, heir, or assign of Defendant. Also excluded are those who assert claims for personal injury as well as any federal, state, or local governmental entities, any judicial officer presiding over this action and the members of his/her immediate family and judicial staff, and any juror assigned to this action.

c. All persons who reside in states in the United States outside of 9 California with similar consumer protection laws, breach of express 10 warranty laws and breach of implied warranty law, who purchased 11 Truvía from its introduction in 2008 until the date notice is 12 disseminated for personal or household use, and not for resale or 13 distribution purposes (Consumer Protection Class). Specifically 14 excluded from this Class are Defendant; the officers, directors, or 15 employees of Defendant; any entity in which Defendant has a 16 controlling interest; and any affiliate, legal representative, heir, or 17 assign of Defendant. Also excluded are those who assert claims for 18 personal injury as well as any federal, state, or local governmental 19 entities, any judicial officer presiding over this action and the 20 members of his/her immediate family and judicial staff, and any juror 21 assigned to this action. 22

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persons who have purchased the Product. Thus, joinder of such persons in a single action or bringing all members of the Classes before the Court is impracticable for purposes of Rule 23(a)(1). The question is one of a general or common interest of many persons and it is impractical to bring them all before the Court. The disposition of the claims of the members of the Classes in this class

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The Classes are sufficiently numerous, as each includes thousands of

1 action will substantially benefit both the parties and the Court.

2 61. There are questions of law and fact common to each Class for 3 purposes of Rule 23(a)(2), including whether Defendants' labels and packaging 4 include uniform misrepresentations that misled Plaintiff and the other members of 5 the Classes to believe the Product is natural and made primarily from the stevia plant. The members of each Class were and are similarly affected by having 6 7 purchased Truvía for its intended and foreseeable purpose as promoted, marketed, advertised, packaged, and labeled by Defendants as set forth in detail herein, and 8 the relief sought herein is for the benefit of Plaintiff and other members of the 9 Classes. Thus, there is a well-defined community of interest in the questions of 10 law and fact involved in this action and affecting the parties. 11

62. Plaintiff asserts claims that are typical of the claims of each respective Class for purposes of Rule 23(a)(3). Plaintiff and all members of each respective Class have been subjected to the same wrongful conduct because they have purchased that Product, which is not natural as represented. Plaintiff paid a premium for the Product, on the belief it was natural, over similar alternatives that did not make such representations. Plaintiff and the members of each Class have thus all overpaid for the Product.

63. Plaintiff will fairly and adequately represent and protect the interests
of the other members of each respective Class for purposes of Rule 23(a)(4).
Plaintiff has no interests antagonistic to those of other members of each respective
Class. Plaintiff is committed to the vigorous prosecution of this action and has
retained counsel experienced in litigation of this nature to represent her. Plaintiff
anticipates no difficulty in the management of this litigation as a class action.

64. Class certification is appropriate under Rule 23(b)(2) because
Defendants have acted on grounds that apply generally to each Class, so that final
injunctive relief or corresponding declaratory relief is appropriate respecting each
Class as a whole. Defendants utilize an integrated, nationwide messaging

1 campaign that includes uniform misrepresentations that misled Plaintiff and the
2 other members of each Class.

65. Class certification is appropriate under Rule 23(b)(3) because
common questions of law and fact substantially predominate over any questions
that may affect only individual members of each Class. Among these common
questions of law and fact are:

a. whether Defendants misrepresented or omitted material facts in connection with the promotion, marketing, advertising, packaging, labeling, and sale of Truvía;

b. whether Defendants' labeling of Truvía is likely to deceive the
members of each Class;

12 c. whether Defendants' conduct is unethical, oppressive,
13 unscrupulous, and/or substantially injurious to consumers;

d. whether Defendants represented that Truvía has characteristics,
benefits, uses, or qualities that it does not have;

e. whether Defendants' acts and practices in connection with the promotion, marketing, advertising, packaging, labeling, distribution, and sale of Truvía violated the laws alleged herein;

19f. whether Plaintiff and members of the Classes are entitled to20injunctive and other equitable relief; and

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g. whether Defendants were unjustly enriched by their conduct.

66. Defendants engaged in a common course of conduct giving rise to
the legal rights sought to be enforced by the members of each respective Class.
Similar or identical statutory and common law violations and deceptive business
practices are involved. Individual questions, if any, pale by comparison to the
numerous common questions that predominate.

27 67. The injuries sustained by Plaintiff and the members of each Class
28 flow, in each instance, from a common nucleus of operative facts – Defendants'

1 misconduct.

68. Plaintiff and the members of each Class have been damaged by
Defendants' misconduct. The members of each Class have paid for a product that
would not have been purchased in the absence of Defendants' deceptive scheme,
or, alternatively, would have been purchased at a lesser price.

Proceeding as a class action provides substantial benefits to both the 69. 6 7 parties and the Court because this is the most efficient method for the fair and efficient adjudication of the controversy. Members of each Class have suffered 8 and will suffer irreparable harm and damages as a result of Defendants' wrongful 9 conduct. Because of the nature of the individual claims of the members of each 10 Class, few, if any, could or would otherwise afford to seek legal redress against 11 Defendants for the wrongs complained of herein, and a representative class action 12 is therefore the appropriate, superior method of proceeding and essential to the 13 interests of justice insofar as the resolution of claims of the members of each Class 14 is concerned. Absent a representative class action, members of each Class would 15 continue to suffer losses for which they would have no remedy, and Defendants 16 would unjustly retain the proceeds of its ill-gotten gains. Even if separate actions 17 could be brought by individual members of each Class, the resulting multiplicity 18 of lawsuits would cause undue hardship, burden, and expense for the Court and 19 the litigants, as well as create a risk of inconsistent rulings, which might be 20 dispositive of the interests of the other members of each Class who are not parties 21 to the adjudications and/or may substantially impede their ability to protect their 22 interests. 23

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FIRST CAUSES OF ACTION

FALSE AND MISLEADING ADVERTISING IN VIOLATION OF **BUSINESS & PROFESSIONS CODE § 17200, et seq.**

(By Plaintiff and California Class against all Defendants and Does 1-10)

5 70. Plaintiff repeats and realleges the allegations set forth above, and incorporates the same as if set forth herein at length. 6

7 71. This cause of action is brought pursuant to *Business and Professions Code* § 17200, *et seq*. 8

72. In the advertising of Truvía, Defendant Cargill makes false and 9 misleading statements regarding the benefits and the efficacy of the Truvía, 10 particularly as it applies to weight loss and appetite suppression, all as set forth 11 above. 12

73. Defendant Cargill does not have the requisite competent and reliable 13 scientific evidence to support the claims about the Truvía made in Defendants' 14 advertising. 15

74. Defendant Cargill is aware that the claims that it makes about the 16 Truvía are false, misleading and unsubstantiated. 17

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As alleged in the preceding paragraphs, the misrepresentations by 18 Defendant Cargill of the material facts detailed above constitute an unfair and 19 fraudulent business practice within the meaning of California Business & 20 Professions Code § 17200. 21

76. In addition, Defendant Cargill's use of various forms of advertising 22 media to advertise, call attention to or give publicity to the sale of goods or 23 merchandise which are not as represented in any manner constitute unfair 24 competition, unfair, deceptive, untrue or misleading advertising, and an unlawful 25 business practice within the meaning of *Business & Professions Code* §§ 17531 26 and 17200, which advertisements have deceived and are likely to deceive the 27 consuming public, in violation of Business & Professions Code § 17500. 28

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77. There were reasonably available alternatives to further Defendant
 Cargill's legitimate business interests, other than the conduct described herein.

78. All of the conduct alleged herein occurs and continues to occur in
Defendant Cargill's business. Defendant Cargill's wrongful conduct is part of a
pattern or generalized course of conduct repeated on thousands of occasions
daily.

7 79. Pursuant to Business & Professions Code §§ 17203 and 17535, Plaintiff and the members of the Classes seek an order of this Court enjoining 8 Defendants from continuing to engage, use, or employ their practice of 9 advertising the sale and use of the Truvía. Likewise, Plaintiff and the members 10 of the Classes seek an order requiring Defendants to disclose such 11 misrepresentations, and additionally request an order awarding Plaintiff 12 restitution of the money wrongfully acquired by Defendants by means of 13 responsibility attached to Defendants' failure to disclose the existence and 14 significance of said misrepresentations. 15

SECOND CAUSE OF ACTION

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FALSE AND MISLEADING ADVERTISING IN VIOLATION OF BUSINESS & PROFESSIONS CODE § 17500, et seq.

(By Plaintiff and California Class against all Defendants and Does 1-10)

80. Plaintiff repeats and realleges the allegations set forth in the
preceding paragraphs, and incorporates the same as if set forth herein at length.

81. This cause of action is brought pursuant to *Business and Professions Code* § 17500, *et seq*.

82. In its advertising of Truvía, Defendants make false and misleading
statements regarding the benefits and the efficacy of Truvía, particularly as it
applies to natural make up of Truvía, all as set forth above.

83. Defendants do not have any competent and reliable scientific
evidence to support the claims about Truvía made in Defendants' advertising.

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1 84. Defendants are aware that the claims that it makes about Truvía are false, misleading and unsubstantiated.

3 85. As alleged in the preceding paragraphs, the misrepresentations by 4 Defendants of the material facts detailed above constitute an unfair and 5 fraudulent business practice within the meaning of California Business & Professions Code § 17500. 6

In addition, Defendants' use of various forms of advertising media 7 86. to advertise, call attention to or give publicity to the sale of goods or merchandise 8 which are not as represented in any manner constitutes unfair competition, 9 unfair, deceptive, untrue or misleading advertising, and an unlawful business 10 practice within the meaning of Business & Professions Code §§ 17531 and 11 17200, which advertisements have deceived and are likely to deceive the 12 consuming public, in violation of Business & Professions Code § 17500. 13

Pursuant to Business & Professions Code §§ 17203 and 17535, 87. 14 Plaintiff and the members of the Classes seek an order of this Court enjoining 15 Defendants from continuing to engage, use, or employ their practice of 16 advertising the sale and use of Truvía. Likewise, Plaintiff and the members of 17 such the Classes seek an order requiring Defendants disclose to 18 misrepresentations, and additionally request an order awarding Plaintiff 19 restitution of the money wrongfully acquired by Defendants by means of 20 responsibility attached to Defendants' failure to disclose the existence and 21 significance of said misrepresentations. 22

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VIOLATION OF CALIFORNIA CIVIL CODE § 1750, et seq. (By Plaintiff and California Class against all Defendants and Does 1-10)

THIRD CAUSE OF ACTION

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88. Plaintiff repeats and realleges all the allegations of the previous paragraphs, and incorporates the same as if set forth herein at length.

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This cause of action is brought pursuant to Civil Code § 1750, et 89.

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1 *seq.*, the Consumers Legal Remedies Act.

2 90. The Consumer Class consists of thousands of persons, the joinder of
3 whom is impracticable.

4 91. There are questions of law and fact common to the classes, which
5 questions are substantially similar and predominate over questions affecting the
6 individual members, including but not limited to:

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(a) Whether Defendants represented that Truvía has characteristics, benefits, uses or quantities which it does not have;

9 (b) Whether the existence, extent and significance of the major
10 misrepresentations regarding the purported benefits, characteristics and
11 efficacy of Truvía violate the Act; and

(c) Whether Defendants knew of the existence of these misrepresentations.

92. The policies, acts, and practices heretofore described were intended
to result in the sale of Truvía to the consuming public and violated and continue
to violate § 1770(a)(5) of the Act by representing that Truvía has characteristics,
benefits, uses or quantities which it does not have.

93. Defendants fraudulently deceived Plaintiff and the Classes by
representing that Truvía has certain characteristics, benefits, uses and qualities
which it does not have. In doing so, Defendants intentionally misrepresented and
concealed material facts from Plaintiff and the Classes, specifically and not
limited to that Truvía is natural. Said misrepresentations and concealment were
done with the intention of deceiving Plaintiff and the Classes and depriving them
of their legal rights and money.

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94. Defendants knew that Truvía was and is not natural as represented in Defendants' advertisements and on Defendants' packaging.

95. Defendants' actions as described hereinabove were done with
conscious disregard of Plaintiff's rights and Defendants were wanton and
malicious in their concealment of the same.

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1 96. Pursuant to § 1780(a) of the Act, Plaintiff seeks injunctive relief in 2 the form of an order enjoining the above-described wrongful acts and practices of 3 Defendants, including, but not limited to, an order enjoining Defendants from 4 distributing such false advertising and misrepresentations. Plaintiff shall be 5 irreparably harmed if such an order is not granted.

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97. Plaintiff requests that this Court enter such orders or judgments as 7 may be necessary to restore any person in interest any money which may have been acquired by means of such unfair business practices, and for such relief as 8 provided in Civil Code § 1780 and the Prayer For Relief. 9

98. Pursuant to Civil Code §1782, Plaintiff gave Defendants notice by 10 letter dated September 23, 2013 by certified mail, of the particular violations of 11 Civil Code § 1770. The Notice requested that Defendants rectify the problems 12 associated with the actions alleged in this Complaint, and give notice to all 13 affected consumers of its intent to so act. Defendants have not yet responded to 14 this Notice. 15

FOURTH CAUSE OF ACTION

UNJUST ENRICHMENT (By Plaintiff, California Class and National Class

Against all Defendants and Does 1-10)

Plaintiff repeats and realleges the allegations set forth in the 99. 20 preceding paragraphs, and incorporates the same as if set forth herein at length. 21

100. Plaintiff brings this claim individually, as well as on behalf of 22 members of the nationwide Class and California Class pursuant California law. 23 Although there are numerous permutations of the elements of the unjust 24 enrichment cause of action in the various states, there are few real differences. In 25 all states, the focus of an unjust enrichment claim is whether the defendant was 26 unjustly enriched. At the core of each state's law are two fundamental elements – 27 the defendant received a benefit from the plaintiff and it would be inequitable for 28

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the defendant to retain that benefit without compensating the plaintiff. The focus
of the inquiry is the same in each state. Since there is no material conflict relating
to the elements of unjust enrichment between the different jurisdictions from
which class members will be drawn, California law applies to the claims of the
Class.

6 7 101. In the alternative, Plaintiff brings this claim individually as well as on behalf of the California Class.

8 102. At all times relevant hereto, Defendants deceptively labeled,
9 marketed, advertised, and sold Truvía to Plaintiff and the Class.

10 103. Plaintiff and members of the Class conferred upon Defendants nongratuitous payments for Truvía that they would not have due to Defendants' 11 deceptive labeling, advertising, and marketing. Defendants accepted or retained 12 the non-gratuitous benefits conferred by Plaintiff and members of the Class, with 13 full knowledge and awareness that, as a result of Defendants' deception, Plaintiff 14 and members of the Class were not receiving a product of the quality, nature, 15 fitness, or value that had been represented by Defendants and reasonable 16 consumers would have expected. 17

104. Defendants have been unjustly enriched in retaining the revenues
derived from purchases of Truvía by Plaintiff and members of the Class, which
retention under these circumstances is unjust and inequitable because Defendants
misrepresented that Truvía is a natural sweetener primarily made from the stevia
plant, when in fact it is not, which caused injuries to Plaintiff and members of the
Class because they paid a price premium due to the mislabeling of Truvía.

Retaining the non-gratuitous benefits conferred upon Defendants by
Plaintiff and members of the Class under these circumstances made Defendants'
retention of the non-gratuitous benefits unjust and inequitable. Thus, Defendants
must pay restitution to Plaintiff and members of the Class for their unjust
enrichment, as ordered by the Court.

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FIFTH CAUSE OF ACTION

BREACH OF EXPRESS WARRANTY

(By Plaintiff, on behalf of herself, the California Class and **Consumer Protection Class Against all Defendants and Does 1-10**)

106. Plaintiff repeats and realleges the allegations set forth in the preceding paragraphs, and incorporates the same as if set forth herein at length. 6

7 107. Plaintiff brings this Count individually under the laws of the state where she purchased Truvía and on behalf of the California Class and Consumer 8 Protection Class (in States having similar laws regarding express warranties). 9

108. Defendants' representations, as described herein, are affirmations by 10 Defendants that Truvía is a natural sweetener primarily made of stevia. 11 Defendants' representations regarding Truvía are made to Plaintiff and the other 12 members of the Classes at the point of purchase and are part of the description of 13 the goods. Those promises constituted express warranties and became part of the 14 basis of the bargain, between Defendants on the one hand, and Plaintiff and the 15 Classes on the other. 16

109. In addition, or in the alternative, Defendants made each of their 17 above-described representations to induce Plaintiff and the Classes to rely on such 18 representations, and they each did so rely on Defendants' representations as a 19 material factor in their decisions to purchase Truvía. Plaintiff and other members 20 of the Classes would not have purchased Truvía but for these representations and 21 warranties. 22

110. Truvía did not, in fact, meet the representations Defendants made 23 about Truvía, as described herein. 24

111. At all times relevant to this action, Defendants falsely represented 25 that Truvía was a natural sweetener primarily made from the stevia plant, when in 26 fact it is not natural and is not primarily made from the stevia plant. 27

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5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28	q. r. s. t. u. v. v. w. x. y.	Minit. Stat. Ann. §350.2-313; Miss. Code Ann. §75-2-313; Mo. Rev. Stat. §400.2-313; Mont. Code Ann. §30-2-313; Neb. Rev. Stat. §2-313; Nev. Rev. Stat. §104.2313; N.H. Rev. Stat. Ann. §382-A:2-313; N.J. Stat. Ann. §12A:2-313; N.M. Stat. Ann. §55-2-313; N.Y. U.C.C. Law §2-313; -31- Class Action Complaint	
6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27	r. s. t. u. v. w. x.	Miss. Code Ann. §75-2-313; Mo. Rev. Stat. §400.2-313; Mont. Code Ann. §30-2-313; Neb. Rev. Stat. §2-313; Nev. Rev. Stat. §104.2313; N.H. Rev. Stat. Ann. §382-A:2-313; N.J. Stat. Ann. §12A:2-313; N.M. Stat. Ann. §55-2-313; N.Y. U.C.C. Law §2-313;	
6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26	r. s. t. u. v. w.	Miss. Code Ann. §75-2-313; Mo. Rev. Stat. §400.2-313; Mont. Code Ann. §30-2-313; Neb. Rev. Stat. §2-313; Nev. Rev. Stat. §104.2313; N.H. Rev. Stat. Ann. §382-A:2-313; N.J. Stat. Ann. §12A:2-313; N.M. Stat. Ann. §55-2-313;	
6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25	r. s. t. u. v.	Miss. Code Ann. §75-2-313; Mo. Rev. Stat. §400.2-313; Mont. Code Ann. §30-2-313; Neb. Rev. Stat. §2-313; Nev. Rev. Stat. §104.2313; N.H. Rev. Stat. Ann. §382-A:2-313;	
6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24	r. s. t. u.	Miss. Code Ann. §75-2-313; Mo. Rev. Stat. §400.2-313; Mont. Code Ann. §30-2-313; Neb. Rev. Stat. §2-313; Nev. Rev. Stat. §104.2313;	
6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	r. s. t.	Miss. Code Ann. §75-2-313; Mo. Rev. Stat. §400.2-313; Mont. Code Ann. §30-2-313; Neb. Rev. Stat. §2-313;	
6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	r. s.	Miss. Code Ann. §75-2-313; Mo. Rev. Stat. §400.2-313; Mont. Code Ann. §30-2-313;	
6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	r.	Miss. Code Ann. §75-2-313; Mo. Rev. Stat. §400.2-313;	
6 7 8 9 10 11 12 13 14 15 16 17 18 19 20		Miss. Code Ann. §75-2-313;	
6 7 8 9 10 11 12 13 14 15 16 17 18 19	q.		
6 7 8 9 10 11 12 13 14 15 16 17 18		Millii. Stat. Allii. §550.2-515,	
6 7 8 9 10 11 12 13 14 15 16 17	p.	Minn. Stat. Ann. §336.2-313;	
6 7 8 9 10 11 12 13 14 15 16	0.	Mass. Gen. Laws Ann. 106 §2-313;	
6 7 8 9 10 11 12 13 14 15	n.	Maine Rev. Stat. Ann. 11 §2-313;	
6 7 8 9 10 11 12 13 14	m.	La. Civ. Code. Ann. art. 2520;	
6 7 8 9 10 11 12 13	1.	Kan. Stat. Ann. §84-2-313;	
6 7 8 9 10 11 12	k.	Ind. Code §26-1-2-313;	
6 7 8 9 10 11	j.	810 Ill. Comp. Stat. 5/2-313;	
6 7 8 9 10	i.	Haw. Rev. Stat. §490:2-313;	
6 7 8 9	h.	Fla. Stat. §672.313;	
6 7 8	g.	D.C. Code §28:2-313;	
6 7	f.	Conn. Gen. Stat. Ann. §42a-2-313;	
6	e.	Colo. Rev. Stat. §4-2-313;	
	d.	Cal. Com. Code §2313;	
5	с.	Ark. Code Ann. §4-2-313;	
•	a. b.	Ariz. Rev. Stat. Ann. §47-2313;	
4	a.	Alaska St. §45.02.313;	
	representations in breach of the express warranties and in violation of state express warranty laws, including:		
$\begin{bmatrix} 1 \\ 2 \end{bmatrix}$ re		At all times relevant to this action, Defendants made false	

1	Z.	N.C. Gen. Stat. Ann. §25-2-313;	
2	aa.	Okla. Stat. Ann. tit. 12A, §2-313;	
3	bb.	Or. Rev. Stat. §72.3130;	
4	cc.	Pa. Stat. Ann. tit. 13, §2313;	
5	dd.	R.I. Gen. Laws §6A-2-313;	
6	ee.	S.C. Code Ann. §36-2-313;	
7	ff.	S.D. Codified Laws. §57A-2-313;	
8	gg.	Tenn. Code Ann. §47-2-313;	
9	hh.	Tex. Bus. & Com. Code Ann. §2.313;	
10	ii.	Utah Code Ann. §70A-2-313;	
11	jj.	Vt. Stat. Ann. tit. 9A§2-313;	
12	kk.	Wash. Rev. Code §62A.2-313;	
13	11.	W. Va. Code §46-2-313;	
14	mm.	Wyo. Stat. Ann. §34.1-2-313;	
15	113.	The above statutes do not require privity of contract in order to	
16	recover for breach of express warranty.		
17	114.	As a proximate result of this breach of warranty by Defendants,	
18	Plaintiff and other members of the Classes have been damaged in an amount to be		
19	determined at trial because: (a) they paid a price premium due to the deceptive		
20	labeling of Truvía; and (b) Truvía did not have the composition, attributes,		
21	characteristics, nutritional value, health qualities, or value promised.		
22	115. Wherefore, Plaintiff and the Classes demand judgment against		
23	Defendants for compensatory damages, plus interest, costs, and such additional		
24	relief as the Court may deem appropriate or to which Plaintiff and the Classes may		
25	be entitled.		
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SIXTH CAUSE OF ACTION

BREACH IMPLIED WARRANTY

(By Plaintiff, on Behalf of Herself, the California Class, the California Class and Consumer Protection Class Against Defendants and Does 1-10)

116. Plaintiff repeats and realleges the allegations set forth in the preceding paragraphs, and incorporates the same as if set forth herein at length.

7 117. Plaintiff brings this Count individually under the laws of the state
8 where she purchased Truvía and on behalf of the California Class and Consumer
9 Protection Class (in States having similar laws regarding implied warranties).

118. The Uniform Commercial Code §2-314 provides that unless excluded
or modified, a warranty that the goods shall be merchantable is implied in a
contract for their sale if the seller is a merchant with respect to goods of that kind.
This implied warranty of merchantability acts as a guarantee by the seller that his
goods are fit for the ordinary purposes for which they are to be used.

15 119. Defendants developed, manufactured, advertised, marketed, sold,
and/or distributed the Product and represented that the Product was fit for a
particular use, specifically that the Product could be used as a natural sweetener
primarily made from the stevia plant. Contrary to such representations,
Defendants failed to disclose that the Product is not natural and is not primarily
made from the stevia plant, as promised.

120. At all times, the following states listed below, including the District
 of Columbia, have codified and adopted the provisions of the Uniform
 Commercial Code governing the implied warranty of merchantability:

a. Ala. Code §7-2-314;

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- b. Alaska Stat. §45.02.314;
- c. Ariz. Rev. Stat. Ann. §47-2314;
- 27 d. Ark. Code Ann. §4-2-314;
 - e. Cal. Com. Code §2314;

1	f.	Colo. Rev. Stat. §4-2-314;	
2	g.	Conn. Gen. Stat. Ann. §42a-2-314;	
3	h.	Del. Code Ann. tit. 6 §2-314;	
4	i.	D.C. Code §28:2-314;	
5	j.	Fla. Stat. §672.314;	
6	k.	Ga. Code Ann. §11-2-314;	
7	1.	Haw. Rev. Stat. §490:2-314;	
8	m.	Idaho Code §28-2-314;	
9	n.	810 Ill. Comp. Stat. Ann. 5/2-314;	
10	0.	Ind. Code Ann. §26-1-2-314;	
11	p.	Iowa Code Ann. §554.2314;	
12	q.	Kan. Stat. Ann. §84-2-314;	
13	r.	Ky. Rev. Stat. Ann. §355.2-314;	
14	s.	La. Civ. Code Ann. art. §2520;	
15	t.	Me. Rev. Stat. Ann. 11 §2-314;	
16	u.	Md. Code Ann. Com. Law §2-314;	
17	v.	Mass. Gen. Laws Ch. 106 §2-314;	
18	w.	Mich. Comp. Laws Ann. §440.2314;	
19	X.	Minn. Stat. Ann. §336.2-314;	
20	у.	Miss. Code Ann. §75-2-314;	
21	Z.	Mo. Rev. Stat. §400.2-314;	
22	aa.	Mont. Code Ann. §30-2-314;	
23	bb.	Nev. Rev. Stat. §104.2314;	
24	cc.	N.H. Rev. Stat. Ann. §382-A:2-314;	
25	dd.	N.J. Stat. Ann. §12A:2-314;	
26	ee.	N.M. Stat. Ann. §55-2-314;	
27	ff.	N.Y. U.C.C. Law §2-314;	
28	gg.	N.C. Gen. Stat. Ann. §25-2-314;	
		-34-	
			Class Action Complaint Case No.
			Case 110.

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1	hh.	N.D. Cent. Code §41-02-314;	
2	ii.	Ohio Rev. Code Ann. §1302.27;	
3	jj.	jj. Okla. Stat. Ann. tit. 12A §2-314;	
4	kk.	Or. Rev. Stat. §72.3140;	
5	11.	Pa. Stat. Ann. tit. 13 §2314;	
6	mm.	R.I. Gen. Laws §6A-2-314;	
7	nn.	S.C. Code Ann. §36-2-314;	
8	00.	S.D. Codified Laws §57A-2-314;	
9	pp.	Tenn. Code Ann. §47-2-314;	
10	qq.	Tex. Bus. & Com. Code Ann. §2-314;	
11	rr.	Utah Code Ann. §70A-2-314;	
12	ss.	Va. Code Ann. §8.2-314;	
13	tt.	Vt. Stat. Ann. tit. 9A §2-314;	
14	uu.	W. Va. Code §46-2-314;	
15	vv.	Wash. Rev. Code §62A 2-314;	
16	ww.	Wis. Stat. Ann. §402.314; and	
17	XX.	Wyo. Stat. Ann. §34.1-2-314.	
18	121.	As developer, manufacturer, producer, advertiser, marketer, seller	
19	and/or distributor of sweetening products, Defendants are "merchants" within the		
20	meaning of the various states' commercial codes governing the implied warranty		
21	of merchantability.		
22	122.	Further, Defendants are merchants with respect to the Product.	
23	Defendants	developed, manufactured, produced, advertised, marketed, sold,	
24	and/or distributed the Product and represented to Plaintiff and the Classes that		
25	they developed the Product as a natural sweetener primarily made from the stevia		
26	plant as described herein. Further, Defendants, by selling the Product to Plaintiff		
27	and the Classes, have held themselves out as retailers of the Product that could be		

28

used as a natural sweetener primarily made from the stevia plant and, in fact, have
 derived a substantial amount of revenues from the sale of the Product.

3 123. The Product can be classified as "goods," as defined in the various
4 states' commercial codes governing the implied warranty of merchantability.

5 124. As a merchant of the Product, Defendants knew that purchasers
6 relied upon them to develop, manufacture, produce, sell, and distribute a product
7 that could be used as a natural sweetener primarily made from the stevia plant, as
8 promised.

9 125. Defendants developed, manufactured, produced, sold, and distributed
10 the Product to consumers such as Plaintiff and the Classes. It knew that the
11 Product would be used as a natural sweetener primarily made from the stevia
12 plant, as promised.

126. Defendants specifically represented in their labeling of the Product
that it is a natural sweetener primarily made from the stevia plant, as described
herein.

16 127. At the time that Defendants developed, manufactured, sold, and/or
distributed the Product, Defendants knew the purpose for which the Product was
intended and impliedly warranted that the Product was of merchantable quality
and was fit for its ordinary purpose – a natural sweetener primarily made from the
stevia plant.

128. Defendants breached their implied warranties in connection with the
sale of the Product to Plaintiff and members of the Classes. The Product was not
fit for its ordinary purposes and intended use as a natural sweetener primarily
made of stevia, because the Product is not natural and is predominantly made of
erythritol.

129. Defendants had actual knowledge that the Product was not natural
and was not primarily made from the stevia plant as promised and thus was not fit
for its ordinary purpose and Plaintiff therefore was not required to notify

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Defendants of their breach. If notice is required, Plaintiff and the Classes
 adequately have provided Defendants of such notice through the filing of this
 lawsuit.

4 130. As a direct and proximate result of Defendants' breach of implied 5 warranties, Plaintiff and other members of the Classes have been injured. Plaintiff and the other members of the Classes would not have purchased the Product but 6 for Defendants' representations and warranties. Defendants misrepresented the 7 character of the Product, which caused injuries to Plaintiff and the other members 8 of the Classes because either they paid a price premium due to the deceptive 9 labeling or they purchased products that were not of a character and fitness as 10 promised and therefore had no value to Plaintiff and the other members of the 11 Classes. 12

SEVENTH CAUSE OF ACTION

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16

VIOLATIONS OF CONSUMER FRAUD LAWS

(By Plaintiff, on Behalf of Herself, the California Class, and Consumer Protection Class against all Defendants and Does 1-100)

17 131. Plaintiff repeats and realleges the allegations set forth in the
18 preceding paragraphs, and incorporates the same as if set forth herein at length.

19 132. Plaintiff brings this Count individually under the laws of the state
20 where she purchased Truvía and on behalf of all other persons who purchased
21 Truvía in States having similar laws regarding consumer fraud and deceptive trade
22 practices.

133. Plaintiff and each of the other members of the Classes are consumers,
purchasers, or other persons entitled to the protection of the consumer protection
laws of the State in which they purchased the Product.

134. The consumer protection laws of the State in which Plaintiff and the
other members of the Classes purchased the Product declare that unfair or
deceptive acts or practices, in the conduct of trade or commerce, are unlawful.

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1	135	Forty States and the District of Columbia have enacted statutes					
2		to protect consumers against unfair, deceptive, fraudulent, and					
3	unconscionable trade and business practices and false advertising and that allow						
4	consumers to bring private and/or class actions. These statutes are found at:						
5	a. Alabama Deceptive Trade Practices Act, Ala. Code §8-19-1 <i>et seq.</i> ;						
6	b.	Alaska Unfair Trade Practices and Consumer Protection Act, Alaska					
7		Code §45.50.471 et seq.;					
8	с.	Arkansas Deceptive Trade Practices Act, Ark. Code Ann. §4-88-101					
9		et seq.;					
10	d.	California Consumer Legal Remedies Act, Cal. Civ. Code §1750 <i>et seq.</i> , and California's Unfair Competition Law, Cal. Bus. & Prof.					
11		Code §17200 <i>et seq.</i> ;					
12	e.	Colorado Consumer Protection Act, Colo. Rev. Stat. §6-1-101 et					
13		seq.;					
14	f.	Connecticut Unfair Trade Practices Act, Conn. Gen. Stat. §42-110a					
15		et seq.;					
16	g.	Delaware Deceptive Trade Practices Act, Del. Code tit. 6§2511 et seq.;					
17							
18	h.	District of Columbia Consumer Protection Procedures Act, D.C. Code §28 3901 <i>et seq.</i> ;					
19	i.	Florida Deceptive and Unfair Trade Practices Act, Fla. Stat. Ann.					
20		§501.201 et seq.;					
21	j.	Georgia Fair Business Practices Act, Ga. Code Ann. §10-1-390 et					
22		seq.;					
23	k.	California Unfair and Deceptive Practices Act, California Revised					
24		Statues §480-1 <i>et seq.</i> , and California Uniform Deceptive Trade Practices Act, Haw. Rev. Stat. §481A-1 <i>et seq.</i> ;					
25 26	1.	Idaho Consumer Protection Act, Idaho Code Ann. §48-601 et seq.;					
26 27	m.	Illinois Consumer Fraud and Deceptive Business Practices Act, 815					
27 28		Ill. Comp. Stat. Ann. 505/1 <i>et seq.</i> ;					
28		-38-					
		Class Action Complaint Case No.					

1	n.	Kansas Consumer Protection Act, Kan. Stat. Ann §50 626 et seq.;
2 3	0.	Kentucky Consumer Protection Act, Ky. Rev. Stat. Ann. §367.110 <i>et seq.</i> , and the Kentucky Unfair Trade Practices Act, Ky. Rev. Stat.
4		Ann §365.020 et seq.;
5	р.	Louisiana Unfair Trade Practices and Consumer Protection Law, La. Rev. Stat. Ann. §51:1401 <i>et seq.</i> ;
6	q.	Maine Unfair Trade Practices Act, Me. Rev. Stat. tit. 5 §205A et seq.,
7 8	1	and Maine Uniform Deceptive Trade Practices Act, Me. Rev. Stat. Ann. tit. 10, §1211 et seq.,
9	r.	Massachusetts Unfair and Deceptive Practices Act, Mass. Gen. Laws
10		ch. 93A;
11	s.	Michigan Consumer Protection Act, Mich. Comp. Laws §445.901 et
12		seq.;
13	t.	Minnesota Prevention of Consumer Fraud Act, Minn. Stat.
14		Ann.§325F.68 <i>et seq.</i> , and Minnesota Uniform Deceptive Trade Practices Act, Minn. Stat. §325D.43 <i>et seq.</i> ;
15	u.	Mississippi Consumer Protection Act, Miss. Code Ann. §§75-24-1 et
16		seq.;
17	v.	Missouri Merchandising Practices Act, Mo. Rev. Stat. §407.010 et seq.;
18	W.	Montana Unfair Trade Practices and Consumer Protection Act, Mont.
19	vv.	Code Ann. §30-14-101 <i>et seq.</i> ;
20	X.	Nebraska Consumer Protection Act, Neb. Rev. Stat. §59-1601 et seq.,
21	Δ.	and the Nebraska Uniform Deceptive Trade Practices Act, Neb. Rev.
22		Stat. §87-301 et seq.;
23	y.	Nevada Trade Regulation and Practices Act, Nev. Rev. Stat.
24		\$598.0903 et seq.;
25	Z.	New Hampshire Consumer Protection Act, N.H. Rev. Stat. §358-A:1
26		et seq.;
27	aa.	New Jersey Consumer Fraud Act, N.J. Stat. Ann. §56:8 1 et seq.;
28		
		Class Action Complaint Case No
	1	

Case 1:	13-cv-00685- <i>A</i>	ACK-RLP Document 1 Filed 09/23/13 Page 40 of 49 PageID #: 40
1	bb.	New Mexico Unfair Practices Act, N.M. Stat. Ann. §57 12 1 et seq.;
2		
3	cc.	New York Deceptive Acts and Practices Act, N.Y. Gen. Bus. Law §349 et seq.;
5	dd.	North Dakota Consumer Fraud Act, N.D. Cent. Code §51 15 01 et seq.;
6	ee.	Ohio Consumer Sales Practices Act, Ohio Rev. Code Ann. §1345.02
7 8		and 1345.03; Ohio Admin. Code §109:4-3-02, 109:4-3-03, and 109:4-3-10;
9	ff.	Oklahoma Consumer Protection Act, Okla. Stat. tit. 15 §751 et seq.;
10	gg.	Oregon Unfair Trade Practices Act, Ore. Rev. Stat §646.608(e) &
11		(g);
12	hh.	Rhode Island Unfair Trade Practices And Consumer Protection Act,
13		R.I. Gen. Laws §6-13.1-1 et seq.;
14	ii.	South Carolina Unfair Trade Practices Act, S.C. Code Ann. §39-5-10 et seq.;
15 16	jj.	South Dakota's Deceptive Trade Practices and Consumer Protection Law, S.D. Codified Laws §§37 24 1 <i>et seq</i> .;
17 18	kk.	Tennessee Consumer Protection Act, Tenn. Code Ann. §47-18-101 et seq.;
19	11	
20	11.	Vermont Consumer Fraud Act, Vt. Stat. Ann. tit. 9, §2451 et seq.;
21	mm.	Washington Consumer Fraud Act, Wash. Rev. Code §19.86.010 et seq.;
22 23	nn.	West Virginia Consumer Credit and Protection Act, West Virginia Code §46A-6-101 <i>et seq.</i> ; and
24	00.	Wisconsin Deceptive Trade Practices Act, Wis. Stat. §100.18 <i>et seq.</i>
25	136.	The Product constitutes a product to which these consumer protection
26		The Froduct constitutes a product to which these consumer protection
27	laws apply.	In the conduct of trade or commerce recording its production
28		In the conduct of trade or commerce regarding its production, and sale of the Product, Defendants engaged in one or more unfair or
		-40-
		Class Action Complaint Case No

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deceptive acts or practices, including, but not limited to, uniformly representing to
 Plaintiff and each member of the Classes by means of their packaging and
 labeling of the Product that it is a natural sweetener primarily made from the
 stevia plant, as described herein.

5 138. Defendants' representations and omissions were false, untrue,
6 misleading, deceptive, and/or likely to deceive.

7

8

139. Defendants knew, or should have known, that their representations and omissions were false, untrue, misleading, deceptive, and/or likely to deceive.

9 140. Defendants used or employed such deceptive and unlawful acts or
10 practices with the intent that Plaintiff and members of the Classes rely thereon.

11

141. Plaintiff and the other members of the Classes did so rely.

12 142. Plaintiff and the other members of the Classes purchased the Product
13 produced by Defendants which misrepresented the characteristics and nature of
14 the Product.

15 143. Plaintiff and the other members of the Classes would not have
16 purchased the Product but for Defendants' deceptive and unlawful acts.

17 144. As a result of Defendants' conduct, Plaintiff and the other members
18 of the Classes sustained damages in amounts to be proven at trial.

19 145. Defendants' conduct showed complete indifference to, or conscious
20 disregard for, the rights and safety of others such that an award of punitive and/or
21 statutory damages is appropriate under the consumer protection laws of those
22 states that permit such damages to be sought and recovered.

23

PRAYER FOR RELIEF

WHEREFORE, Plaintiff prays for judgment and relief against Defendants as follows (cause of action number three is excluded from the below to the extent the remedy includes montetary damages):

A. that the Court certify the nationwide Class and the California Class
 under Rule 23 of the Federal Rules of Civil Procedure and appoint Plaintiff as

Class Representative and her attorneys as Class Counsel to represent the members
 of the Classes;

B. that the Court declare that Defendants' conduct violates the statutes
referenced herein;

C. that the Court preliminarily and permanently enjoin Defendants from
conducting its business through the unlawful, unfair, or fraudulent business acts or
practices, untrue, and misleading labeling and marketing and other violations of
law described in this Complaint;

9 D. that the Court order Defendants to conduct a corrective advertising
10 and information campaign advising consumers that the Product does not have the
11 characteristics, uses, benefits, and quality Defendants have claimed;

E. that the Court order Defendants to implement whatever measures are necessary to remedy the unlawful, unfair, or fraudulent business acts or practices, untrue and misleading advertising, and other violations of law described in this Complaint (excluded from this request is cause of action number three to the extent the remedy includes montetary damages);

F. that the Court order Defendants to notify each and every individual and/or business who purchased the Product of the pendency of the claims in this action in order to give such individuals and businesses an opportunity to obtain restitution from Defendants (excluded from this request is cause of action number three);

G. that the Court order Defendants to pay restitution to restore to all affected persons all funds acquired by means of any act or practice declared by this Court to be an unlawful, unfair, or a fraudulent business act or practice, untrue or misleading labeling, advertising, and marketing, plus pre- and post-judgment interest thereon(excluded from this request is cause of action number three);

H. that the Court order Defendants to disgorge all monies wrongfully
obtained and all revenues and profits derived by Defendants as a result of its acts

Case 1:	13-cv-00685-ACK-RLP Document 1 Filed 09/23/13 Page 43 of 49 PageID #: 43							
1	or practices as alleged in this Complaint (excluded from this request is cause of							
2	action number three);							
3	I. that the Court award damages to Plaintiff and the Classes (excluded							
4	from this request is cause of action number three);							
5	J. the common fund doctrine, and/or any other appropriate legal theory							
6	(excluded from this request is cause of action number three); and							
7	K. that the Court grant such other and further relief as may be just and							
8	proper (excluded from this request is cause of action number three to the extent							
9	the remedy includes montetary damages).							
10								
11	DATED: September 23, 2013 MARLIN & SALTZMAN, LLP							
12								
13	By: Baind							
14	William A. Baird Attorneys for Plaintiff							
15								
16	JURY DEMAND							
17	Plaintiff demands a trial by jury on all causes of action so triable.							
18								
19	DATED: September 23, 2013 MARLIN & SALTZMAN, LLP							
20								
21	By:							
22	William A. Baird Attorneys for Plaintiff							
23								
24								
25								
26								
27								
28	-43-							
	Class Action Complaint							
	Case No							

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UNITED STATES DISTRICT COURT CENTRAL DISTRICT OF CALIFORNIA

NOTICE OF ASSIGNMENT TO UNITED STATES JUDGES

 This case has been assigned to District Judge
 George H. King
 and the assigned

 Magistrate Judge is
 John E. McDermott
 .

The case number on all documents filed with the Court should read as follows:

2:13CV7046 GHK JEMx

Pursuant to General Order 05-07 of the United States District Court for the Central District of California, the Magistrate Judge has been designated to hear discovery related motions.

All discovery related motions should be noticed on the calendar of the Magistrate Judge.

Clerk, U. S. District Court

September 23, 2013

Date

By J.Prado Deputy Clerk

NOTICE TO COUNSEL

A copy of this notice must be served with the summons and complaint on all defendants (if a removal action is filed, a copy of this notice must be served on all plaintiffs).

Subsequent documents must be filed at the following location:

Western Division
 312 N. Spring Street, G-8
 Los Angeles, CA 90012

Southern Division
 411 West Fourth St., Ste 1053
 Santa Ana, CA 92701

Eastern Division
 3470 Twelfth Street, Room 134
 Riverside, CA 92501

Failure to file at the proper location will result in your documents being returned to you.

Case 1:13-cv-00685-ACK-RLP Document 1 Filed 09/23/13 Page 45 of 49 PageID #: 45

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

<u>Central</u> District of California

)

ERIN CALDERON, individually and on behalf of all others similarly situated,

Plaintiff(s)

v.

CV13-7046 6th (JENLX) Civil Action No.

CARGILL, INC, and Does 1 through 10, inclusive

Defendant(s)

SUMMONS IN A CIVIL ACTION

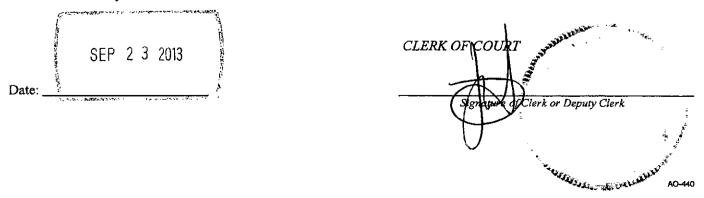
To: (Defendant's name and address)

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: William A. Baird, Esq.

MARLIN & SALTZMAN, LLP 9229 Canwood Street, Suite 208 Agoura Hlls, CA 91301

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.



AO-440

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AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil	Action	No.
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PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4(1))

	This summons for (name of individual and title, if any)
was rec	eived by me on (date)
	I personally served the summons on the individual at (place)
	On (date); or
	I left the summons at the individual's residence or usual place of abode with (name)
	, a person of suitable age and discretion who resides there,
	on (date), and mailed a copy to the individual's last known address; or
	I served the summons on (name of individual), who is
	designated by law to accept service of process on behalf of (name of organization)
	on (date); or
	I returned the summons unexecuted because; or
	Other (specify):
	My fees are 0.00 for travel and 0.00 for services, for a total of 0.00
	I declare under penalty of perjury that this information is true.
~	
Date:	Server's signature
	Printed name and title

Server's address

Additional information regarding attempted service, etc:

Case 1:13-0	:v-00685994CERS		ŀĮRIT, (TEN)⊕R¦A() (9)12 BR <u>1</u> :IVIL COVER SHEET	L3 opqgeførnia 49	PageID #: 47	
I. (a) PLAINTIFFS (Check box if you are representing yourself) DEFENDANTS (Check box if you are representing yourself)						
ERIN CALDERON, individually and on behalf of all others similarly situated CARGILL, INCORPORATED, and DOES 1 through 10, inclusive						
 (b) Attorneys (Firm Name, Address and Telephone Number. If you are representing yourself, provide same information.) Stanley D. Saltzman, Marcus J. Bradley, William A. Baird MARLIN & SALTZMAN, LLP 29229 Canwood Street, Suite 208, Agoura Hills, CA 91301 Ph: (818) 991-8080 Fax: (818) 991-8081 						
II. BASIS OF JURISDIC	TION (Place an X in o	ne box only.)	II. CITIZENSHIP OF PR	INCIPAL PARTIES-For D	iversity Cases Only	
1. U.S. Government	3. Federal Qu Government	uestion (U.S. t Not a Party)		x for plaintiff and one for d TF DEF C 1 1 Incorporated or of Business in th	Principal Place PTF DEF	
			itizen of Another State		nd Principal Place 5 x 5	
2. U.S. Government Defendant	A. Diversity (of Parties in I		itizen or Subject of a oreign Country	of Business in A 3 3 Foreign Nation		
IV. ORIGIN (Place an X	in one box only.)		·			
1. Original 2.1	-	3. Remanded from Appellate Court		ansferred from Another	Multi- District tigation	
V. REQUESTED IN COM	IPLAINT: JURY DE	MAND: 🗙 Yes 🗌	No (Check "Yes" of	nly if demanded in com	plaint.)	
CLASS ACTION under	F.R.Cv.P. 23: 🔀	res 🗍 No	MONEY DEMA	NDED IN COMPLAINT:	\$	
VI. CAUSE OF ACTION	(Cite the U.S. Civil Statut ising in Violation of B&P (e under which you are filir Code Sec. 17200, et seq.; Fi	alse and Misleading Advertis	ing in Violation of B&P Code S	ctional statutes unless diversity.) ec. 17500, et seq.; Civil Code Sec.	
VII. NATURE OF SUIT (Place an X in one box only).						
	Place an X in one po	ox only).				
OTHER STATUTES	CONTRACT	EX ONLY).	IMMIGRATION	PRISONER PETITIONS	PROPERTY RIGHTS	
			462 Naturalization	PRISONER PETITIONS Habeas Corpus:	PROPERTY RIGHTS	
OTHER STATUTES 375 False Claims Act 400 State	CONTRACT	REAL PROPERTY CONT.	462 Naturalization Application	Habeas Corpus:	820 Copyrights	
OTHER STATUTES 375 False Claims Act	CONTRACT	REAL PROPERTY CONT. 240 Torts to Land 245 Tort Product Liability 290 All Other Real	462 Naturalization Application 465 Other Immigration Actions	Habeas Corpus: 463 Alien Detainee 510 Motions to Vacate Sentence	820 Copyrights 830 Patent 840 Trademark	
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OTHER STATUTES 375 False Claims Act 400 State Reapportionment 410 Antitrust	CONTRACT 110 Insurance 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of	REAL PROPERTY CONT. 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property TORTS PERSONAL PROPERTY	462 Naturalization Application 465 Other Immigration Actions TORTS	Habeas Corpus: 463 Alien Detainee 510 Motions to Vacate Sentence 530 General	820 Copyrights 830 Patent 840 Trademark SOCIAL SECURITY	
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OTHER STATUTES 375 False Claims Act 400 State Reapportionment 410 Antitrust 430 Banks and Banking 450 Commerce/ICC Rates/Etc. 460 Deportation 470 Racketeer Influ-	CONTRACT 110 Insurance 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of Overpayment & Enforcement of Judgment	REAL PROPERTY CONT. 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property TORTS PERSONAL PROPERTY 310 Airplane 315 Airplane Product Liability	462 Naturalization Application 465 Other Immigration Actions TORTS PERSONAL PROPERTY 370 Other Fraud	Habeas Corpus: 463 Alien Detainee 510 Motions to Vacate Sentence 530 General 535 Death Penalty Other: 540 Mandamus/Other 550 Civil Rights	820 Copyrights 830 Patent 840 Trademark SOCIAL SECURITY 861 HIA (1395ff) 862 Black Lung (923) 863 DIWC/DIWW (405 (g)) 864 SSID Title XVI	
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Case 1:13-cVNUTED:STACKER DISTRICT FROM FOR THE CURCHERT, CENTRAL DISTRICT AGE CONTRACTOR OF A CIVIL COVER SHEET

VIII. VENUE: Your answers to the questions below will determine the division of the Court to which this case will most likely be initially assigned. This initial assignment is subject to change, in accordance with the Court's General Orders, upon review by the Court of your Complaint or Notice of Removal.

Question A: Was this case removed fro state court?	m	STATE CASE WAS PENDING IN THE COUNTY OF:				INIT	TAL DIVISION IN CA	CD IS:
🗌 Yes 🕱 No	🗌 Lo	Los Angeles				Western		
If "no, " go to Question B. If "yes," check the box to the right that applies, enter the	ne 🗌 Ve	entura, Santa Barbara, or San	Luis Obisp	0		Western		
corresponding division in response to		range					Southern	
Question D, below, and skip to Section IX.		verside or San Bernardino				Eastern		
Question B: Is the United States, or on- its agencies or employees, a party to th		If the United States, or o	ne of its ag	encies o	r employees, is a party, is i	t:		
action?		A PLAINTIFF?			A DEFENDANT?		INITIAL DIVISION IN	
Yes 🗙 No		n check the box below for the co hich the majority of DEFENDANT			n check the box below for the hich the majority of PLAINTIFF		CACD IS:	
If "no, " go to Question C. If "yes," check th	ne 🗌 La	os Angeles		Los	s Angeles		Weste	ern
box to the right that applies, enter the corresponding division in response to		entura, Santa Barbara, or San bispo	Luis		ntura, Santa Barbara, or Sa iispo	in Luis	Western	
Question D, below, and skip to Section IX.	0 🗌	range		Ora	ange		South	ern
	🗌 Ri	Riverside or San Bernardino		Riverside or San Bernardino			Easte	ern
	0 🗌	Other		Other			Western	
Question C: Location of Los A		A. B. C. ngeles Ventura, Santa Barbara, or Orange C Inty San Luis Obispo Counties		County Riverside or San Outsi		E. F. ide the Central Other ict of California		
Indicate the location in which a majority of plaintiffs reside:	X							
Indicate the location in which a majority of defendants reside:								×
Indicate the location in which a majority of claims arose:	X	< □]				
C.1. Is either of the following true? If set	o, check th	heck the one that applies: C.2. Is either of the following true? If			o, check the	one that applies:		
2 or more answers in Column C			2 or more answers in Column D			1		
only 1 answer in Column C and no answers in Column D				only 1 answer in Column D and no answers in Column C				
Your case will initially SOUTHERN Enter "Southern" in respon		Your case will initially be as EASTERN DIVISIO Enter "Eastern" in response to C			DIVISION.			
If none applies, answer qu	uestion C2	ion C2 to the right. If none applies, go t			o to the box below.			
Your case will initially be a WESTERN DIVIS Enter "Western" in response to								

Question D: Initial Division?	INITIAL DIVISION IN CACD
Enter the initial division determined by Question A, B, or C above:	Western

Case 1:13-cv-00685-ACK-RLP Document 1 Filed 09/23/13 Page 49 o UNITED STATES DISTRICT COURT, CENTRAL DISTRICT OF	f 49 Pag CALIFORNÍ	geID #: 49 A
CIVIL COVER SHEET		
IX(a). IDENTICAL CASES: Has this action been previously filed in this court and dismissed, remanded or closed?	X NO	YES
If yes, list case number(s):		
IX(b). RELATED CASES: Have any cases been previously filed in this court that are related to the present case?	X NO	YES
If yes, ilst case number(s):	.	
Civil cases are deemed related if a previously filed case and the present case:		
(Check all boxes that apply) A. Arise from the same or closely related transactions, happenings, or events; or		
B. Call for determination of the same or substantially related or similar questions of law and fa	ict; or	
C. For other reasons would entail substantial duplication of labor if heard by different judges;	or	
D. Involve the same patent, trademark or copyright, and one of the factors identified above in	ı a, b or c also is pı	resent.
X. SIGNATURE OF ATTORNEY (OR SELF-REPRESENTED LITIGANT): DATI	E: Septembe	r 23, 2013

Notice to Counsel/Parties: The CV-71 (JS-44) Civil Cover Sheet and the Information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law. This form, approved by the Judicial Conference of the United States in September 1974, is required pursuant to Local Rule 3-1 is not filed but is used by the Clerk of the Court for the purpose of statistics, venue and initiating the civil docket sheet. (For more detailed instructions, see separate instructions sheet).

Key to Statistical codes relating to Social Security Cases:

Nature of Suit Code	Abbreviation	Substantive Statement of Cause of Action
861	HIA	All claims for health insurance benefits (Medicare) under Title 18, Part A, of the Social Security Act, as amended. Also, Include claims by hospitals, skilled nursing facilities, etc., for certification as providers of services under the program. (42 U.S.C. 1935FF(b))
862	BL	All claims for "Black Lung" benefits under Title 4, Part B, of the Federal Coal Mine Health and Safety Act of 1969. (30 U.S.C. 923)
863	DIWC	All claims filed by insured workers for disability insurance benefits under Title 2 of the Social Security Act, as amended; plus all claims filed for child's insurance benefits based on disability. (42 U.S.C. 405 (g))
863	DIWW	All claims filed for widows or widowers insurance benefits based on disability under Title 2 of the Social Security Act, as amended. (42 U.S.C. 405 (g))
864	SS!D	All claims for supplemental security income payments based upon disability filed under Title 16 of the Social Security Act, as amended.
865	RSI	All claims for retirement (old age) and survivors benefits under Title 2 of the Social Security Act, as amended. (42 U.S.C. 405 (g))