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·		1 Nigh v. Humphreys Pharmacal, Inc., et al.
		CLASS ACTION COMPLAINT

Plaintiff Melissa Nigh, on behalf of herself, all others similarly situated, and the general public ("Plaintiff"), allege against defendant Humphrey's Pharmacal, Incorporated, ("Humphreys") and its parent company Dickinson Brands, Inc. ("Defendants"). Plaintiff alleges the following upon her own knowledge, or where there is no personal knowledge, upon information and belief and the investigation of her counsel:

#### JURISDICTION AND VENUE

1. This Court has original jurisdiction pursuant to 28 U.S.C. § 1332(d)(2)(A), as amended by the Class Action Fairness Act of 2005, because the matter in controversy, exclusive of interest and costs, exceeds the sum or value of \$5,000,000.00 and is a class action where Plaintiff, a member of the class, is from a different state than Defendants. On information and belief, more than two-thirds of the members of the class are citizens of a state different from the Defendants. This Court also has original jurisdiction over the federal claim under the Magnuson-Moss Warranty Act pursuant to 28 U.S.C. § 1331. This Court has supplemental jurisdiction over the state law claims pursuant to 28 U.S.C. § 1367.

2. Personal jurisdiction derived from the fact that the Defendants conduct business within the State of California and within this judicial district.

3. Venue is proper within this district pursuant to 28 U.S.C. § 1391(b)(2) because many of the acts and transactions, including the purchases and sales giving rise to this action, occurred in this district and because Defendants:

- (i) are authorized to conduct business in this district and have intentionally availed themselves of the laws and markets within this district through the promotion, marketing, distribution and sale of its products in this district;
- (ii) do substantial business in this district;
- (iii) advertise to consumers residing in this district; and,

(iv) are subject to personal jurisdiction in this district.

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## THE PARTIES

4. Plaintiff Melissa Nigh is a resident of Morgan Hill, California.

5. Defendant Humphrey's Pharmacal, Incorporated is a Delaware corporation that maintains its principal place of business, corporate headquarters, and residence in Connecticut.

6. Defendant Dickinson Brands, Inc. is a Delaware corporation that maintains its principal place of business in Connecticut.

7. Defendants are the manufacturer and seller of homeopathic products that are nothing more than placebos, as set forth herein. This complaint concerns Defendants' homeopathic products known as Original Teething Pellets #3, Cherry Teething Pellets #3, Berry Teething Pellets #3, Simple Fever #1, Simple Diarrhea #4, Bedwetting Pellets #30, Cherry Colic Pellets #36, Cough Control #7, Cold Relief #77, Symptoms of Delayed Menses, Arthritis Relief #15, Insomnia Relief #40, and Simple Nervous Conditions #28, and all iterations/variations of the aforementioned products (collectively, the "Products"). This complaint also encompasses all known homeopathic products made by Defendants. Defendants produce, market, and sell homeopathic products, throughout the United States.

## BACKGROUND

8. Homeopathic medicine has been practiced in United States since the early 19<sup>th</sup> century. Homeopathy seeks to stimulate the body's ability to heal itself by giving very small doses of highly diluted substances. However, there is little evidence that homeopathy is effective, much less that people understand homeopathic principles.<sup>1</sup>

9. Homeopathy is premised on two main principles; the principle of similars and the principle of dilutions. Under the "principle of similars" a disease can be cured by a substance  $\frac{1}{1 \text{ See http://nccam.nih.gov/sites/nccam.nih.gov/files/homeopathy.pdf, last visited on July 6, 2012.}$ 

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that produces similar symptoms in healthy people. Under the "principle of dilutions" the *lower* the dose of the medication, the *greater* its effectiveness.<sup>2</sup>

10. However, it is paradoxical that through dilution an ingredient would reach higher potency. Further, in highly diluted remedies, there is a very low probability that even a single molecule of the original substance is present in the Product. For example, a level of 12C dilution is the equivalent to a pinch of salt in both the North and South Atlantic Oceans.<sup>3</sup> Allegedly, the more diluted the ingredient, the more effective it becomes.

11. Homeopathic remedies are not marketed and sold in the United States in the same manner as when they first originated, approximately 200 years ago. When homeopathic drugs first originated, people would typically consult with a licensed homeopathic practitioner, who would compound his or her own homeopathic remedy, or provide a prescription to the patient. Food and Drug Administration Compliance Policy Guide ("CPG") § 400.400.

12. Historically, homeopathic drugs were also not labeled and there was no direct-toconsumer advertising. Instead, homeopathic remedies were primarily marketed to licensed homeopathic practitioners. "CPG" § 400.400.

## FACTS

13. Defendants manufacture, advertise, distribute and sell their homeopathic Humphreys Products<sup>4</sup> throughout the United States.

14. Defendants manufacture, advertise, distribute and sell three main categories of homeopathic products: Children's Remedies, Cold & Flu Remedies and Pain Relief Remedies.

<sup>2</sup> See http://nccam.nih.gov/sites/nccam.nih.gov/files/homeopathy.pdf, last visited on July 6, 2012.

<sup>3</sup> See http://www.healthguidance.org/entry/12178/1/An-Introduction-to-Homeopathic-Remedies.html, last visited on July 6, 2012.

<sup>4</sup> Exhibit 1 to this Complaint has a more through description of the Products, including pictures.

15. During the class period defined herein, Plaintiff Nigh purchased Defendants Product(s) from in Morgan Hill, California. Plaintiff Nigh is a consumer as described herein.

16. In purchasing Defendants Product(s), Plaintiff Nigh relied upon various representations Defendants made on the Products' labels, such as the Products' name itself, that it would relieve symptoms associated with its name, would be a "100% All Natural," "Fast Acting" remedy, among other representations.

17. Defendants Product(s) did not work for Plaintiff Nigh as advertised.

## CHILDREN'S REMEDIES

18. Defendants manufacture, advertise, distribute and sell a variety of Children's Remedies, including, Teething Relief (Original Teething Pellets #3, Cherry Teething Pellets #3), <sup>5</sup> Simple Fever #1, Simple Diarrhea #4, Bedwetting Pellets #30, and Cherry Colic Pellets #36.

19. Defendants advertise their Children's Remedies as "100% All Natural," "Fast Acting," and "Gentle" relief of various symptoms including teething, fevers, diarrhea, bedwetting and colic.

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A. <u>Baby Teething Relief (Very Cherry and Original Flavors)</u>

20. Defendants advertise that Baby Teething Relief "relieves pain & inflammation," "calms restlessness and irritability," "temporarily relieves minor irritation, pain and wakefulness associated with teething in infants." It purports to provide "multi-symptom relief of irritation, irritability, restlessness and inflammation of the gums." *See* Exs. 1-2.

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<sup>&</sup>lt;sup>5</sup> Defendants also produce and market or have produced and marketed other varieties of Teething Relief, including, Teething Relief – Very Cherry and Original; Teething Relief Belladonna Free – Very Cherry and Original; Baby Teething Relief Cherry Swift Strips, Teething Relief Cherry Swift Strips, Teething Relief Pellets -Very Cherry & Original, Teething Pellets #3- Very Cherry & Original. This complaint includes all varieties of Defendants Teething Relief Products.

21. In purchasing Baby Teething Relief, consumers rely upon various representations
Defendants make on the Products' packaging label, such as the name itself, that it is "Fast
Acting," "100% Natural," and provides "Teething Relief," among other representations. *See*Misrepresentation Chart, attached hereto as Ex. 2 for the challenged statements regarding the
Product.

22. The purportedly active ingredients of Baby Teething Relief include *Chamomilla* (3X HPUS), *Coffea Cruda* (3X HPUS), *Belladonna* (3X HPUS) and *Calcarea Phosphorica* (12X HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves the symptoms of teething are unwittingly spending hundreds of thousands of dollars each year on worthless products. *See* Dilution Chart, attached hereto as Ex. 3.

23. The ingredients used in Baby Teething Relief provide no health benefits. Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers.

24. Defendants know there are **no** or just **trace** amounts of active ingredients present in Baby Teething Relief and therefore must be aware that Baby Teething Relief cannot relieve any symptoms for which the Defendants advertise them.

25. Baby Teething Relief is nothing more than a placebo, with zero or a trace of the claimed active ingredients. Baby Teething Relief is sold in 135 ct. boxes and the price is approximately \$6.99 per 135-pellet package. Hence, Defendants' unfair and deceptive practices

have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

26. Absent the misstatements described herein, consumers would not have purchased Baby Teething Relief.

27. Plaintiff seeks justice for herself and similarly-situated consumers of Baby Teething Relief by means of this action to enjoin the ongoing deceptive practices described herein.

B. <u>Baby Teething Relief – Belladonna Free (Very Cherry and Original Flavors)</u>

28. Defendants advertise that Baby Teething Relief – Belladonna Free "Relieves Pain & Inflammation," "Calms restlessness and irritability," and "temporarily relieves minor irritation, pain and wakefulness associated with teething in infants." It purports to "Relieve[] irritation pain of swollen gums," "Calms restlessness and irritability, and "Supports dentition." *See* Exs. 1-2.

29. In purchasing Baby Teething Relief – Belladonna Free, consumers rely upon various representations Defendants make on the Product's packaging label, such as the Product's name itself, that it is "Fast Acting," "100% Natural," and provides "Teething Relief," among other representations. *See* Ex. 2, Misrepresentation Chart.

30. The purportedly active ingredients of Baby Teething Relief - Belladonna Free include *Chamomilla* (3X HPUS), *Coffea Cruda* (3X HPUS) and *Calcarea Phosphorica* (12X HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves the

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symptoms of teething are unwittingly spending hundreds of thousands of dollars each year on worthless products. *See* Ex. 3, Dilution Chart.

31. The ingredients used in Baby Teething Relief – Belladonna Free provide no health benefits. Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers.

32. Defendants know there are **no** or just **trace** amounts of active ingredients present in Baby Teething Relief – Belladonna Free and therefore must be aware that Baby Teething Relief – Belladonna Free cannot relieve any symptoms for which the Defendants advertise them.

33. Baby Teething Relief – Belladonna Free is nothing more than a placebo, with zero or a trace of the claimed active ingredients. Baby Teething Relief is sold in 135 ct. boxes and the price is approximately \$6.99 per 135-pellet package. Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

34. Absent the misstatements described herein, consumers would not have purchased Humphrey's Baby Teething Relief – Belladonna Free.

35. Plaintiff seeks justice for herself and similarly-situated consumers of Baby Teething Relief – Belladonna Free by means of this action to enjoin the ongoing deceptive practices described herein.

C. Baby Teething Relief (Cherry Swift Strips)

36. Defendants advertise that Baby Teething Relief Cherry Swift Strips "Relieve[] irritation of swollen gums," "calm[] restlessness and irritability," and "temporarily relieve[]

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minor irritation, pain and wakefulness associated with teething in infants." It purports to be a "Triple- Action Teething Remedy" which is "100% All Natural." *See* Exs. 1-2.

37. In purchasing Baby Teething Relief Cherry Swift Strips, consumers rely upon various representations Defendants make on the Product's packaging label, such as the Product's name itself, that it is "Fast acting," "100% All Natural," and provides "Teething Relief," among other representations. *See* Ex. 2, Misrepresentation Chart.

38. The purportedly active ingredients of Baby Teething Relief Cherry Swift Strips include *Chamomilla* (3X HPUS), *Coffea Cruda* (3X HPUS), *Belladonna* (3X HPUS), and *Calcarea Phosphorica* (12X HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves the symptoms of teething are unwittingly spending hundreds of thousands of dollars each year on worthless products. *See* Ex. 3, Dilution Chart.

39. Moreover, the inactive ingredients in Baby Teething Relief Cherry Swift Strips include Polysorbate 80, which is a product of the chemical reaction of Sorbitan and Oleic Acid. Polysorbate 80 is **not** a natural ingredient, although Defendants advertise Baby Teething Relief Cherry Swift Strips as "100% All Natural."

40. The ingredients used in Baby Teething Relief Cherry Swift Strips provide no health benefits. Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers.

41. Defendants know there are **no** or just **trace** amounts of active ingredients present in Baby Teething Relief Cherry Swift Strips and therefore must be aware that Baby Teething Relief Cherry Swift Strips cannot relieve any symptoms for which the Defendants advertises them.

42. Baby Teething Relief Cherry Swift Strips are nothing more than a placebo, with zero or a trace of the claimed active ingredients. Humphrey's Baby Teething Relief Cherry Swift Strips are sold in 18 ct. boxes and the price is approximately \$6.99 per 18-strip package.
Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

43. Absent the misstatements described herein consumers would not have purchased Baby Teething Relief Cherry Swift Strips.

44. Plaintiff seeks justice for herself and similarly-situated consumers of Baby Teething Relief by means of this action to enjoin the ongoing deceptive practices described herein.

## D. <u>Baby Teething Relief (Cherry Swift Strips – Belladonna Free)</u>

45. Defendants advertise that Baby Teething Relief Cherry Swift Strips – Belladonna Free "Relieve[] pain of swollen gums," "Calm[] restlessness and irritability," and "temporarily relieve[] minor irritation, pain and wakefulness associated with teething in infants." It purports to be a "Triple- Action Teething Remedy" which is "100% All Natural." *See* Exs. 1-2.

46. In purchasing Baby Teething Relief Cherry Swift Strips – Belladonna Free,
consumers rely upon various representations Defendants make on the Product's packaging label,
such as the Product's name itself, that it is "Fast Acting," "100% All Natural," and provides
"Teething Relief," among other representations. *See* Ex. 2, Misrepresentation Chart.

47. The purportedly active ingredients of Baby Teething Relief Cherry Swift Strips – Belladonna Free include *Chamomilla* (3X HPUS), *Coffea Cruda* (3X HPUS), and *Calcarea Phosphorica* (12X HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves the symptoms of teething are unwittingly spending hundreds of thousands of dollars each year on worthless products. *See* Ex. 3, Dilution Chart.

48. Moreover, the inactive ingredients in Baby Teething Relief Cherry Swift Strips – Belladonna Free include Polysorbate 80, which is a product of the chemical reaction of Sorbitan and Oleic Acid. Polysorbate 80 is **not** a natural ingredient, although Defendants advertise Baby Teething Relief Cherry Swift Strips – Belladonna Free as "100% All Natural."

49. The ingredients used in Baby Teething Relief Cherry Swift Strips – Belladonna Free provide no health benefits. Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers.

50. Defendants know there are **no** or just **trace** amounts of active ingredients present in Baby Teething Relief Cherry Swift Strips – Belladonna Free and therefore must be aware that Baby Teething Relief Cherry Swift Strips – Belladonna Free cannot relieve any symptoms for which the Defendants advertises them.

51. Baby Teething Relief Cherry Swift Strips – Belladonna Free are nothing more than a placebo, with zero or a trace of the claimed active ingredients. Baby Teething Relief Cherry Swift Strips – Belladonna Free are sold in 18 ct. boxes and the price is approximately

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\$6.99 per 18-strip package. Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

52. Absent the misstatements described herein consumers would not have purchased Baby Teething Relief Cherry Swift Strips – Belladonna Free.

53. Plaintiff seeks justice for herself and similarly-situated consumers of Baby Teething Relief Cherry Swift Strips – Belladonna Free by means of this action to enjoin the ongoing deceptive practices described herein.

E. <u>Teething Pellets #3 (Very Cherry and Original Flavors)</u>

54. Defendants advertise that Teething Pellets #3 "gently soothe discomfort," and "temporarily relieve[] minor irritation, pain and wakefulness associated with teething in infants." It purports to provide "safe effective relief for children." *See* Exs. 1-2.

55. In purchasing Teething Relief Pellets #3, consumers rely upon various representations Defendants make on the Product's label, such as the Product's name itself, that it is "Fast Acting," "100% All Natural," and provides "relie[f] for minor irritation" among other representations. *See* Ex. 2, Misrepresentation Chart.

56. The purportedly active ingredients of Teething Pellets #3 include <u>*Chamomilla*</u> (3X HPUS), *Coffea Cruda* (3X HPUS), *Belladonna* (3X HPUS) and *Calcarea Phosphorica* (12X HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves the symptoms of teething are unwittingly spending hundreds of thousands of dollars each year on worthless products. *See* Ex. 3, Dilution Chart.

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57. The ingredients used in Teething Pellets #3 provide no health benefits. Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers.

58. Defendants know there are **no** or just **trace** amounts of active ingredients present in Teething Pellets #3 and therefore must be aware that Teething Pellets #3 cannot relieve any symptoms for which the Defendants advertise them.

59. Teething Pellets #3 are nothing more than a placebo, with zero or a trace of the claimed active ingredients. Teething Pellets #3 are sold in 135 ct. boxes and the price is approximately \$8.49 per 135-pellet package. Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

60. Absent the misstatements described herein, consumers would not have purchased Teething Pellets #3.

61. Plaintiff seeks justice for herself and similarly-situated consumers of Teething Pellets #3 by means of this action to enjoin the ongoing deceptive practices described herein.

F. Simple Fever  $#1^{\underline{6}}$ 

62. Defendants advertise that Simple Fever #1 "temporarily reduces fever." It purports to provide a "Gentle Formula" with "natural ingredients that work gently to help your body return to a natural state of health." *See* Exs. 1-2.

<sup>6</sup> Defendants also advertise Simple Fever #1 as a Cold & Flu Remedy.

63. In purchasing Simple Fever #1, consumers rely upon various representations Defendants make on the Product's packaging label, such as the Product's name itself, that it is a "safe, all natural fever reducer," among other representations. *See* Ex. 2, Misrepresentation Chart.

64. The purportedly active ingredients of Simple Fever #1 include *Aconitum napellus* (3X HPUS), *Veratrum viride* (2X HPUS), *Bryonia alba* (3X HPUS), and *Belladonna* (3X HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves fevers are unwittingly spending hundreds of thousands of dollars each year on worthless products. *See* Ex. 3, Dilution Chart.

65. The ingredients used in Simple Fever #1 provide no health benefits. Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers.

66. Defendants know there are **no** or just **trace** amounts of active ingredients present in Simple Fever #1 and therefore must be aware that Simple Fever #1 cannot relieve any symptoms for which the Defendants advertise them.

67. Simple Fever #1 is nothing more than a placebo, with zero or a trace of the claimed active ingredients. Simple Fever #1 is sold in 135 ct. boxes and the price is approximately \$6.99 per 135-pellet package. Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

68. Absent the misstatements described herein, consumers would not have purchased Simple Fever #1.

69. Plaintiff seeks justice for herself and similarly-situated consumers of Simple Fever #1 by means of this action to enjoin the ongoing deceptive practices described herein.

G. <u>Simple Diarrhea #4<sup>7</sup></u>

70. Defendants advertise that Simple Diarrhea #4 "temporarily controls and relieves symptoms of diarrhea." It purports to provide a "Gentle Formula" with "natural ingredients that work with your body to provide gentle relief." *See* Exs. 1-2.

71. In purchasing Simple Diarrhea #4, consumers rely upon various representations Defendants make on the Product's packaging label, such as the Product's name itself, that it is "Fast Acting" and an "All Natural Anti-Diarrheal Remedy," among other representations. *See* Ex. 2, Misrepresentation Chart.

72. The purportedly active ingredients of Simple Diarrhea #4 include *Cinchona officinalis* (3X HPUS), *Ipecacuanha* (3X HPUS), *Calcarea carbonica* (12X HPUS), and *Chamomilla* (3X HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves fevers are unwittingly spending hundreds of thousands of dollars each year on worthless products. *See* Ex. 3, Dilution Chart.

73. The ingredients used in Simple Diarrhea #4 provide no health benefits.Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule

<sup>7</sup> Defendants also advertise Simple Diarrhea #1 as a Cold & Flu Remedy.

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derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers.

74. Defendants know there are **no** or just **trace** amounts of active ingredients present in Simple Diarrhea #4 and therefore must be aware that Simple Diarrhea #1 cannot relieve any symptoms for which the Defendants advertise them.

75. Simple Diarrhea #4 is nothing more than a placebo, with zero or a trace of the claimed active ingredients. Simple Diarrhea #4 is sold in 135 ct. boxes and the price is approximately \$6.49 per 135-pellet package. Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

76. Absent the misstatements described herein, consumers would not have purchased Simple Diarrhea #4.

77. Plaintiff seeks justice for herself and similarly-situated consumers of Simple Diarrhea #4 by means of this action to enjoin the ongoing deceptive practices described herein.

H. <u>Bedwetting Pellets #30</u>

78. Defendants advertise that Bedwetting Pellets #30 "temporarily relieves the symptoms of bladder irritation and incontinence in children." It purports to "100% All Natural" and "the gentle, all natural remedy for bedwetting. *See* Exs. 1-2.

79. In purchasing Bedwetting Pellets #30, consumers rely upon various representations Defendants make on the Product's packaging label, such as the Product's name itself, that it is "Fast Acting," "100% All Natural," "contains no animal ingredients" and provides "Relief for Children's Incontinence," among other representations. *See* Ex. 2, Misrepresentation Chart.

80. The purportedly active ingredients of Bedwetting Pellets #30 include *Cantharis* (6X HPUS), *Mercurius Corrosivus* (6X HPUS), *Causticum* (3X HPUS), and *Equisetum hyemale* (3X HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves fevers are unwittingly spending hundreds of thousands of dollars each year on worthless products. *See* Ex. 3, Dilution Chart.

81. The ingredients used in Bedwetting Pellets #30 provide no health benefits. Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers. Further, even if the Product did contain more than trace amounts of active ingredients, Bedwetting Pellets contain Cantharis (a beetle), which contradicts the "no animal ingredients" claim. The "no animal ingredients" claim is therefore false and misleading to consumers.

82. Defendants know there are **no** or just **trace** amounts of active ingredients present in Bedwetting Pellets #30 and therefore must be aware that Bedwetting Pellets #30 cannot relieve any symptoms for which the Defendants advertise them.

83. Bedwetting Pellets #30 is nothing more than a placebo, with zero or a trace of the claimed active ingredients. Bedwetting Pellets #30 is sold in 135 ct. boxes and the price is approximately \$6.29 per 135-pellet package. Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

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84. Absent the misstatements described herein, consumers would not have purchased Bedwetting Pellets #30.

85. Plaintiff seeks justice for herself and similarly-situated consumers of Bedwetting Pellets #30 by means of this action to enjoin the ongoing deceptive practices described herein.

I. Cherry Colic Pellets #36

86. Defendants advertise that Cherry Colic Pellets #36 as using "natural ingredients to gently relieve occasional discomfort and wakefulness." It purports to "relieve the symptoms referred to as gas, including bloating and pressure, and helps to reduce difficulty falling asleep." *See* Exs. 1-2.

87. In purchasing Cherry Colic Pellets #36, consumers rely upon various representations Defendants make on the Product's packaging label, such as the Product's name itself, that it is "Fast Acting," "100% All Natural," "Children's Gas & Discomfort Relief," among other representations. *See* Ex. 2, Misrepresentation Chart.

88. The purportedly active ingredients of Cherry Colic Pellets #36 include *Carbo vegetabilis* (12X HPUS), *Chamomilla* (1X HPUS), and *Avena Sativa* (1X HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves fevers are unwittingly spending hundreds of thousands of dollars each year on worthless products. *See* Ex. 3, Dilution Chart.

89. The ingredients used in Cherry Colic Pellets #36 provide no health benefits.Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule

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derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers.

90. Defendants know there are **no** or just **trace** amounts of active ingredients present in Cherry Colic Pellets #36 and therefore must be aware that Cherry Colic Pellets #36 cannot relieve any symptoms for which the Defendants advertise them.

91. Cherry Colic Pellets #36 is nothing more than a placebo, with zero or a trace of the claimed active ingredients. Cherry Colic Pellets #36 is sold in 135 ct. boxes and the price is approximately \$6.49 per 135-pellet package. Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

92. Absent the misstatements described herein, consumers would not have purchased Cherry Colic Pellets #36.

93. Plaintiff seeks justice for herself and similarly-situated consumers of Cherry ColicPellets #36 by means of this action to enjoin the ongoing deceptive practices described herein.

## COLD & FLU REMEDIES

94. Defendants manufacture, advertise, distribute and sell a variety of Cold & Flu Remedies, including Simple Fever #1, Simple Diarrhea #4, Cough Control #7, Cold Relief #77.

95. Defendants advertise their Cold & Flu Remedies as "100% All Natural," "Non-Drowsy Relief" for the "common cold."

J. <u>Simple Fever #1</u>

96. Defendants advertise Simple Fever #1 as both a Children's Remedy and a Cold & Flu Remedy, as discussed *supra* Section F.

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K. <u>Simple Diarrhea #4</u>

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L. Cough Control # 7

98. Defendants advertise that Cough Control #7 as "specifically formulated to provide effective, long lasting relief for the whole family" and "temporarily relieves cough due to minor bronchial irritation associated with the common cold." *See* Exs. 1-2.

99. In purchasing Cough Control #7, consumers rely upon various representations Defendants make on the Product's packaging label, such as the Product's name itself, that it is a "Fast Acting," "All Natural Cough Supressant" among other representations. *See* Ex. 2, Misrepresentation Chart.

100. The purportedly active ingredients of Cough Control #7 include *Belladonna* (3X HPUS), *Phosphorus* (6X HPUS), *Spongia tosta* (2X HPUS), and *Byonia alba* (3X HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves fevers are unwittingly spending hundreds of thousands of dollars each year on worthless products. *See* Ex. 3, Dilution Chart.

101. The ingredients used in Cough Control #7 provide no health benefits. Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers.

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102. Defendants know there are **no** or just **trace** amounts of active ingredients present in Cough Control #7 and therefore must be aware that Cough Control #7 cannot relieve any symptoms for which the Defendants advertise them.

103. Cough Control #7 is nothing more than a placebo, with zero or a trace of the claimed active ingredients. Cough Control #7 is sold in 135 ct. boxes and the price is approximately \$6.99 per 135-pellet package. Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

104. Absent the misstatements described herein, consumers would not have purchased Cough Control #7.

105. Plaintiff seeks justice for herself and similarly-situated consumers of CoughControl #7 by means of this action to enjoin the ongoing deceptive practices described herein.

M. Cold Relief #77

106. Defendants advertise that Cold Relief #77 as using "natural ingredients that work with the body to alleviate symptoms of the common cold." It purports to provide "temporary relief of symptoms of the common cold, such as fever, chills, sneezing, runny nose & coughing, as well as red, itchy & watery eyes." *See* Exs. 1-2.

107. In purchasing Cold Relief #77, consumers rely upon various representations Defendants make on the Product's packaging label, such as the Product's name itself, that it "Treats Sneezing, Runny Nose, Coughing & Fever," and is an "All Natural Multi-Symptom Relief," among other representations. *See* Ex. 2, Misrepresentation Chart.

108. The purportedly active ingredients of Cold Relief #77 include *Arsenicum album* (6X HPUS), *Gelsemium sempervirens* (3X HPUS), *Alliu, cepa* (3X HPUS), and *Aconitum* 

*napellus* (3C HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves fevers are unwittingly spending hundreds of thousands of dollars each year on worthless products. *See* Ex. 3, Dilution Chart.

109. The ingredients used in Cold Relief #77 provide no health benefits. Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers.

110. Defendants know there are **no** or just **trace** amounts of active ingredients present in Cold Relief #77 and therefore must be aware that Cold Relief #77 cannot relieve any symptoms for which the Defendants advertise them.

111. Cold Relief #77 is nothing more than a placebo, with zero or a trace of the claimed active ingredients. Cold Relief #77 is sold in 32 ct. boxes and the price is approximately \$8.99 per 32-pellet package. Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

112. Absent the misstatements described herein, consumers would not have purchased Cold Relief #77.

113. Plaintiff seeks justice for herself and similarly-situated consumers of Cold Relief#77 by means of this action to enjoin the ongoing deceptive practices described herein.

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## PAIN RELIEF REMEDIES

114. Defendants manufacture, advertise, distribute and sell a variety of Pain Relief
 Remedies, including Symptoms of Delayed Menses #11, Arthritis Relief #15 and Insomnia
 Relief #40.<sup>8</sup>

115. Defendants advertise their Pain Relief Remedies as "100% All Natural" remedies for "symptoms associated with delayed menses," "minor joint pain," and "occasional sleeplessness."

N. Symptoms of Delayed Menses #11

116. Defendants advertise that Symptoms of Delayed Menses #11 as using "natural ingredients to gently stimulate your body to return to its natural state of health." It purports to "temporary relieve[] symptoms associated with delayed menses, such as cramps, backache, anxiety, mood changes, nervous tension, irritability, headache & bloating." *See* Exs. 1-2.

117. In purchasing Symptoms of Delayed Menses #11, consumers rely upon various representations Defendants make on the Product's packaging label, such as the Product's name itself, that it is a "Natural Menstrual Symptom Remedy," "100% All Natural," "No Animal Ingredients," among other representations. *See* Ex. 2, Misrepresentation Chart.

118. The purportedly active ingredients of Symptoms of Delayed Menses #11 include *Cimicifuga racemosa* (3X HPUS), *Pulsatilla* (3X HPUS), and *Sepia* (3X HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves fevers are unwittingly

<sup>&</sup>lt;sup>8</sup> Defendants in the past have also manufactured, advertised, distributed and sold Simple Nervous Conditions #28.

spending hundreds of thousands of dollars each year on worthless products. *See* Ex. 3, Dilution Chart.

119. The ingredients used in Symptoms of Delayed Menses #11 provide no health benefits. Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers. Even if the Product did contain more than trace amounts of active ingredients, Symptoms of Delayed Menses contains Sepia (from cuttlefish), an animal product, which contradicts the "No Animal Ingredients" claim. The "No Animal Ingredients" claim is therefore false and misleading to consumers.

120. Defendants know there are **no** or just **trace** amounts of active ingredients present in Symptoms of Delayed Menses #11 and therefore must be aware that Symptoms of Delayed Menses #11 cannot relieve any symptoms for which the Defendants advertise them.

121. Symptoms of Delayed Menses #11 is nothing more than a placebo, with zero or a trace of the claimed active ingredients. Symptoms of Delayed Menses #11 is sold in 32 ct. boxes and the price is approximately \$15.55 per 32-pellet package. Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

122. Absent the misstatements described herein, consumers would not have purchased Symptoms of Delayed Menses #11.

123. Plaintiff seeks justice for herself and similarly-situated consumers of Symptoms of Delayed Menses #11 by means of this action to enjoin the ongoing deceptive practices described herein.

## O. Arthritis Relief #15

124. Defendants advertise that Arthritis Relief #15 as a "natural formula to relieve minor joint pain." It purports to "temporarily relieve[] minor aches & pains associated with arthritis and rheumatism." *See* Exs. 1-2.

125. In purchasing Arthritis Relief #15, consumers rely upon various representations Defendants make on the Product's packaging label, such as the Product's name itself, that it a "Fast Acting," "Natural Remedy for Minor Joint Pain" and is "100% All Natural," among other representations. *See* Ex. 2, Misrepresentation Chart.

126. The purportedly active ingredients of Arthritis Relief #15 include *Rhus toxicodendron* (6X HPUS), *Colchicum autumnale* (3X HPUS), *Causticum* (3X HPUS), and *Bryonia alba* (3X HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves fevers are unwittingly spending hundreds of thousands of dollars each year on worthless products. *See* Ex. 3, Dilution Chart.

127. The ingredients used in Arthritis Relief #15 provide no health benefits. Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers.

128. Defendants know there are **no** or just **trace** amounts of active ingredients present in Arthritis Relief #15 and therefore must be aware that Arthritis Relief #15 cannot relieve any symptoms for which the Defendants advertise them.

129. Arthritis Relief #15 is nothing more than a placebo, with zero or a trace of the claimed active ingredients. Arthritis Relief #15 is sold in 32 ct. boxes and the price is approximately \$6.99 per 32-pellet package. Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

130. Absent the misstatements described herein, consumers would not have purchased Arthritis Relief #15.

131. Plaintiff seeks justice for herself and similarly-situated consumers of ArthritisRelief #15 by means of this action to enjoin the ongoing deceptive practices described herein.

P. Insomnia Relief #40

132. Defendants advertise that Insomnia Relief #40 as a "natural sleep-aid [that] uses gentle, time-honored ingredient that work with your body to help relive occasional sleeplessness
& restlessness." It purports to "temporarily reduce[] difficulty falling asleep." *See* Exs. 1-2.

133. In purchasing Insomnia Relief #40, consumers rely upon various representations Defendants make on the Product's packaging label, such as the Product's name itself, that it a "Nighttime Relief," and is "100% All Natural," among other representations. *See* Ex. 2, Misrepresentation Chart.

134. The purportedly active ingredients of Insomnia Relief #40 include *Chamomilla* (3X HPUS), *Coffea cruda* (3X HPUS), and *Hyoscyamus niger* (3X HPUS). However, the active ingredients, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent in the Product such that the Product is ineffective for its intended uses. Consumers, trusting the Defendants' assertions that the Product relieves fevers are unwittingly spending hundreds of thousands of dollars each year on worthless products. *See* Ex. 3, Dilution Chart.

135. The ingredients used in Insomnia Relief #40 provide no health benefits. Moreover, at the stupendously high dilutions used to prepare the product, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule derived from the original "extract" of the "active ingredients" could be present in the Product sold to consumers.

136. Defendants know there are **no** or just **trace** amounts of active ingredients present in Insomnia Relief #40 and therefore must be aware that Insomnia Relief #40 cannot relieve any symptoms for which the Defendants advertise them.

137. Insomnia Relief #40 is nothing more than a placebo, with zero or a trace of the claimed active ingredients. Insomnia Relief #40 is sold in 32 ct. boxes and the price is approximately \$6.99 per 32-pellet package. Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

138. Absent the misstatements described herein, consumers would not have purchased Insomnia Relief #40.

139. Plaintiff seeks justice for herself and similarly-situated consumers of InsomniaRelief #40 by means of this action to enjoin the ongoing deceptive practices described herein.

## OTHER PRODUCTS LABELED HOMEOPATHIC

140. Defendants manufacture and advertise other products bearing a "homeopathic" designation on their labels.

141. In purchasing those homeopathic products, consumers rely upon various representations Defendants make on those products' packaging label, including that the products contain active ingredients.

142. The purportedly active ingredients in those products, however, even if they were otherwise effective, are so greatly diluted as to be effectively non-existent, such that these products are ineffective for their intended uses. Consumers, trusting the Defendants' assertions that the products relieve various symptoms are unwittingly spending hundreds of thousands of dollars each year on worthless products.

143. The ingredients used in these products provide no health benefits: At the stupendously high dilutions used to prepare them, they can have no effect of any kind in humans because the odds are astronomically high that even a single molecule derived from the original "extract" of the "active ingredients" could be present in the homeopathic products sold to consumers.

144. Defendants know there are **no** or just **trace** amounts of active ingredients present in their products bearing a "homeopathic" designation on their labels and therefore must be aware that the products cannot relieve any symptoms for which the Defendants advertise them.

145. Defendants' products bearing a "homeopathic" designation on their labels are nothing more than placebos, with zero or trace amounts of the claimed active ingredients. These products sell for the same approximate retail price as the other products manufactured by Defendants alleged herein. Hence, Defendants' unfair and deceptive practices have enriched them by hundreds of thousands of dollars, at the expense of thousands of Americans.

146. Absent the misrepresentations described herein, consumers would not have purchased Defendants' products labeled "homeopathic."

147. Plaintiff seeks justice for herself and similarly-situated consumers by means of this action to enjoin the ongoing deceptive practices described herein.

## SPECIFIC MISREPRESENTATIONS,

## MATERIAL OMISSIONS, AND DECEPTIVE FACTS

#### (As to All Causes of Action Against All Defendants)

148. Defendants' advertising of their Products is and has been the subject of an extensive and comprehensive, nationwide marketing campaign in various media including the internet.

149. Defendants primarily advertise and promote their Products through labeling claims on the front of the Products' package. Among other things, each Products' name clearly states what ailments and symptoms the particular product is designated for. For example, Baby Teething Relief provides a clear representation to consumers that it is designed to alleviate the symptoms identified in the name. *See* Exs. 1-2. Label descriptions on the Products' packaging, taken as a whole, further clarify what each of the Products is supposed to do. *See* Misrepresentation Chart, attached hereto as Ex. 2 for the challenged statements regarding all Products. Plaintiff and members of the Class relied on the Products' names and label statements in purchasing Defendants Products.

150. Defendants manufacture, distribute, advertise and sell their homeopathic Products as containing "active" ingredients. However, Defendants' Products are essentially placebos. Even if Defendants' Products contain the purportedly active ingredients, as listed on Defendants' Products' labels, those ingredients are ineffective and/or so greatly diluted as to be non-existence in the Products, such that the Products are ineffective for their intended uses. *See* Exs. 1-3.

151. Defendants also promote their Products' claims through their labels; that the products are "100% All Natural," among other representations. *See* Ex. 2, Misrepresentation Chart.

152. Defendants also use their websites, http://humphreysusa.com/index.html and www.humphreysbaby.com to advertise and promote their homeopathic Products.

153. Defendants advertise their Products as effective in relieving various symptoms. *See* Ex. 2, Misrepresentation Chart.

154. Defendants represent that "[Humphrey's] products are based on traditional, timehonored ingredients, updated to reflect the latest developments in health;" however, Defendants do not explain to consumers the nature of homeopathic medicine or the method of measurement used for its products. For example, Defendants fail to state what the dilution levels of X, C, K and similar dilution levels mean, in a language understandable to an average consumer. *See* 21 C.F.R. § 201.10(d)(i). In fact, because of the dilutions of these purported "time-honored ingredients," Defendants' Products are nothing more than a placebo.

155. Defendants' labeling and advertising claims are false and deceptive because Defendant's Products are composed of nothing more than corn starch, natural flavoring, and sucrose (sugar) onto which minute quantities of water have been absorbed. The Products thus contain no active ingredients, and have no effect on ailments and symptoms they are advertised for, and in fact did not alleviate the ailments or symptoms for which Plaintiff purchased them.

156. Defendants' labeling and advertising claims are further false and deceptive because there is no credible scientific evidence that Defendants' Products have any effect on various symptoms and ailments they purport to relieve and Defendants are free to indicate uses without any regulatory oversight, a fact that is not disclosed to consumers.

#### A. <u>The Nationwide Consumer Class</u>

157. Pursuant to Rules 23(a), (b)(3) and/or (b)(2) of the Federal Rules of Civil Procedure, Plaintiff brings this action on behalf of herself and a nationwide consumer class ("Nationwide Class") initially defined as follows:

Nationwide Class for the Plaintiff and the Class' breach of express warranty, breach of implied warranty of merchantability, violation of the Magnuson-Moss Warranty Act, Consumer Legal Remedies Act (CLRA, Cal. Civ. Code § 1750, et seq.), Unfair Competition Law (UCL, Cal. Bus. & Prof. Code § 17200, et seq.), False Advertising Law (FAL, Cal. Bus. & Prof. Code § 17500, et seq.), and unjust enrichment claims: All purchasers of Humphreys Pharmacal, Inc.'s and Dickinson Brands, Inc.'s products labeled "homeopathic", including, but not limited to, Original Teething Pellets #3, Cherry Teething Pellets #3, Berry Teething Pellets #3, Simple Fever #1, Simple Diarrhea #4, Bedwetting Pellets #30, Cherry Colic Pellets #36, Cough Control #7, Cold Relief #77, Symptoms of Delayed Menses, Arthritis Relief #15, Insomnia Relief #40, and Simple Nervous Conditions #28, and all iterations/variations of the aforementioned products, for personal or household use and not for resale, in the United States from period June 20, 2008 to the present (the "Class Period"). Excluded from the nationwide consumer class are governmental entities, the Defendants, any entity in which the Defendants have a controlling interest, their employees, officers, directors, legal representatives, heirs, successors and wholly or partly owned subsidiaries or affiliated companies, class counsel and

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their employees; and the judicial officers and their immediate family members and associated court staff assigned to this case.

158. The proposed Class is so numerous that individual joinder of all its members is impracticable. Due to the nature of the trade and commerce involved, however, Plaintiff believes the total number of Class members is at least in the thousands and members of the Class are numerous and geographically dispersed across the United States. While the exact number and identities of the Class members are unknown at this time, such information can be ascertained through appropriate investigation and discovery. The disposition of the claims of the Class members in a single class action will provide substantial benefits to all parties and to the Court.

159. Pursuant to Rule 23(b)(2), Defendants have acted or refused to act on grounds generally applicable to the Class, thereby making final injunctive relief or corresponding declaratory relief and damages as to their Products appropriate with respect to the Class as a whole. In particular, Defendants have failed to disclose the true nature of the Products being marketed and that the Products are nothing more than sugar pill.

160. There is a well-defined community of interest in the questions of law and fact involved affecting the Plaintiff and the Class and these common questions of fact and law include, but are not limited to, the following:

a. Whether the claims discussed above are true, misleading, or reasonably likely to deceive;

b. Whether Defendants' alleged conduct violates public policy;

c. Whether the alleged conduct constitutes violations of the laws asserted herein;

d. Whether Defendants engaged in false or misleading advertising;

e. Whether the Plaintiff and Class members have sustained monetary loss and the proper measure of that loss;

f. Whether the Plaintiff and Class members are entitled to declaratory and injunctive relief.

161. Plaintiff's claims are typical of the claims of the members of the Class. Plaintiff and all members of the Class have been similarly affected by the Defendant's common course of conduct since they all relied on Defendants representations concerning their homeopathic Products and purchased the Products based on those representations.

162. Plaintiff will fairly and adequately represent and protect the interests of the Class.
Plaintiff has retained counsel with substantial experience in handling complex class action
litigation in general and scientific claims, including for homeopathic drugs, in particular.
Plaintiff and her counsel are committed to vigorously prosecuting this action on behalf of the
Class and have the financial resources to do so.

163. Plaintiff and the members of the Class suffered and will continue to suffer harm as a result of the Defendants' unlawful and wrongful conduct. A class action is superior to other available methods for the fair and efficient adjudication of the present controversy. Individual joinder of all members of the Class is impracticable. Even if individual Class members had the resources to pursue individual litigation, it would be unduly burdensome to the courts in which the individual litigation would proceed. Individual litigation magnifies the delay and expense to all parties in the court system of resolving the controversies engendered by Defendants' course of conduct. The class action device allows a single court to provide the benefits of unitary adjudication, judicial economy, and the fair and efficient handling of all Class members' claims in a single forum. The conduct of this action as a class action conserves the resources of the

parties and of the judicial system and protects the rights of the class members. Furthermore, for many, if not most, a class action is the only feasible mechanism that allows an opportunity for legal redress and justice.

164. Adjudication of individual Class members' claims with respect to the Defendants would, as a practical matter, be dispositive of the interests of other members not parties to the adjudication, and could substantially impair or impede the ability of other class members to protect their interests.

## FIRST CAUSE OF ACTION

# VIOLATION OF CALIFORNIA'S CONSUMERS LEGAL REMEDIES ACT California Civil Code §§ 1750, et seq.

# (On Behalf of Plaintiff and the Class, as Against Defendants)

165. Plaintiff repeats, realleges and incorporates by reference each and every allegation contained above as if fully set forth herein.

166. This cause of action is brought pursuant to the Consumers Legal Remedies Act, California Civil Code § 1750, *et seq*. (the "Act"). Plaintiff and the members of the Class are consumers as defined by California Civil Code § 1761(d). The Products are goods within the meaning of the Act.

167. Defendants violated and continue to violate the Act by engaging in the following practices proscribed by California Civil Code §1770(a) in transactions with Plaintiff and the Class which were intended to result in, and did result in, the sale of the Products:

• Representing that [the Products have]...characteristics, ingredients, uses, benefits or quantities which [the Products] do not have. (Civ. Code, § 1770, subd. (a) (5).)

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• Representing that [the Products] are of a particular standard, quality or grade... if they are of another. (Civ. Code, § 1770, subd. (a) (7).)

• Advertising [Products] ...with intent not to sell them as advertised. (Civ. Code, § 1770, subd. (a) (9).)

• Representing that [the Products] have been supplied in accordance with a previous representation when it has not. (Civ. Code, § 1770, subd. (a) (16).)

168. Defendants violated the Act by representing through advertising of the Products as described above, when they knew, or should have known, that the representations and advertisements were false or misleading.

169. Plaintiff and members of the Class reasonably relied upon the Defendants' representations as to the quality and attributes of the Products.

170. Plaintiff and other members of the Class were deceived by Defendants' representations about the quality and attributes of the Products, including but not limited to the purported benefits of the Products, taken as a whole, that their Products provide, *inter alia*, Defendants advertise their Products are effective in relieving various symptoms and ailments. *See* Exs. 1-2, for other false claims. Plaintiff and other Class members would not have purchased the Products had they known the Defendants' claims were untrue, and had they known the true nature of the Products.

171. Pursuant to section 1782 *et seq.* of the Act, Plaintiff notified the Defendants in writing by certified mail of the particular violations of § 1770 of the Act as to their Products and demanded the Defendants rectify the problems associated with the actions detailed above and give notice to all affected consumers of its intent to so act. Defendants' wrongful business practices regarding the Products constituted, and constitute, a continuing course of conduct in

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violation of the California's Consumers Legal Remedies Act since Defendants are still representing that the Products have characteristics, uses, benefits, and abilities which are false and misleading, and have injured Plaintiff and the Class. A copy of Plaintiff Nigh's letter is attached as **Exhibit 4** hereto.

172. Pursuant to California Civil Code § 1780(a), Plaintiff and the Class seek an order of this Court enjoining the Defendants from continuing to engage in unlawful, unfair, or deceptive business practices and any other act prohibited by law.

173. Pursuant to California Civil Code § 1782(d), Plaintiff and the Class seek a Court order enjoining the above-described wrongful acts and practices of the Defendants with respect to their Products.

## SECOND CAUSE OF ACTION

## VIOLATION OF CALIFORNIA UNFAIR COMPETITION LAW

California Business and Professions Code §§ 17200, et seq.

## (On Behalf of Plaintiff and the Class, as Against Defendants)

174. Plaintiff repeats, realleges and incorporates by reference each and every allegation contained above as if fully set forth herein.

175. California's Unfair Competition Law, Business and Professions Code § 17200 (the "UCL") prohibits any "unfair, deceptive, untrue or misleading advertising." For the reasons discussed above, Defendants have engaged in unfair, deceptive, untrue and misleading advertising in violation of the UCL.

176. The UCL also prohibits any "unlawful... business act or practice." Defendants violated the UCL's prohibition against engaging in unlawful acts and practices by, *inter alia*, making the representations and omissions of material facts, as set forth more fully herein, and by
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violating among others, California Civil Code §§ 1572, 1573, 1709, 1710, 1711, 1770, California
Health and Safety Code §§ 109875, *et seq.*, Cal. Bus. & Prof. Code §§ 12601, *et seq.* ("Fair
Packaging and Labeling Act"), California Commercial Code § 2313(1), and the common law.
Such conduct is ongoing and continues to this date. *See* Exs. 2-3.

177. Plaintiff and the Class reserve the right to allege other violations of law which constitute other unlawful business acts or practices.

178. California Business and Professions Code § 17200 also prohibits any "unfair"... business act or practice."

179. Defendants' acts, omissions, misrepresentations, practices and nondisclosures as alleged herein also constitute "unfair" business acts and practices within the meaning of the UCL in that its conduct is substantially injurious to consumers, offends public policy, and is immoral, unethical, oppressive, and unscrupulous as the gravity of the conduct outweighs any alleged benefits attributable to such conduct. Such conduct is ongoing and continues to this date.

180. Plaintiff alleges violations of consumer protection, unfair competition and truth in advertising laws in California and other states resulting in harm to consumers. Plaintiff asserts violation of the public policy of engaging in false and misleading advertising, unfair competition and deceptive conduct towards consumers. This conduct constitutes violations of the unfair prong of the UCL. Such conduct is ongoing and continues to this date.

181. There were reasonably available alternatives to further Defendants' legitimate business interests, other than the conduct described herein.

182. The UCL also prohibits any "fraudulent business act or practice."

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183. Defendants' claims, nondisclosures (i.e., omissions), and misleading statements, as more fully set forth above, were false, misleading and/or likely to deceive the consuming public within the meaning of the UCL. Such conduct is ongoing and continues to this date.

184. Defendants' conduct caused and continues to cause substantial injury to Plaintiff and the other members of the Class. Plaintiff has suffered injury in fact as a result of Defendants' unfair conduct.

185. Defendants have thus engaged in unlawful, unfair and fraudulent business acts and practices and false advertising, entitling Plaintiff to injunctive relief against Defendants, as set forth in the Prayer for Relief.

186. Pursuant to Business and Professions Code § 17203, Plaintiff seeks an order requiring Defendants to immediately cease such acts of unlawful, unfair and fraudulent business practices and requiring Defendants to engage in a corrective advertising campaign.

187. Plaintiff also seeks an order for the disgorgement and restitution of all monies from the sale of Defendants' Products, which were unjustly acquired through acts of unlawful, unfair, and/or fraudulent competition.

#### THIRD CAUSE OF ACTION

VIOLATION OF CALIFORNIA FALSE ADVERTISING LAW

California Business and Professions Code §§ 17500, et seq.

(On Behalf of Plaintiff and the Class, as Against Defendants)

188. Plaintiff repeats, realleges and incorporates by reference each and every allegation contained above as if fully set forth herein.

189. Plaintiff has standing to pursue this claim as Plaintiff has suffered injury in fact as a result of Defendants' actions as set forth herein. Specifically, prior to the filing of this action,

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Plaintiff purchased the Products in reliance upon Defendants' marketing claims. Plaintiff used the Products as directed, but the Products did not worked as advertised, nor provided any of the promised benefits.

190. Defendants' business practices as alleged herein constitute unfair, deceptive, untrue, and misleading advertising pursuant to California Business and Professions Code §§ 17500, *et seq.* because Defendants have advertised their Products in a manner that is untrue or misleading, or that is known to Defendants to be untrue or misleading.

191. Defendants' wrongful business practices have caused injury to Plaintiff Nigh and the Class.

192. Pursuant to section 17535 of the California Business and Professions Code, Plaintiff and the Class seek an order of this court enjoining the Defendants from continuing to engage in deceptive business practices, false advertising, and any other act prohibited by law, including those set forth in the complaint.

193. Plaintiff also seeks an order for the disgorgement and restitution of all monies from the sale of Defendants' Products, which were unjustly acquired through acts of unlawful, unfair, deceptive and/or fraudulent competition.

#### FOURTH CAUSE OF ACTION

#### **BREACH OF EXPRESS WARRANTY**

(On Behalf of Plaintiff and all Class Members, as Against Defendants)

194. Plaintiff repeats, realleges and incorporates by reference each and every allegation contained above as if fully set forth herein.

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195. On the Products' labels and through their marketing campaign as described above, 1 Defendants made affirmations of fact or promises, or description of goods, which formed "part 2 3 of the basis of the bargain" at the time of purchase. See Ex. 2, Misrepresentation Chart. 4 196. The warranties were breached because the Products did not live up to their 5 warranties, and that breach caused injury in the form of the lost purchase price for the Products. 6 See Cal. Com. Code § 2313(1); see also Zwart v. Hewlett-Packard Co., 2011 WL 3740805 (N.D. 7 Cal., Aug. 23, 2011) (holding that online assertions can create warranties). 8 9 As a result of Defendants' breach of their warranties, Plaintiff and the Class have 197. 10 been damaged in the amount of the purchase price of the Products they purchased. 11 FIFTH CAUSE OF ACTION 12 **BREACH OF IMPLIED WARRANTY OF MERCHANTABILITY** 13 (On Behalf of Plaintiff and the Class, as Against Defendants) 14 15 198. Plaintiff repeats, realleges and incorporates by reference each and every allegation 16 contained above as if fully set forth herein. 17 199. Defendants, through their acts and omissions as set forth herein, in their sale, 18 marketing and promotion of their Products, made representations to Plaintiff and the members of 19 20 the Class that their Products provide the claimed health benefits, among other representations. 21 See Ex. 2, Misrepresentation Chart. 22 200. Plaintiff and the Class bought the Products manufactured, advertised and sold by 23 Defendants. 24

201. Defendants are merchants with respect to the goods of this kind which were sold to Plaintiff and the Class, and there was in the sale to Plaintiff and other members of the Class an implied warranty that those goods were merchantable.

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1	202. However, Defendants breached that warranty implied in the sale of goods in that
2	their Products do not provide the purported claimed health benefits, as set forth in detail herein.
3	203. As a result of Defendants' conduct, Plaintiff and the Class did not receive goods
4	as impliedly warranted by Defendants to be merchantable in that they did not conform to the
5	promises and affirmations made on the container or label of the goods.
6 7	204. Plaintiff Nigh and the Class have sustained damages as a proximate result of the
8	foregoing breach of implied warranty in an amount to be determined at trial.
9	SIXTH CAUSE OF ACTION
10	VIOLATION OF THE MAGNUSON-MOSS WARRANTY ACT,
11	15 U.S.C. §§ 2301, et. seq.;
12	
13	(On Behalf of Plaintiff and the Class, as Against Defendants)
14	205. Plaintiff repeats, realleges and incorporates by reference each and every allegation
15	contained above as if fully set forth herein.
16 17	206. Plaintiff brings this claim individually and on behalf of the members of the Class.
17	207. Defendants' Products are consumer products as defined in 15 U.S.C. § 2301(1).
19	208. Plaintiff and the other Class members are consumers as defined in 15 U.S.C. §
20	2301(3).
21	209. Defendants are the suppliers and warrantors as defined in 15 U.S.C. §§ 2301(4)
22	and (5).
23	210. In connection with the sale of the Products, Defendants issued written warranties
24	as defined in 15 U.S.C. § 2301(6), which warranted that the Products offer relief from various
25	as defined in 15 0.5.C. § 2501(0), which warranted that the Froducts offer rener from various
26	ailments and symptoms as listed in Ex. 2, when in fact, these Products do not provide relief for
27 28	any of these ailments or symptoms.
20	41

211. By breaching the express written warranties stating that the Products relieve ailments and symptoms as listed in Ex. 2, Defendants violated the statutory rights of Plaintiff Nigh and Class members pursuant to the Magnuson-Moss Warranty Act, 15 U.S.C. §§ 2301 et seq., thereby damaging Plaintiff Nigh and other Class members.

212. Plaintiff notified the Defendants in writing of their claims and that the Plaintiff is acting on behalf of the Classes. *See* Ex. 4.

#### **SEVENTH CAUSE OF ACTION**

#### UNJUST ENRICHMENT

#### (On Behalf of Plaintiff and the Class, as Against Defendants)

213. Plaintiff repeats, realleges and incorporates by reference each and every allegation contained above as if fully set forth herein.

214. As a result of Defendants' false and deceptive advertising, unfair, deceptive, untrue or misleading business practices and misrepresentations and in consideration thereof, during the relevant time period set forth above, the Class members paid money to and conferred a benefit upon Defendants in connection with Defendants' Products sold to Class members, which monies were originally in the Class members' possession.

215. Defendants received, retained or appropriated these benefits under such circumstances that it would be inequitable and unjust to permit Defendants to retain such monies at the expense of the Class members. Defendants, as a result of such conduct, became indebted to the Class members for the sums paid to Defendants by Class members as set forth in detail above, with interest thereon. No such sums have been paid to the Class members.

216. In fairness, all such monies, including all interest Defendants have earned on such monies while in wrongful possession thereof, should be disgorged by Defendants and paid to

#### Case 3:12-cv-02714-MMA-DHB Document 1 Filed 11/07/12 Page 43 of 44

members of the Class under principles of unjust enrichment. No violation of law or public policy would be promoted by such relief.

217. As a direct and proximate result of Defendants' conduct resulting in their unjust enrichment, Plaintiff and Class members suffered injury, and therefore seek an order directing Defendants to return the amount each of them were improperly induced to pay to Defendants, plus interest thereon, as well as impose a constructive trust over such monies.

#### PRAYER FOR RELIEF

218. Wherefore, Plaintiff Nigh, on behalf of herself, all others similarly situated and the general public, pray for judgment against the Defendants as to each and every cause of action, including:

А.	An order declaring this action to be a proper Class Action and requiring
	Defendants to bear the costs of Class notice;

- B. An order awarding declaratory and injunctive relief as permitted by law or equity, including enjoining Defendants from continuing the unlawful practices as set forth herein;
- C. An order awarding restitution and disgorgement of Defendants' revenues from the Products to Plaintiff Nigh and the proposed Class members.
- D. An order compelling Defendants to engage in a corrective advertising
   campaign to inform the public concerning the true nature of their Products;
- E. An order awarding attorneys' fees and costs to Plaintiff Nigh and the Class;
- F. An order providing for all other such equitable relief as may be just and
- 6 proper.

	Case 3:12-cv-02714-MMA-DHB	Document 1	Filed 11/07/12	Page 44 of 44				
1	JI	URY DEMANI	)					
1								
	2 Plaintiff hereby demands a trial by jury on all issues so triable.							
3 4	Dated: November 7, 2012	November 7, 2012/s/ Ronald A. MarronRonald A. Marronron@consumersadvocates.com						
4 5								
6	LAW OFFICES OF RONALD A. MARRON, APLC							
7	3636 4 <sup>th</sup> Avenue, Suite 202 San Diego, California 92103							
8								
9			519) 564-6665					
10	10 Attorneys for Plaintiff and the Proposed Cla							
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		Ni		Pharmacal, Inc., et al. CTION COMPLAINT				

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## EXHIBIT 1

#### Humphrey's Teething Relief Very Cherry Pellets







## **Humphrey's Teething Relief Original Pellets**





Humphrey's Teething Pellets #3 Very Cherry



**Humphrey's Teething Pellets #3** 

## Humphrey's Teething Relief Belladonna Free Very Cherry Pellets





## Humphrey's Teething Relief Belladonna Free Original Pellets



## Humphrey's Teething Relief Very Cherry Swift Strips

## Humphrey's Teething Relief Very Cherry Swift Strips Belladonna Free



Humphrey's Simple Fever #1





## Humphrey's Simple Diarrhea #4



Humphrey's Very Cherry Colic Pellets #36



**Humphrey's Bedwetting Pellets #30** 

Humphrey's Cold Relief #77



Humphrey's Cough Control #7





**Humphrey's Symptoms of Delayed Menses #11** 



#### Humphrey's Arthritis Relief #15



#### Humphrey's Insomnia Relief #40

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## EXHIBIT 2

## Case 3:12-cv-02714-MMA-DHB Document 1-2 Filed 11/07/12 Page 2 of 11

	Chile	dren's Products			
Humphreys Product	Challenged Statements	General Theories for Relief			
Baby Teething	"Teething Relief"	X, and similar homeopathic	Sold OTC with	Efficacy	
Relief (Very	"100% All Natural"	dilution designations, are not	other non-	statements are	
Cherry and	"Lactose Free"	understandable to the average	homeopathic	entirely within the	
<b>Original</b> )	"Fast Acting"	consumer.	OTC products	Defendant's	
	"Relieves Pain & Inflammation"		that are subject	discretion.	
	"Temporarily relieves minor irritation,	to other			
	pain and wakefulness associated with	regulations (i.e.			
	teething in infants"	FDA			
	"Relieves irritation of swollen gums"	regulations) and			
	"reduces pain and inflammation"		carry approved		
	"calms restlessness and irritability"		FDA		
	"Multi-symptom relief of irritation,		monographs.		
	irritability, restlessness and				
	inflammation of the gums"				
Baby Teething	"100% All-Natural"	X, and similar homeopathic	Sold OTC with	Efficacy	
Relief –	"Lactose Free"	dilution designations, are not	other non-	statements are	
Belladonna	"Relieves Pain & Irritability"	understandable to the average	homeopathic	entirely within the	
Free (Very	"Aspirin, Ibuprofen, Benzocaine &	consumer.	OTC products	Defendant's	
Cherry &	Belladonna Free"		that are subject	discretion.	
<b>Original</b> )	"Fast Acting"		to other		
	"Relieves pain of swollen gums"		regulations (i.e.		
	"Calms restlessness and irritability"		FDA		
	"Supports detention"		regulations) and		
	"Temporarily relieves minor pain,		carry approved		

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Children's Products						
Humphreys Product	Challenged Statements		General Theories for Relief			
	irritability and wakefulness associated with teething in infants" "gently soothe discomfort" "Teething Remedy"			FDA monographs.		
Baby Teething Relief (Cherry Swift Strips) – Belladonna Free	<ul> <li>"100% All-Natural"</li> <li>"Lactose Free"</li> <li>"Sugar Free"</li> <li>"Melts Instantly"</li> <li>"Relieves Pain &amp; Irritability"</li> <li>"Aspirin, Ibuprofen, Benzocaine &amp; Belladonna Free"</li> <li>"Triple- Action Teething Remedy"</li> <li>"Relieves pain of swollen gums"</li> <li>"Calms restlessness and irritability"</li> <li>"Supports detention"</li> <li>"temporarily relieves minor irritation, pain and wakefulness associated with teething in infants"</li> <li>"Medicated Strips"</li> </ul>	X, and similar homeopathic dilution designations, are not understandable to the average consumer.	Contains Polysorbate 80, a chemical that is not natural, formed from oleic acid and sorbitan.	Sold OTC with other non- homeopathic OTC products that are subject to other regulations (i.e. FDA regulations) and carry approved FDA monographs	Efficacy statements are entirely within the Defendant's discretion.	
Teething Relief Cherry Swift Strips	"100% All Natural" "Lactose Free" "Sugar Free" "Relieves pain & Inflammation"	X, and similar homeopathic dilution designations,	Contains Polysorbate 80, a chemical	Sold OTC with other non- homeopathic OTC products	Efficacy statements are entirely within the Defendant's	

## Case 3:12-cv-02714-MMA-DHB Document 1-2 Filed 11/07/12 Page 4 of 11

	Child	dren's Products				
Humphreys Product	Challenged Statements		General Theories for Relief			
	"Aspirin & Ibuprofen Free" "Melts Instantly" "Triple-Action Teething Remedy" "Relieves irritation of swollen gums" "Reduces Pain and inflammation" "Calms restlessness and irritability" "effective relief of pain & discomfort" "temporarily relieves minor irritation, pain and wakefulness associated with teething in infants" "medicated strips"	are not understandable to the average consumer.	that is not natural, formed from oleic acid and sorbitan.	that are subject to other regulations (i.e. FDA regulations) and carry approved FDA monographs	discretion.	
Teething Relief – Pellets (Very Cherry & Original)	"100% All Natural" "Lactose Free" "Fast Acting" "Aspirin and Ibuprofen Free" "Relieves Pain & Inflammation" "Relieves irritation of swollen gums" "Reduces pain and inflammation" "Calms restlessness and irritability" "temporarily relieves minor irritation, pain and wakefulness associated with teething in infants"	X, and similar he dilution designat understandable t consumer.	tions, are not	Sold OTC with other non- homeopathic OTC products that are subject to other regulations (i.e. FDA regulations) and carry approved FDA monographs	Efficacy statements are entirely within the Defendant's discretion.	

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	Children's Products						
Humphreys Product	Challenged Statements	General Theories for Relief					
Teething Pellets #3 (Very Cherry & Original)	"Fast Acting" "Lactose Free" "100% Natural" "Safe Effective Relief for Children" "gently soothe discomfort" "temporarily relieves minor irritation, pain and wakefulness associated with teething in infants."	X, and similar homeopathic dilution designations, are not understandable to the average consumer.	Sold OTC with other non- homeopathic OTC products that are subject to other regulations (i.e. FDA regulations) and carry approved FDA monographs	Efficacy statements are entirely within the Defendant's discretion.			
Simple Fever #1	"Aspirin Free" "Gentle Formula" "Safe & Effective" "100% Natural" "Safe, All Natural Fever Reducer" "Contains no animal ingredients" "natural ingredients that work gently to help your body return to a natural state of health" "temporarily reduces fever"	X, and similar homeopathic dilution designations, are not understandable to the average consumer.	Sold OTC with other non- homeopathic OTC products that are subject to other regulations (i.e. FDA regulations) and carry approved FDA	Efficacy statements are entirely within the Defendant's discretion.			

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	Children's Products						
Humphreys Product	Challenged Statements	General Theories for Relief					
			monographs				
Simple Diarrhea #4	"natural ingredients that work with your body to provide gentle relief" "temporarily controls and relieves symptoms of diarrhea" "All Natural Anti-Diarrheal Remedy" "100% Natural" "Fast Acting" "Gentle Formula"	X, and similar homeopathic dilution designations, are not understandable to the average consumer.	Sold OTC with other non- homeopathic OTC products that are subject to other regulations (i.e. FDA regulations) and carry approved FDA monographs	Efficacy statements are entirely within the Defendant's discretion.			
Colic Pellets #36 (Very Cherry)	<ul> <li>"natural ingredients to gently relieve occasional discomfort and wakefulness"</li> <li>"relieves the symptoms referred to as gas, including bloating and pressure, and helps to reduce difficulty falling asleep."</li> <li>"Children's Gas &amp; Discomfort Relief"</li> <li>"100% Natural"</li> <li>"100% All Natural"</li> </ul>	X, and similar homeopathic dilution designations, are not understandable to the average consumer.	Sold OTC with other non- homeopathic OTC products that are subject to other regulations (i.e. FDA regulations) and carry approved	Efficacy statements are entirely within the Defendant's discretion.			

## Case 3:12-cv-02714-MMA-DHB Document 1-2 Filed 11/07/12 Page 7 of 11

Children's Products					
Humphreys	Challenged Statements		General T	heories for Relief	
Product					
	"Fast Acting"			FDA	
	"Lactose Free"			monographs	
	"Natural Flavor"				
	"The gentle, all natural remedy for	X, and similar	Contains	Sold OTC with	Efficacy
Bedwetting	bedwetting"	homeopathic	Cantharis (a	other non-	statements are
Pellets #30	"temporarily relieves the symptoms of	dilution	beetle)	homeopathic	entirely within the
	bladder irritation and incontinence in	designations,	which	OTC products	Defendant's
	children"	are not	contradicts	that are subject	discretion.
	"contains no animal ingredients"	understandabl	the "no	to other	
	"Relief For Children's Incontinence"	e to the	animal	regulations (i.e.	
	"100% Natural"	average	ingredients"	FDA	
	"100% All Natural"	consumer	claim.	regulations) and	
	"Fast Acting"		These	carry approved	
	"Pleasant Tasting"		statements	FDA	
	"Safe & Effective"		are	monographs	
			confusing		
			for		
			consumers.		

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	Cold & Flu Remedies						
Humphrey's Product	Challenged Statements	General Theories for Relief					
Cold Relief #77	<ul> <li>"100% All Natural"</li> <li>"Non-Drowsy Relief"</li> <li>"All Natural Multi Symptom Relief"</li> <li>"Treats Sneezing, Runny Nose, Coughing &amp; Fever"</li> <li>"100% Natural"</li> <li>"alleviate symptoms of the common cold"</li> <li>"temporary relief of symptoms of the common cold, such as fever, chills, sneezing, runny nose &amp; coughing as well as red, itchy &amp; watery eyes"</li> </ul>	X, and similar homeopathic dilution designations, are not understandable to the average consumer.	Sold OTC with other non- homeopathic OTC products that are subject to other regulations (i.e. FDA regulations) and carry approved FDA monographs	Efficacy statements are entirely within the Defendant's discretion.			
Cough Control #7	<ul> <li>"specially formulated to provide effective, long lasting relief"</li> <li>"This all-natural formula is non-habit forming and won't cause drowsiness"</li> <li>"temporarily relieves cough due to minor bronchial irritation associated with the common cold."</li> <li>"All Natural Cough Supressant"</li> <li>"100% Natural"</li> <li>"Fast Acting"</li> <li>"Non-habitual"</li> <li>"Lactose Free"</li> <li>"100% All Natural"</li> </ul>	X, and similar homeopathic dilution designations, are not understandable to the average consumer.	Sold OTC with other non- homeopathic OTC products that are subject to other regulations (i.e. FDA regulations) and carry approved FDA monographs	Efficacy statements are entirely within the Defendant's discretion.			

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Cold & Flu Remedies					
Humphrey'sChallenged StatementsGeneral Theories for ReliefProduct					
Trouter					
	"Non-Drowsy Relief"				

Pain Relief Remedies						
Humphrey's Product	Challenged Statements		General Theories for Relief			
Symptoms of Delayed Menses #11	<ul> <li>"100% All Natural"</li> <li>"Gentle Formula"</li> <li>"Aspirin Free"</li> <li>"100% Natural"</li> <li>"Contains no animal ingredients"</li> <li>"natural ingredients to gently stimulate your body to return to it's natural state of health"</li> <li>"temporarily relieves symptoms associated with delayed menses, such as cramps, backache, anxiety, mood changes, nervous tension, irritability, headache and bloating"</li> </ul>	X, and similar homeopathic dilution designations, are not understandable to the average consumer.	Contains Sepia (from cuttlefish)- an animal product. Contradiction between the ingredient and "no animal ingredients" claim is confusing to consumers.	Sold OTC with other non- homeopathic OTC products that are subject to other regulations (i.e. FDA regulations) and carry approved FDA monographs	Efficacy statements are entirely within the Defendant's discretion.	

## Case 3:12-cv-02714-MMA-DHB Document 1-2 Filed 11/07/12 Page 10 of 11

Pain Relief Remedies					
Humphrey's Product	Challenged Statements	General Theories for Relief			
Arthritis Relief #15	<ul> <li>"relieve minor joint pain."</li> <li>"This effective remedy works to encourage your body to return to a natural state of health."</li> <li>"temporarily relieves minor aches &amp; pains associated with arthritis and rheumatism."</li> <li>"Natural Remedy For Minor Joint Pain"</li> <li>"Gentle Natural Relief"</li> <li>"100% All Natural"</li> <li>"Fast Acting"</li> <li>"Lactose Free"</li> <li>"Safe &amp; Effective"</li> </ul>	X, and similar homeopathic dilution designations, are not understandable to the average consumer.	Sold OTC with other non- homeopathic OTC products that are subject to other regulations (i.e. FDA regulations) and carry approved FDA monographs	Efficacy statements are entirely within the Defendant's discretion.	
Insomnia Relief #40	<ul> <li>"Natural sleep-aid"</li> <li>"help relieve occasional sleeplessness &amp; restlessness"</li> <li>"temporarily relieves difficulty falling asleep"</li> <li>"Gentle, Effective Nighttime Relief"</li> <li>"100% Natural"</li> <li>"Sleep Naturally!"</li> <li>"100% All Natural"</li> <li>"Gentle Formula"</li> </ul>	X, and similar homeopathic dilution designations, are not understandable to the average consumer.	Sold OTC with other non- homeopathic OTC products that are subject to other regulations (i.e. FDA regulations) and carry approved FDA	Efficacy statements are entirely within the Defendant's discretion.	

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Pain Relief Remedies					
Humphrey's Product	Challenged Statements	General Theories for Relief			
	"Non-Habitual"		monographs		
Other Products Labeled Homeopathic	"Active Ingredients"	Labeled as containing "active" i	ingredients		

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# EXHIBIT 3

<b>Humphreys Product</b>	"Active"	Dilution	Purpose
Ĩ	Ingredient		1
Baby Teething	Chamomilla	3X	Irritation reliever
Tablets		(= 1/1,000  dilution)	
(Very Cherry	Coffea Cruda	3X	Calming aid
Pellets, Original		(= 1/1,000  dilution)	
Pellets, Cherry Swift	Belladonna	3X	Anti-inflammatory
Strips, Very Cherry		(= 1/1,000  dilution)	
Teething Pellets #3,	Calcarea	12X	Supports dentition
<b>Teething Pellets #3</b> )	Phosphorica	( = 1/1,000,000,000,000	
		dilution)	
<b>Baby Teething</b>	Chamomilla	3X	Irritation reliever
Tablets –		(= 1/1,000  dilution)	
Belladonna Free	Coffea Cruda	3X	Calming aid
(Very Cherry,		(= 1/1,000  dilution)	
Original, Cherry	Calcarea	12X	Supports dentition
Swift Strips)	Phosphorica	( = 1/1,000,000,000,000	
		dilution)	
Simple Fever #1	Aconitum	3X	Fever reducer
	napellus	(= 1/1,000  dilution)	
	Veratrum viride	2X	Fever reducer
		(= 1/100  dilution)	
	Bryonia alba	3X	Fever reducer
		(= 1/1,000  dilution)	
	Belladonna	3X Fever reduce	
		(= 1/1,000  dilution)	
Simple Diarrhea #4	Cinchona	3X	Anti-diarrheal
	officinalis	(= 1/1,000  dilution)	
	Ipecacuanha	3X	Anti-diarrheal
		(= 1/1,000  dilution)	
	Calcarea	12X	Anti-diarrheal
	carbonica	(=1/1,000,000,000,000)	
	<u> </u>	dilution)	
	Chamomilla	3X ( = 1/1,000 dilution)	Anti-diarrheal
Bedwetting Pellets	Cantharis	6X	Pain Reliever
#30		(= 1/1,000,000  dilution)	
	Mercurius	6X	Pain & irritation
	corrosivus	(= 1/1,000,000  dilution)	reliever

Humphreys Product	"Active"	Dilution	Purpose
	Ingredient		
<b>Bedwetting Pellets</b>	Causticum	3X	Bladder control
#30 (cont'd)		(= 1/1,000  dilution)	
	Equisetum	3X	Bladder control
	hyemale	(= 1/1,000  dilution)	
Very Cherry Colic	Carbo	12X	Anti-gas
Pellets #36	vegetabilis	( = 1/1,000,000,000,000	
	_	dilution)	
	Chamomilla	1X	Calming-aid
		(= 1/10  dilution)	
	Avena Sativa	1X	Sleep-aid
		(= 1/10  dilution)	
Cough Control #7	Belladonna	3X	Cough suppressant
		(= 1/1,000  dilution)	
	Phosphorus	6X	Cough suppressant
		(= 1/1,000,000  dilution)	
	Spongia tosta	2X	Cough suppressant
		(= 1/100  dilution)	
	Byonia alba	3X	Cough suppressant
		(= 1/1,000  dilution)	
Cold Relief #77	Arsenicum	6X	Antihistaminic
	album	(= 1/1,000,000  dilution)	
	Gelsemium	3X	Fever reducer
	sempervirens	(= 1/1,000  dilution)	
	Allium cepa	3X	Antihistaminic
	•	(= 1/1,000  dilution)	
	Aconitum	3X	Cough suppressant
	napellus	(= 1/1,000  dilution)	Deta alta en
Symptoms of	Cimicifuga	3X	Pain reliever
Delayed Menses #11	racemosa	(= 1/1,000  dilution)	Pain reliever
	Pulsatilla	3X	Pain renever
	Sonia	( = 1/1,000 dilution) 3X	Nervous tension
	Sepia	(= 1/1,000  dilution)	reliever
Arthritis Relief #15	Rhus	6X	
AI UII IUS KUIU #13	toxicodendron	(= 1/1,000,000  dilution)	Analgesic
	Colchicum	3X	Analgesic
	autumnale	(= 1/1,000  dilution)	
	autuinnait		

Humphreys Product	"Active"	Dilution	Purpose	
	Ingredient			
Arthritis Relief #15	Causticum	3X	Analgesic	
(cont'd)		(= 1/1,000  dilution)		
	Byonia alba	3X	Analgesic	
		(= 1/1,000  dilution)		
Insomnia Relief #40	Chamomilla	3X	Restlessness	
		(= 1/1,000  dilution)		
	Coffea Cruda	3X	Nighttime sleep-	
		(= 1/1,000  dilution)	aid	
	Hyoscyamus	3X	Nighttime sleep-	
	niger	(= 1/1,000  dilution)	aid	
Other Products				
Labeled	Labeled as containing "active" ingredients			
Homeopathic				

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## EXHIBIT 4

Law Offices of

Ronald A. Marron

A Professional Law Corporation

3636 Fourth Avenue, Ste 202 San Diego, CA 92103

Tel: 619.696.9006 Fax: 619.564.6665

June 20, 2012

Wia: Certified Mail, (receipt acknowledgment with signature requested

Humphreys Pharmacal, Inc. 31 East High Street East Hampton, CT 06424-1021

## RE: NOTICE: Violations of the California Consumer Legal Remedies Act and Duty to Preserve Evidence

Dear Sir or Madam,

**PLEASE TAKE NOTICE** that this letter constitutes notice under the California Consumer Legal Remedies Act, ("CLRA"), California Civil Code Section 1750, *et seq.*, (the "ACT") — pursuant specifically to Civil Code Section 1782 — notifying **HUMPHREYS PHARMACAL, INC.** ("YOU" and "YOUR") of violations of the Act and of our demand that YOU remedy such violations within thirty (30) days from your receipt of this letter.

This firm represents Melissa Nigh, who purchased Humphreys teething pellets at a Target or Rite Aid stores in Morgan Hill, California in 2009. Ms. Nigh was exposed to and saw YOUR claims about the product, purchased the product in reliance on those claims, and suffered injury in fact as a result of YOUR false and misleading advertising.

YOU falsely market YOUR products by putting false and misleading claims on the labels. For example, YOU claim that the Humphreys teething pellets provide "Teething Relief." The product's label also includes the following statements: "Relieves Pain & Inflammation," "100% Natural," "Aspirin & Ibuprofen Free," "Fast Acting." The purported active ingredients in this product are *Chamomilla* (3X HPUS), *Coffea cruda* (3X HPUS), *Belladonna* (3X HPUS) and *Calcarea Phosphorica* (12X HPUS).

In fact, all of YOUR products, not only the teething pellets, are essentially placebos. In addition to the teething pellets, the Products which bear the name Humphrey's Baby Teething Relief "Cherry Swift Strips", Humphrey's Simple Fever #1, Humphrey's Simple Diarrhea #4, Humphrey's Simple Bedwetting Pellets #30, Humphrey's Very Cherry Colic Pellets #36, Humphrey's Cough Control #7, Humphrey's Cold Relief #77, Humphrey's Symptoms of Delayed Menses #11, Humphrey's Arthritis Relief #15, and Humphrey's Insomnia Relief #40 provide a clear representation to consumers that the Product is designed to alleviate the symptoms identified in the name. Label descriptions on the Product packaging, taken as a whole, further clarify what each Product is supposed to do.

These Products are advertised as remedies for "irritation, irritability, restlessness," "inflammation of the gums," "incontinence," "bladder pain and irritation," "fever, chills, sneezing, runny nose and coughing," "cramps, backache, anxiety, mood changes, nervous tension, irritability, headaches, and bloating," and "minor joint pain."

However, even if YOUR products contain the purportedly active ingredients, those ingredients are ineffective and/or so greatly diluted as to be non-existent in the product, such that the product is ineffective for its intended uses. The trace amounts of ingredients allegedly contained in YOUR products do not aid in the suggested remedial efficacy.

A reasonable consumer would have relied on the deceptive and false claims made in YOUR advertisements and through the exercise of reasonable diligence would not have discovered the violations alleged herein because YOU actively and purposefully concealed the truth regarding YOUR products or services.

In conclusion, YOUR material misrepresentations are deceiving customers into purchasing YOUR products under the representation that they provide significant health benefits, when in fact they do not.

Please be advised that the alleged unfair methods of competition or unfair or deceptive acts or practices in violation of the CLRA include, but are not necessarily limited to:

1770(a)(5): representing that goods have characteristics, uses, or benefits which they do not have.

1770(a)(7): representing that goods are of a particular standard, quality, or grade if they are of another.

§ 1770(a)(9): advertising goods with intent not to sell them as advertised.

1770(a)(16): representing the subject of a transaction has been supplied in accordance with a previous representation when it has not.

YOU have failed to honor your consumer protection obligations. Based upon the above, demand is hereby made that YOU conduct a corrective advertising campaign and destroy all misleading and deceptive advertising materials and products.

Please be advised that your failure to comply with this request within thirty (30) days may subject you to the following remedies, available for violations of the CLRA, which will be requested in the class action complaint on behalf of our client, Ms. Melissa Nigh, and all other similarly-situated California and U.S. residents:

(1) The actual damages suffered;

(2) An order enjoining you for such methods, acts or practices;

(3) Restitution of property (when applicable);

(4) Punitive damages;

(5) Any other relief which the court deems proper; and

(6) Court costs and attorneys' fees.

Additionally, I remind you of your legal duty to preserve all records relevant to such litigation. See, e.g., *Convolve, Inc. v. Compaq Computer Corp.*, 223 F.R.D 162, 175 (S.D.N.Y 2004); *Computer Ass'n Int'l v. American Fundware, Inc.*, 133 F.R.D. 166, 168-69 (D. Colo. 1990). This firm anticipates that all e-mails, letters, reports, internal corporate instant messages, and laboratory records that related to the formulation and marketing of YOUR products will be sought in the forthcoming discovery process. You therefore must inform any employees, contractors, and third-party agents (for example product consultants and advertising agencies handling your product account) to preserve all such relevant information.

In addition, California Civil Code Section 1780 (b) provides in part that: "Any consumer who is a **senior citizen or a disabled person**, as defined in subdivision (f) and (g) of Section 1761, as part of an action under subdivision (a), may seek and be awarded, in addition to the remedied specified therein, up to **five thousand dollars** (\$5,000)... [emphasis added]".

I look forward to YOU taking corrective action. Thank you for your time and consideration in this matter.

Sincerely,

THE LAW OFFICES OF RONALD A. MARRON APLC

<u>/s/ Ronald A. Marron</u> Ronald A. Marron Attorney for Melissa Nigh, and all others similarly situated

## JS 44 (Rev. 09/11) Case 3:12-cv-02714-MMA DHB DOCUMENTSHEEFIed 11/07/12 Page 1 of 1

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. *(SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)* 

I. (a) PLAINTIFFS Melissa Nigh, on behalf o general public	of herself, all others sin	nilarly situated and th	ne Humphr		al, Incorporated, a De	
(b) County of Residence of First Listed Plaintiff <u>Morgan Hill, Californ</u> (EXCEPT IN U.S. PLAINTIFF CASES)			County of NOTE:	County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.		
(c) Attorneys (Firm Name, J Law Offices of Ronald A. 3636 Fourth Ave, Suite 2 (619) 696-9006			Robinso		<b>'12CV2714 M</b> Hartford, CT 06103-38	
II. BASIS OF JURISD	ICTION (Place an "X" i	n One Box Only)			NCIPAL PARTIES	(Place an "X" in One Box for Plaintiff)
1 U.S. Government Plaintiff	□ 3 Federal Question (U.S. Government N		(For Diversity Citizen of This Stat	Cases Only) PTF	DEF 1 Incorporated <i>or</i> Pri of Business In This	and One Box for Defendant) PTF DEF incipal Place
2 U.S. Government Defendant	X 4 Diversity (Indicate Citizenshi)	p of Parties in Item III)	Citizen of Another		Incorporated and P of Business In A	Another State
			Citizen or Subject o Foreign Country	ofa 🗖 3	□ 3 Foreign Nation	
IV. NATURE OF SUIT	(Place an "X" in One Box O	nly)				
CONTRACT	1	RTS	FORFEITURE		BANKRUPTCY	OTHER STATUTES
<ul> <li>110 Insurance</li> <li>120 Marine</li> <li>130 Miller Act</li> <li>140 Negotiable Instrument</li> <li>150 Recovery of Overpayment &amp; Enforcement of Judgment</li> <li>151 Medicare Act</li> <li>152 Recovery of Defaulted Student Loans (Excl. Veterans)</li> <li>153 Recovery of Overpayment of Veteran's Benefits</li> <li>160 Stockholders' Suits</li> </ul>	PERSONAL INJURY □ 310 Airplane □ 315 Airplane Product Liability □ 320 Assault, Libel & Slander □ 330 Federal Employers' Liability □ 340 Marine □ 345 Marine Product Liability □ 350 Motor Vehicle □ 355 Motor Vehicle	<ul> <li>PERSONAL INJURY</li> <li>365 Personal Injury - Product Liability</li> <li>367 Health Care/ Pharmaceutical Personal Injury Product Liability</li> <li>368 Asbestos Personal Injury Product Liability</li> <li>PERSONAL PROPERT</li> <li>370 Other Fraud</li> <li>371 Truth in Lending</li> </ul>	George Ge	21 USC 881	422 Appeal 28 USC 158 423 Withdrawal 28 USC 157 <b>PROPERTY RIGHTS</b> 820 Copyrights 830 Patent 840 Trademark <b>SOCIAL SECURITY</b> 861 HIA (1395ff) 862 Black Lung (923) 863 DIWC/DIWW (405(g))	<ul> <li>375 False Claims Act</li> <li>400 State Reapportionment</li> <li>410 Antirust</li> <li>430 Banks and Banking</li> <li>450 Commerce</li> <li>460 Deportation</li> <li>470 Racketeer Influenced and Corrupt Organizations</li> <li>480 Consumer Credit</li> <li>490 Cable/Sat TV</li> <li>850 Securities/Commodities/ Exchange</li> <li>890 Other Statutory Actions</li> </ul>
<ul> <li>190 Other Contract</li> <li>195 Contract Product Liability</li> <li>196 Franchise</li> </ul>	Product Liability G 360 Other Personal Injury G 362 Personal Injury - Med. Malpractice	<ul> <li>380 Other Personal Property Damage</li> <li>385 Property Damage Product Liability</li> </ul>	<ul> <li>740 Railway Lal</li> <li>751 Family and Leave Act</li> <li>790 Other Labor</li> <li>791 Empl. Ret. 1</li> </ul>	Dor Act Medical Litigation nc.	864 SSID Title XVI 865 RSI (405(g))	<ul> <li>891 Agricultural Acts</li> <li>893 Environmental Matters</li> <li>895 Freedom of Information Act</li> <li>896 Arbitration</li> </ul>
REAL PROPERTY         210 Land Condemnation         220 Foreclosure         230 Rent Lease & Ejectment         240 Torts to Land         245 Tort Product Liability         290 All Other Real Property	CIVIL RIGHTS         □ 440 Other Civil Rights         □ 441 Voting         □ 442 Employment         □ 443 Housing/         △ Accommodations         □ 445 Amer. w/Disabilities - Employment         □ 446 Amer. w/Disabilities - Other         □ 448 Education	PRISONER PETITIONS         510 Motions to Vacate Sentence         Habeas Corpus:         530 General         535 Death Penalty         540 Mandamus & Other         550 Civil Rights         555 Prison Condition         560 Civil Detainee - Conditions of Confinement	IMMIGRA	TTION on Application pus - nee tition)	FEDERAL TAX SUITS 870 Taxes (U.S. Plaintiff or Defendant) 871 IRS—Third Party 26 USC 7609	<ul> <li>899 Administrative Procedure Act/Review or Appeal of Agency Decision</li> <li>950 Constitutionality of State Statutes</li> </ul>
▲1 Original □ 2 Re	te Court	Appellate Court	4 Reinstated or Reopened	(specify)	strict D 6 Multidistr Litigation	
VI. CAUSE OF ACTIO	28 U.S.C. Sectio	tute under which you are n 1332(d)(2)(A) - Ma use:	filing <i>(Do not cite ju</i> agnuson-Moss W	risdictional statutes /arranty Act	s unless diversity):	
VII. REQUESTED IN COMPLAINT:	CHECK IF THIS UNDER F.R.C.P.	IS A CLASS ACTION 23	DEMAND \$		CHECK YES only JURY DEMAND:	if demanded in complaint: X Yes □ No
VIII. RELATED CASI IF ANY	E(S) (See instructions):	JUDGE			DOCKET NUMBER	
DATE 11/07/2012		SIGNATURE OF ATTO				
FOR OFFICE USE ONLY						
RECEIPT # AN	MOUNT	APPLYING IFP		JUDGE	MAG. JUI	DGE